

State of New York
Department of Corrections
and
Community Supervision

Building Number 2
Harriman Office Campus
Albany, New York 12226

UNUSUAL INCIDENT REPORT

January – December 2012



Andrew M. Cuomo
Governor



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Acting Commissioner

**UNUSUAL INCIDENT REPORT
JANUARY - DECEMBER 2012**

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EXECUTIVE SUMMARY

- **Number and Rate of Unusual Incidents, January - December 2012**

There were 6,091 unusual incidents during January-December 2012. The annual rate of unusual incidents was 111.1 incidents per thousand inmates (**see Table 2, p. 7**).

- **Rate of Unusual Incidents by Facility Security Level**

The rate of unusual incidents was highest in maximum security facilities (including their subdivisions) with 158.3 incidents per 1,000 inmates. Medium security prisons experienced a rate of 72.0; minimum security (including work release facilities), 135.0; Shock Incarceration facilities, 27.4 (**see Table 3.1, pp. 11-13**).

- **Inmate Weapon Use**

Inmates used weapons in 27% of unusual incidents. Cutting or stabbing weapons were most often used during inmate self-injury and inmate assault on inmate incidents. Use of body, such as fists or kicking, was most often used during inmate assault on staff incidents (**see Table 4.1, p. 17**).

- **Deaths**

There were 115 inmate deaths under DOCCS custody reported in 2012. These include deaths where DOCCS retained legal custody, regardless of whether they were in the physical custody of another jurisdiction. The total included 14 suicides and 2 homicides in DOCCS facilities. Ninety inmate deaths were due to natural causes. Additionally, there were three deaths attributed to AIDS, four deaths due to accidental drug overdose, and two resulting from accidents (**see Table 6, p. 21**).

- **Inmate Assault on Staff**

There were 524 inmate assault on staff incidents in 2012. The annualized rate within maximum security prisons was 16.6 incidents per thousand inmates; the rate for all facilities was 9.6 (see Table 8.1, pp. 27-29).

- **Inmate Assault on Inmate**

In 2012, there were 652 inmate assault on inmate incidents. The annualized rate within maximum security prisons (including subdivisions) was 17.2 incidents per thousand inmates; the rate for all facilities was 11.9 (see Table 8.3, pp. 33-35).

- **Extent of Injury to Staff**

Ninety-six percent (96%) of unusual incidents were resolved without reported injuries to staff. Of the 23,963 staff members listed in unusual incidents, 3% reported minor injuries and less than 1% reported moderate injuries. There were five serious staff related injuries reported in 2012 (see Table 9.1, p. 39).

- **Staff Use of Force**

Department employees used force in 14% of unusual incidents in 2012. Force was used most often in inmate assault on staff incidents (see Table 10.2, p. 41).

INTRODUCTION

The Unusual Incident System maintains information on incidents that occur within the New York State Department of Corrections and Community Supervision (DOCCS). Events that disrupt or affect facility operations are considered unusual incidents and are reported by telephone to the DOCCS Communication Control Center located in Albany and entered into the computer system via terminals at correctional facilities.

The reporting system is capable of recording information on more than one type of unusual incident that occurs as part of a series of events. In concert with other information maintained in electronic databases, the Department can examine characteristics of victims and perpetrators involved in assaultive behavior, as well as identify all inmates and staff listed in incidents.

Section One

FREQUENCY OF UNUSUAL INCIDENTS IN 2012

A total of 6,091 unusual incidents were reported in 2012. Table 1 shows the type and number of incidents that occurred each month during the year. The number of unusual incidents fluctuates from month to month, and while monthly variations may be important, trend analyses that extend over months may be more meaningful.

The most frequently reported categories of incidents were contraband (N=2,545), inmate assault on inmate (N=652), accidents (N=534), and inmate assault on staff (N=524). Together, these four categories accounted for 70% of reported incidents. The reader should note that the 123 deaths reported in Table 1 include 115 inmates in DOCCS legal custody, 1 inmate who died in the jurisdiction of Central New York Psychiatric Center (not in DOCCS' legal custody), 5 staff members, 1 non-employee contractor, and 1 spouse of a facility administrator (at a state housing residence at the time of his death) (see Table 6).

INCIDENT TYPE	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	TOTAL
ACCIDENT	41	35	30	42	52	43	64	57	45	39	40	46	534
ASSAULT ON INMATE	48	45	45	49	46	67	56	64	53	64	52	63	652
ASSAULT ON STAFF	31	25	46	34	41	41	75	48	47	36	54	46	524
ASSAULT ON OTHER	1	0	1	0	0	1	1	0	0	0	0	0	4
CONTRABAND	181	212	219	199	193	214	221	253	223	216	197	217	2,545
DEATH	8	7	13	9	9	11	10	19	12	10	8	7	123
DISRUPTIVE BEHAVIOR	32	32	50	35	31	30	50	52	38	39	39	40	468
DISRUPTION OF FACILITY	0	0	1	0	2	0	0	0	1	3	0	0	7
EMPLOYEE MISCONDUCT	16	7	18	12	14	15	19	8	13	8	3	7	140
ESCAPE	0	0	1	0	0	0	0	0	0	0	0	0	1
ATTEMPTED ESCAPE	0	0	0	0	1	0	0	1	0	0	0	0	2
FIRE	0	3	2	1	1	3	3	2	1	3	2	1	22
PROPERTY DESTROYED	0	0	0	0	1	1	2	2	3	1	1	3	14
PROPERTY LOST OR STOLEN	7	3	8	6	8	9	11	4	4	7	4	5	76
SELF-INFLICTED INJURY	10	10	6	9	4	8	17	22	8	1	13	6	114
SEXUAL MISCONDUCT	5	6	4	0	5	4	5	6	5	3	4	6	53
SUICIDE ATTEMPT	17	16	10	12	11	7	11	11	11	13	10	16	145
TEMPORARY RELEASE	1	2	3	2	2	7	4	5	0	6	1	3	36
STAFF USE OF WEAPONS	14	6	14	10	7	9	15	17	15	8	20	8	143
EMPLOYEE JOB ACTION	0	0	0	0	0	0	0	0	0	0	0	1	1
OTHER INCIDENTS	50	45	46	33	27	36	47	47	36	32	36	52	487
TOTAL	462	454	517	453	455	506	611	618	515	489	484	527	6,091

Section Two

ANNUALIZED RATE OF UNUSUAL INCIDENTS

The rate of unusual incidents is a ratio of the number of reported incidents to the number of inmates in the DOCCS population. The rate used in this report is incidents per 1,000 inmates per year and it allows for comparison between different facilities over different time periods. See Appendix A for an illustration and an explanation of the rate calculation methodology.

Table 2 displays the frequency and rate of unusual incidents by category. In 2012, there were 111.1 incidents per 1,000 inmates. Unusual incident rates for correctional facilities are presented in Section 3; rates of assaults by inmates on staff and on other inmates are presented in Section 8. An additional method to examine unusual incidents is by inmate involvement. In 2012, there were 7,695 inmates listed in UI reports (see Section 5).

TABLE 2 UNUSUAL INCIDENT FREQUENCY AND ANNUAL RATE JANUARY - DECEMBER 2012		
INCIDENT TYPE	NUMBER OF INCIDENTS	ANNUAL RATE PER 1,000 INMATES
ACCIDENT	534	9.7
ASSAULT ON INMATE	652	11.9
ASSAULT ON STAFF	524	9.6
ASSAULT ON OTHER	4	0.1
CONTRABAND	2,545	46.4
DEATH	123	2.2
DISRUPTIVE BEHAVIOR	468	8.5
DISRUPTION OF FACILITY	7	0.1
EMPLOYEE MISCONDUCT	140	2.6
ESCAPE	1	0.0
ATTEMPTED ESCAPE	2	0.0
FIRE	22	0.4
PROPERTY DESTROYED	14	0.3
PROPERTY LOST OR STOLEN	76	1.4
SELF-INFLICTED INJURY	114	2.1
SEXUAL MISCONDUCT	53	1.0
SUICIDE ATTEMPT	145	2.6
TEMPORARY RELEASE	36	0.7
STAFF USE OF WEAPONS	143	2.6
EMPLOYEE JOB ACTION	1	0.0
OTHER INCIDENTS	487	8.9
TOTAL	6,091	111.1

Annual Unusual Incident rate equals the total number of incidents (6,901) divided by the average inmate population for 2012 (54,831) multiplied by 1,000.

Section Three

UNUSUAL INCIDENTS BY CORRECTIONAL FACILITY

The number and rate of incidents by correctional facility and security level appear in Table 3.1; the number of incidents per month by facility and facility security level appear in Table 3.2.

MAXIMUM SECURITY

In 2012, there were 3,905 unusual incidents reported from maximum security and specialized maximum security units representing an overall rate of 158.3 per 1,000 inmates. Maximum security housing units alone reported 3,480 unusual incidents in 2012, a rate of 153.0 incidents per 1,000 inmates. Prisons that experienced the highest rates, excluding specialized housing units, include Wende (274.4), Great Meadow (233.0), Auburn (217.8) and Downstate (202.1).

In maximum security settings, both Residential Mental Health Units (RMHUs) and Behavioral Health Units (BHUs) are programs that include separate housing within a correctional facility. These programs are designed to address the corrections-based therapeutic treatment of inmate-patients currently diagnosed with a serious mental illness who, due to their behavior, would otherwise be serving a confinement sanction in a Special Housing Unit (SHU) or separate keeplock unit. Although RMHUs and BHUs are not operated as disciplinary housing units, inmates in these units are subject to limitations on the quantity and type of property they are permitted to have in their cells and are afforded access to programs that are more restrictive than those afforded general population inmates (see Appendix C for a brief description of these units). A Therapeutic Behavioral Unit (TBU) located at Bedford Hills Correctional Facility is the functional equivalent of the BHU for female inmate-patients.

There are three RMHU's which are located at Attica, Five Points and Marcy correctional facilities. There are two BHU's, one at Great Meadow Correctional Facility and one at Sullivan Correctional Facility. As noted above, the TBU located at Bedford Hills Correctional Facility is the functional equivalent for female inmate-patients.

These residential mental health units (RMHU, BHU, TBU) have an overall unusual incident rate of 859.3 incidents per thousand inmates. These specialized residential mental health units have comparatively small populations comprised of inmates who are Seriously Mentally Ill (SMI) and have a serious disciplinary history; consequently, the rates of unusual

incidents are higher when compared with other maximum security general confinement inmates.

Regional Medical Units (RMUs) are specialized medical health facilities for maximum security chronically-ill inmates. These RMUs also have comparatively small inmate populations that house seriously ill inmates; therefore, the rate of unusual incidents (333.3) was higher when compared with other maximum security facilities.

The SHU200 facilities, specialized units that house inmates with lengthy special housing unit sanctions, had an overall UI rate of 109.6 incidents per thousand inmates.

Overall, maximum security correctional facilities housed 45% of the inmate population and accounted for 64% of unusual incidents in 2012.

MEDIUM SECURITY

Medium security prisons reported 2,058 unusual incidents in 2012, a rate of 72.0 incidents per 1,000 inmates. While housing 52% of the inmate population, medium security facilities accounted for 34% of incidents.

Prisons that experienced the highest rates included Orleans (115.9), Washington (111.8), and Wyoming (110.0). The rates for the three female correctional facilities, Albion, (62.1), Bayview (71.4), and Taconic (71.0), were comparable to the overall rate for medium security facilities.

SHOCK INCARCERATION

Three Shock Incarceration facilities reported 26 unusual incidents in 2012, a rate of 27.4 per 1,000 inmates. Lakeview, the largest Shock Incarceration Program, reported 17 incidents, a rate of 30.1 incidents per 1,000 inmates.

MINIMUM SECURITY

There were 84 unusual incidents at minimum security facilities for a rate of 135.0 per 1,000 inmates. The minimum security category includes Lincoln and Rochester work release facilities. There were 46 unusual incidents at these work release facilities. The majority of unusual incidents at these work release facilities were temporary release arrests (N=20 or 43%), contraband cell-phone recovery (N=8 or 17%), and temporary release abscondance (N=5 or 11%).

CENTRAL OFFICE

Eighteen unusual incidents were reported by Central Office staff, including: 6 incidents of lost or stolen property, 5 accidents, 4 contraband, 2 employee misconduct, and 1 property destruction.

