



Corrections and Community Supervision

SECURITY CAREER APPAREL

INVITATION FOR BIDS (IFB) # 2016-15

Issue Date:

July 15, 2016

Bid Due Date & Time:

September 6, 2016 at 3:00 p. m.

Contract Period:

December 1, 2016 – November 30, 2021

Designated Contact

Name: Marla Henriquez-Nepaulsingh
Phone: (518) 436-7886 ext. 3135
Email: doccscontracts@doccs.ny.gov

Alternate Designated Contact

Name: David Gambacorta
Phone: (518) 436-7886 ext. 3135
Email: doccscontracts@doccs.ny.gov

**NEW YORK STATE DEPARTMENT OF CORRECTIONS AND COMMUNITY SUPERVISION
IFB 2016-15 SECURITY CAREER APPAREL**

BIDDER IS ENCOURAGED TO READ THE IFB CAREFULLY

To respond to this IFB, you must complete all the documents that are contained in this package, signing each individual document as required. Attach any other pertinent information that responds to the information requested in the IFB and return the documents by the due date listed on the front page of this IFB.

The following checklist is provided to assist with the submission of the required documents for this IFB:

1. SUBMISSION DOCUMENTS PACKAGE (SIGNATURES REQUIRED)

- Completion and return of the Non-Response Form (Page 6 of IFB), if applicable.
 - Complete Bid Signature Page (Page 3)
 - Complete Individual, Corporation, Partnership, or LLC Acknowledgement form (Page 4)
 - Price Sheet - Attachment B
 - Encouraging Use of NYS Businesses in Contract Performance
 - Vendor Responsibility Questionnaire Paper Submission **OR** Electronic Filing
 - Online Questionnaire Certified Date: _____
 - Bidder Questions (*print, sign, and date*)
 - Manufacturer Certificates as Required
 - Manufacturer's Certificate(s) (*Per Each Manufacturer as Required*)
 - Bidder's Certificate of Manufacture
 - Bidder Compliance & Understanding of SFL 139-j and 139-k
 - Contractor Certification Forms (*Must Be Notarized*)
 - Form ST-220-TD (*File Directly with the Department of NYS Tax & Finance*)
 - Form ST-220-CA (*Submit with Bid Paperwork*)
2. Pre-Production Samples, including 1 yard of fabric used for each sample produced.
3. References (as indicated in the IFB)
4. IFB 2016-15 Pages 61-70

Signature: _____

Date: _____

Print Name: _____

Name of Company: _____

SIGNATURE PAGE

The bid must be fully and properly executed by an authorized person. By signing you certify your express authority to sign on behalf of yourself, your company, or other entity and full knowledge and acceptance of this INVITATION FOR BIDS, Appendix A (Standard Clauses For New York State Contracts), Appendix B (General Specifications), and State Finance Law §139-j and §139-k (Procurement Lobbying), and that all information provided is complete, true and accurate. By signing, bidder affirms that it understands and agrees to comply with DOCCS procedures relative to permissible contacts as required by State Finance Law §139-j(3) and §139-j(6)(b). Bidders are requested to retain Appendix A and Appendix B for future reference.

Procurement Lobbying information may be accessed at:
<http://www.ogs.ny.gov/aboutogs/regulations/defaultAdvisoryCouncil.html>

| | | | |
|--|------|--|-----|
| Legal Business Name of Company Bidding: | | NYS Vendor Identification Number: (see NYS vendor file registration clause) | |
| D/B/A – Doing Business As (if applicable): | | Federal Tax Identification Number: (do not use Social Security Number) | |
| Street | City | State | Zip |
| County | | | |
| <p>If applicable, place an “x” next to each that apply:</p> <p> <input type="checkbox"/> New York State Small Business <input type="checkbox"/> New York State Certified Minority-owned Business Enterprise (MBE) <input type="checkbox"/> New York State Certified Woman-owned Business Enterprise (WBE) </p> <p>Vendor Responsibility Questionnaire Filed Online: <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Do you understand and is your firm capable of meeting the insurance requirements to enter into a contract with New York State? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Does your bid proposal meet all the requirements of this solicitation? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> | | | |
| <p>If you are not bidding, place an “x” in the box and return this page only.</p> <p><input type="checkbox"/> WE ARE UNABLE TO BID AT THIS TIME BECAUSE _____</p> <p>_____</p> <p>_____</p> | | | |
| Phone: Fax: | | Toll Free Phone: | |
| E-mail Address: | | Company Web Site: | |
| Bidder’s Signature: | | Printed or Typed Name: | |
| Date: | | Title: | |

