

State of New York  
Department of Correctional Services

Building Number 2  
Harriman Office Campus  
Albany, New York 12226

**UNUSUAL INCIDENT REPORT**

**JANUARY – DECEMBER 2009**



**David A. Paterson  
Governor**



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Commissioner**

UNUSUAL INCIDENT REPORT

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## EXECUTIVE SUMMARY

- **Number and Rate of Unusual Incidents January - December 2009**

There were 5,854 unusual incidents during January-December 2009. The annual rate of unusual incidents was 98.4 incidents per thousand inmate population (see Table 2, p.6).

- **Rate of Unusual Incidents by Facility Security Level**

The rate of unusual incidents was highest in maximum security facilities with 147.5 incidents per thousand inmate population. Medium security prisons experienced a rate of 60.3; minimum security (including work release facilities), 113.9; Shock Incarceration facilities, 33.9; and Camps, 65.8 (see Table 3.1, pp. 9-11).

- **Inmate Weapon Use**

Weapons were used by inmates in 26.1% of unusual incidents. Cutting or stabbing weapons were most often used during inmate assault on inmate and self-injury incidents. Use of body, such as fists or kicking, was most often used during inmate assault on staff incidents (see Table 4.2, p. 17).

- **Deaths**

There were 141 NYSDOCS inmate deaths reported in 2009. The total included 10 suicides and two homicides in NYSDOCS facilities. An additional 126 inmate deaths were due to natural causes (see Table 6, p. 20).

- **Inmate Assault on Staff**

There were 567 inmate assault on staff incidents in 2009. The annualized rate for maximum security prisons was 17.5 incidents per thousand inmate population; the rate for all facilities was 9.5 (see **Table 8.1, pp. 26-28**).

- **Inmate Assault on Inmate**

In 2009 there were 603 inmate assault on inmate incidents. The annualized rate for maximum security prisons was 15.8 incidents per thousand inmate population; the rate for all facilities was 10.1 (see **Table 8.3, pp. 33-35**).

- **Extent of Injury to Staff**

Most unusual incidents were resolved without injuries to staff. Of 21,378 staff members listed in unusual incidents, 95.9% did not incur an injury (see **Table 9.1, p. 40**).

- **Force Used to Resolve Incidents**

Department employees used force in 13.8% of unusual incidents in 2009. Force was used most often in inmate assault on staff incidents (see **Table 10.2, p. 42**).

## **INTRODUCTION**

The Unusual Incident System maintains information on incidents that occur within the New York State Department of Correctional Services (NYSDOCS). Events that disrupt or affect facility operations are considered unusual incidents and are reported by telephone to the DOCS Communication Control Center located in Albany and entered into the computer system via terminals at the correctional facilities.

The reporting system is capable of recording information on more than one type of unusual incident that occurs as part of a series of events. In concert with other information maintained in electronic databases, the Department can examine characteristics of victims and perpetrators involved in assaultive behavior, as well as identify all inmates and staff listed in incidents.

## Section One

### FREQUENCY OF INCIDENTS

A total of 5,854 unusual incidents were reported in 2009. Table 1 shows the type and number of incidents that occurred each month during the year. The number of unusual incidents fluctuates from month to month, and while monthly variations may be important, trend analyses that extend over months may be more meaningful.

The most frequently reported categories of incidents were contraband (N=2,351), inmate assault on inmate (N=603), and inmate assault on staff (N=567). Together, these three categories accounted for 60% of reported incidents. The reader should note that the deaths reported in Table 1 combines 141 inmates, 1 parolee, and 8 staff (see also page 20).

Table 1 Unusual Incident Type by Month January - December 2009													
Incident Type	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Accident	56	35	38	49	45	52	39	47	52	48	35	41	537
Assault on Inmate	41	47	49	49	56	52	59	55	52	48	52	43	603
Assault on Staff	42	45	41	55	56	50	55	61	29	44	47	42	567
Assault on Other	1	0	0	0	1	0	0	0	0	0	0	0	2
Contraband	177	176	208	201	205	215	204	201	181	173	208	202	2351
Death	6	15	20	8	18	8	8	12	10	10	15	20	150
Disruptive Behavior	52	34	35	35	30	22	32	35	26	25	25	25	376
Utilities Disruption	3	0	1	2	3	5	3	2	1	1	0	5	26
Employee Misconduct	6	7	11	5	10	10	17	16	14	16	12	8	132
Escape	0	0	0	0	0	0	0	1	0	0	0	0	1
Attempted Escape	1	1	0	0	0	0	0	0	0	0	0	0	2
Fire	1	2	1	4	2	3	1	2	4	4	5	6	35
Hostage Situation	0	0	0	0	0	0	0	0	0	0	0	0	0
Inmate Disturbance	0	0	0	0	0	0	0	0	0	0	0	0	0
Mass Demonstration	0	0	0	0	0	0	0	0	0	0	0	0	0
Property Destruction	0	2	0	2	1	0	1	2	2	0	0	0	10
Property Lost/Stolen	11	7	10	9	4	8	7	6	6	13	13	7	101
Self-Injury	4	7	2	8	4	7	6	8	11	6	3	4	70
Sexual Misconduct	7	2	6	4	2	6	4	10	4	2	7	3	57
Suicide Attempt	12	12	11	16	10	11	8	11	11	19	20	9	150
Temporary Release	10	11	8	12	7	13	11	12	8	12	8	10	122
Employee Weapon Use	20	12	16	19	13	14	16	12	12	8	9	14	165
Employee Job Action	1	0	0	1	0	0	0	0	1	0	0	0	3
Other Incidents	33	36	37	36	25	33	29	41	23	26	46	29	394
<b>Total</b>	<b>484</b>	<b>451</b>	<b>494</b>	<b>515</b>	<b>492</b>	<b>509</b>	<b>500</b>	<b>534</b>	<b>447</b>	<b>455</b>	<b>505</b>	<b>468</b>	<b>5854</b>

## Section Two

### ANNUALIZED RATE OF UNUSUAL INCIDENTS

The rate of unusual incidents is a ratio of the number of reported incidents to the inmate population. The rate measure used in this report is standardized per 1,000 inmates per year and allows for comparison between facilities and time periods. See Appendix A for an illustration and an explanation of the rate calculation methodology.

Table 2 displays the frequency and rate of unusual incidents by category. In 2009, there were 98.4 incidents per 1,000 inmates. Unusual incident rates for correctional facilities are presented in Section 3; rates of assaults by inmates on staff and other inmates are presented in Section 8. An additional method to examine unusual incidents is by inmate involvement. In 2009, there were 7,198 inmates listed in UI reports (see Section 5), a rate of 121.0 per 1,000 incarcerated inmates.

Table 2 Unusual Incident Frequency and Annual Rate January - December 2009		
Incident Type	Number of Incidents	Annual Rate
Accident	537	9.0
Assault on Inmate	603	10.1
Assault on Staff	567	9.5
Assault on Other	2	0.0
Contraband	2351	39.5
Death	150	2.5
Disruptive Behavior	376	6.3
Utilities Disruption	26	0.4
Employee Misconduct	132	2.2
Escape	1	0.0
Attempted Escape	2	0.0
Fire	35	0.6
Inmate Disturbance	0	0.0
Property Destruction	10	0.2
Property Lost/Stolen	101	1.7
Self-Injury	70	1.2
Sexual Misconduct	57	1.0
Suicide Attempt	150	2.5
Temporary Release	122	2.1
Employee Weapon Use	165	2.8
Employee Job Action	3	0.1
Other Incidents	394	6.6
<b>Total</b>	<b>5854</b>	<b>98.4</b>

Average inmate population for 2009 is 59,471. Annual Rate is calculated per 1,000 inmates.

## Section Three

### NUMBER AND RATE OF UNUSUAL INCIDENTS BY FACILITY

The number and rate of unusual incidents are presented in a series of statistical tables on pages 9-14. The number and rate of incidents by correctional facility and security level appear in Table 3.1, pages 9-11; the number of incidents per month by facility and facility security level appear in Table 3.2, pages 12-14.

#### MAXIMUM SECURITY

Maximum security housing units reported 3,725 unusual incidents in 2009, a rate of 147.5 incidents per 1,000 inmates. Prisons that experienced the highest rates include Great Meadow (213.9) and Wende (236.8). Regional Medical Units (RMU), specialized facilities for chronically ill inmates, reported high rates of unusual incidents because of the large number of deaths that occurred among a small inmate population. Bedford Hills Correctional Facility imprisons female inmates and accounted for 71 unusual incidents, a rate of 91.4. Bedford Hills RMU reported two unusual incidents for a rate of 105.3.

#### MEDIUM SECURITY

Medium security prisons reported 1,907 incidents in 2009, a rate of 60.3 incidents per 1,000 inmates. While housing 53% of the inmate population, medium security facilities accounted for only 33% of incidents. Included in the medium security level are ASACTC units (Alcohol and Substance Abuse Correctional Treatment Centers) which provide intensive counseling to inmates with histories of drug and alcohol abuse. A review of Table 3.1 reveals that Hale Creek (an ASACTC facility), as well as ASACTC units within medium security facilities (Arthur Kill, Taconic, and Wyoming), generally have a lower rate of unusual incidents when compared to other medium security facilities.

Greene (94.5) and Washington (75.5) Correctional Facilities reported a relatively high rate of incidents. This is due in part to the large number and percentage of inmates aged 16-20 housed in these facilities. Historically, younger inmates have higher rates of involvement in unusual incidents. Bayview Correctional Facility, a prison for female inmates with a work release component, reported a rate of 128.7 incidents per 1,000 inmates and included a number of inmate absconders. Hudson and Fishkill Correctional Facilities have a work release component, and each had a number of

inmate absconders in 2009. Hudson had a reported rate of 101.4 incidents per 1,000 inmates and Fishkill had a reported rate of 92.7 incidents per 1,000 inmates.

## **MINIMUM SECURITY**

The minimum security category includes the following work release facilities: Buffalo, Edgecombe, Fulton, Lincoln, and Rochester. The rate of unusual incidents was 113.9 per thousand inmates in 2009. Beacon incarcerates female inmates.

## **SHOCK INCARCERATION**

Five Shock Incarceration facilities, commonly referred to as boot camps, reported 33 incidents in 2009, a rate of 33.9 per 1,000 inmates. Lakeview Male Shock Incarceration Program reported 16 incidents, a rate of 34.3 incidents per 1,000 inmates.

## **CAMPS**

Minimum security camps recorded 15 unusual incidents or a rate of 65.8 incidents per 1,000 inmates of population. Camp Gabriels, Camp McGregor, and Camp Pharsalia were officially closed on 7/1/09. However, incident rates were calculated on an annualized basis. Camp McGregor (181.8) recorded the highest rate of unusual incidents; Camp Gabriels recorded no incidents.

## **MISCELLANEOUS**

Twelve unusual incidents were reported by Central Office staff. Seven accidents, four instances of contraband, and one incident of employee misconduct were reported.