**TABLE 3.1
NUMBER AND RATE OF UNUSUAL INCIDENTS BY SECURITY LEVEL
JANUARY - DECEMBER 2012**

CORRECTIONAL FACILITY	AVERAGE INMATE POPULATION 2012	UNUSUAL INCIDENTS	RATE PER 1,000 INMATES
MAXIMUM SECURITY			
ATTICA	2,132	283	132.7
AUBURN	1,671	364	217.8
BEDFORD-F	741	83	112.0
CLINTON	2,795	331	118.4
COXSACKIE	948	88	92.8
DOWNSTATE	1,168	236	202.1
EASTERN	995	50	50.3
ELMIRA	1,758	241	137.1
FIVE POINTS	1,323	258	195.0
GREAT MEADOW	1,601	373	233.0
GREEN HAVEN	2,010	230	114.4
SHAWANGUNK	531	83	156.3
SING SING	1,633	270	165.3
SOUTHPORT	883	118	133.6
SULLIVAN	477	69	144.7
UPSTATE	1,277	181	141.7
WENDE	809	222	274.4
SUB-TOTAL	22,752	3,480	153.0
SPECIAL HOUSING 200 BED			
CAYUGA SHU200	182	11	60.4
COLLINS SHU200	178	25	140.4
FISHKILL SHU200	173	48	277.5
GOVERNEUR SHU200	188	13	69.1
GREEN SHU200	183	10	54.6
LAKEVIEW SHU200	185	17	91.9
MID-STATE SHU200	181	21	116.0
ORLEANS SHU200	163	12	73.6
SUB-TOTAL	1,433	157	109.6
REGIONAL MEDICAL UNIT (RMU)			
BEDFORD RMU	14	1	71.4
COXSACKIE RMU	58	18	310.3
FISHKILL RMU	29	11	379.3
WALSH RMU-MALE	111	42	378.4
WENDE RMU	79	25	316.5
SUB-TOTAL	291	97	333.3
RESIDENTIAL MENTAL HEALTH UNIT			
ATTICA RMHU	8	5	625.0
BEDFORD TBU	12	8	666.7
FIVE POINTS RMHU	51	76	1490.2
GREAT MEADOW BHU	27	12	444.4
MARCY RMHU	78	58	743.6
SULLIVAN BHU	23	12	521.7
SUB-TOTAL	199	171	859.3
TOTAL MAXIMUM SECURITY	24,675	3,905	158.3

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**TABLE 3.1
NUMBER AND RATE OF UNUSUAL INCIDENTS BY SECURITY LEVEL
JANUARY - DECEMBER 2012**

CORRECTIONAL FACILITY	AVERAGE INMATE POPULATION 2012	UNUSUAL INCIDENTS	RATE PER 1,000 INMATES
MEDIUM SECURITY			
ADIRONDACK	382	16	41.9
ALBION-F	870	54	62.1
ALTONA	453	25	55.2
BARE HILL	1,647	138	83.8
BAYVIEW-F	140	10	71.4
BUTLER ASACTC	213	4	18.8
CAPE VINCENT	859	48	55.9
CAYUGA	861	23	26.7
CHATEAUGAY	219	13	59.4
COLLINS	885	70	79.1
FISHKILL	1,467	144	98.2
FRANKLIN	1,704	120	70.4
GOUVERNEUR	799	38	47.6
GOWANDA	1,490	98	65.8
GREENE	1,599	117	73.2
GROVELAND-M	1,071	98	91.5
HALE CREEK	339	7	20.6
HUDSON	384	20	52.1
LAKEVIEW RECEP	117	9	76.9
LIVINGSTON	844	64	75.8
MARCY	1,092	109	99.8
MID-STATE	1,425	115	80.7
MOHAWK	1,304	90	69.0
MT.MCGREGOR	438	29	66.2
OGDENSBURG	368	22	59.8
ORLEANS	759	88	115.9
OTISVILLE	580	24	41.4
RIVERVIEW	861	31	36.0
TACONIC-F	310	22	71.0
ULSTER	734	46	62.7
WALLKILL	568	26	45.8
WASHINGTON	760	85	111.8
WATERTOWN	557	40	71.8
WOODBOURNE	823	32	38.9
WYOMING	1,664	183	110.0
TOTAL MEDIUM SECURITY	28,586	2,058	72.0

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**TABLE 3.1
NUMBER AND RATE OF UNUSUAL INCIDENTS BY SECURITY LEVEL
JANUARY - DECEMBER 2012**

CORRECTIONAL FACILITY	AVERAGE INMATE POPULATION 2012	UNUSUAL INCIDENTS	RATE PER 1,000 INMATES
SHOCK			
MONTEREY SHOCK	203	8	39.4
MORIAH SHOCK	181	1	5.5
LAKEVIEW SHOCK	564	17	30.1
SUB-TOTAL	948	26	27.4
MINIMUM SECURITY			
BEACON	110	6	54.5
EDGECOMBE	17	8	470.6
LINCOLN	135	22	163.0
QUEENSBORO	291	24	82.5
ROCHESTER	69	24	347.8
SUB-TOTAL	622	84	135.0
OTHER			
CENTRAL OFFICE	0	18	N/A
SUB-TOTAL	0	18	N/A
GRAND TOTAL	54,831	6,091	111.1

**TABLE 3.2
NUMBER OF UNUSUAL INCIDENTS BY SECURITY LEVEL AND FACILITY
JANUARY - DECEMBER 2012**

CORRECTIONAL FACILITY	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	TOTAL
MAXIMUM SECURITY													
ATTICA	20	27	30	32	30	23	15	24	23	15	24	20	283
AUBURN	38	26	22	39	25	35	28	48	25	25	27	26	364
BEDFORD-F	6	5	5	9	9	3	11	6	10	5	5	9	83
CLINTON	16	24	29	23	31	26	43	37	31	26	20	25	331
COXSACKIE	10	8	7	4	6	3	5	6	6	7	12	14	88
DOWNSTATE	27	18	22	14	7	16	16	31	19	11	24	31	236
EASTERN	4	1	9	4	4	7	5	1	6	3	3	3	50
ELMIRA	19	12	26	16	13	24	26	31	20	11	36	7	241
FIVE POINTS	18	19	17	17	12	23	19	28	22	32	17	34	258
GREAT MEADOW	23	21	33	19	31	25	40	41	35	36	30	39	373
GREEN HAVEN	31	13	25	13	11	11	22	21	22	16	19	26	230
SHAWANGUNK	15	10	4	8	6	13	9	4	2	4	4	4	83
SING SING	26	24	26	16	21	28	34	31	16	27	6	15	270
SOUTHPORT	10	9	19	11	10	14	8	9	4	4	11	9	118
SULLIVAN	5	6	5	5	7	6	4	6	9	3	8	5	69
UPSTATE	13	20	11	10	18	14	21	20	22	4	18	10	181
WENDE	19	18	12	17	19	13	24	19	32	12	20	17	222
SUB-TOTAL	300	261	302	257	260	284	330	363	304	241	284	294	3,480
SPECIAL HOUSING 200 BED													
CAYUGA SHU200	1	3	0	1	1	0	3	0	0	0	1	1	11
COLLINS SHU200	1	1	0	1	1	3	5	5	2	6	0	0	25
FISHKILL SHU200	4	1	4	5	4	5	2	8	2	2	5	6	48
GOUVERNEUR SHU200	0	0	1	0	1	1	3	3	1	2	0	1	13
GREENE SHU200	3	0	2	2	0	1	0	0	0	0	2	0	10
LAKEVIEW SHU200	1	3	4	3	0	0	1	3	0	1	0	1	17
MID-STATE SHU200	1	1	1	3	3	2	5	3	0	0	1	1	21
ORLEANS SHU200	0	1	3	1	1	0	2	3	1	0	0	0	12
SUB-TOTAL	11	10	15	16	11	12	21	25	6	11	9	10	157
REGIONAL MEDICAL UNIT (RMU)													
BEDFORD RMU	0	1	0	0	0	0	0	0	0	0	0	0	1
COXSACKIE RMU	1	3	5	1	0	4	0	1	2	0	0	1	18
FISHKILL RMU	0	3	0	1	0	0	0	2	1	1	0	3	11
WALSH RMU-MALE	3	4	3	4	2	3	3	6	3	4	2	5	42
WENDE RMU	0	0	1	1	5	1	2	3	2	4	3	3	25
SUB-TOTAL	4	11	9	7	7	8	5	12	8	9	5	12	97
RESIDENTIAL MENTAL HEALTH UNIT													
ATTICA RMHU	0	0	0	0	0	0	0	0	3	2	0	0	5
BEDFORD TBU	1	2	0	1	2	0	1	1	0	0	0	0	8
FIVE POINTS RMHU	2	7	7	6	6	10	14	2	5	6	7	4	76
GREAT MEADOW BHU	1	0	3	4	2	2	0	0	0	0	0	0	12
MARCY RMHU	6	0	12	7	3	0	8	6	6	4	1	5	58
SULLIVAN BHU	3	2	0	3	0	0	2	1	0	0	1	0	12
SUB-TOTAL	13	11	22	21	13	12	25	10	14	12	9	9	171
TOTAL MAXIMUM SECURITY	328	293	348	301	291	316	381	410	332	273	307	325	3,905
Column Percent	71.0%	64.5%	67.3%	66.4%	64.0%	62.5%	62.4%	66.3%	64.5%	55.8%	63.4%	61.7%	64.1%

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**TABLE 3.2
NUMBER OF UNUSUAL INCIDENTS BY SECURITY LEVEL AND FACILITY
JANUARY - DECEMBER 2012**

CORRECTIONAL FACILITY	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	TOTAL
MEDIUM SECURITY													
ADIRONDACK	1	1	2	0	1	0	1	2	2	1	4	1	16
ALBION	3	5	1	3	5	4	9	6	3	5	4	6	54
ALTONA	4	2	2	2	0	4	1	1	2	3	0	4	25
BARE HILL	7	16	10	11	8	13	9	15	12	15	8	14	138
BAYVIEW	1	1	1	1	0	2	2	0	1	1	0	0	10
BUTLER	1	2	0	0	0	0	1	0	0	0	0	0	4
CAPE VINCENT	5	5	2	4	3	3	9	3	4	4	3	3	48
CAYUGA	1	1	2	1	2	0	0	8	1	3	2	2	23
CHATEAUGAY	2	0	0	1	0	0	1	3	1	0	3	2	13
COLLINS	4	4	4	2	5	6	9	15	5	5	8	3	70
FISHKILL	7	10	18	7	11	11	9	11	13	16	18	13	144
FRANKLIN	6	7	8	7	14	13	11	18	8	11	6	11	120
GOUVERNEUR	2	3	1	3	6	3	6	1	0	3	2	8	38
GOWANDA	7	9	5	8	10	6	9	15	10	10	3	6	98
GREENE	2	6	11	11	11	7	4	10	14	19	7	15	117
GROVELAND	4	6	5	8	9	6	7	14	8	6	20	5	98
HALE CREEK	0	0	0	1	0	0	3	1	1	0	0	1	7
HUDSON	1	4	0	3	2	1	2	0	1	1	0	5	20
LAKEVIEW	0	2	1	0	0	4	0	0	1	1	0	0	9
LIVINGSTON	4	3	5	2	3	6	6	3	4	7	2	19	64
MARCY	13	7	16	6	6	8	7	8	11	9	7	11	109
MIDSTATE	8	16	11	6	3	11	9	7	9	7	10	18	115
MOHAWK	4	4	6	9	4	9	11	10	9	10	6	8	90
MT. MCGREGOR	4	1	2	3	5	0	4	1	3	3	3	0	29
OGDENSBURG	5	0	0	0	0	3	1	1	1	7	3	1	22
ORLEANS	2	3	5	4	8	11	18	5	9	11	5	7	88
OTISVILLE	0	1	0	0	3	9	2	0	5	0	3	1	24
RIVERVIEW	0	3	4	1	1	2	4	4	0	3	6	3	31
TACONIC	3	1	5	3	1	1	1	0	1	2	2	2	22
ULSTER	2	4	8	5	4	3	2	5	6	1	4	2	46
WALLKILL	1	3	3	1	1	1	2	1	0	3	7	3	26
WASHINGTON	6	10	5	9	3	9	15	4	8	10	3	3	85
WATERTOWN	1	5	1	5	1	3	2	5	3	6	3	5	40
WOODBOURNE	2	4	3	3	1	1	7	5	2	0	3	1	32
WYOMING	10	7	5	19	18	18	27	13	19	19	16	12	183
TOTAL MEDIUM SECURITY	123	156	152	149	149	178	211	195	177	202	171	195	2,058
Column Percent	26.6%	34.4%	29.4%	32.9%	32.7%	35.2%	34.5%	31.6%	34.4%	41.3%	35.3%	37.0%	33.8%
SHOCK													
MONTEREY SHOCK	1	1	0	0	3	0	0	1	1	0	1	0	8
MORIAH SHOCK	1	0	0	0	0	0	0	0	0	0	0	0	1
LAKEVIEW SHOCK	0	2	2	1	1	1	1	4	0	3	1	1	17
SUB-TOTAL	2	3	2	1	4	1	1	5	1	3	2	1	26
Column Percent	0.4%	0.7%	0.4%	0.2%	0.9%	0.2%	0.2%	0.8%	0.2%	0.6%	0.4%	0.2%	0.4%
MINIMUM SECURITY													
BEACON	0	1	1	0	0	0	3	0	0	0	0	1	6
EDGECOMBE	1	0	1	0	0	0	1	0	0	4	1	0	8
LINCOLN	0	1	2	1	1	5	3	3	0	2	2	2	22
QUEENSBORO	4	0	3	0	3	2	4	2	1	1	1	3	24
ROCHESTER	2	0	3	1	4	3	5	2	1	3	0	0	24
SUB-TOTAL	7	2	10	2	8	10	16	7	2	10	4	6	84
Column Percent	1.5%	0.4%	1.9%	0.4%	1.8%	2.0%	2.6%	1.1%	0.4%	2.0%	0.8%	1.1%	1.4%
OTHER													
CENTRAL OFFICE	2	0	5	0	3	1	2	1	3	1	0	0	18
SUB-TOTAL	2	0	5	0	3	1	2	1	3	1	0	0	18
Column Percent	0	0.0%	1.0%	0.0%	0.7%	0.2%	0.3%	0.2%	0.6%	0.2%	0.0%	0.0%	0.3%
GRAND TOTAL	462	454	517	453	455	506	611	618	515	489	484	527	6,091
Column Percent	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%

Section Four

INMATE WEAPON USE

Tables 4.1 and 4.2 show the most dangerous weapons used by inmates in unusual incidents. The count of weapons includes only the most dangerous weapon used in an incident and is not a count of all weapons in an incident. For example, in an inmate assault on inmate unusual incident, when two inmates with shanks (prison-made knives) attack another inmate who defends himself with a broom handle, a shank would be counted as the most serious weapon used in the assault incident.

In 2012, there were no weapons used in 73% of unusual incidents. Of the remaining 27% of unusual incidents, the use of body (13%) was the largest category of weapon use, followed by cut or stab weapons (9%), and then garrotes (2%).