RETURN THIS PAGE AS PART OF THE BID

BIDDERS ARE ENCOURAGED TO READ THE ENTIRE IFB CAREFULLY**INQUIRIES/ISSUING OFFICE/DESIGNATED CONTACTS:**

All inquiries concerning this IFB will be addressed to the following DOCCS designated contact(s) and issuing office:

DESIGNATED CONTACT

Name: Marla Henriquez-Nepaulsingh
 Phone: (518) 436-7886 ext. 3135
 Email: doccscontracts@doccs.ny.gov

ALTERNATE CONTACT

Name: David Gambacorta
 Phone: (518) 436-7886 ext. 3135
 Email: doccscontracts@doccs.ny.gov

All questions should be submitted in writing, citing the particular bid sections and paragraph number. Bidders are cautioned to read this document thoroughly to become familiar with all aspects of the bid. Prospective Bidders should note that all clarifications and exceptions including those relating to the terms and conditions of the contract are to be resolved prior to the submission of a bid. Answers to all questions of a substantive nature will be given to all Prospective Bidders in the form of a formal addendum which will become part of the ensuing contract. Bidders entering into a contract with the State are expected to comply with all the terms and conditions contained herein. **Questions** related to this IFB must be e-mailed to the Contract Procurement Unit at doccscontracts@doccs.ny.gov by close of business on August 5, 2016. **Answers will be posted on DOCCS website and the NYS Contract Reporter on August 10, 2016.**

Contacting anyone else other than designated herein may result in rejection of bid. See details under the “SUMMARY OF POLICY AND PROHIBITIONS ON PROCUREMENT LOBBYING clause on Page 13 of this bid.

IFB SCHEDULE

Please note these dates are subject to change. The table below shows the *intended* schedule for this IFB. The Contact Lead will make every effort to adhere to this schedule.

| Events | Dates |
|--|---------------------------------------|
| Invitation For Bids (IFB) Issued | July 18, 2016 |
| Questions and Answers Deadline | August 5, 2016 |
| Questions and Answers available on websites | August 10, 2016 |
| Notice of intent to bid due (send email to doccscontracts@doccs.ny.gov) | August 26, 2016 |
| Bids Pre-Production samples Due | September 6, 2016 by 3:00 p.m. |
| Bid Opening | September 8, 2016, 1:00 pm |
| Contract Start Date | December 1, 2016 or upon OSC approval |

This contract will commence on the date of OSC approval or December 1, 2016, whichever occurs later, and will be in effect through November 30, 2021.

**New York State
Department of Corrections and Community Supervision**

NON-BID RESPONSE FORM

A required activity of the IFB process is the compiling of a Bid Tabulation. Ideally, this tabulation should incorporate not only those proposals submitted but also feedback from vendors receiving the IFB to determine why proposals were not submitted. Your cooperation in completing this form is requested should your company/agency decide not to respond to the below identified IFB. Please forward your response to:

*Marla Henriquez-Nepaulsingh, CMSI
New York State Department of Corrections and Community Supervision
Support Operations/Contract Procurement Unit
550 Broadway
Albany, New York 12204-2802*

Company name: _____

Address: _____

City, State, Zip: _____

Telephone: _____

IFB Title: 2016-15 Security Career Apparel

- My company/agency did not bid on the above identified IFB but please leave our company/agency on your bidder's list.
- My company/agency did not bid on the above identified IFB and we wish to be excluded from your bidder's list for future IFB considerations.

REASONS FOR NOT SUBMITTING A BID

- Work or service requested not performed by the company. Please indicate your organization's type of work or service performed:
- Bid request received too late. Insufficient time to plan, estimate and submit a bid.
- Too busy to consider bidding on this contract.
- Unable to meet specifications/other considerations in this proposal.
- Specifications in the IFB were unclear.
- Unwilling to accept liability or responsibility for damages.
- Unable to meet insurance requirements.
- Unable to bid on all components (i.e., all locations).
- Reluctant to contract with the State because of the many standard requirements.
- Previous unfavorable experience with state contracts/work. Please explain:
- Other (specify):

Name _____ Title _____

Signature

Date

GENERAL INFORMATION

IMPORTANT NOTICE TO POTENTIAL BIDDERS: Receipt of these bid documents does not indicate that the NYS Department of Corrections and Community Supervision (DOCCS), Contract Procurement Unit (CPU), has pre-determined your company's qualifications to receive a contract award. Such determination will be made after the bid opening and will be based on our evaluation of your bid submission compared to the specific requirements and qualifications contained in these bid documents.