Table 3.1  
Number and Rate of Unusual Incidents by Security Level and Facility  
January - December 2009

Correctional Facility	Population 2009	Unusual Incidents	Rate per 1,000 Inmates
<b>Maximum Security</b>			
Attica	2162	310	143.4
Auburn	1720	350	203.5
Bedford Hills	777	71	91.4
Clinton	2838	389	137.1
Coxsackie	972	117	120.4
Downstate	1205	128	106.2
Eastern	1101	87	79.0
Elmira	1811	266	146.9
Five Points	1387	250	180.2
Great Meadow	1646	352	213.9
Green Haven	2024	243	120.1
Shawangunk	542	50	92.3
Sing Sing	1756	300	170.8
Southport	879	74	84.2
Sullivan	603	95	157.5
Upstate	1282	164	127.9
Wende	836	198	236.8
Subtotal	23541	3444	146.3
Cayuga SHU200	182	24	131.9
Collins SHU200	172	9	52.3
Fishkill SHU200	177	42	237.3
Gouverneur SHU200	185	15	81.1
Greene SHU200	180	8	44.4
Lakeview SHU200	186	31	166.7
Mid-State SHU200	178	13	73.0
Orleans SHU200	159	14	88.1
Subtotal	1419	156	109.9
Bedford Hills RMU	19	2	105.3
Coxsackie RMU	58	20	344.8
Fishkill RMU	27	18	666.7
Walsh RMU	110	53	481.8
Wende RMU	77	32	415.6
Subtotal	291	125	429.6
<b>Total</b>	<b>25251</b>	<b>3725</b>	<b>147.5</b>

*(continued on the next page)*

Table 3.1  
Number and Rate of Unusual Incidents by Security Level and Facility  
January - December 2009

Correctional Facility	Population 2009	Unusual Incidents	Rate per 1,000 Inmates
<b>Medium Security</b>			
Adirondack	452	29	64.2
Albion	988	40	40.5
Altona	474	13	27.4
Arthur Kill	893	58	64.9
Arthur Kill ASACTC	58	2	34.5
Bare Hill	1691	163	96.4
Bayview	171	22	128.7
Butler	187	6	32.1
Cape Vincent	862	53	61.5
Cayuga	863	42	48.7
Chateaugay	201	6	29.9
Collins	808	43	53.2
Fishkill	1511	140	92.7
Franklin	1697	108	63.6
Gouverneur	861	35	40.7
Gowanda	1627	59	36.3
Greene	1598	151	94.5
Groveland	1028	73	71.0
Hale Creek ASACTC	456	8	17.5
Hudson	355	36	101.4
Lakeview Reception-F	4	0	0.0
Lakeview Reception-M	155	10	64.5
Livingston	849	41	48.3
Marcy	1096	92	83.9
Mid-Orange	648	16	24.7
Mid-State	1272	96	75.5
Mohawk	1104	46	41.7
Mt. McGregor	491	28	57.0
Ogdensburg	466	29	62.2
Oneida	1045	51	48.8
Orleans	844	60	71.1
Otisville	486	18	37.0
Riverview	866	45	52.0
Taconic	223	18	80.7
Taconic ASACTC	104	2	19.2
Ulster	723	28	38.7
Wallkill	564	24	42.6
Washington	874	66	75.5
Watertown	597	33	55.3
Woodbourne	771	28	36.3
Wyoming	1527	89	58.3
Wyoming ASACTC	131	0	0.0
<b>Total</b>	<b>31621</b>	<b>1907</b>	<b>60.3</b>

*(continued on the next page)*

Table 3.1  
Number and Rate of Unusual Incidents by Security Level and Facility  
January - December 2009

Correctional Facility	Population 2009	Unusual Incidents	Rate per 1,000 Inmates
<b>Shock Incarceration</b>			
Lakeview Shock-F	79	2	25.3
Lakeview Shock-M	467	16	34.3
Monterey Shock	131	3	22.9
Moriah Shock	171	8	46.8
Summit Shock	126	4	31.7
Total	974	33	33.9
<b>Minimum Security</b>			
Beacon	201	2	10.0
Buffalo	125	21	168.0
Butler Minimum	101	5	49.5
Edgecombe	18	8	444.4
Fulton	144	48	333.3
Lincoln	206	41	199.0
Lyon Mountain	136	3	22.1
Queensboro	403	15	37.2
Rochester	55	18	327.3
Summit	33	1	30.3
Total	1422	162	113.9
<b>Camps</b>			
Camp Gabriels *	36	0	0.0
Camp Georgetown	135	8	59.3
Camp McGregor *	22	4	181.8
Camp Pharsalia *	35	3	85.7
Total	228	15	65.8
<b>Other</b>			
Central Office	0	12	0.0
Total	0	12	0.0
<b>Grand Total</b>	<b>59471</b>	<b>5854</b>	<b>98.4</b>

\* Only open with inmates for six months in 2009.

Table 3.2  
Number of Unusual Incidents by Security Level and Facility  
January - December 2009

Correctional Facility	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
<b>Maximum Security</b>													
Attica	19	32	26	27	43	13	32	33	26	25	22	12	310
Auburn	37	24	29	33	23	29	26	28	30	27	39	25	350
Bedford Hills	3	6	4	4	6	6	10	5	8	4	10	5	71
Clinton	25	23	24	46	30	31	43	23	46	27	43	28	389
Coxsackie	8	8	12	11	10	13	12	13	4	5	9	12	117
Downstate	8	4	6	7	12	5	4	17	8	18	25	14	128
Eastern	5	11	8	10	4	6	8	9	6	7	6	7	87
Elmira	25	21	32	18	14	27	16	25	21	20	28	19	266
Five Points	25	18	18	21	22	15	32	14	20	26	16	23	250
Great Meadow	22	24	28	22	43	27	34	41	21	33	27	30	352
Green Haven	16	21	16	12	25	22	24	24	19	19	23	22	243
Shawangunk	3	3	2	4	4	4	4	2	7	6	4	7	50
Sing Sing	17	21	24	26	22	32	26	33	28	21	27	23	300
Southport	8	9	5	6	4	4	3	6	6	4	8	11	74
Sullivan	13	8	10	16	11	10	4	5	3	6	3	6	95
Upstate	26	12	9	24	9	12	8	16	10	10	10	18	164
Wende	20	21	17	15	15	31	10	16	12	9	17	15	198
Subtotal	280	266	270	302	297	287	296	310	275	267	317	277	3444
Cayuga SHU200	4	1	1	0	3	3	2	0	1	4	3	2	24
Collins SHU200	0	0	2	0	1	1	2	0	1	1	0	1	9
Fishkill SHU200	0	7	2	13	2	1	2	0	4	2	6	3	42
Gouverneur SHU200	0	4	0	0	3	1	0	1	0	0	0	6	15
Greene SHU200	2	0	0	0	1	0	1	2	0	1	1	0	8
Lakeview SHU200	4	2	5	2	3	5	2	4	1	0	0	3	31
Mid-State SHU200	1	0	2	0	0	0	1	5	2	0	2	0	13
Orleans SHU200	1	0	4	1	1	0	0	3	2	1	1	0	14
Subtotal	12	14	16	16	14	11	10	15	11	9	13	15	156
Bedford Hills RMU	0	0	0	0	0	0	1	0	1	0	0	0	2
Coxsackie RMU	3	2	2	1	2	0	0	1	1	3	1	4	20
Fishkill RMU	0	2	2	1	1	0	5	2	1	2	1	1	18
Walsh RMU	4	5	4	9	2	5	2	4	2	11	3	2	53
Wende RMU	1	4	5	1	7	3	2	1	5	0	0	3	32
Subtotal	8	13	13	12	12	8	10	8	10	16	5	10	125
Total	300	293	299	330	323	306	316	333	296	292	335	302	3725
Column Percent	62%	65%	61%	64%	66%	60%	63%	62%	66%	64%	66%	65%	64%

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Table 3.2 (continued)  
 Number of Unusual Incidents by Security Level and Facility  
 January - December 2009

Correctional Facility	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Medium Security													
Adirondack	2	1	1	1	5	5	2	4	2	1	4	1	29
Albion	1	3	8	5	3	2	4	6	1	3	3	1	40
Altona	1	2	0	3	1	3	0	0	0	2	0	1	13
Arthur Kill	2	4	7	3	3	13	3	6	1	5	6	5	58
Arthur Kill ASACTC	0	0	0	0	0	0	0	0	2	0	0	0	2
Bare Hill	17	10	9	17	15	20	15	15	5	15	12	13	163
Bayview	3	2	0	2	2	0	1	3	2	2	3	2	22
Butler	0	1	0	1	0	0	0	1	2	0	1	0	6
Cape Vincent	4	2	5	3	3	3	7	7	4	4	6	5	53
Cayuga	5	4	5	2	3	3	4	7	0	2	2	5	42
Chateaugay	0	1	0	1	0	0	0	0	0	1	1	2	6
Collins	6	4	2	5	6	8	1	6	0	2	0	3	43
Fishkill	8	8	9	4	13	16	17	12	9	14	20	10	140
Franklin	7	11	9	9	8	8	15	12	11	5	7	6	108
Gouverneur	1	2	7	2	2	1	2	4	1	5	7	1	35
Gowanda	5	3	3	7	6	3	7	5	5	7	6	2	59
Greene	13	9	18	10	18	12	9	10	18	11	7	16	151
Groveland	4	4	5	10	2	10	7	8	6	5	7	5	73
Hale Creek ASACTC	0	1	0	1	0	2	0	4	0	0	0	0	8
Hudson	3	4	0	2	3	3	6	5	3	4	2	1	36
Lakeview Reception-F	0	0	0	0	0	0	0	0	0	0	0	0	0
Lakeview Reception-M	1	2	2	1	2	0	0	1	0	0	1	0	10
Livingston	4	4	9	5	0	4	0	4	2	5	0	4	41
Marcy	7	7	17	10	4	9	2	9	4	7	7	9	92
Mid-Orange	0	0	1	1	3	3	3	0	3	1	0	1	16
Mid-State	11	6	6	6	4	6	15	4	12	7	7	12	96
Mohawk	5	5	6	3	5	0	5	3	1	5	4	4	46
Mt. McGregor	1	3	4	2	2	2	4	0	5	2	3	0	28
Ogdensburg	6	5	2	1	0	7	1	2	0	1	1	3	29
Oneida	4	4	9	5	5	7	0	3	2	7	3	2	51
Orleans	3	6	9	10	4	0	4	8	6	3	3	4	60
Otisville	1	0	1	1	0	2	4	3	4	1	1	0	18
Riverview	5	5	3	2	6	4	0	7	1	2	8	2	45
Taconic	2	2	2	4	4	2	1	0	0	0	1	0	18
Taconic ASACTC	0	0	0	0	0	1	0	0	0	0	1	0	2
Ulster	4	3	3	1	2	0	4	1	1	1	3	5	28
Walkkill	3	3	1	3	0	3	3	0	2	0	3	3	24
Washington	8	2	6	7	10	5	8	8	2	5	1	4	66
Watertown	3	1	3	1	2	6	3	2	5	3	1	3	33
Woodbourne	2	3	0	1	2	1	2	4	6	4	2	1	28
Wyoming	10	9	6	7	3	8	9	4	10	8	5	10	89
Wyoming ASACTC	0	0	0	0	0	0	0	0	0	0	0	0	0
Total	162	146	178	159	151	182	168	178	138	150	149	146	1907
Column Percent	33%	32%	36%	31%	31%	36%	34%	33%	31%	33%	30%	31%	33%

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Table 3.2 (continued)  
Number of Unusual Incidents by Security Level and Facility  
January - December 2009