Smaller weapon use categories, each less than 2% of weapons used, included clubs (N=47), chair (N=9), human waste or body fluid (N=32), other weapons (N=90), and unknown (N=43) (see Table 4.1). Incidents involving the "other" weapon category included weapons such as chemical or caustic agents, water or other fluids, and miscellaneous other weapons.

The Unusual Incident reporting system categorizes unknown weapons as "unrecovered cutting or stabbing weapon" if injuries were consistent with use of those types of weapons. Table 4.1 shows a large number of unknown weapons are present in incidents involving cut or stab weapons, such as unrecovered cutting weapons (N=294) and unrecovered stabbing weapons (N=34).

Inmate weapon use is concentrated within a few unusual incident categories including: inmate assault on inmate, inmate assault on staff, self-injury, disruptive behavior, suicide attempts and self-inflicted injury. Inmate assaults on other inmates usually involved cut/stab weapons (N=411 or 63%) (see Table 4.2).

In inmate assault on staff incidents, inmates most often used their body (N=433 or 83%) to hit, kick, or bite correctional staff. Inmates used body fluid or human waste in 5% of assaults on staff (N=28). Use of 'other' weapons in assaults on staff (N=41 or 8%) included chemicals or caustic agents, water or other fluids, onto correction officers or other staff.

**TABLE 4.1
INMATE WEAPON USE BY MONTH OF INCIDENT
JANUARY - DECEMBER 2012**

TYPE OF WEAPON	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	TOTAL
NO WEAPON USED													
NO WEAPON USED	341	349	384	334	338	376	427	447	387	350	350	371	4,454
SUB-TOTAL	341	349	384	334	338	376	427	447	387	350	350	371	4,454
Column Pct.	73.8%	76.9%	74.3%	73.7%	74.3%	74.3%	69.9%	72.3%	75.1%	71.6%	72.3%	70.4%	73.1%
USE OF BODY													
FIST KICK BITE ETC	49	38	78	57	59	59	98	76	60	63	61	68	766
SUB-TOTAL	49	38	78	57	59	59	98	76	60	63	61	68	766
Column Pct.	10.6%	8.4%	15.1%	12.6%	13.0%	11.7%	16.0%	12.3%	11.7%	12.9%	12.6%	12.9%	12.6%
CUT OR STAB INSTRUMENT													
BROKEN GLASS	0	1	0	0	0	0	2	2	1	0	0	0	6
EATING UTENSIL	0	0	0	1	0	0	0	1	0	0	1	0	3
KNIFE MANUFACTURED	0	0	0	0	0	0	0	0	1	0	0	0	1
PEN OR PENCIL	2	0	1	3	2	0	0	1	1	1	0	3	14
RAZOR BLADE	12	6	6	9	7	6	11	16	4	2	9	8	96
ICE PICK TYPE	1	0	1	0	0	0	0	2	1	1	0	2	8
SHANK	6	4	4	4	6	4	4	5	3	1	4	1	46
CAN LID	1	1	0	4	1	3	1	4	4	2	8	2	31
TOOTHBRUSH	0	0	0	0	0	0	1	0	0	0	0	1	2
UNRECOVERED CUTTING WEAPON	26	28	24	18	11	25	33	27	23	29	26	24	294
UNRECOVERED STABBING WEAPON	1	7	4	2	4	4	2	1	0	3	3	3	34
SUB-TOTAL	49	47	40	41	31	42	54	59	38	39	51	44	535
Column Pct.	10.6%	10.4%	7.7%	9.1%	6.8%	8.3%	8.8%	9.5%	7.4%	8.0%	10.5%	8.3%	8.8%
CLUBS													
WEIGHTED CONTAINER	2	0	1	1	2	1	2	0	3	4	2	3	21
OTHER CLUB	0	0	0	0	2	1	0	2	0	0	0	0	5
WOODEN CLUB	0	2	0	0	2	2	2	3	0	3	0	4	18
KITCHEN TRAY	0	1	0	0	0	0	1	0	1	0	0	0	3
SUB-TOTAL	2	3	1	1	6	4	5	5	4	7	2	7	47
Column Pct.	0.4%	0.7%	0.2%	0.2%	1.3%	0.8%	0.8%	0.8%	0.8%	1.4%	0.4%	1.3%	0.8%
METAL OBJECTS													
CHAIR	1	0	0	0	2	1	0	0	2	0	0	3	9
SUB-TOTAL	1	0	0	0	2	1	0	0	2	0	0	3	9
Column Pct.	0.2%	0.0%	0.0%	0.0%	0.4%	0.2%	0.0%	0.0%	0.4%	0.0%	0.0%	0.6%	0.1%
HUMAN WASTE/BODY FLUID													
HUMAN WASTE	1	1	0	0	0	2	5	2	2	1	5	1	20
BODY FLUID	0	0	0	1	0	1	2	2	0	2	1	3	12
SUB-TOTAL	1	1	0	1	0	3	7	4	2	3	6	4	32
Column Pct.	0.2%	0.2%	0.0%	0.2%	0.0%	0.6%	1.1%	0.6%	0.4%	0.6%	1.2%	0.8%	0.5%
GARROTTE													
GARROTTE	15	9	7	5	10	9	12	10	6	14	6	12	115
SUB-TOTAL	15	9	7	5	10	9	12	10	6	14	6	12	115
Column Pct.	3.2%	2.0%	1.4%	1.1%	2.2%	1.8%	2.0%	1.6%	1.2%	2.9%	1.2%	2.3%	1.9%
OTHER WEAPON													
WATER OR OTHER FLUIDS	1	0	2	2	3	5	0	3	4	2	1	3	26
CHEMICALS/CAUSTIC AGENTS	0	0	0	0	0	0	1	0	0	0	0	0	1
MISC. OTHER	3	5	1	8	3	3	3	8	7	7	4	11	63
SUB-TOTAL	4	5	3	10	6	8	4	11	11	9	5	14	90
Column Pct.	0.9%	1.1%	0.6%	2.2%	1.3%	1.6%	0.7%	1.8%	2.1%	1.8%	1.0%	2.7%	1.5%
UNKNOWN													
UNKNOWN	0	2	4	4	3	4	4	6	5	4	3	4	43
SUB-TOTAL	0	2	4	4	3	4	4	6	5	4	3	4	43
Column Pct.	0.0%	0.4%	0.8%	0.9%	0.7%	0.8%	0.7%	1.0%	1.0%	0.8%	0.6%	0.8%	0.7%
GRAND TOTAL	462	454	517	453	455	506	611	618	515	489	484	527	6,091
Percent	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%

**TABLE 4.2
INCIDENT CATEGORY BY INMATE WEAPON USE
JANUARY - DECEMBER 2012**

INCIDENT CATEGORY	NO WEAPON USED	USE OF BODY	CUT/STAB WEAPON	CLUB	METAL OBJECT	HUMAN WASTE/ BODY FLUID	GARROTTE	OTHER UNKNOWN	UNKNOWN	TOTAL
ACCIDENT Row Pct.	534 100.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	534 100.0%
ASSAULT ON INMATE Row Pct.	30 4.6%	105 16.1%	411 63.0%	33 5.1%	9 1.4%	0 0.0%	0 0.0%	33 5.1%	31 4.8%	652 100.0%
ASSAULT ON STAFF Row Pct.	11 2.1%	433 82.6%	5 1.0%	6 1.1%	0 0.0%	28 5.3%	0 0.0%	41 7.8%	0 0.0%	524 100.0%
ASSAULT ON OTHER Row Pct.	0 0.0%	3 75.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	1 25.0%	0 0.0%	4 100.0%
CONTRABAND Row Pct.	2,531 99.4%	1 0.0%	10 0.4%	3 0.1%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	2545 100.0%
DEATH Row Pct.	109 88.6%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	12 9.8%	2 1.6%	0 0.0%	123 100.0%
DISRUPTIVE BEHAVIOR Row Pct.	238 50.9%	218 46.6%	5 1.1%	5 1.1%	0 0.0%	1 0.2%	0 0.0%	1 0.2%	0 0.0%	468 100.0%
UTILITIES DISRUPTION Row Pct.	7 100.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	7 100.0%
EMPLOYEE MISCONDUCT Row Pct.	140 100.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	140 100.0%
ESCAPE Row Pct.	1 100.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	1 100.0%
ATTEMPTED ESCAPE Row Pct.	1 50.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	1 50.0%	0 0.0%	2 100.0%
FIRE Row Pct.	22 100.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	22 100.0%
PROPERTY DESTRUCTION Row Pct.	13 92.9%	1 7.1%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	14 100.0%
PROPERTY LOST OR STOLEN Row Pct.	76 100.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	76 100.0%
SELF-INFLICTED INJURY Row Pct.	17 14.9%	2 1.8%	84 73.7%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	3 2.6%	8 7.0%	114 100.0%
SEXUAL MISCONDUCT Row Pct.	52 98.1%	1 1.9%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	53 100.0%
SUICIDE ATTEMPT Row Pct.	12 8.3%	0 0.0%	20 13.8%	0 0.0%	0 0.0%	0 0.0%	103 71.0%	6 4.1%	4 2.8%	145 100.0%
TEMPORARY RELEASE Row Pct.	36 100.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	36 100.0%
STAFF WEAPON USE Row Pct.	142 99.3%	1 0.7%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	143 100.0%
EMPLOYEE JOB ACTION Row Pct.	1 100.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	1 100.0%
OTHER INCIDENTS Row Pct.	481 98.8%	1 0.2%	0 0.0%	0 0.0%	0 0.0%	3 0.6%	0 0.0%	2 0.4%	0 0.0%	487 100.0%
GRAND TOTAL Percent	4,454 73.1%	766 12.6%	535 8.8%	47 0.8%	9 0.1%	32 0.5%	115 1.9%	90 1.5%	43 0.7%	6,091 100.0%

Section Five

INMATE ROLE IN UNUSUAL INCIDENTS

Table 5.1 shows the role of inmates according to type of unusual incident. Inmate roles are divided into five categories: perpetrator, participant, suspect, victim, or witness. "Perpetrator" refers to the inmate who is responsible for the unusual incident (i.e., the individual who assaulted another person, started a fire, possessed contraband, or escaped from a facility). The "participant" role describes an inmate who is involved in an unusual incident but in a secondary capacity when compared to the perpetrator. An example would be an inmate who engages in a work stoppage but only after instigation by other inmates. "Suspect" refers to an inmate who is suspected of involvement in an incident but whose role will be determined after additional investigation. "Victim" refers to an inmate who has suffered an injury or loss due to the actions of other inmates, i.e., someone who has been assaulted or suffered smoke inhalation from a fire. The "witness/bystander" role describes an inmate who observed an unusual incident, or may have been present during an unusual incident but was not actively involved in the incident.

Table 5.2 shows the distribution of inmate roles by month for calendar year 2012.

A total of 7,695 inmates were involved in unusual incidents in 2012. Specifically, there were 5,057 (66%) perpetrators; 160 (2%) participants; 1,281 (17%) victims; 341 (4%) suspects; and 856 (11%) witnesses or bystanders. It should be noted that reporting system procedures enable an inmate's involvement to be counted several times depending upon the number of unusual incident reports filed as a result of a series of events. For example, if an inmate uses a knife in an assault of another inmate, the event may be reported in two categories - one for the assault incident, another for the inmate's possession of a weapon (contraband). While both unusual incidents are part of the same situation, the inmate would be listed as a perpetrator twice.

**TABLE 5.1
UNUSUAL INCIDENT BY INMATE ROLE
JANUARY - DECEMBER 2012**

ROLE IN INCIDENT												
INCIDENT TYPE	PERPETRATOR		PARTICIPANT		SUSPECT		VICTIM		WITNESS or BYSTANDER		TOTAL	
	No.	Col. %	No.	Col. %	No.	Col. %	No.	Col. %	No.	Col. %	No.	Col. %
ACCIDENT	9	0.2%	20	12.5%	1	0.3%	434	33.9%	46	5.4%	510	6.6%
ASSAULT ON INMATE	568	11.2%	40	25.0%	51	15.0%	636	49.6%	30	3.5%	1,325	17.2%
ASSAULT ON STAFF	553	10.9%	15	9.4%	0	0.0%	1	0.1%	31	3.6%	600	7.8%
ASSAULT ON OTHER	4	0.1%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	4	0.1%
CONTRABAND	2,295	45.4%	52	32.5%	235	68.9%	9	0.7%	302	35.3%	2,893	37.6%
DEATH	1	0.0%	0	0.0%	0	0.0%	116	9.1%	39	4.6%	156	2.0%
DISRUPTIVE BEHAVIOR	950	18.8%	12	8.0%	8	2.3%	6	0.5%	10	1.2%	986	12.8%
EMPLOYEE MISCONDUCT	0	0.0%	1	0.6%	1	0.3%	1	0.1%	2	0.2%	5	0.1%
ESCAPE	1	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	1	0.0%
ATTEMPTED ESCAPE	2	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	2	0.0%
FIRE	8	0.2%	0	0.0%	1	0.3%	19	1.5%	27	3.2%	55	0.7%
PROPERTY DESTROYED	9	0.2%	0	0.0%	0	0.0%	0	0.0%	4	0.5%	13	0.2%
PROPERTY LOST OR	2	0.0%	3	1.9%	36	10.6%	0	0.0%	26	3.0%	67	0.9%
SELF-INFLICTED INJURY	114	2.3%	0	0.0%	0	0.0%	0	0.0%	7	0.8%	121	1.6%
SEXUAL MISCONDUCT	73	1.4%	2	1.3%	0	0.0%	6	0.5%	1	0.1%	82	1.1%
SUICIDE ATTEMPT	141	2.8%	0	0.0%	0	0.0%	4	0.3%	15	1.8%	160	2.1%
TEMP RELEASE RELATED	36	0.7%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	36	0.5%
STAFF USE OF WEAPONS	178	3.5%	1	0.6%	0	0.0%	0	0.0%	8	0.9%	187	2.4%
OTHER INCIDENTS	113	2.2%	14	8.8%	8	2.3%	49	3.8%	308	36.0%	492	6.4%
TOTAL	5,057	100.0%	160	100.0%	341	100.0%	1,281	100.0%	856	100.0%	7,695	100.0%