NOTICE TO BIDDERS:

DOCCS CPU will receive bids pursuant to the provisions of Article XI of the State Finance Law or the provisions of the State Printing and Public Documents Law. The following procedures shall be used for bid submittals:

1. BID PREPARATION

Prepare your bid on this form using indelible ink. Print the name of your company on each page of the bid in the block provided. One copy of the bid is required, unless otherwise specified herein. Bids must be complete and legible. All Bids must be signed. All information required by the Bid Specifications must be supplied by the Bidder on the forms or in the format specified. **No alteration, erasure or addition is to be made to the Bid Documents.** Changes may be ignored by DOCCS or may be grounds for rejection of the Bid. Changes, corrections and/or use of white-out in the Bid or Bidder's response portion of the Bid Document must be initialed by an authorized representative of the Bidder. Bidders are cautioned to verify their Bids before submission, as amendments to Bids or requests for withdrawal of Bids received by CPU after the time specified for the Bid opening, may not be considered.

2. BID DEVIATIONS

If your bid differs from the specifications explain such deviation(s) or qualification(s); and if necessary, attach a separate sheet. Bids must conform to the terms set forth in the Bid Documents, as extraneous terms or material deviations (including additional, inconsistent, conflicting or alternative terms) may render the Bid non-responsive and may result in rejection of the Bid.

3. BID SUBMISSION

When submitting your bid, only the following pages of this solicitation are required: the Cover Page and all pages from your bid prices through the end of all bidder questions and required signed certifications. You are **NOT** required to submit the bid pages containing the general information, detailed specifications, Appendix A and Appendix B to this office. You may keep all those pages for your own reference.

4. BID DELIVERY

Bidders assume all risks for timely, properly submitted deliveries, including hand deliveries. Bidders are strongly encouraged to arrange for delivery of bids to DOCCS CPU **prior to** the date of the bid opening. **LATE BIDS may be rejected. E-mail bid submissions are not acceptable and will not be considered.**

Any Bid received at the specified location after the time specified will be considered a late Bid. A late Bid shall not be considered for award unless: (i) no timely Bids meeting the requirements of the Bid Documents are received or, (ii) in the case of a multiple award, an insufficient number of timely Bids were received to satisfy the multiple award; and acceptance of the late Bid is in the best interests of the State. Bids submitted for continuous or periodic recruitment contract awards must meet the submission requirements associated with their specifications. Delays in United States mail deliveries or any other means of transmittal, including couriers or agents of the Authorized User shall not excuse late Bid submissions. Similar types of delays, including but not limited to, bad weather, or security

GENERAL INFORMATION (Cont'd)

procedures for parking and building admittance shall not excuse late Bid submissions. Determinations relative to Bid timeliness shall be at the sole discretion of DOCCS.

5. BID OPENING

Bid opening for IFB 2016-15 Security Career Apparel will be held on Thursday, **September 8 at 1:00 p.m.**, at NYS Department of Corrections and Community Supervision, Corcraft Building 550 Broadway, Menands, NY 12204.

Due to limited space only one (1) company representative will be allowed to attend the bid opening. Bidder must pre-register with the Contract Procurement Unit by email at doccscontracts@doccs.ny.gov, by close of business September 2, 2016. **It is recommended that the attendee arrive 10 minutes prior to the scheduled time of the bid opening to sign in at Corcraft's reception desk. Bid opening will begin promptly at 1:00 p.m.** Attendees arriving after the bid opening begins will be precluded from entering the meeting room.

Attendees will be required to sign in at the bid opening and provide basic company and contact information. This information will be used to verify attendance and submitted to the Office of the State Comptroller (OSC) as required activity of the IFB process. Therefore, it is imperative that the provided information be legible and accurate.

NOTE: BIDDERS WILL NOT BE ALLOWED TO ASK QUESTIONS DURING OR AFTER THE BID OPENING.

A copy of the Bid Tabulation will be posted at the following websites: DOCCS (Community Supervision) <http://www.doccs.ny.gov/RFPs/rfps.html> and the NYS Contract Reporter <https://www.nyscr.ny.gov/> prior to OSC review.