Correctional Facility	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
<b>Shock Incarceration</b>													
Lakeview Shock-M	1	0	3	1	2	1	2	3	1	0	1	1	16
Lakeview Shock-F	0	1	0	0	1	0	0	0	0	0	0	0	2
Monterey Shock	0	0	0	0	0	1	0	1	0	0	1	0	3
Moriah Shock	2	1	1	1	2	1	0	0	0	0	0	0	8
Summit Shock	0	0	1	0	0	0	0	1	0	1	0	1	4
<b>Total</b>	<b>3</b>	<b>2</b>	<b>5</b>	<b>2</b>	<b>5</b>	<b>3</b>	<b>2</b>	<b>5</b>	<b>1</b>	<b>1</b>	<b>2</b>	<b>2</b>	<b>33</b>
<b>Column Percent</b>	<b>0.6%</b>	<b>0.4%</b>	<b>1.0%</b>	<b>0.4%</b>	<b>1.0%</b>	<b>0.6%</b>	<b>0.4%</b>	<b>0.9%</b>	<b>0.2%</b>	<b>0.2%</b>	<b>0.4%</b>	<b>0.4%</b>	<b>0.6%</b>
<b>Minimum Security</b>													
Beacon	0	0	0	0	1	0	0	1	0	0	0	0	2
Buffalo	0	2	0	3	2	1	2	2	1	1	4	3	21
Butler Minimum	0	1	0	2	0	0	1	1	0	0	0	0	5
Edgecombe	3	0	0	1	1	1	0	0	1	0	0	1	8
Fulton	4	2	4	8	1	4	4	4	5	4	5	3	48
Lincoln	5	2	2	4	3	5	4	5	3	3	3	2	41
Lyon Mountain	0	0	0	1	0	0	1	1	0	0	0	0	3
Queensboro	1	1	1	1	0	1	1	0	2	0	1	6	15
Rochester	2	0	4	0	2	3	0	1	0	4	0	2	18
Summit	1	0	0	0	0	0	0	0	0	0	0	0	1
<b>Total</b>	<b>16</b>	<b>8</b>	<b>11</b>	<b>20</b>	<b>10</b>	<b>15</b>	<b>13</b>	<b>15</b>	<b>12</b>	<b>12</b>	<b>13</b>	<b>17</b>	<b>162</b>
<b>Column Percent</b>	<b>3.3%</b>	<b>1.8%</b>	<b>2.2%</b>	<b>3.9%</b>	<b>2.0%</b>	<b>2.9%</b>	<b>2.6%</b>	<b>2.8%</b>	<b>2.7%</b>	<b>2.6%</b>	<b>2.6%</b>	<b>3.6%</b>	<b>2.8%</b>
<b>Camps</b>													
Camp Gabriels *	0	0	0	0	0	0	0	0	0	0	0	0	0
Camp Georgetown	1	1	0	0	1	1	1	3	0	0	0	0	8
Camp McGregor *	0	0	1	3	0	0	0	0	0	0	0	0	4
Camp Pharsalia *	0	1	0	0	2	0	0	0	0	0	0	0	3
<b>Total</b>	<b>1</b>	<b>2</b>	<b>1</b>	<b>3</b>	<b>3</b>	<b>1</b>	<b>1</b>	<b>3</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>15</b>
<b>Column Percent</b>	<b>0.2%</b>	<b>0.4%</b>	<b>0.2%</b>	<b>0.6%</b>	<b>0.6%</b>	<b>0.2%</b>	<b>0.2%</b>	<b>0.6%</b>	<b>0.0%</b>	<b>0.0%</b>	<b>0.0%</b>	<b>0.0%</b>	<b>0.3%</b>
<b>Other</b>													
Central Office	2	0	0	1	0	2	0	0	0	0	6	1	12
<b>Total</b>	<b>2</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>2</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>6</b>	<b>1</b>	<b>12</b>
<b>Column Percent</b>	<b>0.4%</b>	<b>0.0%</b>	<b>0.0%</b>	<b>0.2%</b>	<b>0.0%</b>	<b>0.4%</b>	<b>0.0%</b>	<b>0.0%</b>	<b>0.0%</b>	<b>0.0%</b>	<b>1.2%</b>	<b>0.2%</b>	<b>0.2%</b>
<b>Grand Total</b>	<b>484</b>	<b>451</b>	<b>494</b>	<b>515</b>	<b>492</b>	<b>509</b>	<b>500</b>	<b>534</b>	<b>447</b>	<b>455</b>	<b>505</b>	<b>468</b>	<b>5854</b>
<b>Column Percent</b>	<b>100%</b>												

\* Only open with inmates for 6 months in 2009.

## Section Four

### INMATE WEAPON USE

Tables 4.1 (p. 16) and 4.2 (p. 17) show the most dangerous weapons used in unusual incidents. The count of weapons includes only the most dangerous weapon used in an incident and is not a count of all weapons in an incident. For example, in an inmate assault on inmate unusual incident, when two inmates with shanks (prison-made knives) attack another inmate who defends himself with a broom handle, a shank would be counted as the most serious weapon used in the assault incident.

In 2009, inmates used weapons in 26.1% of all unusual incidents. Table 4.1 shows that 5.0% of incidents involved the 'other' weapon category and included items such as garrotes, human waste, and unspecified or unknown weapons. A large number of unknown weapons are present in inmate assault on inmate incidents. In the majority of those cases, the weapon was not found by correctional staff but the injuries sustained by inmate victims were consistent with the type of injuries inflicted by cutting/stabbing weapons. The Unusual Incident reporting system categorizes "unknown" weapons as "unrecovered cutting or stabbing weapon" if injuries were consistent with use of those weapons.

Inmate weapon use is concentrated within a few unusual incident categories: inmate assault on inmate, inmate assault on staff, self-injury, and disruptive behavior (see Table 4.2). Inmate attacks on other inmates usually involved cut/stab weapons (60.0%). In inmate attacks on staff, inmates most often used their body (N=463, 81.7%) to hit, kick, or bite correctional staff. Use of 'other' weapons in attacks on staff (N=83, 14.6%) included inmates throwing human waste and/or other fluids, such as water, juice or hot coffee, onto correction officers or other staff.

Table 4.1  
Use of Weapons by Month of Incident  
January - December 2009

Type of Weapon	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
<b>No Weapon Used</b>													
No Weapon Total	366	330	378	376	355	387	352	383	329	336	374	361	4327
Col. Pct.	75.6%	73.2%	76.5%	73.0%	72.2%	76.0%	70.4%	71.7%	73.6%	73.8%	74.1%	77.1%	73.9%
<b>Use of Body</b>													
Fist, Kick, etc. Total	64	60	48	60	70	58	77	66	45	53	50	45	696
Col. Pct.	13.2%	13.3%	9.7%	11.7%	14.2%	11.4%	15.4%	12.4%	10.1%	11.6%	9.9%	9.6%	11.9%
<b>Cut or Stab Weapon</b>													
Broken Glass	0	0	0	0	0	2	1	3	1	0	1	0	8
Eating Utensil	0	0	0	0	0	0	0	0	1	1	0	0	2
Knife-Manufactured	0	0	0	0	0	0	0	0	0	0	0	0	0
Pen or Pencil	2	0	0	1	2	2	4	2	1	1	1	1	17
Razor Blade	4	9	6	11	6	7	8	12	7	6	7	8	91
Ice Pick Type	0	1	3	3	0	2	2	2	1	2	2	1	19
Shank	0	2	5	3	2	3	1	5	3	4	3	2	33
Can Lid	1	4	3	6	0	1	5	0	3	2	0	4	29
Toothbrush	0	0	0	1	0	0	0	2	1	0	0	0	4
Unrc. Cutting Weapon	15	20	20	14	21	19	20	17	28	20	30	15	239
Unrc. Stab. Weapon	0	2	4	5	7	1	4	7	1	0	1	1	33
Total	22	38	41	44	38	37	45	50	47	36	45	32	475
Col. Pct	4.5%	8.4%	8.3%	8.5%	7.7%	7.3%	9.0%	9.4%	10.5%	7.9%	8.9%	6.8%	8.1%
<b>Club</b>													
Weighted Container	2	1	4	1	2	2	1	0	1	0	3	3	20
Other Club	0	1	0	1	0	0	0	0	1	0	0	1	4
Wooden Club	0	1	1	1	2	2	1	2	2	3	0	0	15
Kitchen Tray	1	1	0	0	0	1	0	1	1	1	1	1	8
Total	3	4	5	3	4	5	2	3	5	4	4	5	47
Col. Pct.	0.6%	0.9%	1.0%	0.6%	0.8%	1.0%	0.4%	0.6%	1.1%	0.9%	0.8%	1.1%	0.8%
<b>Metal Object</b>													
Chair	3	1	0	0	0	2	2	3	0	1	1	2	15
Metal Pipe	0	0	0	0	0	0	0	0	0	0	0	0	0
Total	3	1	0	0	0	2	2	3	0	1	1	2	15
Col. Pct.	0.6%	0.2%	0.0%	0.0%	0.0%	0.4%	0.4%	0.6%	0.0%	0.2%	0.2%	0.4%	0.3%
<b>Other Weapon</b>													
Combustible Material	0	0	0	0	0	0	0	0	0	0	0	0	0
Garrotte	10	5	7	12	6	7	4	8	10	11	12	7	99
Human Waste	0	2	3	1	1	0	2	1	0	0	0	2	12
Water or Other Fluid	3	1	3	6	2	3	3	6	1	2	1	2	33
Body Fluids	0	0	0	3	4	1	0	3	2	0	3	1	17
Not Specified	2	2	4	2	4	1	6	2	2	5	5	2	37
Other	11	8	5	8	8	8	7	9	6	7	10	9	96
Total	26	18	22	32	25	20	22	29	21	25	31	23	294
Col. Pct.	5.4%	4.0%	4.5%	6.2%	5.1%	3.9%	4.4%	5.4%	4.7%	5.5%	6.1%	4.9%	5.0%
Grand Total	484	451	494	515	492	509	500	534	447	455	505	468	5854
Percent	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%

Table 4.2  
Incident Category by Inmate Weapon Use  
January - December 2009

Incident Category	No Weapon Used	Use of Body	Cut/Stab Weapon	Club	Metal Object	Other Weapon	Total
Accident Row Pct.	537 100.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	537 100.0%
Assault on Inmate Row Pct.	26 4.3%	81 13.4%	362 60.0%	37 6.1%	12 2.0%	85 14.1%	603 100.0%
Assault on Staff Row Pct.	11 1.9%	463 81.7%	2 0.4%	8 1.4%	0 0.0%	83 14.6%	567 100.0%
Assault on Other Row Pct.	1 50.0%	1 50.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	2 100.0%
Contraband Row Pct.	2317 98.6%	1 0.0%	28 1.2%	1 0.0%	0 0.0%	4 0.2%	2351 100.0%
Death Row Pct.	142 94.7%	0 0.0%	1 0.7%	0 0.0%	0 0.0%	7 4.7%	150 100.0%
Disruptive Behavior Row Pct.	230 61.2%	132 35.1%	6 1.6%	1 0.3%	3 0.8%	4 1.1%	376 100.0%
Utilities Disruption Row Pct.	25 96.2%	0 0.0%	1 3.8%	0 0.0%	0 0.0%	0 0.0%	26 100.0%
Employee Misconduct Row Pct.	132 100.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	132 100.0%
Escape Row Pct.	1 50.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	1 50.0%
Attempted Escape Row Pct.	2 100.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	2 100.0%
Fire Row Pct.	35 100.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	35 100.0%
Property Destruction Row Pct.	9 90.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	1 10.0%	10 100.0%
Property Lost or Stolen Row Pct.	101 100.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	101 100.0%
Self-Injury Row Pct.	11 15.7%	6 8.6%	47 67.1%	0 0.0%	0 0.0%	6 8.6%	70 100.0%
Sexual Misconduct Row Pct.	56 98.2%	1 1.8%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	57 100.0%
Suicide Attempt Row Pct.	23 15.3%	2 1.3%	28 18.7%	0 0.0%	0 0.0%	97 64.7%	150 100.0%
Temporary Release Row Pct.	122 100.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	122 100.0%
Employee Weapon Use Row Pct.	158 95.8%	7 4.2%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	165 100.0%
Employee Job Action Row Pct.	3 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	3 0.0%
Other Incidents Row Pct.	385 97.7%	2 0.5%	0 0.0%	0 0.0%	0 0.0%	7 1.8%	394 100.0%
Grand Total Percent	4327 73.9%	696 11.9%	475 8.1%	47 0.8%	15 0.3%	294 5.0%	5854 100.0%

## Section Five

### INMATE ROLE IN INCIDENT

Table 5.1 (p. 19) shows the role of inmates according to type of unusual incident. Inmate roles are divided into five categories: perpetrator, participant, suspect, victim, or witness. "Perpetrator" refers to the inmate who is responsible for the unusual incident (i.e., the individual who assaulted another person, started a fire, possessed contraband, or escaped from a facility). The "participant" role describes an inmate who is involved in an unusual incident but in a secondary capacity when compared to the perpetrator. An example would be an inmate who engages in a work stoppage but only after instigation by other inmates. "Suspect" refers to an inmate who is suspected of involvement in an incident but whose role will be determined after additional investigation. "Victim" refers to an inmate who has suffered an injury or loss due to the actions of other inmates, i.e., someone who has been assaulted or suffered smoke inhalation from a fire. The "witness/bystander" role describes an inmate who observed an unusual incident, or may have been present during an unusual incident but was not actively involved in the incident.

A total of 7,198 inmates were involved in unusual incidents in 2009. Specifically, there were 4,715 inmate perpetrators; 161 inmate participants; 1,240 inmate victims; 302 suspects; and 780 witnesses or bystanders. It should be noted that reporting system procedures enable an inmate's involvement to be counted several times depending upon the number of unusual incident reports filed as a result of a series of events. For example, if an inmate uses a knife in an assault of another inmate, the event may be reported in two categories - one for the assault incident, another for the inmate's possession of a weapon (contraband). While both unusual incidents are part of the same situation, the inmate would be listed as a perpetrator twice.