**TABLE 5.2
INMATE ROLE BY MONTH OF INCIDENT
JANUARY - DECEMBER 2012**

ROLE	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	TOTAL
PERPETRATOR	352	353	450	365	366	429	501	485	389	476	439	452	5,057
Column Pct.	62.4%	63.7%	68.6%	62.5%	63.0%	64.1%	68.4%	63.9%	65.4%	68.4%	69.9%	66.8%	65.7%
PARTICIPANT	28	5	9	16	15	10	12	21	9	6	15	14	160
Column Pct.	5.0%	0.9%	1.4%	2.7%	2.6%	1.5%	1.6%	2.8%	1.5%	0.9%	2.4%	2.1%	2.1%
SUSPECT	22	23	32	47	32	21	33	28	33	20	23	27	341
Column Pct.	3.9%	4.2%	4.9%	8.0%	5.5%	3.1%	4.5%	3.7%	5.5%	2.9%	3.7%	4.0%	4.4%
VICTIM	87	89	85	97	111	121	125	142	104	112	97	111	1,281
Column Pct.	15.4%	16.1%	13.0%	16.6%	19.1%	18.1%	17.1%	18.7%	17.5%	16.1%	15.4%	16.4%	16.6%
BYSTANDER	75	84	80	59	57	88	61	83	60	82	54	73	856
Column Pct.	13.3%	15.2%	12.2%	10.1%	9.8%	13.2%	8.3%	10.9%	10.1%	11.8%	8.6%	10.8%	11.1%
TOTAL	564	554	656	584	581	669	732	759	595	696	628	677	7,695
Column Pct.	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%

Section Six

INMATE DEATHS

Cause of inmate death for the 115 inmates who died in the legal custody of DOCCS is shown in Table 6. The total differs from other statistical tables in this report because Table 6 reflects all inmate deaths in **legal** custody of DOCCS and inmates given permission to leave DOCCS facilities for work release, day reporting, or furlough. The table does not include deaths of 7 correctional staff or the death of 1 offender not in DOCCS legal custody.

An unusual incident report is recorded immediately upon discovery of a death, but the reporting officer may not know the cause of death. Further investigation by DOCCS Health Services' staff and local medical authorities is required to determine the final official cause of death. Table 6 reflects information known at the time this report was written.

The initial cause for 78% or 90 inmate deaths was reported as natural causes. There were also fourteen deaths due to suicide (12%), four due to drug overdose (4%), three due to AIDS (3%), two homicides (2%), and two accidental deaths (2%).

TABLE 6 INMATE DEATHS: MONTH BY INITIAL CAUSE OF DEATH JANUARY - DECEMBER 2012							
CAUSE OF DEATH							
MONTH OF DEATH	NATURAL CAUSES	AIDS	SUICIDE	HOMICIDE	DRUG OVERDOSE	ACCIDENT	TOTAL
JANUARY	6	0	1	0	0	0	7
FEBRUARY	7	0	0	0	0	0	7
MARCH	8	0	2	0	2	0	12
APRIL	7	1	0	0	0	0	8
MAY	7	0	2	0	0	0	9
JUNE	6	0	2	0	1	2	11
JULY	6	1	2	0	0	0	9
AUGUST	16	0	2	0	0	0	18
SEPTEMBER	11	0	0	0	1	0	12
OCTOBER	6	1	2	1	0	0	10
NOVEMBER	5	0	1	0	0	0	6
DECEMBER	5	0	0	1	0	0	6
TOTAL	90	3	14	2	4	2	115
Row Percent	78.3%	2.6%	12.2%	1.7%	3.5%	1.7%	100.0%

An Unusual Incident Report was filed on an inmate who was transferred to CNYPC and was in the custody of CNYPC at the time of death and is not included in this table.

Section Seven

LOCATION OF UNUSUAL INCIDENTS

The ability to identify unusual incidents which occurred in specific and general locations has been refined to more accurately report specialized housing locations such as RMHU, BHU, TBU, ICP, and SHU in the 2012 report year (see Appendix B, page 43).

The location of unusual incidents and the incident type are shown in Table 7.1. Locations are categorized into thirteen primary areas. Most incidents (N=1,959 or 32%) occurred in housing unit locations which include general confinement housing, single and double cells, galleries, day room areas, showers, and bathroom areas. The second most common incident area was the prison yard (N=688 or 11%). The general confinement prison yard is an open area inside the facility perimeter that inmates use for recreation and exercise. Unusual incidents occurring in yard areas most often occur in general confinement yard locations and represent nearly all incidents occurring in yard areas (N=681 or 99%). In addition, the Special Housing Unit (SHU) and Keeplock Yard areas are restricted to single occupant use in all but four facilities (Green Haven, Bedford Hills, Sing Sing and Fishkill) which significantly reduces the frequency of unusual incident behavior, as shown in Table 7.2. Following housing units and yard areas, unusual incidents were most likely to occur in Special Housing Units (N=653 or 11%).

The incident types most often occurring in the housing areas were contraband (N=1,057), inmate assaults on inmate (N=241), inmate assaults on staff (N=175), and disruptive behaviors (N=163). Incidents occurring in the yard most often included inmate assaults on inmate (N=232), contraband (N=204), and accidents (N=159).

The fewest reported incidents occurred in the mess hall (N=102 or 2%), shop or classroom areas (N=118 or 2%), and assembly or gym areas (138 or 2%). Most unusual incidents occurred within the facility (N=5,746 or 94%). Unusual incidents occurring outside of the facility (N=345 or 6%) were made up by those in unspecified areas, at outside hospital, or in the parking lot or outside perimeter areas.

**TABLE 7.1
UNUSUAL INCIDENT TYPE BY LOCATION
JANUARY - DECEMBER 2012**

INCIDENT TYPE	INCIDENT LOCATION													TOTAL	Column Percent
	HOUSING AREA	SPECIAL HOUSING	MENTAL HEALTH UNIT*	HOSPITAL	MESS HALL	YARD	SHOP OR CLASS	ASSEMBLY OR GYM	WALKWAY OR CORRIDOR	VISIT PROCESS	ADMIN AREAS	OUTSIDE FACILITY	OTHER		
ACCIDENT	103	13	4	19	13	159	22	81	33	4	10	44	29	534	8.8%
ASSAULT ON INMATE	241	13	4	5	15	232	6	10	103	3	3	1	16	652	10.7%
ASSAULT ON STAFF	175	90	49	31	13	14	20	5	77	9	13	6	22	524	8.6%
ASSAULT ON OTHER	0	0	0	0	0	0	0	0	0	3	0	0	1	4	0.1%
CONTRABAND	1,057	261	41	95	37	204	50	21	208	202	151	34	184	2,545	41.8%
DEATH	29	3	1	37	0	1	0	0	0	0	0	51	1	123	2.0%
DISRUPTIVE BEHAVIOR	163	105	60	15	14	55	7	14	19	0	0	2	14	468	7.7%
DISRUPTION OF FACILITY	1	0	0	0	0	0	0	0	1	0	0	3	2	7	0.1%
EMPLOYEE MISCONDUCT	1	0	1	1	0	0	1	0	1	3	5	116	11	140	2.3%
ESCAPE	0	0	0	0	0	0	0	0	0	0	0	1	0	1	0.0%
ATTEMPTED ESCAPE	0	0	1	0	0	0	0	0	0	0	0	1	0	2	0.0%
FIRE	16	2	1	0	0	0	0	0	0	0	0	3	0	22	0.4%
PROPERTY DESTROYED	2	3	0	1	0	0	1	0	2	0	0	5	0	14	0.2%
PROPERTY LOST OR STOLEN	0	3	4	4	1	0	5	0	2	2	10	27	18	76	1.2%
SELF-INFLICTED INJURY	45	32	27	6	0	0	0	0	1	0	0	1	2	114	1.9%
SEXUAL MISCONDUCT	19	4	0	1	1	0	1	4	0	19	0	0	4	53	0.9%
SUICIDE ATTEMPT	35	59	43	8	0	0	0	0	0	0	0	0	0	145	2.4%
TEMP RELEASE RELATED	0	0	0	0	0	0	0	0	0	0	0	35	1	36	0.6%
STAFF USE OF WEAPONS	23	45	22	3	7	20	2	3	7	0	4	2	5	143	2.3%
EMPLOYEE JOB ACTION	0	0	0	0	0	0	0	0	0	0	0	0	1	1	0.0%
OTHER INCIDENTS	49	20	23	50	1	3	3	0	7	177	123	13	18	487	8.0%
TOTAL	1,959	653	281	276	102	688	118	138	461	422	319	345	329	6,091	100.0%
Row Percent	32.2%	10.7%	4.6%	4.5%	1.7%	11.3%	1.9%	2.3%	7.6%	6.9%	5.2%	5.7%	5.4%	100.0%	

* Mental Health Unit includes: Residential Mental Health Unit (RMHU), Behavioral Health Unit (BHU), Therapeutic Behavioral Unit (TBU), Intermediate Care Program (ICP) and OMH Residential Crisis Treatment Program Units (RCTP, also refers to OMH Satellite Units) see Appendix C for a brief description of these units.

**TABLE 7.2
UNUSUAL INCIDENT LOCATION BY MONTH
JANUARY - DECEMBER 2012**

INCIDENT LOCATION	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	TOTAL
HOUSING UNIT													
CELL	54	57	70	47	47	54	73	67	57	47	62	45	680
DORMITORY	25	38	28	38	33	42	31	47	39	58	46	51	476
GALLERY	13	17	29	15	12	23	27	20	14	15	25	13	223
SHOWER	7	10	5	6	8	7	6	8	4	3	7	8	79
TOILET AREA	8	7	9	5	12	17	17	8	8	17	12	18	138
TV OR DAY ROOM AREA	8	8	9	13	9	8	9	10	17	14	3	16	124
CELL BLOCK	19	17	7	16	7	17	12	21	10	12	14	12	164
DOUBLE-CELL	2	3	3	3	6	6	7	14	10	4	4	6	68
SLOP SINK	0	1	2	0	1	1	0	0	0	0	0	2	7
SUB-TOTAL	136	158	162	143	135	175	182	195	159	170	173	171	1,959
Column Pct.	29.4%	34.8%	31.3%	31.6%	29.7%	34.6%	29.8%	31.6%	30.9%	34.8%	35.7%	32.4%	32.2%
SPECIAL HOUSING UNIT													
GALLERY	0	2	0	0	0	0	0	0	0	0	1	0	3
SHU	52	30	50	30	32	44	49	79	40	32	48	24	510
SHU-PROT CUSTODY	1	0	0	0	0	0	1	0	0	2	1	1	6
SHU FRISK ROOM	1	4	10	5	0	4	12	4	4	5	5	3	57
SHU OTHER	6	4	4	2	6	8	6	4	12	8	10	4	74
SHU SHOWER	0	0	1	0	0	0	0	0	1	0	1	0	3
SUB-TOTAL	60	40	65	37	38	56	68	87	57	47	66	32	653
Column Pct.	13.0%	8.8%	12.6%	8.2%	8.4%	11.1%	11.1%	14.1%	11.1%	9.6%	13.6%	6.1%	10.7%
MENTAL HEALTH UNITS													
GALLERY	3	1	1	3	2	1	6	1	0	0	1	2	21
RMHTU*	9	19	22	17	20	12	22	15	19	14	15	12	196
RCTP CELL**	0	1	4	5	6	4	8	7	7	1	5	11	59
RCTP DORM	0	0	0	0	0	1	1	0	0	2	1	0	5
SUB-TOTAL	12	21	27	25	28	18	37	23	26	17	22	25	281
Column Pct.	2.6%	4.6%	5.2%	5.5%	6.2%	3.6%	6.1%	3.7%	5.0%	3.5%	4.5%	4.7%	4.6%
HOSPITAL													
INFIRMARY HOSPITAL	24	23	25	25	16	29	21	40	24	16	12	21	276
SUB-TOTAL	24	23	25	25	16	29	21	40	24	16	12	21	276
Column Pct.	5.2%	5.1%	4.8%	5.5%	3.5%	5.7%	3.4%	6.5%	4.7%	3.3%	2.5%	4.0%	4.5%
MESS HALL													
KITCHEN	3	2	4	3	2	2	2	6	4	1	3	1	33
MESS HALL IN/LINE	6	9	5	11	1	6	4	8	4	0	8	7	69
SUB-TOTAL	9	11	9	14	3	8	6	14	8	1	11	8	102
Column Pct.	1.9%	2.4%	1.7%	3.1%	.7%	1.6%	1.0%	2.3%	1.6%	.2%	2.3%	1.5%	1.7%
YARD													
YARD	24	39	45	52	68	53	97	88	73	53	46	43	681
SHU YARD	0	0	1	0	0	1	0	2	0	0	0	1	5
KEEPLOCK YARD	0	0	0	0	0	0	0	1	1	0	0	0	2
SUB-TOTAL	24	39	46	52	68	54	97	91	74	53	46	44	688
Column Pct.	5.2%	8.6%	8.9%	11.5%	14.9%	10.7%	15.9%	14.7%	14.4%	10.8%	9.5%	8.3%	11.3%

* **RMHTU** (Residential Mental Health Treatment Unit) includes: Residential Mental Health Unit (RMHU), Behavioral Health Unit (BHU), Therapeutic Behavioral Health Unit (TBU) and Intermediate Care Program (ICP) (see Appendix C).