NOTICE TO BIDDERS:

- **Bid envelopes**

An envelope containing a bid should be marked "**BID ENCLOSED**" and state the **Bid Number, Bid Opening Date, and Time** on the envelope containing the sealed bid. Failure to complete all information on the bid envelope may necessitate the premature opening of the bid and may compromise confidentiality. In the event that a Bidder fails to provide such information on the return Bid envelope or shipping material, the receiving entity reserves the right to open the shipping package or envelope to determine the proper Bid number or Product group, and the date and time of Bid opening. Bidder shall have no claim against the receiving entity arising from such opening and such opening shall not affect the validity of the Bid or the procurement.

Notwithstanding the receiving agency's right to open a Bid to ascertain the foregoing information, Bidder assumes all risk of late delivery associated with the Bid not being identified, packaged or labeled in accordance with the foregoing requirements.

Bids shall be delivered to:

Marla Henriquez-Nepaulsingh, CMS I
NYS Department of Corrections and Community Supervision
Support Operations/Contract Procurement Unit
550 Broadway
Albany, NY 12204

GENERAL INFORMATION (Cont'd)**PRODUCT OFFERINGS MADE BY BIDDER (Alternate Bids):**

No alternate bid(s) will not be considered. Bidder shall make no more than one offering per LOT per bid. Bids having more than one offering per LOT may not be considered.

CONFIDENTIAL/TRADE SECRET MATERIALS:a. Contractor

Confidential, trade secret or proprietary materials as defined by the laws of the State of New York must be clearly marked and identified as such upon submission by the Bidder. Marking the Bid as "confidential" or "proprietary" on its face or in the document header or footer shall not be considered by DOCCS or Authorized User to be sufficient without specific justification as to why disclosure of particular information in the Bid would cause substantial injury to the competitive position of the Bidder. Bidders/Contractors intending to seek an exemption from disclosure of these materials under the Freedom of Information Law must request the exemption in writing, setting forth the reasons for the claimed exemption. Acceptance of the claimed materials does not constitute a determination on the exemption request, which determination will be made in accordance with statutory procedures. Properly identified information that has been designated confidential, trade secret, or proprietary by the Bidder will not be disclosed except as may be required by the Freedom of Information Law or other applicable State and federal laws.

b. DOCCS

Contractor further warrants, covenants and represents that any confidential information obtained by Contractor, its agents, Subcontractors, officers, distributors, resellers or employees in the course of performing its obligations, including without limitation, security procedures, business operations information, or commercial proprietary information in the possession of the State or any Authorized User hereunder or received from another third party, will not be divulged to any third parties. Contractor shall not be required to keep confidential any such material that is publicly available through no fault of Contractor, independently developed by Contractor without reliance on confidential information of the Authorized User, or otherwise obtained under the Freedom of Information Act or other applicable New York State laws and regulations. This warranty shall survive termination of this Contract. Contractor further agrees to take appropriate steps as to its agents, Subcontractors, officers, distributors, resellers or employees regarding the obligations arising under this clause to insure such confidentiality.

BID EVALUATION:

DOCCS reserves the right to accept or reject any and all Bids, or separable portions of offers, and waive technicalities, irregularities, and omissions if DOCCS determines the best interests of the State will be served. DOCCS may accept or reject illegible, incomplete or vague Bids and DOCCS' decision shall be final. A conditional or revocable Bid which clearly communicates the terms or limitations of acceptance may be considered, and Contract award may be made in compliance with the Bidder's conditional or revocable terms in the offer.

RELEASE OF BID EVALUATION/MATERIAL:

Requests concerning the evaluation of Bids may be submitted under the Freedom of Information Law. Information, other than statistical or factual tabulations or data such as the Bid Tabulation, shall only be released as required by law after Contract award. Bid Tabulations are not maintained for all procurements. Names of Bidders may be disclosed after Bid opening upon request. Written requests should be directed to the DOCCS.

GENERAL INFORMATION (Cont'd)**FREEDOM OF INFORMATION LAW:**

During the evaluation process, the content of each Bid will be held in confidence and details of any Bid will not be revealed (except as may be required under the Freedom of Information Law or other State law). The Freedom of Information Law provides for an exemption from disclosure for trade secrets or information the disclosure of which would cause injury to the competitive position of commercial enterprises. This exception would be effective both during and after the evaluation process. If the Bid contains any such trade secret or other confidential or proprietary information, it must be accompanied in the Bid with a written request to DOCCS to not disclose such information. Such request must state with particularity the reasons why the information should not be available for disclosure and must be provided at the time of submission of the Bid. Notations in the header, footer or watermark of the Bid Document will not be considered sufficient to constitute a request for non-disclosure of trade secret or other confidential or proprietary information. Where a Freedom of Information request is made for trademark or other confidential or proprietary information, DOCCS reserves the right to determine upon written notice to the Bidder whether such information qualifies for the exemption for disclosure under the law. Notwithstanding the above, where a Bid tabulation is prepared and Bids publicly opened, such Bid tabulation shall be available in the NYS Contract Reporter, or upon request.