Table 5.2 (p. 19) shows the distribution of inmate roles by month for calendar year 2009.

Table 5.1  
Unusual Incident Type by Inmate Role  
January - December 2009

Incident Type	Inmate Role in Incident											
	Perpetrator		Participant		Suspect		Victim		Witness or Bystander		Total	
	Number	Col. Pct.	Number	Col. Pct.	Number	Col. Pct.	Number	Col. Pct.	Number	Col. Pct.	Number	Col. Pct.
Accident	14	0.3%	21	13.0%	2	0.7%	403	32.5%	73	9.4%	513	7.1%
Assault on Inmate	543	11.5%	58	36.0%	34	11.3%	580	46.8%	34	4.4%	1249	17.4%
Assault on Staff	582	12.3%	10	6.2%	0	0.0%	0	0.0%	31	4.0%	623	8.7%
Assault on Other	2	0.0%	0	0.0%	0	0.0%	0	0.0%	3	0.4%	5	0.1%
Contraband	2057	43.6%	26	16.1%	196	64.9%	7	0.6%	228	29.2%	2514	34.9%
Death	2	0.0%	2	1.2%	0	0.0%	142	11.5%	29	3.7%	175	2.4%
Disruptive Behavior	748	15.9%	9	5.6%	2	0.7%	8	0.6%	16	2.1%	783	10.9%
Utilities Disruption	19	0.4%	0	0.0%	0	0.0%	16	1.3%	0	0.0%	35	0.5%
Employee Misconduct	0	1.0%	1	0.6%	1	0.3%	4	0.3%	3	0.4%	9	0.1%
Escape	1	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	1	0.0%
Attempted Escape	2	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	2	0.0%
Fire	21	0.4%	0	0.0%	0	0.0%	11	0.9%	29	3.7%	61	0.8%
Property Destruction	7	0.1%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	7	0.1%
Property Lost/Stolen	0	0.0%	0	0.0%	52	17.2%	0	0.0%	70	9.0%	122	1.7%
Self-Injury	70	1.5%	0	0.0%	0	0.0%	0	0.0%	5	0.6%	75	1.0%
Sexual Misconduct	75	1.6%	3	1.9%	0	0.0%	3	0.2%	3	0.4%	84	1.2%
Suicide Attempt	143	3.0%	9	5.6%	0	0.0%	7	0.6%	16	2.1%	175	2.4%
Temporary Release	117	2.5%	0	0.0%	0	0.0%	1	0.1%	0	0.0%	118	1.6%
Employee Weapon Use	190	4.0%	4	2.5%	0	0.0%	0	0.0%	3	0.4%	197	2.7%
Other Incidents	122	2.6%	18	11.2%	15	5.0%	58	4.7%	237	30.4%	450	6.3%
<b>Total</b>	<b>4715</b>	<b>100%</b>	<b>161</b>	<b>100%</b>	<b>302</b>	<b>100%</b>	<b>1240</b>	<b>100%</b>	<b>780</b>	<b>100%</b>	<b>7198</b>	<b>100%</b>

Table 5.2  
Inmate Role by Month of Incident  
January - December 2009

Inmate Role	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Perpetrator	405	361	412	418	405	371	433	412	343	369	401	385	4715
Col. Pct.	62.2%	66.2%	66.1%	68.3%	65.2%	62.8%	70.2%	66.5%	63.6%	65.3%	65.3%	64.2%	65.5%
Participant	14	8	18	11	11	6	11	19	20	19	18	6	161
Col. Pct.	2.2%	1.5%	2.9%	1.8%	1.8%	1.0%	1.8%	3.1%	3.7%	3.4%	2.9%	1.0%	2.2%
Suspect	30	38	26	9	32	26	26	30	19	21	20	25	302
Col. Pct.	4.6%	7.0%	4.2%	1.5%	5.2%	4.4%	4.2%	4.8%	3.5%	3.7%	3.3%	4.2%	4.2%
Victim	95	85	107	101	122	109	107	115	102	102	92	103	1240
Col. Pct.	14.6%	15.6%	17.2%	16.5%	19.6%	18.4%	17.3%	18.5%	18.9%	18.1%	15.0%	17.2%	17.2%
Bystander	107	53	60	73	51	79	40	44	55	54	83	81	780
Col. Pct.	16.4%	9.7%	9.6%	11.9%	8.2%	13.4%	6.5%	7.1%	10.2%	9.6%	13.5%	13.5%	10.8%
<b>Total</b>	<b>651</b>	<b>545</b>	<b>623</b>	<b>612</b>	<b>621</b>	<b>591</b>	<b>617</b>	<b>620</b>	<b>539</b>	<b>565</b>	<b>614</b>	<b>600</b>	<b>7198</b>
Col. Pct.	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%

## Section Six

### INMATE DEATHS

The number and cause of inmate deaths are shown in Table 6. The total differs from other statistical tables in this report because Table 6 reflects only the deaths of inmates who were in the Department's physical custody, transferred to other criminal justice agencies (but still in legal custody of NY DOCS), or given permission to leave DOCS facilities for work release, day reporting, or furlough. The table does not include deaths of correctional staff.

An unusual incident report is made immediately upon discovery of an inmate death, but the reporting officer may not know the cause of death. Further investigation by Health Services' staff and local medical authorities is required to determine the final official cause of death. Table 6 reflects information known at the time this report was written. In addition to inmate deaths shown in Table 6, unusual incidents included eight staff deaths and one parolee housed at Elmira Correctional Facility for medical treatment. None of the staff deaths were due to inmate actions.

Table 6 Inmate Deaths: Month by Cause of Death January - December 2009						
Cause of Death						
Month of Death	Homicide	Suicide	Natural Causes	Other Known Causes	Pending Cause	Total
January	1	0	5	0	0	6
February	0	1	13	0	0	14
March	0	2	17	0	0	19
April	1	1	6	0	0	8
May	0	0	17	1	0	18
June	0	0	7	0	0	7
July	0	1	6	1	0	8
August	0	1	11	0	0	12
September	0	2	7	0	0	9
October	0	0	9	0	0	9
November	0	1	11	1	0	13
December	0	1	17	0	0	18
Total	2	10	126	3	0	141
Row Pct.	1.4%	7.1%	89.4%	2.1%	0.0%	100%

## Section Seven

### LOCATION OF UNUSUAL INCIDENTS

The location of unusual incidents and the incident type are shown in Table 7.1, p. 22. Locations are categorized into eleven primary areas. Most incidents (N=2,276, 38.9%) occurred in housing units which include general confinement housing as well as shower and toilet areas. An additional 522 incidents (8.9%) were reported in the prison yard which is an open area inside the facility perimeter that inmates use for recreation and exercise. Special Housing Units (S.H.U.) house inmates in disciplinary segregation or for protection from other inmates and were the location of 333 incidents (5.7%).

The incident types most often occurring in the housing areas were inmate assault on staff (N=276), contraband (N=1,178), and inmate assault on inmate (N=231). The fewest incidents were reported in visiting rooms (N=80, 1.4%), TV/Day Rooms (N=112, 1.9%), gymnasiums (N=120, 2.0%), and mess halls (N=132, 2.3%). Table 7.2 presents incident location by month of incident for calendar year 2009.

Table 7.1  
Unusual Incident Type by Location  
January - December 2009

Incident Type	Location											Total
	Housing Unit	Mess Hall	Yard	TV/Day Room	Special Housing	Hospital M.H.U.	Shop or Class	Gym	Visiting Room	Outside Prison	Other	
Accident	96	11	154	12	5	21	18	62	1	43	114	537
Assault on Inmate	231	26	162	36	1	4	16	11	0	0	116	603
Assault on Staff	276	27	8	19	50	51	11	6	6	4	109	567
Assault on Other	0	0	1	0	0	0	0	0	1	0	0	2
Contraband	1178	40	136	22	116	81	32	19	35	15	677	2351
Death	15	0	0	0	1	49	1	3	0	79	2	150
Disruptive Behavior	158	13	35	21	66	49	5	8	0	0	21	376
Utilities Disruption	2	0	0	0	0	1	2	1	0	3	17	26
Employee Misconduct	0	0	0	0	0	1	2	1	0	101	27	132
Escape	1	0	0	0	0	0	0	0	0	0	0	1
Attempted Escape	0	0	0	0	0	0	0	0	0	1	1	2
Fire	27	1	0	0	0	1	1	0	0	2	3	35
Inmate Disturbance	0	0	0	0	0	0	0	0	0	0	0	0
Property Destruction	1	1	0	1	0	2	2	0	0	1	2	10
Property Lost/Stolen	8	2	0	0	1	22	8	1	0	21	38	101
Self-Injury	52	1	0	0	8	7	0	0	0	0	2	70
Sexual Misconduct	19	0	0	0	0	2	3	1	26	1	5	57
Suicide Attempt	97	0	1	0	33	19	0	0	0	0	0	150
Temporary Release	0	0	0	0	0	0	0	0	0	122	0	122
Employee Weapon Use	65	4	22	1	42	12	4	3	0	0	12	165
Employee Job Action	0	0	0	0	0	0	0	0	0	0	3	3
Other Incidents	50	6	3	0	10	79	2	4	11	22	207	394
Total	2276	132	522	112	333	401	107	120	80	415	1356	5854
Row Pct.	38.9%	2.3%	8.9%	1.9%	5.7%	6.9%	1.8%	2.0%	1.4%	7.1%	23.2%	100%

Table 7.2  
Unusual Incident Location by Month  
January - December 2009

Incident Location	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
<b>Housing Unit</b>													
Cell	74	67	83	80	79	62	75	73	75	67	78	61	874
Dormitory	59	37	46	47	54	41	43	63	41	39	40	49	559
Gallery	25	28	31	27	17	16	27	15	24	24	15	26	275
Shower	3	5	6	12	2	3	5	2	3	2	5	1	49
Toilet Area	7	6	7	9	6	10	5	7	9	9	7	6	88
Cell Block	17	18	20	23	26	18	23	18	10	28	33	18	252
Double-Cell	16	8	11	8	13	14	20	12	22	13	10	23	170
Slop Sink	2	0	1	0	0	2	1	2	0	0	1	0	9
<b>Total</b>	<b>203</b>	<b>169</b>	<b>205</b>	<b>206</b>	<b>197</b>	<b>166</b>	<b>199</b>	<b>192</b>	<b>184</b>	<b>182</b>	<b>189</b>	<b>184</b>	<b>2276</b>
Column Pct.	41.9%	37.4%	41.5%	40.0%	40.0%	32.6%	39.8%	36.0%	41.3%	40.0%	37.4%	39.3%	38.9%
<b>Mess Hall</b>													
Kitchen	2	2	1	2	4	5	3	7	6	2	2	1	37
Mess Hall / In Line	12	7	10	4	9	18	5	7	3	4	9	7	95
<b>Total</b>	<b>14</b>	<b>9</b>	<b>11</b>	<b>6</b>	<b>13</b>	<b>23</b>	<b>8</b>	<b>14</b>	<b>9</b>	<b>6</b>	<b>11</b>	<b>8</b>	<b>132</b>
Column Pct.	2.9%	2.0%	2.2%	1.2%	2.6%	4.5%	1.6%	2.6%	2.0%	1.3%	2.2%	1.7%	2.3%
<b>Yard</b>													
Yard	18	15	29	46	57	54	62	59	60	56	40	23	519
Keeplock Yard	0	0	0	0	0	1	0	1	0	0	1	0	3
<b>Total</b>	<b>18</b>	<b>15</b>	<b>29</b>	<b>46</b>	<b>57</b>	<b>55</b>	<b>62</b>	<b>60</b>	<b>60</b>	<b>56</b>	<b>41</b>	<b>23</b>	<b>522</b>
Column Pct.	3.7%	3.3%	5.9%	8.9%	11.6%	10.8%	12.4%	11.2%	13.5%	12.3%	8.1%	4.9%	8.9%
<b>TV Room / Day Area</b>													
TV Room / Day Area	8	10	11	10	4	7	6	10	14	11	11	10	112
<b>Total</b>	<b>8</b>	<b>10</b>	<b>11</b>	<b>10</b>	<b>4</b>	<b>7</b>	<b>6</b>	<b>10</b>	<b>14</b>	<b>11</b>	<b>11</b>	<b>10</b>	<b>112</b>
Column Pct.	1.7%	2.2%	2.2%	1.9%	0.8%	1.4%	1.2%	1.9%	3.1%	2.4%	2.2%	2.1%	1.9%
<b>Special Housing</b>													
SHU-Discipline	36	27	23	38	12	16	15	34	7	15	14	18	255
SHU-Invol. Protection	0	0	0	0	0	0	0	0	0	0	0	0	0
SHU-Vol. Protection	0	0	0	1	0	0	0	1	0	0	0	1	3
SHU-Yard	0	0	0	0	0	0	0	0	0	0	1	0	1
SHU-Frisk Area	2	2	1	4	7	1	2	3	0	3	1	3	29
SHU-Other	2	2	6	0	4	5	5	7	3	2	3	6	45
<b>Total</b>	<b>40</b>	<b>31</b>	<b>30</b>	<b>43</b>	<b>23</b>	<b>22</b>	<b>22</b>	<b>45</b>	<b>10</b>	<b>20</b>	<b>19</b>	<b>28</b>	<b>333</b>
Column Pct.	8.3%	6.9%	6.1%	8.3%	4.7%	4.3%	4.4%	8.4%	2.2%	4.4%	3.8%	6.0%	5.7%
<b>Hospital</b>													
Infirmery / Hospital	19	37	21	27	28	26	19	26	19	30	16	36	304
Mental Health Unit	8	9	9	13	12	8	10	6	1	5	1	3	85
MHU-Observ. Cell	0	0	0	0	0	0	0	0	3	2	3	2	10
MHU-Observ. Dorm	0	0	0	0	0	0	0	0	2	0	0	0	2
<b>Total</b>	<b>27</b>	<b>46</b>	<b>30</b>	<b>40</b>	<b>40</b>	<b>34</b>	<b>29</b>	<b>32</b>	<b>25</b>	<b>37</b>	<b>20</b>	<b>41</b>	<b>401</b>
Column Pct.	5.6%	10.2%	6.1%	7.8%	8.1%	6.7%	5.8%	6.0%	5.6%	8.1%	4.0%	8.8%	6.9%