** **RCTP** = OMH Residential Crisis Treatment Program Cell. Also, referred to as OMH Satellite Unit (see Appendix C).

(continued on the next page)

**TABLE 7.2
UNUSUAL INCIDENT LOCATION BY MONTH
JANUARY - DECEMBER 2012**

INCIDENT LOCATION	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	TOTAL
SHOP or CLASSROOM													
CLASSROOM OR SCHOOL	4	6	5	4	2	5	8	4	1	4	7	9	59
INDUSTRIES	2	0	4	1	2	4	1	3	4	5	0	0	26
MAINTENANCE	0	0	0	0	0	2	2	1	1	1	0	5	12
VOCATION EDUCATION	2	1	2	2	1	0	1	2	3	0	2	5	21
SUB-TOTAL	8	7	11	7	5	11	12	10	9	10	9	19	118
Column Pct.	1.7%	1.5%	2.1%	1.5%	1.1%	2.2%	2.0%	1.6%	1.7%	2.0%	1.9%	3.6%	1.9%
ASSEMBLY OR GYM													
AUDITORIUM	0	0	0	0	1	0	0	0	0	0	0	0	1
GYMNASIUM	17	12	10	11	7	7	10	8	4	7	16	28	137
SUB-TOTAL	17	12	10	11	8	7	10	8	4	7	16	28	138
Column Pct.	3.7%	2.6%	1.9%	2.4%	1.8%	1.4%	1.6%	1.3%	.8%	1.4%	3.3%	5.3%	2.3%
WALKWAY OR CORRIDOR													
CORRIDOR	21	14	16	21	26	21	23	25	17	25	18	25	252
STAIRWAY	11	5	8	9	7	3	4	9	5	7	8	7	83
WALKWAY	12	7	11	7	1	11	8	9	12	15	15	18	126
SUB-TOTAL	44	26	35	37	34	35	35	43	34	47	41	50	461
Column Pct.	9.5%	5.7%	6.8%	8.2%	7.5%	6.9%	5.7%	7.0%	6.6%	9.6%	8.5%	9.5%	7.6%
VISIT PROCESS													
PACKAGE ROOM	2	14	9	9	12	11	9	1	9	6	6	11	99
VISITING ROOM	12	12	13	8	8	9	8	10	11	6	5	8	110
VISTOR PROCESS AREA	25	19	14	8	11	10	22	16	26	21	19	22	213
SUB-TOTAL	39	45	36	25	31	30	39	27	46	33	30	41	422
Column Pct.	8.4%	9.9%	7.0%	5.5%	6.8%	5.9%	6.4%	4.4%	8.9%	6.7%	6.2%	7.8%	6.9%
ADMINISTRATION AREAS													
ADMIN BUILDING	10	19	15	20	20	18	15	16	12	20	17	17	199
FRONT GATE	9	5	13	7	2	0	1	5	3	5	1	1	52
LOBBY	3	5	4	3	4	5	7	7	6	4	3	17	68
SUB-TOTAL	22	29	32	30	26	23	23	28	21	29	21	35	319
Column Pct.	4.8%	6.4%	6.2%	6.6%	5.7%	4.5%	3.8%	4.5%	4.1%	5.9%	4.3%	6.6%	5.2%
OUTSIDE FACILITY													
FARM	0	0	0	1	0	0	0	0	1	0	0	0	2
IN TRANSIT	0	0	0	0	0	1	1	0	0	0	1	0	3
COURT TRIP	0	0	1	1	0	0	2	0	0	2	1	1	8
OUTSIDE HOSPITAL	5	7	3	6	3	6	7	9	7	8	5	4	70
OUTSIDE TEMP RELEASE	0	2	3	2	3	8	5	5	0	5	1	3	37
OUTSIDE UNSPECIFIED	23	10	17	12	14	17	24	18	15	9	7	8	174
PARKING LOT/PERIMETER	5	0	9	3	5	2	7	2	5	5	1	7	51
SUB-TOTAL	33	19	33	25	25	34	46	34	28	29	16	23	345
Column Pct.	7.1%	4.2%	6.4%	5.5%	5.5%	6.7%	7.5%	5.5%	5.4%	5.9%	3.3%	4.4%	5.7%
OTHER													
DISCIPLINARY OFFICE	2	2	2	1	0	0	4	2	0	2	2	1	18
DRAFT AREAS	4	2	9	7	4	3	4	7	1	4	4	8	57
REAR GATE	1	0	1	0	2	0	1	0	1	0	0	0	6
INTERVIEW ROOM	0	2	1	1	2	4	2	5	3	3	1	7	31
NOT SPECIFIED	3	0	2	5	0	0	0	0	0	0	0	0	10
OTHER-INSIDE FACILITY	24	18	11	8	30	19	24	4	20	21	14	14	207
SUB-TOTAL	34	24	26	22	38	26	35	18	25	30	21	30	329
Column Pct.	7.4%	5.3%	5.0%	4.9%	8.4%	5.1%	5.7%	2.9%	4.9%	6.1%	4.3%	5.7%	5.4%
GRAND TOTAL	462	454	517	453	455	506	611	618	515	489	484	527	6,091
Column Pct.	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%

Section Eight - Part I

INMATE ASSAULTS ON STAFF AND ON INMATES

Table 8.1 displays the number and rate of staff assaults for 2012 by facility and security level. The table provides information on the average daily population of each facility during the year, the number of assaults, and the annualized rate of inmate assault on staff incidents per 1,000 inmates. The annualized rate allows for standardized comparisons between security levels and facilities. A complete explanation of rate calculation is presented in Appendix A, page 42.

In 2012, there were a total of 524 assaults on staff. The highest number of these assaults (78%) occurred in maximum security facilities with a reported 409 incidents for a rate of 16.6 incidents per thousand inmates of population. Both the number and rate of assaults on staff at maximum security prisons were considerably higher than medium and minimum security facilities. Medium security facilities experienced 114 staff assault incidents (or 22% of the total) at a rate of 4.0 per thousand inmates of population. Minimum security facilities reported one assault incident and a rate of 1.6 per thousand inmates of population. There were no assaults on staff at Shock Incarceration facilities.

**TABLE 8.1
NUMBER AND RATE OF INMATE ASSAULTS ON STAFF UNUSUAL INCIDENTS
BY SECURITY LEVEL AND FACILITY
JANUARY - DECEMBER 2012**

CORRECTIONAL FACILITY	AVERAGE INMATE POPULATION 2012	ASSAULTS ON STAFF	RATE PER 1,000 INMATES
MAXIMUM SECURITY			
ATTICA	2,132	30	14.1
AUBURN	1,671	26	15.6
BEDFORD-F	741	16	21.6
CLINTON	2,795	19	6.8
COXSACKIE	948	19	20.0
DOWNSTATE	1,168	36	30.8
EASTERN	995	2	2.0
ELMIRA	1,758	23	13.1
FIVE POINTS	1,323	12	9.1
GREAT MEADOW	1,601	36	22.5
GREEN HAVEN	2,010	21	10.4
SHAWANGUNK	531	2	3.8
SING SING	1,633	26	15.9
SOUTHPORT	883	13	14.7
SULLIVAN	477	11	23.1
UPSTATE	1,277	18	14.1
WENDE	809	36	44.5
SUB-TOTAL	22,752	346	15.2
SPECIAL HOUSING 200 BED			
CAYUGA SHU200	182	4	22.0
COLLINS SHU200	178	4	22.5
FISHKILL SHU200	173	9	52.0
GOUVERNEUR SHU200	188	1	5.3
GREEN SHU200	183	2	10.9
LAKEVIEW SHU200	185	0	0.0
MID-STATE SHU200	181	0	0.0
ORLEANS SHU200	163	0	0.0
SUB-TOTAL	1,433	20	14.0
REGIONAL MEDICAL UNIT			
BEDFORD RMU	14	0	0.0
COXSACKIE RMU	58	2	34.5
FISHKILL RMU	29	0	0.0
WALSH RMU-MALE	111	3	27.0
WENDE RMU	79	0	0.0
SUB-TOTAL	291	5	17.2
RESIDENTIAL MENTAL HEALTH UNIT			
ATTICA RMHU	8	0	0.0
BEDFORD TBU	12	1	83.3
FIVE POINTS RMHU	51	21	411.8
GREAT MEADOW BHU	27	4	148.1
MARCY RMHU	78	8	102.6
SULLIVAN BHU	23	4	173.9
SUB-TOTAL	199	38	191.0
TOTAL MAXIMUM SECURITY	24,675	409	16.6

(continued on the next page)

**TABLE 8.1
NUMBER AND RATE OF INMATE ASSAULTS ON STAFF UNUSUAL INCIDENTS
BY SECURITY LEVEL AND FACILITY
JANUARY - DECEMBER 2012**

CORRECTIONAL FACILITY	AVERAGE INMATE POPULATION 2012	ASSAULTS ON STAFF	RATE PER 1,000 INMATES
MEDIUM SECURITY			
ADIRONDACK	382	0	0.0
ALBION-F	870	5	5.7
ALTONA	453	0	0.0
BARE HILL	1,647	9	5.5
BAYVIEW-F	140	2	14.3
BUTLER ASACTC	213	0	0.0
CAPE VINCENT	859	1	1.2
CAYUGA	861	0	0.0
CHATEAUGAY	219	4	18.3
COLLINS	885	2	2.3
FISHKILL	1,467	18	12.3
FRANKLIN	1,704	8	4.7
GOUVERNEUR	799	0	0.0
GOWANDA	1,490	5	3.4
GREENE	1,599	20	12.5
GROVELAND-M	1,071	3	2.8
HALE CREEK	339	0	0.0
HUDSON	384	0	0.0
LAKEVIEW-M RECEP	111	0	0.0
LAKEVIEW-F RECEP	6	0	0.0
LIVINGSTON	844	3	3.6
MARCY	1,092	6	5.5
MID-STATE	1,425	4	2.8
MOHAWK	1304	0	0.0
MT.MCGREGOR	438	5	11.4
OGDENSBURG	368	1	2.7
ORLEANS	759	2	2.6
OTISVILLE	580	1	1.7
RIVERVIEW	861	2	2.3
TACONIC-F	310	1	3.2
ULSTER	734	1	1.4
WALKKILL	568	0	0.0
WASHINGTON	760	6	7.9
WATERTOWN	557	0	0.0
WOODBOURNE	823	0	0.0
WYOMING	1,664	5	3.0
TOTAL MEDIUM SECURITY	28,586	114	4.0

(continued on the next page)

**TABLE 8.1
NUMBER AND RATE OF INMATE ASSAULTS ON STAFF UNUSUAL INCIDENTS
BY SECURITY LEVEL AND FACILITY
JANUARY - DECEMBER 2012**

CORRECTIONAL FACILITY	AVERAGE INMATE POPULATION 2012	ASSAULTS ON STAFF	RATE PER 1,000 INMATES
SHOCK			
MONTEREY SHOCK	203	0	0.0
MORIAH SHOCK	181	0	0.0
LAKEVIEW-M SHOCK	455	0	0.0
LAKEVIEW-F SHOCK	109	0	0.0
SUB-TOTAL	948	0	0.0
MINIMUM SECURITY			
BEACON	110	0	0.0
EDGECOMBE	17	0	0.0
LINCOLN	135	0	0.0
QUEENSBORO	291	1	3.4
ROCHESTER	69	0	0.0
SUB-TOTAL	622	1	1.6
OTHER			
CENTRAL OFFICE	0	0	N/A
SUB-TOTAL	0	0	N/A
GRAND TOTAL	54,831	524	9.6

**TABLE 8.2
NUMBER OF ASSAULTS ON STAFF BY SECURITY LEVEL AND FACILITY
JANUARY - DECEMBER 2012**

CORRECTIONAL FACILITY	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	TOTAL
MAXIMUM SECURITY													
ATTICA	0	3	5	5	1	1	5	4	1	1	3	1	30
AUBURN	2	2	0	2	1	1	4	4	3	1	2	4	26
BEDFORD-F	1	0	0	1	2	0	2	2	3	1	3	1	16
CLINTON	2	1	4	0	1	3	3	4	0	0	1	0	19
COXSACKIE	1	1	2	1	0	0	4	1	1	2	2	4	19
DOWNSTATE	3	4	3	2	1	1	3	3	4	0	7	5	36
EASTERN	0	0	0	0	0	1	0	0	1	0	0	0	2
ELMIRA	0	0	3	1	2	3	5	1	2	0	5	1	23
FIVE POINTS	2	1	0	1	0	1	2	1	0	1	0	3	12
GREAT MEADOW	3	1	6	1	2	3	6	2	4	2	3	3	36
GREEN HAVEN	2	0	1	1	2	0	5	3	3	1	1	2	21
SHAWANGUNK	2	0	0	0	0	0	0	0	0	0	0	0	2
SING SING	2	3	1	3	3	0	6	1	3	3	0	1	26
SOUTHPORT	2	0	2	2	0	2	2	0	1	1	1	0	13
SULLIVAN	0	0	1	3	2	1	1	0	3	0	0	0	11
UPSTATE	1	1	0	0	2	3	0	2	2	2	4	1	18
WENDE	3	1	2	0	2	5	4	5	6	2	2	4	36
SUB-TOTAL	26	18	30	23	21	25	52	33	37	17	34	30	346
Column Percent	83.9%	72.0%	65.2%	67.6%	51.2%	61.0%	69.3%	68.8%	78.7%	47.2%	63.0%	65.2%	66.0%
SPECIAL HOUSING 200 BED													
CAYUGA SHU200	0	2	0	0	0	0	1	0	0	0	1	0	4
COLLINS SHU200	0	0	0	0	0	0	2	0	1	1	0	0	4
FISHKILL SHU200	0	1	1	1	2	0	0	2	0	0	1	1	9
GOUVERNEUR SHU200	0	0	0	0	0	1	0	0	0	0	0	0	1
GREENE SHU200	0	0	0	1	0	0	0	0	0	0	1	0	2
LAKEVIEW SHU200	0	0	0	0	0	0	0	0	0	0	0	0	0
MID-STATE SHU200	0	0	0	0	0	0	0	0	0	0	0	0	0
ORLEANS SHU200	0	0	0	0	0	0	0	0	0	0	0	0	0
SUB-TOTAL	0	3	1	2	2	1	3	2	1	1	3	1	20
Column Percent	0.0%	12.0%	2.2%	5.9%	4.9%	2.4%	4.0%	4.2%	2.1%	2.8%	5.6%	2.2%	3.8%
REGIONAL MEDICAL UNIT (RMU)													
BEDFORD RMU	0	0	0	0	0	0	0	0	0	0	0	0	0
COXSACKIE RMU	0	0	1	0	0	0	0	0	0	0	0	1	2
FISHKILL RMU	0	0	0	0	0	0	0	0	0	0	0	0	0
WALSH RMU-MALE	0	1	0	0	1	0	0	0	0	0	0	1	3
WENDE RMU	0	0	0	0	0	0	0	0	0	0	0	0	0
SUB-TOTAL	0	1	1	0	1	0	0	0	0	0	0	2	5
Column Percent	0.0%	4.0%	2.2%	0.0%	2.4%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	4.3%	1.0%
RESIDENTIAL MENTAL HEALTH UNIT													
ATTICA RMHU	0	0	0	0	0	0	0	0	0	0	0	0	0
BEDFORD TBU	0	0	0	0	0	0	0	1	0	0	0	0	1
FIVE POINTS RMHU	0	1	1	1	3	3	5	1	0	2	4	0	21
GREAT MEADOW BHU	0	0	1	1	2	0	0	0	0	0	0	0	4
MARCY RMHU	0	0	2	0	0	0	2	1	1	2	0	0	8
SULLIVAN BHU	1	0	0	0	0	0	2	0	0	0	1	0	4
SUB-TOTAL	1	1	4	2	5	3	9	3	1	4	5	0	38
Column Percent	3.2%	4.0%	8.7%	5.9%	12.2%	7.3%	12.0%	6.3%	2.1%	11.1%	9.3%	0.0%	7.3%
TOTAL MAXIMUM SECURITY	27	23	36	27	29	29	64	38	39	22	42	33	409
Column Percent	87.1%	92.0%	78.3%	79.4%	70.7%	70.7%	85.3%	79.2%	83.0%	61.1%	77.8%	71.7%	78.1%

(continued on the next page)

**TABLE 8.2
NUMBER OF ASSAULTS ON STAFF BY SECURITY LEVEL AND FACILITY
JANUARY - DECEMBER 2012**

CORRECTIONAL FACILITY	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	TOTAL
MEDIUM SECURITY													
ADIRONDACK	0	0	0	0	0	0	0	0	0	0	0	0	0
ALBION-F	0	0	0	0	0	2	0	1	0	0	2	0	5
ALTONA	0	0	0	0	0	0	0	0	0	0	0	0	0
BARE HILL	0	1	0	1	0	2	1	0	0	1	2	1	9
BAYVIEW-F	0	0	0	0	0	1	0	0	1	0	0	0	2
BUTLER ASACTC	0	0	0	0	0	0	0	0	0	0	0	0	0
CAPE VINCENT	0	0	1	0	0	0	0	0	0	0	0	0	1
CAYUGA	0	0	0	0	0	0	0	0	0	0	0	0	0
CHATEAUGAY	0	0	0	0	0	0	0	1	0	0	2	1	4
COLLINS	0	0	0	0	0	0	1	0	0	1	0	0	2
FISHKILL	0	0	3	0	4	2	2	1	2	2	0	2	18
FRANKLIN	2	0	1	2	1	1	0	0	0	0	0	1	8
GOUVERNEUR	0	0	0	0	0	0	0	0	0	0	0	0	0
GOWANDA	0	0	0	0	0	0	1	4	0	0	0	0	5
GREENE	1	0	1	0	4	0	1	2	3	4	1	3	20
GROVELAND-M	0	0	0	1	0	0	1	0	0	0	1	0	3
HALE CREEK	0	0	0	0	0	0	0	0	0	0	0	0	0
HUDSON	0	0	0	0	0	0	0	0	0	0	0	0	0
LAKEVIEW RECEP	0	0	0	0	0	0	0	0	0	0	0	0	0
LIVINGSTON	0	0	0	0	0	0	0	0	0	0	0	3	3
MARCY	0	0	1	1	1	0	0	0	0	0	1	2	6
MID-STATE	0	0	1	0	0	1	1	0	0	1	0	0	4
MOHAWK	0	0	0	0	0	0	0	0	0	0	0	0	0
MT.MCGREGOR	1	0	1	0	1	0	0	0	0	0	2	0	5
OGDENSBURG	0	0	0	0	0	0	0	0	0	1	0	0	1
ORLEANS	0	0	0	0	1	0	0	0	0	1	0	0	2
OTISVILLE	0	0	0	0	0	1	0	0	0	0	0	0	1
RIVERVIEW	0	0	0	0	0	1	0	1	0	0	0	0	2
TACONIC-F	0	0	1	0	0	0	0	0	0	0	0	0	1
ULSTER	0	0	0	0	0	0	0	0	0	0	1	0	1
WALLKILL	0	0	0	0	0	0	0	0	0	0	0	0	0
WASHINGTON	0	1	0	1	0	0	2	0	1	1	0	0	6
WATERTOWN	0	0	0	0	0	0	0	0	0	0	0	0	0
WOODBOURNE	0	0	0	0	0	0	0	0	0	0	0	0	0
WYOMING	0	0	0	1	0	1	0	0	1	2	0	0	5
TOTAL MEDIUM SECURITY	4	2	10	7	12	12	10	10	8	14	12	13	114
Column Percent	12.9%	8.0%	21.7%	20.6%	29.3%	29.3%	13.3%	20.8%	17.0%	38.9%	22.2%	28.3%	21.8%
SHOCK													
MONTEREY SHOCK	0	0	0	0	0	0	0	0	0	0	0	0	0
MORIAH SHOCK	0	0	0	0	0	0	0	0	0	0	0	0	0
LAKEVIEW SHOCK	0	0	0	0	0	0	0	0	0	0	0	0	0
SUB-TOTAL	0	0	0	0	0	0	0	0	0	0	0	0	0
Column Percent	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
MINIMUM SECURITY													
BEACON	0	0	0	0	0	0	0	0	0	0	0	0	0
EDGECOMBE	0	0	0	0	0	0	0	0	0	0	0	0	0
LINCOLN	0	0	0	0	0	0	0	0	0	0	0	0	0
QUEENSBORO	0	0	0	0	0	0	1	0	0	0	0	0	1
ROCHESTER	0	0	0	0	0	0	0	0	0	0	0	0	0
SUB-TOTAL	0	0	0	0	0	0	1	0	0	0	0	0	1
Column Percent	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	1.3%	0.0%	0.0%	0.0%	0.0%	0.0%	0.2%
GRAND TOTAL	31	25	46	34	41	41	75	48	47	36	54	46	524
Column Percent	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%

Section Eight - Part II

INMATE ASSAULTS BY FACILITY AND MONTH OF INCIDENT

In calendar year 2012, there were 652 inmate assault on inmate incidents. For 2012, 65% (N=425) of these incidents occurred at maximum security institutions, including maximum security SHU200s, RMU, and RMHUs; thirty-five percent (N= 226) occurred at medium security prisons and less than 1% (N=1) occurred at minimum security or Shock Incarceration facilities (see Table 8.4).

A review of unusual incidents by security level of facility reveals that maximum security housing units had the highest rate of inmate assault on inmate incidents (17.2) (see Table 8.3). Medium security facilities experienced a rate of 7.9 incidents per 1,000 inmate population.

**TABLE 8.3
NUMBER AND RATE OF INMATE ASSAULTS ON INMATE UNUSUAL INCIDENTS
BY SECURITY LEVEL AND FACILITY
JANUARY - DECEMBER 2012**

CORRECTIONAL FACILITY	AVERAGE INMATE POPULATION 2012	ASSAULTS ON INMATE	RATE PER 1,000 INMATES
MAXIMUM SECURITY			
ATTICA	2,132	32	15.0
AUBURN	1,671	62	37.1
BEDFORD-F	741	6	8.1
CLINTON	2,795	59	21.1
COXSACKIE	948	3	3.2
DOWNSTATE	1,168	8	6.8
EASTERN	995	1	1.0
ELMIRA	1,758	39	22.2
FIVE POINTS	1,323	33	24.9
GREAT MEADOW	1,601	66	41.2
GREEN HAVEN	2,010	28	13.9
SHAWANGUNK	531	2	3.8
SING SING	1,633	26	15.9
SOUTHPORT	883	3	3.4
SULLIVAN	477	7	14.7
UPSTATE	1,277	4	3.1
WENDE	809	29	35.8
SUB-TOTAL	22,752	408	17.9
SPECIAL HOUSING 200 BED			
CAYUGA SHU200	182	1	5.5
COLLINS SHU200	178	1	5.6
FISHKILL SHU200	173	1	5.8
GOUVERNEUR SHU200	188	0	0.0
GREEN SHU200	183	0	0.0
LAKEVIEW SHU200	185	0	0.0
MID-STATE SHU200	181	5	27.6
ORLEANS SHU200	163	1	6.1
SUB-TOTAL	1,433	9	6.3
REGIONAL MEDICAL UNIT (RMU)			
BEDFORD RMU	14	0	0.0
COXSACKIE RMU	58	0	0.0
FISHKILL RMU	29	0	0.0
WALSH RMU-MALE	111	1	9.0
WENDE RMU	79	1	12.7
SUB-TOTAL	291	2	6.9
RESIDENTIAL MENTAL HEALTH UNIT			
ATTICA RMHU	8	0	0.0
BEDFORD TBU	12	0	0.0
FIVE POINTS RMHU	51	4	78.4
GREAT MEADOW BHU	27	0	0.0
MARCY RMHU	78	1	12.8
SULLIVAN BHU	23	1	43.5
SUB-TOTAL	199	6	30.2
TOTAL MAXIMUM SECURITY	24,675	425	17.2

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**TABLE 8.3
NUMBER AND RATE OF INMATE ASSAULTS ON INMATE UNUSUAL INCIDENTS
BY SECURITY LEVEL AND FACILITY
JANUARY - DECEMBER 2012**

CORRECTIONAL FACILITY	AVERAGE INMATE POPULATION 2012	ASSAULTS ON INMATE	RATE PER 1,000 INMATES
MEDIUM SECURITY			
ADIRONDACK	382	1	2.6
ALBION-F	870	1	1.1
ALTONA	453	2	4.4
BARE HILL	1,647	33	20.0
BAYVIEW-F	140	1	7.1
BUTLER ASACTC	213	0	0.0
CAPE VINCENT	859	0	0.0
CAYUGA	861	4	4.6
CHATEAUGAY	219	0	0.0
COLLINS	885	11	12.4
FISHKILL	1,467	12	8.2
FRANKLIN	1,704	8	4.7
GOUVERNEUR	799	1	1.3
GOWANDA	1,490	10	6.7
GREENE	1,599	15	9.4
GROVELAND-M	1,071	11	10.3
HALE CREEK	339	0	0.0
HUDSON	384	0	0.0
LAKEVIEW-M RECEP	111	1	9.0
LAKEVIEW-F RECEP	6	0	0.0
LIVINGSTON	844	9	10.7
MARCY	1,092	18	16.5
MID-STATE	1,425	17	11.9
MOHAWK	1,304	17	13.0
MT.MCGREGOR	438	0	0.0
OGDENSBURG	368	1	2.7
ORLEANS	759	8	10.5
OTISVILLE	580	1	1.7
RIVERVIEW	861	3	3.5
TACONIC-F	310	1	3.2
ULSTER	734	1	1.4
WALLKILL	568	3	5.3
WASHINGTON	760	8	10.5
WATERTOWN	557	6	10.8
WOODBOURNE	823	1	1.2
WYOMING	1,664	21	12.6
TOTAL MEDIUM SECURITY	28,586	226	7.9

(continued on the next page)

**TABLE 8.3
NUMBER AND RATE OF INMATE ASSAULTS ON INMATE UNUSUAL INCIDENTS
BY SECURITY LEVEL AND FACILITY
JANUARY - DECEMBER 2012**

CORRECTIONAL FACILITY	AVERAGE INMATE POPULATION 2012	ASSAULTS ON INMATE	RATE PER 1,000 INMATES
SHOCK			
MONTEREY SHOCK	203	1	4.9
MORIAH SHOCK	181	0	0.0
LAKEVIEW-M SHOCK	455	0	0.0
LAKEVIEW-F SHOCK	109	0	0.0
SUB-TOTAL	948	1	1.1
MINIMUM SECURITY			
BEACON	110	0	0.0
EDGECOMBE	17	0	0.0
LINCOLN	135	0	0.0
QUEENSBORO	291	0	0.0
ROCHESTER	69	0	0.0
SUB-TOTAL	622	0	0.0
OTHER			
CENTRAL OFFICE	0	0	N/A
SUB-TOTAL	0	0	N/A
GRAND TOTAL	54,831	652	11.9