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Table 7.2 (continued)  
Unusual Incident Location by Month  
January - December 2009

Incident Location	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
<b>Shop or Classroom</b>													
Classroom	2	7	6	5	3	5	4	2	3	4	1	2	44
Farm	1	1	1	0	0	0	0	1	0	0	0	1	5
Shop-Industries	2	0	0	4	6	1	5	1	3	0	1	3	26
Shop-Maintenance	1	2	1	3	0	0	0	2	1	2	1	0	13
Shop-Vocational	0	2	1	4	1	1	1	1	0	3	4	1	19
Total	6	12	9	16	10	7	10	7	7	9	7	7	107
Column Pct.	1.2%	2.7%	1.8%	3.1%	2.0%	1.4%	2.0%	1.3%	1.6%	2.0%	1.4%	1.5%	1.8%
<b>Gymnasium</b>													
Auditorium	0	0	1	0	0	0	0	0	0	1	3	2	7
Gymnasium	20	11	8	6	8	14	6	5	7	5	10	13	113
Total	20	11	9	6	8	14	6	5	7	6	13	15	120
Column Pct.	4.1%	2.4%	1.8%	1.2%	1.6%	2.8%	1.2%	0.9%	1.6%	1.3%	2.6%	3.2%	2.0%
<b>Visiting Room</b>													
Visiting Room	6	5	9	4	8	8	4	13	4	4	7	8	80
Total	6	5	9	4	8	8	4	13	4	4	7	8	80
Column Pct.	1.2%	1.1%	1.8%	0.8%	1.6%	1.6%	0.8%	2.4%	0.9%	0.9%	1.4%	1.7%	1.4%
<b>Outside Prison</b>													
In-Transit	2	2	2	1	0	0	2	0	0	0	0	1	10
Outside-Court	0	1	0	0	1	0	1	1	0	0	1	0	5
Outside-Hospital	3	11	15	9	9	5	6	9	7	5	11	9	99
Outside-Temp. Rel.	10	10	9	12	8	13	11	12	8	14	7	10	124
Outside-Unspecified	11	16	10	10	11	10	19	20	19	19	19	13	177
Total	26	40	36	32	29	28	39	42	34	38	38	33	415
Column Pct.	5.4%	8.9%	7.3%	6.2%	5.9%	5.5%	7.8%	7.9%	7.6%	8.4%	7.5%	7.1%	7.1%
<b>Other Location</b>													
Admin. Building	24	24	22	18	7	29	11	11	15	9	18	20	208
Corridor	24	12	6	18	31	29	21	14	27	12	21	15	230
Disciplinary Office	1	2	1	4	1	2	2	1	0	1	1	2	18
Front Gate	0	4	0	4	2	10	10	3	4	10	18	13	78
Inmate Reception	3	1	5	1	4	2	4	6	2	0	4	2	34
Lobby	1	4	2	3	0	7	1	1	3	0	4	6	32
Package Room	4	4	8	8	7	7	2	8	2	6	6	9	71
Rear Gate	1	0	1	0	2	1	2	1	0	1	1	0	10
Stairway	5	7	7	5	7	1	7	7	2	3	1	0	52
Walkway	14	12	17	8	11	12	13	15	9	11	11	11	144
Visitor Process	7	8	12	1	7	2	7	17	5	2	10	3	81
Parking / Perimeter	10	6	8	7	2	5	3	4	4	1	10	7	67
Interview Room	0	0	0	1	1	1	0	1	2	3	5	3	17
Not Specified	2	1	1	2	1	3	1	1	5	1	3	5	26
Other	20	18	25	26	20	34	31	24	13	26	36	15	288
Total	116	103	115	106	103	145	115	114	93	86	149	111	1356
Column Pct.	24.0%	22.8%	23.3%	20.6%	20.9%	28.5%	23.0%	21.3%	20.8%	18.9%	29.5%	23.7%	23.2%
<b>Grand Total</b>	<b>484</b>	<b>451</b>	<b>494</b>	<b>515</b>	<b>492</b>	<b>509</b>	<b>500</b>	<b>534</b>	<b>447</b>	<b>455</b>	<b>505</b>	<b>468</b>	<b>5854</b>
<b>Column Pct.</b>	<b>100%</b>												

## Section Eight

### INMATE ASSAULT ON STAFF BY FACILITY AND MONTH OF INCIDENT

Table 8.1 (pp. 26-28) displays the number and rate of inmate assault on staff unusual incidents for 2009. The table provides information on the average daily population of each facility during the year, the number of assaults, and the annualized rate of inmate assault on staff incidents per thousand inmates of population. The annualized rate of inmate assault on staff incidents allows for standardized comparisons between security levels and facilities. A complete explanation of rate calculation is presented in Appendix A, page 43.

The highest number of assaults occurred in maximum security facilities which reported 458 incidents or a rate of 18.1 incidents per 1000 inmates of population. Both the number and rate of assaults on staff at maximum security prisons were considerably higher than medium and minimum security facilities. Medium security facilities experienced 99 inmate assault on staff incidents and all types of other facilities reported a total of 10 assault on staff incidents. The annual rate of assault on staff incidents was 3.1 at medium prisons; 3.5 at minimum security facilities, which included work release facilities; and 3.1 at Shock Incarceration Facilities. There were two inmate assault on staff incidents at minimum security camps in 2009.

The total number of assault on staff incidents by facility by month is shown in Table 8.2 (pp. 29-31). Approximately 81% of the total number of assaults occurred in maximum security prisons; medium security facilities experienced 17% and other facilities (including Shock Incarceration facilities, minimum security facilities, and Camps) accounted for 2% of the total number of staff assaults.

Table 8.1  
Number and Rate of Assaults on Staff by Security Level and Facility  
January - December 2009

Correctional Facility	Population 2009	Assaults on Staff	Rate per 1,000 Inmates
<b>Maximum Security</b>			
Attica	2162	72	33.3
Auburn	1720	24	14.0
Bedford Hills	777	11	14.2
Clinton	2838	44	15.5
Coxsackie	972	28	28.8
Downstate	1205	12	10.0
Eastern	1101	6	5.4
Elmira	1811	20	11.0
Five Points	1387	18	13.0
Great Meadow	1646	59	35.8
Green Haven	2024	28	13.8
Shawangunk	542	1	1.8
Sing Sing	1756	29	16.5
Southport	879	16	18.2
Sullivan	603	10	16.6
Upstate	1282	10	7.8
Wende	836	23	27.5
Subtotal	23541	411	17.5
<b>SHU200</b>			
Cayuga SHU200	182	1	5.5
Collins SHU200	172	2	11.6
Fishkill SHU200	177	17	96.0
Gouverneur SHU200	185	1	5.4
Greene SHU200	180	1	5.6
Lakeview SHU200	186	10	53.8
Mid-State SHU200	178	2	11.2
Orleans SHU200	159	3	18.9
Subtotal	1419	37	26.1
<b>RMU</b>			
Bedford Hills RMU	19	0	0.0
Coxsackie RMU	58	2	34.5
Fishkill RMU	27	3	111.1
Walsh RMU	110	2	18.2
Wende RMU	77	3	39.0
Subtotal	291	10	34.4
<b>Total</b>	<b>25251</b>	<b>458</b>	<b>18.1</b>

(continued on the next page)

Table 8.1  
Number and Rate of Assaults on Staff by Security Level and Facility  
January - December 2009

Correctional Facility	Population 2009	Assaults on Staff	Rate per 1,000 Inmates
<b>Medium Security</b>			
Adirondack	452	6	13.3
Albion	988	3	3.0
Altona	474	0	0.0
Arthur Kill	893	6	6.7
Arthur Kill ASACTC	58	0	0.0
Bare Hill	1691	4	2.4
Bayview	171	0	0.0
Butler	187	0	0.0
Cape Vincent	862	2	2.3
Cayuga	863	1	1.2
Chateaugay	201	0	0.0
Collins	808	0	0.0
Fishkill	1511	11	7.3
Franklin	1697	6	3.5
Gouverneur	861	5	5.8
Gowanda	1627	2	1.2
Greene	1598	12	7.5
Groveland	1028	1	1.0
Hale Creek ASACTC	456	0	0.0
Hudson	355	1	2.8
Lakeview Reception-F	4	0	0.0
Lakeview Reception-M	155	7	45.2
Livingston	849	2	2.4
Marcy	1096	1	0.9
Mid-Orange	648	0	0.0
Mid-State	1272	6	4.7
Mohawk	1104	0	0.0
Mt. McGregor	491	3	6.1
Ogdensburg	466	0	0.0
Oneida	1045	2	1.9
Orleans	844	3	3.6
Otisville	486	0	0.0
Riverview	866	3	3.5
Taconic	223	1	4.5
Taconic ASACTC	104	0	0.0
Ulster	723	1	1.4
Wallkill	564	1	1.8
Washington	874	3	3.4
Watertown	597	2	3.4
Woodbourne	771	0	0.0
Wyoming	1527	4	2.6
Wyoming ASACTC	131	0	0.0
<b>Total</b>	<b>31621</b>	<b>99</b>	<b>3.1</b>

(continued on the next page)

Table 8.1  
Number and Rate of Assaults on Staff by Security Level and Facility  
January - December 2009

Correctional Facility	Population 2009	Assaults on Staff	Rate per 1,000 Inmates
<b>Shock Incarceration</b>			
Lakeview Shock-F	79	1	12.7
Lakeview Shock-M	467	2	4.3
Monterey Shock	131	0	0.0
Moriah Shock	171	0	0.0
Summit Shock	126	0	0.0
Total	974	3	3.1
<b>Minimum Security</b>			
Beacon	201	0	0.0
Buffalo	125	0	0.0
Butler Minimum	101	0	0.0
Edgecombe	18	1	55.6
Fulton	144	0	0.0
Lincoln	206	0	0.0
Lyon Mountain	136	1	7.4
Queensboro	403	3	7.4
Rochester	55	0	0.0
Summit	33	0	0.0
Total	1422	5	3.5
<b>Camps</b>			
Camp Gabriels *	36	0	0.0
Camp Georgetown	135	0	0.0
Camp McGregor *	22	1	45.5
Camp Pharsalia *	35	1	28.6
Total	228	2	8.8
<b>Other</b>			
Central Office	0	0	0.0
Total	0	0	0.0
<b>Grand Total</b>	<b>59471</b>	<b>567</b>	<b>9.5</b>

\* Only open with inmates for six months in 2009.

Table 8.2  
Number of Inmate Assaults on Staff by Security Level and Facility  
January - December 2009

Correctional Facility	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
<b>Maximum Security</b>													
Attica	2	8	4	6	12	3	7	8	1	9	9	3	72
Auburn	1	1	2	3	0	1	4	0	2	1	5	4	24
Bedford Hills	0	1	0	0	2	0	4	2	2	0	0	0	11
Clinton	5	6	2	6	3	4	5	2	3	1	3	4	44
Coxsackie	3	1	1	4	2	2	6	3	0	5	0	1	28
Downstate	0	0	0	2	1	2	1	0	1	2	2	1	12
Eastern	0	1	1	1	0	0	0	1	1	1	0	0	6
Elmira	3	0	1	1	2	4	0	1	1	3	3	1	20
Five Points	6	2	1	4	0	1	0	1	1	1	0	1	18
Great Meadow	4	2	7	4	10	1	6	9	3	4	8	1	59
Green Haven	1	3	2	1	2	6	5	4	1	0	0	3	28
Shawangunk	0	0	0	0	1	0	0	0	0	0	0	0	1
Sing Sing	3	2	3	2	6	1	1	4	2	2	1	2	29
Southport	3	2	1	0	1	1	1	1	2	1	0	3	16
Sullivan	2	0	2	1	0	2	0	0	0	2	0	1	10
Upstate	2	1	0	1	0	1	1	2	0	1	0	1	10
Wende	0	0	2	3	2	5	1	1	1	2	3	3	23
Subtotal	35	30	29	39	44	34	42	39	21	35	34	29	411
Cayuga SHU200	0	0	0	0	0	0	0	0	0	0	0	1	1
Collins SHU200	0	0	1	0	1	0	0	0	0	0	0	0	2
Fishkill SHU200	0	3	0	4	0	1	1	0	1	2	4	1	17
Gouverneur SHU200	0	1	0	0	0	0	0	0	0	0	0	0	1
Greene SHU200	1	0	0	0	0	0	0	0	0	0	0	0	1
Lakeview SHU200	2	0	1	2	0	3	0	0	0	0	0	2	10
Mid-State SHU200	0	0	0	0	0	0	1	1	0	0	0	0	2
Orleans SHU200	0	0	0	0	0	0	0	3	0	0	0	0	3
Subtotal	3	4	2	6	1	4	2	4	1	2	4	4	37
Bedford Hills RMU	0	0	0	0	0	0	0	0	0	0	0	0	0
Coxsackie RMU	0	0	0	1	1	0	0	0	0	0	0	0	2
Fishkill RMU	0	0	0	1	0	0	1	0	0	1	0	0	3
Walsh RMU	0	0	0	0	1	0	0	1	0	0	0	0	2
Wende RMU	0	0	1	0	0	0	1	0	1	0	0	0	3
Subtotal	0	0	1	2	2	0	2	1	1	1	0	0	10
<b>Total</b>	<b>38</b>	<b>34</b>	<b>32</b>	<b>47</b>	<b>47</b>	<b>38</b>	<b>46</b>	<b>44</b>	<b>23</b>	<b>38</b>	<b>38</b>	<b>33</b>	<b>458</b>
<b>Column Percent</b>	<b>90%</b>	<b>76%</b>	<b>78%</b>	<b>85%</b>	<b>84%</b>	<b>76%</b>	<b>84%</b>	<b>72%</b>	<b>79%</b>	<b>86%</b>	<b>81%</b>	<b>79%</b>	<b>81%</b>

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Table 8.2 (continued)  
Number of Inmate Assaults on Staff by Security Level and Facility  
January - December 2009

Correctional Facility	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Medium Security													
Adirondack	1	0	0	0	1	1	0	2	0	0	1	0	6
Albion	0	0	2	0	0	0	0	0	1	0	0	0	3
Altona	0	0	0	0	0	0	0	0	0	0	0	0	0
Arthur Kill	0	1	0	1	0	1	1	1	0	0	0	1	6
Arthur Kill ASACTC	0	0	0	0	0	0	0	0	0	0	0	0	0
Bare Hill	0	1	0	0	0	1	1	0	0	1	0	0	4
Bayview	0	0	0	0	0	0	0	0	0	0	0	0	0
Butler	0	0	0	0	0	0	0	0	0	0	0	0	0
Cape Vincent	0	0	0	1	0	1	0	0	0	0	0	0	2
Cayuga	0	0	0	0	0	0	0	0	0	0	0	1	1
Chateaugay	0	0	0	0	0	0	0	0	0	0	0	0	0
Collins	0	0	0	0	0	0	0	0	0	0	0	0	0
Fishkill	1	2	0	0	1	1	0	2	1	2	0	1	11
Franklin	0	2	0	0	0	1	0	2	1	0	0	0	6
Gouverneur	0	0	0	1	1	0	0	0	0	1	1	1	5
Gowanda	0	1	0	0	0	0	0	0	0	0	1	0	2
Greene	0	0	1	1	1	3	1	2	1	0	2	0	12
Groveland	0	0	0	0	0	0	0	1	0	0	0	0	1
Hale Creek ASACTC	0	0	0	0	0	0	0	0	0	0	0	0	0
Hudson	0	0	0	0	0	0	0	1	0	0	0	0	1
Lakeview Reception-F	0	0	0	0	0	0	0	0	0	0	0	0	0
Lakeview Reception-M	1	1	2	0	2	0	0	0	0	0	1	0	7
Livingston	0	0	0	2	0	0	0	0	0	0	0	0	2
Marcy	0	0	0	0	0	0	0	0	0	0	0	1	1
Mid-Orange	0	0	0	0	0	0	0	0	0	0	0	0	0
Mid-State	0	0	0	0	0	1	1	1	1	0	1	1	6
Mohawk	0	0	0	0	0	0	0	0	0	0	0	0	0
Mt. McGregor	0	1	1	0	0	0	0	0	0	0	1	0	3
Ogdensburg	0	0	0	0	0	0	0	0	0	0	0	0	0
Oneida	1	0	0	0	0	0	0	0	0	1	0	0	2
Orleans	0	0	0	0	0	0	0	2	1	0	0	0	3
Otisville	0	0	0	0	0	0	0	0	0	0	0	0	0
Riverview	0	0	0	0	0	0	0	2	0	0	1	0	3
Taconic	0	1	0	0	0	0	0	0	0	0	0	0	1
Taconic ASACTC	0	0	0	0	0	0	0	0	0	0	0	0	0
Ulster	0	0	0	0	1	0	0	0	0	0	0	0	1
Wallkill	0	0	0	1	0	0	0	0	0	0	0	0	1
Washington	0	0	0	0	1	0	0	0	0	0	0	2	3
Watertown	0	0	0	0	0	0	2	0	0	0	0	0	2
Woodbourne	0	0	0	0	0	0	0	0	0	0	0	0	0
Wyoming	0	0	1	0	0	0	1	1	0	1	0	0	4
Wyoming ASACTC	0	0	0	0	0	0	0	0	0	0	0	0	0
Total	4	10	7	7	8	10	7	17	6	6	9	8	99
Column Percent	9%	22%	17%	13%	14%	20%	13%	28%	21%	14%	19%	19%	17%

(continued on the next page)

Table 8.2 (continued)  
Number of Inmate Assaults on Staff by Security Level and Facility  
January - December 2009

Correctional Facility	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
<b>Shock Incarceration</b>													
Lakeview Shock-M	0	0	1	0	0	0	1	0	0	0	0	0	2
Lakeview Shock-F	0	1	0	0	0	0	0	0	0	0	0	0	1
Monterey Shock	0	0	0	0	0	0	0	0	0	0	0	0	0
Moriah Shock	0	0	0	0	0	0	0	0	0	0	0	0	0
Summit Shock	0	0	0	0	0	0	0	0	0	0	0	0	0
Total	0	1	1	0	0	0	1	0	0	0	0	0	3
Column Percent	0.0%	2.2%	2.4%	0.0%	0.0%	0.0%	1.8%	0.0%	0.0%	0.0%	0.0%	0.0%	0.5%
<b>Minimum Security</b>													
Beacon	0	0	0	0	0	0	0	0	0	0	0	0	0
Buffalo	0	0	0	0	0	0	0	0	0	0	0	0	0
Butler Minimum	0	0	0	0	0	0	0	0	0	0	0	0	0
Edgecombe	0	0	0	0	0	1	0	0	0	0	0	0	1
Fulton	0	0	0	0	0	0	0	0	0	0	0	0	0
Lincoln	0	0	0	0	0	0	0	0	0	0	0	0	0
Lyon Mountain	0	0	0	0	0	0	1	0	0	0	0	0	1
Queensboro	0	0	1	0	0	1	0	0	0	0	0	1	3
Rochester	0	0	0	0	0	0	0	0	0	0	0	0	0
Summit	0	0	0	0	0	0	0	0	0	0	0	0	0
Total	0	0	1	0	0	2	1	0	0	0	0	1	5
Column Percent	0%	0%	2%	0%	0%	4%	2%	0%	0%	0%	0%	2%	0.9%
<b>Camps</b>													
Camp Gabriels *	0	0	0	0	0	0	0	0	0	0	0	0	0
Camp Georgetown	0	0	0	0	0	0	0	0	0	0	0	0	0
Camp McGregor *	0	0	0	1	0	0	0	0	0	0	0	0	1
Camp Pharsalia *	0	0	0	0	1	0	0	0	0	0	0	0	1
Total	0	0	0	1	1	0	0	0	0	0	0	0	2
Column Percent	0%	0%	0%	2%	2%	0%	0%	0%	0%	0%	0%	0%	0.4%
<b>Other</b>													
Central Office	0	0	0	0	0	0	0	0	0	0	0	0	0
Total	0	0	0	0	0	0	0	0	0	0	0	0	0
Column Percent	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
Grand Total	42	45	41	55	56	50	55	61	29	44	47	42	567
Column Percent	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%

\* Only open with inmates for six months in 2009.

## **INMATE ASSAULT ON INMATE UNUSUAL INCIDENTS**

A review of unusual incidents by security level of facility reveals that maximum security housing units had the highest rate of inmate assault on inmate incidents (14.9) (see Table 8.3). Medium security facilities experienced a rate of 7.1 incidents per 1000 inmate population.

The annualized rate of inmate assault on inmate incidents allows for standardized comparisons between security levels and facilities. For example, the use of an annualized rate allows the reader to observe that Green Haven Correctional Facility, a maximum security prison with 13 inmate assault on inmate incidents and an average daily population of 2,024, has a similar assault rate (6.4) as Bedford Hills Correctional Facility with 5 inmate assault on inmate incidents and a population of 777.

Table 8.3 (pages 33-35) displays the average population of each facility, the total number of inmate assault on inmate incidents, and the annualized rate of incidents per thousand inmates. Table 8.4 (pages 36-38) presents data on the number of inmate assault on inmate incidents in individual facilities by month. For the 2009 time period, 63% (N=377) of inmate assault on inmate incidents occurred at maximum security institutions, including maximum security SHU200 and Regional Medical Units (RMU); 37% (N=224) at medium security prisons; and 1% (N=2) at other facilities.