**TABLE 8.4
NUMBER OF INMATE ASSAULTS BY SECURITY LEVEL AND FACILITY
JANUARY - DECEMBER 2012**

CORRECTIONAL FACILITY	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	TOTAL
MAXIMUM SECURITY													
ATTICA	1	5	2	6	2	2	0	2	5	2	2	3	32
AUBURN	5	6	6	6	4	10	4	7	3	3	5	3	62
BEDFORD-F	1	0	0	0	0	2	1	1	0	1	0	0	6
CLINTON	2	7	4	7	4	6	6	7	5	4	1	6	59
COXSACKIE	0	0	0	0	0	1	0	1	0	0	1	0	3
DOWNSTATE	0	0	0	1	0	0	0	3	1	0	0	3	8
EASTERN	0	0	0	0	0	1	0	0	0	0	0	0	1
ELMIRA	6	1	2	1	2	3	3	2	5	4	7	3	39
FIVE POINTS	4	2	5	0	2	2	2	4	4	3	2	3	33
GREAT MEADOW	4	6	3	4	6	2	10	4	3	10	6	8	66
GREEN HAVEN	1	2	5	1	2	2	1	4	0	3	2	5	28
SHAWANGUNK	0	0	0	0	1	1	0	0	0	0	0	0	2
SING SING	0	2	2	1	1	4	4	5	2	3	1	1	26
SOUTHPORT	0	0	1	0	1	1	0	0	0	0	0	0	3
SULLIVAN	2	0	0	0	3	1	0	0	1	0	0	0	7
UPSTATE	0	0	0	1	0	1	1	1	0	0	0	0	4
WENDE	4	2	1	3	1	2	3	3	3	0	6	1	29
SUB-TOTAL	30	33	31	31	29	41	35	44	32	33	33	36	408
Column Percent	62.5%	73.3%	68.9%	63.3%	63.0%	61.2%	62.5%	68.8%	60.4%	51.6%	63.5%	57.1%	62.6%
SPECIAL HOUSING 200 BED													
CAYUGA SHU200	0	0	0	0	0	0	1	0	0	0	0	0	1
COLLINS SHU200	0	0	0	0	0	0	0	0	0	1	0	0	1
FISHKILL SHU200	1	0	0	0	0	0	0	0	0	0	0	0	1
GOUVERNEUR SHU200	0	0	0	0	0	0	0	0	0	0	0	0	0
GREEN SHU200	0	0	0	0	0	0	0	0	0	0	0	0	0
LAKEVIEW SHU200	0	0	0	0	0	0	0	0	0	0	0	0	0
MID-STATE SHU200	0	0	0	1	1	0	1	1	0	0	1	0	5
ORLEANS SHU200	0	0	1	0	0	0	0	0	0	0	0	0	1
SUB-TOTAL	1	0	1	1	1	0	2	1	0	1	1	0	9
Column Percent	2.1%	0.0%	2.2%	2.0%	2.2%	0.0%	3.6%	1.6%	0.0%	1.6%	1.9%	0.0%	1.4%
REGIONAL MEDICAL UNIT (RMU)													
BEDFORD RMU	0	0	0	0	0	0	0	0	0	0	0	0	0
COXSACKIE RMU	0	0	0	0	0	0	0	0	0	0	0	0	0
FISHKILL RMU	0	0	0	0	0	0	0	0	0	0	0	0	0
WALSH RMU-MALE	0	0	0	0	0	0	0	1	0	0	0	0	1
WENDE RMU	0	0	0	0	1	0	0	0	0	0	0	0	1
SUB-TOTAL	0	0	0	0	1	0	0	1	0	0	0	0	2
Column Percent	0.0%	0.0%	0.0%	0.0%	2.2%	0.0%	0.0%	1.6%	0.0%	0.0%	0.0%	0.0%	0.3%
RESIDENTIAL MENTAL HEALTH UNIT													
ATTICA RMHU	0	0	0	0	0	0	0	0	0	0	0	0	0
BEDFORD TBU	0	0	0	0	0	0	0	0	0	0	0	0	0
FIVE POINTS RMHU	1	0	0	0	0	0	0	0	1	0	0	2	4
GREAT MEADOW BHU	0	0	0	0	0	0	0	0	0	0	0	0	0
MARCY RMHU	0	0	0	1	0	0	0	0	0	0	0	0	1
SULLIVAN BHU	0	0	0	1	0	0	0	0	0	0	0	0	1
SUB-TOTAL	1	0	0	2	0	0	0	0	1	0	0	2	6
Column Percent	2.1%	0.0%	0.0%	4.1%	0.0%	0.0%	0.0%	0.0%	1.9%	0.0%	0.0%	3.2%	0.9%
TOTAL MAXIMUM SECURITY	32	33	32	34	31	41	37	46	33	34	34	38	425
Column Percent	66.7%	73.3%	71.1%	69.4%	67.4%	61.2%	66.1%	71.9%	62.3%	53.1%	65.4%	60.3%	65.2%

(continued on the next page)

**TABLE 8.4
NUMBER OF INMATE ASSAULTS BY SECURITY LEVEL AND FACILITY
JANUARY - DECEMBER 2012**

CORRECTIONAL FACILITY	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	TOTAL
MEDIUM SECURITY													
ADIRONDACK	0	0	0	0	0	0	0	0	1	0	0	0	1
ALBION-F	0	0	0	0	0	0	0	0	0	1	0	0	1
ALTONA	0	0	0	0	0	1	0	0	0	1	0	0	2
BARE HILL	3	1	1	2	2	4	4	2	4	2	4	4	33
BAYVIEW-F	0	0	0	0	0	0	1	0	0	0	0	0	1
BUTLER ASACTC	0	0	0	0	0	0	0	0	0	0	0	0	0
CAPE VINCENT	0	0	0	0	0	0	0	0	0	0	0	0	0
CAYUGA	0	0	0	0	0	0	0	1	1	0	1	1	4
CHATEAUGAY	0	0	0	0	0	0	0	0	0	0	0	0	0
COLLINS	1	0	1	0	0	0	1	4	0	1	1	2	11
FISHKILL	0	0	0	1	1	2	1	0	2	3	0	2	12
FRANKLIN	0	0	1	1	1	1	1	2	0	0	1	0	8
GOUVERNEUR	0	1	0	0	0	0	0	0	0	0	0	0	1
GOWANDA	2	0	0	1	2	2	1	2	0	0	0	0	10
GREENE	0	0	2	2	2	1	0	1	1	2	1	3	15
GROVELAND-M	0	1	0	2	1	1	0	0	1	1	3	1	11
HALE CREEK	0	0	0	0	0	0	0	0	0	0	0	0	0
HUDSON	0	0	0	0	0	0	0	0	0	0	0	0	0
LAKEVIEW RECEP	0	0	0	0	0	0	0	0	0	1	0	0	1
LIVINGSTON	1	1	0	1	0	1	2	0	1	1	0	1	9
MARCY	3	2	2	0	0	2	1	1	2	1	2	2	18
MID-STATE	2	1	3	0	1	1	1	1	2	2	1	2	17
MOHAWK	0	0	2	2	1	2	2	1	1	3	2	1	17
MT.MCGREGOR	0	0	0	0	0	0	0	0	0	0	0	0	0
OGDENSBURG	0	0	0	0	0	0	0	0	0	1	0	0	1
ORLEANS	0	0	0	0	0	0	2	0	2	2	1	1	8
OTISVILLE	0	0	0	0	0	1	0	0	0	0	0	0	1
RIVERVIEW	0	1	0	0	0	0	0	0	1	0	1	0	3
TACONIC-F	0	0	0	0	0	0	0	0	0	1	0	0	1
ULSTER	0	0	0	0	1	0	0	0	0	0	0	0	1
WALLKILL	0	1	0	0	0	0	0	0	0	2	0	0	3
WASHINGTON	1	1	0	1	0	3	1	0	0	0	1	0	8
WATERTOWN	0	0	1	0	0	0	0	3	1	1	0	0	6
WOODBOURNE	0	0	0	0	0	0	0	0	0	0	0	1	1
WYOMING	3	2	0	2	2	4	1	0	1	3	0	3	21
TOTAL MEDIUM SECURITY	16	12	13	15	14	26	19	18	20	30	18	25	226
Column Percent	33.3%	26.7%	28.9%	30.6%	30.4%	38.8%	33.9%	28.1%	37.7%	46.9%	34.6%	39.7%	34.7%
SHOCK													
MONTEREY SHOCK	0	0	0	0	1	0	0	0	0	0	0	0	1
MORIAH SHOCK	0	0	0	0	0	0	0	0	0	0	0	0	0
LAKEVIEW SHOCK	0	0	0	0	0	0	0	0	0	0	0	0	0
SUB-TOTAL	0	0	0	0	1	0	0	0	0	0	0	0	1
Column Percent	0.0%	0.0%	0.0%	0.0%	2.2%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.2%
MINIMUM SECURITY													
BEACON	0	0	0	0	0	0	0	0	0	0	0	0	0
EDGECOMBE	0	0	0	0	0	0	0	0	0	0	0	0	0
LINCOLN	0	0	0	0	0	0	0	0	0	0	0	0	0
QUEENSBORO	0	0	0	0	0	0	0	0	0	0	0	0	0
ROCHESTER	0	0	0	0	0	0	0	0	0	0	0	0	0
SUB-TOTAL	0	0	0	0	0	0	0	0	0	0	0	0	0
Column Percent	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
OTHER													
CENTRAL OFFICE	0	0	0	0	0	0	0	0	0	0	0	0	0
SUB-TOTAL	0	0	0	0	0	0	0	0	0	0	0	0	0
Column Percent	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
GRAND TOTAL	48	45	45	49	46	67	56	64	53	64	52	63	652
Column Percent	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%

Section Nine

INJURY TO STAFF IN UNUSUAL INCIDENTS

The Unusual Incident reporting system uses a ranking procedure for injuries sustained by staff members and is based on the reported seriousness of injury and/or by degree of treatment for these injuries. "No injury" indicates that the staff member or medical staff reported that no injury was sustained. "Minor injury" refers to cases where the victim received a comparatively minor injury, which may have been treated at the facility or treated at the facility with a recommendation for the staff person to consult their own physician. "Moderate injury" refers to injuries of a more serious nature that generally require treatment at an outside hospital or treatment by own physician. Moderate injuries are not judged to be life threatening. "Serious injury" refers to injury that is considered to be life threatening (or which results in death). These injuries require immediate treatment at an outside hospital.

Table 9.1 presents information on the 23,963 staff members who were involved in unusual incidents according to unusual incident category and degree of staff injury sustained in resolving the incident.

Table 9.2 presents information on staff injuries sustained each month. Among the staff participants in unusual incidents 23,094 (96%) reported no injuries; 737 (3%) reported minor injuries, 127 (1%) reported moderate injuries and 5 reported serious injuries. As expected, inmate assaults on staff produced the most staff injuries in 2012. In the 524 inmate assault on staff unusual incidents recorded in 2012 involving 2,603 staff members, 78% of staff (N=2,028) did not report any type of injury; 20% of staff (N=531) sustained minor injuries; 2% reported moderate injuries (N=42); and less than 1% of staff (N=2) reported serious injuries (see Table 9.1).

**TABLE 9.1
UNUSUAL INCIDENT BY DEGREE OF STAFF INJURY
JANUARY - DECEMBER 2012**

EXTENT OF EMPLOYEE INJURY										
INCIDENT TYPE	NO INJURY		MINOR		MODERATE		SERIOUS		TOTAL	
	No.	Row %	No.	Row %	No.	Row %	No.	Row %	No.	Row %
ACCIDENT	1,879	95.8%	12	.6%	69	3.5%	1	.1%	1,961	100.0%
ASSAULT ON INMATE	2,124	98.7%	28	1.3%	1	.0%	0	.0%	2,153	100.0%
ASSAULT ON STAFF	2,028	77.9%	531	20.4%	42	1.6%	2	.1%	2,603	100.0%
ASSAULT ON OTHER	18	94.7%	1	5.3%	0	.0%	0	.0%	19	100.0%
CONTRABAND	8,279	99.6%	34	.4%	1	.0%	0	.0%	8,314	100.0%
DEATH	1,141	99.9%	1	.1%	0	.0%	0	.0%	1,142	100.0%
DISRUPTIVE BEHAVIOR	2,495	95.9%	95	3.7%	11	.4%	0	.0%	2,601	100.0%
DISRUPTION OF FACILITY	27	100.0%	0	.0%	0	.0%	0	.0%	27	100.0%
EMPLOYEE MISCONDUCT	690	99.6%	2	.3%	0	.0%	1	.1%	693	100.0%
ESCAPE	5	83.3%	1	16.7%	0	.0%	0	.0%	6	100.0%
ATTEMPTED ESCAPE	11	100.0%	0	.0%	0	.0%	0	.0%	11	100.0%
FIRE	118	89.4%	13	9.8%	1	.8%	0	.0%	132	100.0%
PROPERTY DESTRUCTION	59	100.0%	0	.0%	0	.0%	0	.0%	59	100.0%
PROPERTY LOST OR STOLEN	330	100.0%	0	.0%	0	.0%	0	.0%	330	100.0%
SELF-INFLICTED INJURY	406	100.0%	0	.0%	0	.0%	0	.0%	406	100.0%
SEXUAL MISCONDUCT	214	100.0%	0	.0%	0	.0%	0	.0%	214	100.0%
SUICIDE ATTEMPT	777	99.6%	3	.4%	0	.0%	0	.0%	780	100.0%
TEMPORARY RELEASE	78	100.0%	0	.0%	0	.0%	0	.0%	78	100.0%
STAFF USE OF WEAPONS	399	99.8%	1	.3%	0	.0%	0	.0%	400	100.0%
EMPLOYEE JOB ACTION	1	100.0%	0	.0%	0	.0%	0	.0%	1	100.0%
OTHER INCIDENTS	2,015	99.1%	15	.7%	2	.1%	1	.0%	2,033	100.0%
TOTAL	23,094	96.4%	737	3.1%	127	.5%	5	.0%	23,963	100.0%

**TABLE 9.2
STAFF INJURY BY MONTH OF INCIDENT
JANUARY - DECEMBER 2012**

DEGREE OF	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	TOTAL
NO INJURY	1,745	1,699	2,069	1,687	1,755	1,906	2,323	2,310	2,021	1,814	1,798	1,967	23,094
Column Pct.	95.1%	97.6%	95.4%	96.5%	96.0%	96.9%	95.7%	96.3%	97.4%	96.4%	95.8%	97.6%	96.4%
MINOR	77	32	87	53	66	50	90	76	44	53	68	41	737
Column Pct.	4.2%	1.8%	4.0%	3.0%	3.6%	2.5%	3.7%	3.2%	2.1%	2.8%	3.6%	2.0%	3.1%
MODERATE	12	8	12	9	8	9	14	12	9	15	11	8	127
Column Pct.	.7%	.5%	.6%	.5%	.4%	.5%	.6%	.5%	.4%	.8%	.6%	.4%	.5%
SERIOUS	0	1	0	0	0	2	0	2	0	0	0	0	5
Column Pct.	.0%	.1%	.0%	.0%	.0%	.1%	.0%	.1%	.0%	.0%	.0%	.0%	.0%
TOTAL	1,834	1,740	2,168	1,749	1,829	1,967	2,427	2,400	2,074	1,882	1,877	2,016	23,963
Column Pct.	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%

Section Ten

STAFF USE OF FORCE IN UNUSUAL INCIDENTS

During 2012, 86% of the unusual incidents involved no use of force by staff to resolve the incident (see Table 10.1).