Table 8.3  
Number and Rate of Assaults on Inmate by Security Level and Facility  
January - December 2009

Correctional Facility	Population 2009	Assaults on Inmate	Rate per 1,000 Inmates
<b>Maximum Security</b>			
Attica	2162	26	12.0
Auburn	1720	45	26.2
Bedford Hills	777	5	6.4
Clinton	2838	53	18.7
Coxsackie	972	5	5.1
Downstate	1205	8	6.6
Eastern	1101	1	0.9
Elmira	1811	60	33.1
Five Points	1387	16	11.5
Great Meadow	1646	38	23.1
Green Haven	2024	13	6.4
Shawangunk	542	4	7.4
Sing Sing	1756	57	32.5
Southport	879	0	0.0
Sullivan	603	12	19.9
Upstate	1282	7	5.5
Wende	836	21	25.1
Subtotal	23541	371	15.8
Cayuga SHU200	182	0	0.0
Collins SHU200	172	1	5.8
Fishkill SHU200	177	1	5.6
Gouverneur SHU200	185	0	0.0
Greene SHU200	180	0	0.0
Lakeview SHU200	186	0	0.0
Mid-State SHU200	178	0	0.0
Orleans SHU200	159	0	0.0
Subtotal	1419	2	1.4
Bedford Hills RMU	19	0	0.0
Coxsackie RMU	58	0	0.0
Fishkill RMU	27	0	0.0
Walsh RMU	110	4	36.4
Wende RMU	77	0	0.0
Subtotal	291	4	13.7
<b>Total</b>	<b>25251</b>	<b>377</b>	<b>14.9</b>

(continued on the next page)

Table 8.3  
Number and Rate of Assaults on Inmate by Security Level and Facility  
January - December 2009

Correctional Facility	Population 2009	Assaults on Inmate	Rate per 1,000 Inmates
Medium Security			
Adirondack	452	0	0.0
Albion	988	2	2.0
Altona	474	1	2.1
Arthur Kill	893	2	2.2
Arthur Kill ASACTC	58	0	0.0
Bare Hill	1691	27	16.0
Bayview	171	0	0.0
Butler	187	0	0.0
Cape Vincent	862	3	3.5
Cayuga	863	3	3.5
Chateaugay	201	0	0.0
Collins	808	3	3.7
Fishkill	1511	7	4.6
Franklin	1697	16	9.4
Gouverneur	861	3	3.5
Gowanda	1627	5	3.1
Greene	1598	27	16.9
Groveland	1028	8	7.8
Hale Creek ASACTC	456	1	2.2
Hudson	355	2	5.6
Lakeview Reception-F	4	0	0.0
Lakeview Reception-M	155	0	0.0
Livingston	849	7	8.2
Marcy	1096	20	18.2
Mid-Orange	648	2	3.1
Mid-State	1272	12	9.4
Mohawk	1104	7	6.3
Mt. McGregor	491	2	4.1
Ogdensburg	466	3	6.4
Oneida	1045	4	3.8
Orleans	844	11	13.0
Otisville	486	1	2.1
Riverview	866	4	4.6
Taconic	223	4	17.9
Taconic ASACTC	104	0	0.0
Ulster	723	0	0.0
Wallkill	564	1	1.8
Washington	874	9	10.3
Watertown	597	5	8.4
Woodbourne	771	2	2.6
Wyoming	1527	20	13.1
Wyoming ASACTC	131	0	0.0
Total	31621	224	7.1

*(continued on the next page)*

Table 8.3  
Number and Rate of Assaults on Inmate by Security Level and Facility  
January - December 2009

Correctional Facility	Population 2009	Assaults on Inmate	Rate per 1,000 Inmates
<b>Shock Incarceration</b>			
Lakeview Shock-F	79	0	0.0
Lakeview Shock-M	467	2	4.3
Monterey Shock	131	0	0.0
Moriah Shock	171	0	0.0
Summit Shock	126	0	0.0
Total	974	2	2.1
<b>Minimum Security</b>			
Beacon	201	0	0.0
Buffalo	125	0	0.0
Butler Minimum	101	0	0.0
Edgecombe	18	0	0.0
Fulton	144	0	0.0
Lincoln	206	0	0.0
Lyon Mountain	136	0	0.0
Queensboro	403	0	0.0
Rochester	55	0	0.0
Summit	33	0	0.0
Total	1422	0	0.0
<b>Camps</b>			
Camp Gabriels *	36	0	0.0
Camp Georgetown	135	0	0.0
Camp McGregor *	22	0	0.0
Camp Pharsalia *	35	0	0.0
Total	228	0	0.0
<b>Other</b>			
Central Office	0	0	0.0
Total	0	0	0.0
<b>Grand Total</b>	<b>59471</b>	<b>603</b>	<b>10.1</b>

\* Only open with inmates for six months in 2009.

Table 8.4  
Number of Inmate Assaults on Inmates by Security Level and Facility  
January - December 2009

Correctional Facility	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Maximum Security													
Attica	0	4	0	2	3	1	7	3	3	0	2	1	26
Auburn	5	1	0	7	3	3	4	6	4	6	4	2	45
Bedford Hills	0	1	0	1	0	0	0	1	2	0	0	0	5
Clinton	2	2	5	5	3	4	8	4	5	7	5	3	53
Coxsackie	0	0	1	0	1	1	0	1	0	0	0	1	5
Downstate	1	1	0	0	1	0	0	2	0	1	1	1	8
Eastern	0	0	0	0	0	0	1	0	0	0	0	0	1
Elmira	3	4	7	4	3	5	6	6	4	4	10	4	60
Five Points	1	0	3	0	1	0	2	1	3	2	1	2	16
Great Meadow	1	3	5	2	6	2	2	6	1	3	4	3	38
Green Haven	1	2	3	1	4	0	1	0	0	0	0	1	13
Shawangunk	0	0	1	0	0	0	1	0	0	0	1	1	4
Sing Sing	2	5	3	3	3	7	5	7	8	2	7	5	57
Southport	0	0	0	0	0	0	0	0	0	0	0	0	0
Sullivan	3	1	0	2	4	1	0	0	0	1	0	0	12
Upstate	1	1	1	0	0	1	2	0	1	0	0	0	7
Wende	1	1	2	2	2	4	4	1	1	1	1	1	21
Subtotal	21	26	31	29	34	29	43	38	32	27	36	25	371
Cayuga SHU200	0	0	0	0	0	0	0	0	0	0	0	0	0
Collins SHU200	0	0	0	0	0	0	0	0	1	0	0	0	1
Fishkill SHU200	0	0	0	0	0	0	0	0	1	0	0	0	1
Gouverneur SHU200	0	0	0	0	0	0	0	0	0	0	0	0	0
Greene SHU200	0	0	0	0	0	0	0	0	0	0	0	0	0
Lakeview SHU200	0	0	0	0	0	0	0	0	0	0	0	0	0
Mid-State SHU200	0	0	0	0	0	0	0	0	0	0	0	0	0
Orleans SHU200	0	0	0	0	0	0	0	0	0	0	0	0	0
Subtotal	0	0	0	0	0	0	0	0	2	0	0	0	2
Bedford Hills RMU	0	0	0	0	0	0	0	0	0	0	0	0	0
Coxsackie RMU	0	0	0	0	0	0	0	0	0	0	0	0	0
Fishkill RMU	0	0	0	0	0	0	0	0	0	0	0	0	0
Walsh RMU	0	1	0	0	0	2	0	0	0	1	0	0	4
Wende RMU	0	0	0	0	0	0	0	0	0	0	0	0	0
Subtotal	0	1	0	0	0	2	0	0	0	1	0	0	4
Total	21	27	31	29	34	31	43	38	34	28	36	25	377
Column Percent	51%	57%	62%	59%	61%	61%	73%	69%	65%	58%	69%	58%	63%

(continued on the next page)

Table 8.4 (continued)  
 Number of Inmate Assaults on Inmates by Security Level and Facility  
 January - December 2009

Correctional Facility	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Medium Security													
Adirondack	0	0	0	0	0	0	0	0	0	0	0	0	0
Albion	0	0	0	0	0	1	0	0	0	0	1	0	2
Altona	0	0	0	0	0	1	0	0	0	0	0	0	1
Arthur Kill	0	0	1	0	0	1	0	0	0	0	0	0	2
Arthur Kill ASACTC	0	0	0	0	0	0	0	0	0	0	0	0	0
Bare Hill	2	4	2	3	3	3	1	1	3	2	2	1	27
Bayview	0	0	0	0	0	0	0	0	0	0	0	0	0
Butler	0	0	0	0	0	0	0	0	0	0	0	0	0
Cape Vincent	0	0	0	0	0	1	0	0	1	1	0	0	3
Cayuga	0	0	1	0	1	0	0	1	0	0	0	0	3
Chateaugay	0	0	0	0	0	0	0	0	0	0	0	0	0
Collins	0	0	0	0	1	0	1	0	0	0	0	1	3
Fishkill	2	0	0	0	1	1	0	1	0	1	0	1	7
Franklin	2	1	0	2	1	0	2	4	1	1	1	1	16
Gouverneur	0	0	0	0	0	0	0	0	0	1	2	0	3
Gowanda	0	0	0	0	0	0	2	1	0	0	2	0	5
Greene	1	5	3	2	1	2	3	0	4	4	0	2	27
Groveland	1	0	0	2	0	1	0	1	0	0	1	2	8
Hale Creek ASACTC	0	0	0	0	0	1	0	0	0	0	0	0	1
Hudson	1	0	0	0	1	0	0	0	0	0	0	0	2
Lakeview Reception-F	0	0	0	0	0	0	0	0	0	0	0	0	0
Lakeview Reception-M	0	0	0	0	0	0	0	0	0	0	0	0	0
Livingston	2	1	1	0	0	0	0	1	1	0	0	1	7
Marcy	2	2	3	3	2	0	0	2	1	2	1	2	20
Mid-Orange	0	0	0	0	1	0	1	0	0	0	0	0	2
Mid-State	1	1	0	1	1	2	2	0	1	1	0	2	12
Mohawk	0	2	0	0	1	0	0	1	0	1	0	2	7
Mt. McGregor	1	0	0	0	0	1	0	0	0	0	0	0	2
Ogdensburg	1	0	0	0	0	1	0	0	0	1	0	0	3
Oneida	0	0	0	0	1	1	0	0	0	2	0	0	4
Orleans	1	2	3	0	0	0	0	1	2	0	1	1	11
Otisville	0	0	0	0	0	0	1	0	0	0	0	0	1
Riverview	0	0	1	0	2	0	0	0	0	0	1	0	4
Taconic	1	0	0	1	1	0	1	0	0	0	0	0	4
Taconic ASACTC	0	0	0	0	0	0	0	0	0	0	0	0	0
Ulster	0	0	0	0	0	0	0	0	0	0	0	0	0
Walkkill	0	0	0	0	0	0	0	0	0	0	1	0	1
Washington	0	1	2	3	1	1	0	0	0	1	0	0	9
Watertown	0	0	0	0	1	1	0	1	1	1	0	0	5
Woodbourne	0	0	0	0	0	1	0	1	0	0	0	0	2
Wyoming	2	1	1	3	1	1	2	0	3	1	3	2	20
Wyoming ASACTC	0	0	0	0	0	0	0	0	0	0	0	0	0
Total	20	20	18	20	21	20	16	16	18	20	16	18	224
Column Percent	49%	43%	37%	41%	38%	39%	27%	29%	35%	42%	31%	42%	37%

(continued on the next page)

Table 8.4 (continued)  
 Number of Inmate Assaults on Inmates by Security Level and Facility  
 January - December 2009

Correctional Facility	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
<b>Shock Incarceration</b>													
Lakeview Shock-F	0	0	0	0	0	0	0	0	0	0	0	0	0
Lakeview Shock-M	0	0	0	0	1	0	0	1	0	0	0	0	2
Monterey Shock	0	0	0	0	0	0	0	0	0	0	0	0	0
Moriah Shock	0	0	0	0	0	0	0	0	0	0	0	0	0
Summit Shock	0	0	0	0	0	0	0	0	0	0	0	0	0
Total	0	0	0	0	1	0	0	1	0	0	0	0	2
Column Percent	0.0%	0.0%	0.0%	0.0%	1.8%	0.0%	0.0%	1.8%	0.0%	0.0%	0.0%	0.0%	0.3%
<b>Minimum Security</b>													
Beacon	0	0	0	0	0	0	0	0	0	0	0	0	0
Buffalo	0	0	0	0	0	0	0	0	0	0	0	0	0
Butler Minimum	0	0	0	0	0	0	0	0	0	0	0	0	0
Edgecombe	0	0	0	0	0	0	0	0	0	0	0	0	0
Fulton	0	0	0	0	0	0	0	0	0	0	0	0	0
Lincoln	0	0	0	0	0	0	0	0	0	0	0	0	0
Lyon Mountain	0	0	0	0	0	0	0	0	0	0	0	0	0
Queensboro	0	0	0	0	0	0	0	0	0	0	0	0	0
Rochester	0	0	0	0	0	0	0	0	0	0	0	0	0
Summit	0	0	0	0	0	0	0	0	0	0	0	0	0
Total	0	0	0	0	0	0	0	0	0	0	0	0	0
Column Percent	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0.0%
<b>Camps</b>													
Camp Gabriels *	0	0	0	0	0	0	0	0	0	0	0	0	0
Camp Georgetown	0	0	0	0	0	0	0	0	0	0	0	0	0
Camp McGregor *	0	0	0	0	0	0	0	0	0	0	0	0	0
Camp Pharsalia *	0	0	0	0	0	0	0	0	0	0	0	0	0
Total	0	0	0	0	0	0	0	0	0	0	0	0	0
Column Percent	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0.0%
<b>Other</b>													
Central Office	0	0	0	0	0	0	0	0	0	0	0	0	0
Total	0	0	0	0	0	0	0	0	0	0	0	0	0
Column Percent	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
<b>Grand Total</b>	<b>41</b>	<b>47</b>	<b>49</b>	<b>49</b>	<b>56</b>	<b>52</b>	<b>59</b>	<b>55</b>	<b>52</b>	<b>48</b>	<b>52</b>	<b>43</b>	<b>603</b>
<b>Column Percent</b>	<b>100%</b>												

Only open with inmates for six months in 2009.