In the Unusual Incident Reporting System, use of force by correctional staff is classified into eight categories. Table 10.1 shows the most serious type of staff force utilized in each unusual incident. During 2012, 7% of incidents involved use of body holds, 2% involved use of handcuffs to restrain inmates, 2% involved the use of a strike (use of hands or arms by staff to resist assaultive behavior or gain control of an inmate), while the use of baton occurred in 1% of unusual incidents.

	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	TOTAL
NO FORCE USED	395	407	434	394	395	445	510	532	446	424	400	462	5,244
Column Pct.	85.5%	89.6%	83.9%	87.0%	86.8%	87.9%	83.5%	86.1%	86.6%	86.7%	82.6%	87.7%	86.1%
BODY HOLD	33	26	45	32	34	29	57	46	38	37	44	35	456
Column Pct.	7.1%	5.7%	8.7%	7.1%	7.5%	5.7%	9.3%	7.4%	7.4%	7.6%	9.1%	6.6%	7.5%
MECHANIC RESTRAINT	7	8	10	5	10	8	8	11	7	4	8	9	95
Column Pct.	1.5%	1.8%	1.9%	1.1%	2.2%	1.6%	1.3%	1.8%	1.4%	0.8%	1.7%	1.7%	1.6%
SHIELD	4	2	5	4	4	5	7	4	3	5	5	4	52
Column Pct.	0.9%	0.4%	1.0%	0.9%	0.9%	1.0%	1.1%	0.6%	0.6%	1.0%	1.0%	0.8%	0.9%
BATON	9	2	6	6	5	9	12	12	4	5	10	5	85
Column Pct.	1.9%	0.4%	1.2%	1.3%	1.1%	1.8%	2.0%	1.9%	0.8%	1.0%	2.1%	0.9%	1.4%
GAS	5	4	6	4	2	3	3	4	11	2	10	2	56
Column Pct.	1.1%	0.9%	1.2%	0.9%	0.4%	0.6%	0.5%	0.6%	2.1%	0.4%	2.1%	0.4%	0.9%
FIREARM	0	0	0	0	0	0	0	0	0	0	0	0	0
Column Pct.	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
STRIKE	8	5	11	8	5	6	14	9	6	11	6	7	96
Column Pct.	1.7%	1.1%	2.1%	1.8%	1.1%	1.2%	2.3%	1.5%	1.2%	2.2%	1.2%	1.3%	1.6%
OTHER	1	0	0	0	0	1	0	0	0	1	1	3	7
Column Pct.	0.2%	0.0%	0.0%	0.0%	0.0%	0.2%	0.0%	0.0%	0.0%	0.2%	0.2%	0.6%	0.1%
TOTAL	462	454	517	453	455	506	611	618	515	489	484	527	6,091
Column Pct.	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%

STAFF USE OF FORCE BY INCIDENT TYPE

Table 10.2 presents information on the type of force used by staff during specific types of unusual incidents. Staff use of force of any type was concentrated in assaults on staff (N=423 or 81% of assault on staff incidents), disruptive behavior (N=228 or 49% of disruptive behavior incidents) and inmate on inmate assaults (N=62 or 10% of inmate assault on inmate incidents).

INCIDENT TYPE	TYPE OF FORCE									TOTAL
	NO FORCE USED	BODY HOLD	MECHANICAL RESTRAINT	SHIELD	BATON	CHEMICAL AGENT	FIREARM	STRIKE	OTHER	
ACCIDENT	534	0	0	0	0	0	0	0	0	534
ASSAULT ON INMATE	590	42	9	0	10	0	0	1	0	652
ASSAULT ON STAFF	101	244	62	7	29	0	0	80	1	524
ASSAULT ON OTHER	4	0	0	0	0	0	0	0	0	4
CONTRABAND	2469	69	3	0	0	0	0	4	0	2,545
DEATH	123	0	0	0	0	0	0	0	0	123
DISRUPTIVE BEHAVIOR	240	70	12	44	39	53	0	9	1	468
UTILITIES DISRUPTION	7	0	0	0	0	0	0	0	0	7
EMPLOYEE MISCONDUCT	140	0	0	0	0	0	0	0	0	140
ESCAPE	0	1	0	0	0	0	0	0	0	1
ATTEMPTED ESCAPE	1	0	0	0	0	0	0	1	0	2
FIRE	21	0	0	0	1	0	0	0	0	22
PROPERTY DESTRUCTION	14	0	0	0	0	0	0	0	0	14
STOLEN	76	0	0	0	0	0	0	0	0	76
SELF-INFLICTED INJURY	112	1	0	0	1	0	0	0	0	114
SEXUAL MISCONDUCT	53	0	0	0	0	0	0	0	0	53
SUICIDE ATTEMPT	137	3	4	0	0	0	0	1	0	145
TEMPORARY RELEASE	36	0	0	0	0	0	0	0	0	36
EMPLOYEE WEAPON USE	135	0	0	0	5	3	0	0	0	143
EMPLOYEE JOB ACTION	1	0	0	0	0	0	0	0	0	1
OTHER INCIDENTS	450	26	5	1	0	0	0	0	5	487
TOTAL	5,244	456	95	52	85	56	0	96	7	6,091
COLUMN PERCENT	86.1%	7.5%	1.6%	0.9%	1.4%	0.9%	0.0%	1.6%	0.1%	100.0%

APPENDIX A

RATE CALCULATION METHOD

Annualized rates are calculated as the number of unusual incidents per 1,000 inmate population per year. For example, the 6,091 incidents which were reported during January-December 2012 are divided by the average inmate population for 2012 (N=54,831), and multiplied by 1,000 to yield 111.1 incidents per thousand inmates. The same method is used to calculate the rate of unusual incidents at particular correctional facilities (the population base is the average inmate population at the facility; see Table 3.1) and to calculate the rate of specific types of unusual incidents (where the numerator is the total incidents in each incident category and the population base is either the average of the total population or the average of the population of a specific facility).

For example, Table 8.3 shows that Green Haven Correctional Facility, a maximum security prison with 28 inmate assault on inmate incidents and an average daily population of 2,010, has a similar assault rate (13.9) as Wende Regional Medical Unit (12.7) with 1 inmate assault on inmate incident and an average population of 79.

APPENDIX B

UNUSUAL INCIDENT LOCATIONS

Since the agency's last annual unusual incident report was produced, the capability to identify specific locations of unusual incidents has improved. This improvement in location identification specificity has resulted in some unusual incidents being classified in different locations. Primarily, some cases that were previously identified with an associated location in a housing unit have been classified more specifically as occurring in a Special Housing Unit or a Mental Health Unit (see description of housing unit types on p. 8).

For example, among 2011 unusual incidents, we previously reported that 39% occurred in housing units, 4% occurred in Special Housing Units, and 7% occurred in a Medical or Mental Health unit. Using our new location identification capabilities, we would now be able to report that 32% of these incidents occurred in housing units, 8% occurred in Special Housing Units, 5% occurred in Medical, and 5% occurred in Mental Health units (see Table B below).

TABLE B				
TOTAL NUMBER OF UNUSUAL INCIDENTS				
JANUARY - DECEMBER 2011				
REVISED LOCATION COMPARISON TABLE				
INCIDENT LOCATION	Prior to Revision		Post Revision	
	TOTAL NUMBER	PERCENTAGE	TOTAL NUMBER	PERCENTAGE
HOUSING UNIT	2,322	38.8%	1,910	31.9%
MESS HALL	126	2.1%	126	2.1%
YARD	578	9.7%	579	9.7%
TV/DAY ROOM	91	1.5%	88	1.5%
SPECIAL HOUSING	252	4.2%	499	8.3%
HOSPITAL	297	5.0%	319	5.3%
MENTAL HEALTH UNIT	151	2.5%	296	5.0%
SHOP OR CLASSROOM	107	1.8%	107	1.8%
GYM	112	1.9%	112	1.9%
VISITING ROOM	112	1.9%	112	1.9%
OUTSIDE PRISON	326	5.5%	326	5.5%
OTHER	1,505	25.2%	1,505	25.2%
TOTAL	5,979	100.0%	5,979	100.0%

APPENDIX C

DESCRIPTION OF MENTAL HEALTH TREATMENT UNITS (Definitions Provided by DOCCS Mental Health)

AGENCIES: **DOCCS** – Department of Corrections and Community Supervision
OMH – Office of Mental Health

Programs:

RCTP- RESIDENTIAL CRISIS TREATMENT PROGRAM (also referred to as OMH OBSERVATION OR SATELLITE UNITS)

The goal of the RCTP is to evaluate and treat inmate-patients in need of short-term crisis mental health care. RCTPs have both observation cells and a dorm area for inmate-patients in crisis and in need of intensive treatment and monitoring. Mental Health Satellite Units, which include an RCTP and outpatient services, are located in 13 OMH Level 1 maximum security facilities (Attica, Auburn, Bedford Hills, Clinton, Downstate, Elmira, Five Points, Great Meadow, Green Haven, Marcy Residential Mental Health Unit, Sing Sing, Sullivan, and Wende) and 3 OMH Level 1 medium security facilities (Albion, Fishkill, and Mid-State).

ICP- INTERMEDIATE CARE PROGRAM

The ICP is a Residential Mental Health program for Seriously Mentally Ill inmates jointly operated by OMH and DOCCS. The ICP includes a separate housing location within a correctional facility. The ICP is a therapeutic community which provides rehabilitative services to inmates who are unable to function in general population because of their mental illness. The goal of the program is to improve the inmate's ability to function through programming and treatment so that they may return to general population. Length of stay varies. The following facilities are OMH Level 1 with an ICP: Albion, Attica, Auburn, Bedford Hills, Clinton, Elmira, Fishkill, Five Points, Great Meadow, Green Haven, Mid-State, Sing Sing, and Sullivan.

IICP – INTENSIVE INTERMEDIATE CARE PROGRAM

The IICP treats SMI inmates with long-term keeplock sanctions and/or removal from another ICP due to poor disciplinary/poor adjustment in correctional facilities. Staffing and programming in an IICP is similar to other ICPs with additional groups centering on increasing an inmate's behavioral control and future adjustment to the correctional environment. The IICP is a 38 bed unit located at the Wende Correctional Facility.

BHU-BEHAVIORAL HEALTH UNIT

The Behavioral Health Unit (BHU) is a program that includes a separate housing location within a correctional facility. The BHU provides services to a target population of incarcerated inmates currently diagnosed as SMI and who have a demonstrated history of treatment resistance and poor custodial adjustment/behavior and who would otherwise be serving a confinement sanction in a Special Housing Unit. This program has an emphasis on cognitive and behavioral interventions. As of January 1, 2013 the BHU units were located at Great Meadow (Phase 1, 38 beds) and Sullivan (Phases 2 & 3, 64 beds).

TBU-THERAPEUTIC BEHAVIOR UNIT

The Therapeutic Behavioral Unit (TBU) is the functional equivalent of the BHU for female inmate-patients serving SHU sanctions that have a history of serious mental illness and poor custodial adjustment. The TBU is comprised of a 16 bed unit in a separate housing location within the Bedford Hills Correctional Facility. Like the BHU, this program has an emphasis on cognitive and behavioral interventions.

RMHU - RESIDENTIAL MENTAL HEALTH UNIT

The Residential Mental Health Unit (RMHU) is a program that includes a separate housing location within a correctional facility designed to address the corrections-based therapeutic treatment of inmate-patients currently diagnosed with a serious mental illness who, due to their behavior, would otherwise be serving a confinement sanction in the SHU or Separate Keeplock Unit. The program is designed to meet the therapeutic needs of inmate-patients while maintaining appropriate safety and security on the unit. The RMHU provides evaluation, intervention and supportive mental health and correctional rehabilitative services for the incarcerated inmate-patient participants. The RMHU inmate-patient is offered four hours of structured out-of-cell therapeutic programming and/or mental health treatment on a daily basis, except on weekends and holidays. This program is located at Marcy Correctional Facility (100 beds) and opened in December 2009. Five Points RMHU (60 beds) was opened in June 2011. Attica RMHU (10 beds) was opened in July 2011.

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