## Section Nine

### INJURY TO STAFF

The Unusual Incident reporting system uses a ranking procedure for injuries sustained by staff members and is based on perceived seriousness of injury and/or by degree of treatment. "No injury" indicates that the staff member or medical staff reported that no injury was sustained. "Minor injury" refers to cases where the victim received a comparatively minor injury which may have been treated at the facility or treated at the facility with a recommendation for the staff person to consult their own physician. "Moderate injury" refers to injuries of a more serious nature that generally require treatment at an outside hospital or treatment by own physician. Moderate injuries are not judged to be life threatening. "Serious injury" refers to injury that is considered to be life threatening (or which results in death). These injuries require treatment at an outside hospital.

Table 9.1 (p. 40) presents information on the number of staff who sustained injuries in unusual incidents. Staff injuries are reported according to the degree of seriousness. The inmate assault on staff category produced the most staff injuries in 2009. Assault on staff incidents resulted in no injuries to 1,878 staff (76.5%), minor injuries to 551 staff (22.5%), and moderate injuries to 25 staff (1.0%). There were no serious staff injuries as a result of inmate assault on staff incidents.

Table 9.2 (p. 40) presents information on staff injuries sustained each month. In 2009, 4.1% of staff involved in unusual incidents incurred an injury of some degree.

Table 9.1  
Unusual Incident Type by Degree of Staff Injury  
January - December 2009

Degree of Injury										
Incident Type	No Injury		Minor		Moderate		Serious		Total	
	Number	Row Pct.	Number	Row Pct.	Number	Row Pct.	Number	Row Pct.	Number	Row Pct.
Accident	1662	95.1%	8	0.5%	76	4.4%	1	0.1%	1747	100.0%
Assault on Inmate	1745	98.8%	19	1.1%	2	0.1%	0	0.0%	1766	100.0%
Assault on Staff	1878	76.5%	551	22.5%	25	1.0%	0	0.0%	2454	100.0%
Assault on Other	10	90.9%	1	9.1%	0	0.0%	0	0.0%	11	100.0%
Contraband	7270	99.6%	30	0.4%	0	0.0%	0	0.0%	7300	100.0%
Death	1236	99.8%	1	0.1%	0	0.0%	2	0.2%	1239	100.0%
Disruptive Behavior	1930	96.0%	64	3.2%	16	0.8%	0	0.0%	2010	100.0%
Utilities Disruption	131	92.3%	11	7.7%	0	0.0%	0	0.0%	142	100.0%
Employee Misconduct	613	99.8%	0	0.0%	1	0.2%	0	0.0%	614	100.0%
Escape	4	100.0%	0	0.0%	0	0.0%	0	0.0%	4	100.0%
Attempted Escape	14	100.0%	0	0.0%	0	0.0%	0	0.0%	14	100.0%
Fire	219	90.5%	23	9.5%	0	0.0%	0	0.0%	242	100.0%
Property Destruction	25	100.0%	0	0.0%	0	0.0%	0	0.0%	25	100.0%
Property Lost/Stolen	426	100.0%	0	0.0%	0	0.0%	0	0.0%	426	100.0%
Self-Injury	238	100.0%	0	0.0%	0	0.0%	0	0.0%	238	100.0%
Sexual Misconduct	221	99.5%	1	0.5%	0	0.0%	0	0.0%	222	100.0%
Suicide Attempt	675	99.1%	6	0.9%	0	0.0%	0	0.0%	681	100.0%
Temporary Release	139	100.0%	0	0.0%	0	0.0%	0	0.0%	139	100.0%
Employee Weapon Use	409	99.0%	3	0.7%	1	0.2%	0	0.0%	413	100.0%
Employee Job Action	14	100.0%	0	0.0%	0	0.0%	0	0.0%	14	100.0%
Other Incidents	1638	97.7%	25	1.5%	14	0.8%	0	0.0%	1677	100.0%
Total	20497	95.9%	743	3.5%	135	0.6%	3	0.0%	21378	100.0%

Table 9.2  
Staff Injury by Month of Incident  
January - December 2009

Degree of Injury	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
No Injury	1673	1602	1792	1806	1769	1761	1650	1803	1472	1655	1787	1727	20497
Col. Pct.	95.7%	95.5%	95.4%	96.7%	95.6%	95.9%	94.6%	95.7%	96.8%	96.0%	96.3%	96.4%	95.9%
Minor	55	64	77	51	67	64	88	68	40	56	60	53	743
Col. Pct.	3.1%	3.8%	4.1%	2.7%	3.6%	3.5%	5.0%	3.6%	2.6%	3.2%	3.2%	3.0%	3.5%
Moderate	21	11	9	11	15	11	6	13	7	12	8	11	135
Col. Pct.	1.2%	0.7%	0.5%	0.6%	0.8%	0.6%	0.3%	0.7%	0.5%	0.7%	0.4%	0.6%	0.6%
Serious	0	0	0	0	0	0	0	0	1	1	0	1	3
Col. Pct.	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.1%	0.1%	0.0%	0.1%	0.0%
Total	1749	1677	1878	1868	1851	1836	1744	1884	1520	1724	1855	1792	21378
Col. Pct.	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%

## **Section Ten**

### **USE OF FORCE BY MONTH OF INCIDENT**

During 2009, 13.8% of unusual incidents entailed use of force by staff to resolve the incident. The term 'use of force' describes the type of force used by correctional staff and is divided into eight categories.

A review by month shows a consistent pattern of between 83% and 90% of unusual incidents were resolved without staff use of force (see Table 10.1). An additional 7% to 13% of incidents involved use of body holds or handcuffs to restrain inmates. Table 10.1 (p.42) shows the most serious type of staff force utilized in each unusual incident. An examination reveals that the use of baton occurred in 1.6% of unusual incidents.

### **STAFF USE OF FORCE BY INCIDENT TYPE**

Table 10.2 (p.42) presents information on the type of force used during specific types of unusual incidents. Staff use of force was concentrated in the unusual incident categories of inmate assault on inmate, inmate assault on staff, contraband, and disruptive behavior.

Table 10.1  
Staff Use of Force by Month  
January - December 2009

Type of Force	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
No Force Used Col. Pct.	406 83.9%	378 83.8%	424 85.8%	444 86.2%	419 85.2%	452 88.8%	425 85.0%	456 85.4%	403 90.2%	388 85.3%	437 86.5%	417 89.1%	5049 86.2%
Body Hold Col. Pct.	37 7.6%	37 8.2%	39 7.9%	36 7.0%	44 8.9%	34 6.7%	45 9.0%	52 9.7%	21 4.7%	42 9.2%	44 8.7%	22 4.7%	453 7.7%
Handcuff Col. Pct.	12 2.5%	22 4.9%	12 2.4%	14 2.7%	13 2.6%	9 1.8%	14 2.8%	10 1.9%	10 2.2%	15 3.3%	12 2.4%	13 2.8%	156 2.7%
Shield Col. Pct.	6 1.2%	2 0.4%	4 0.8%	3 0.6%	3 0.6%	0 0.0%	2 0.4%	3 0.6%	2 0.4%	1 0.2%	1 0.2%	3 0.6%	30 0.5%
Baton Col. Pct.	11 2.3%	6 1.3%	8 1.6%	9 1.7%	8 1.6%	10 2.0%	9 1.8%	5 0.9%	10 2.2%	5 1.1%	5 1.0%	7 1.5%	93 1.6%
Chemical Agent Col. Pct.	8 1.7%	6 1.3%	7 1.4%	9 1.7%	4 0.8%	3 0.6%	3 0.6%	7 1.3%	0 0.0%	3 0.7%	4 0.8%	4 0.9%	58 1.0%
Firearm Col. Pct.	0 0.0%												
Other Col. Pct.	4 0.8%	0 0.0%	0 0.0%	0 0.0%	1 0.2%	1 0.2%	2 0.4%	1 0.2%	1 0.2%	1 0.2%	2 0.4%	2 0.4%	15 0.3%
Total Col. Pct.	484 100%	451 100%	494 100%	515 100%	492 100%	509 100%	500 100%	534 100%	447 100%	455 100%	505 100%	468 100%	5854 100%

Table 10.2  
Unusual Incident Category by Staff Use of Force  
January - December 2009

Incident Category	Type of Force									Total
	No Force Used	Body Hold	Handcuff	Shield	Baton	Chemical Agent	Firearm	Other		
Accident	536	1	0	0	0	0	0	0	537	
Assault on Inmate	539	48	4	0	11	0	0	1	603	
Assault on Staff	132	275	106	1	43	0	0	10	567	
Assault on Other	2	0	0	0	0	0	0	0	2	
Contraband	2302	40	8	0	1	0	0	0	2351	
Death	150	0	0	0	0	0	0	0	150	
Disruptive Behavior	165	68	28	28	31	55	0	1	376	
Utilities Disruption	26	0	0	0	0	0	0	0	26	
Employee Misconduct	132	0	0	0	0	0	0	0	132	
Escape	1	0	0	0	0	0	0	0	1	
Attempted Escape	2	0	0	0	0	0	0	0	2	
Fire	34	0	1	0	0	0	0	0	35	
Property Destruction	10	0	0	0	0	0	0	0	10	
Property Lost/Stolen	101	0	0	0	0	0	0	0	101	
Self-Injury	69	0	0	1	0	0	0	0	70	
Sexual Misconduct	56	1	0	0	0	0	0	0	57	
Suicide Attempt	140	8	2	0	0	0	0	0	150	
Temporary Release	121	1	0	0	0	0	0	0	122	
Employee Weapon Use	153	0	1	0	7	3	0	1	165	
Employee Job Action	3	0	0	0	0	0	0	0	3	
Other Incidents	375	11	6	0	0	0	0	2	394	
Total Row Pct.	5049 86.2%	453 7.7%	156 2.7%	30 0.5%	93 1.6%	58 1.0%	0 0.0%	15 0.3%	5854 100%	

**APPENDIX A**  
**RATE CALCULATION METHOD**

Annualized rates are calculated as the number of unusual incidents per 1,000 inmate population per year. For example, the 5,854 incidents which were reported during January-December 2009 are divided by the average population (N=59,471), and multiplied by 1,000 to yield 98.4 incidents per thousand inmates. The same method is used to calculate the rate of unusual incidents at particular correctional facilities (the population base is the average population at the facility; see Table 3.1) and to calculate the rate of specific types of unusual incidents (where the numerator is the total incidents in each incident category and the population base is either the average of the total population or the average of the population of a facility).

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