TAXES:

- a. Unless otherwise specified in the Bid Specifications or Contract, the quoted Bid price includes all taxes applicable to the transaction.
- b. Purchases made by the State of New York and certain non-State Authorized Users are exempt from New York State and local sales taxes and, with certain exceptions, federal excise taxes. To satisfy the requirements of the New York State Sales tax exemption, either the Purchase Order issued by a State Agency or the invoice forwarded to authorize payment for such purchases will be sufficient evidence that the sale by the Contractor was made to the State, an exempt organization under Section 1116 (a) (1) of the Tax Law. Non-State Authorized Users must offer their own proof of exemption upon request. No person, firm or corporation is, however, exempt from paying the State Truck Mileage and Unemployment Insurance or Federal Social Security taxes, which remain the sole responsibility of the Bidder/Contractor.
- c. Pursuant to Revised Tax Law 5-a, Contractor will be required to furnish sales tax certification on its behalf and for its affiliates, and subcontractors for Contracts with a value greater than \$100,000 in accordance with provisions of the law.
- d. Purchases by Authorized Users other than the State of New York may be subject to certain taxes which were not included the Bid price, and in those instances the tax should be computed based on the Contract price and added to the invoice submitted to such entity for payment.

EXPENSES PRIOR TO CONTRACT EXECUTION:

DOCCS is not liable for any costs incurred by a Vendor, Bidder or Contractor in the preparation and production of a Bid, Mini-Bid or best and final offers or for any work performed prior to Contract execution.

ADVERTISING RESULTS:

The prior written approval of DOCCS is required in order for results of the Bid to be used by the Contractor as part of any commercial advertising. The Contractor shall also obtain the prior written approval of DOCCS relative to the Bid or Contract for press or other media releases.

PRODUCT REFERENCES:

- a. "Or Equal" in all Bid Specifications the words "or equal" are understood to apply where a copyrighted, brand name, trade name, catalog reference, or patented Product is referenced. References to such specific Product are intended as descriptive, not restrictive, unless otherwise stated. Comparable Product will be considered if proof of compatibility is provided, including appropriate catalog

GENERAL INFORMATION (Cont'd)

- b. excerpts, descriptive literature, specifications and test data, etc. DOCCS decision as to acceptance of the Product as equal shall be final.
- c. Discrepancies in References in the event of a discrepancy between the model number referenced in the Bid Specifications and the written description of the Products which cannot be reconciled, with respect to such discrepancy, then the written description shall prevail.

PRICING:

- a. Unit Pricing If required by the Bid Specifications, the Bidder should insert the price per unit specified and the price extensions in decimals, not to exceed three places for each item unless otherwise specified, in the Bid. In the event of a discrepancy between the unit price and the extension, the unit price shall govern unless, in the sole judgment of DOCCS, such unit pricing is obviously erroneous.
- b. Net Pricing Unless otherwise required by the Bid Specifications, prices shall be net, including transportation, customs, tariff, delivery and other charges fully prepaid by the Contractor to the destination(s) indicated in the Bid Specifications, subject to the cash discount.
- c. "No Charge" Bid When Bids are requested on a number of Products as a Group or Lot, a Bidder desiring to Bid "no charge" on a Product in the Group or Lot must clearly indicate such. Otherwise, such Bid may be considered incomplete and be rejected, in whole or in part, at the discretion of the DOCCS.
- d. Best and Final Prices As specified in the Bid Documents and Contract, a Contractor may be solicited at the time of issuance of a Purchase Order or Mini-Bid award for best and final pricing for the Product or service to be delivered to the Authorized User. Contractors are encouraged to reduce their pricing upon receipt of such request.

DRAWINGS:

- a. Drawings Submitted With Bid When the Bid Specifications require the Bidder to furnish drawings and/or plans, such drawings and/or plans shall conform to the mandates of the Bid Documents and shall, when approved by DOCCS, be considered a part of the Bid and of any resulting Contract. All symbols and other representations appearing on the drawings shall be considered a part of the drawing.
- b. Drawings Submitted During the Contract Term Where required to develop, maintain and deliver diagrams or other technical schematics regarding the scope of work, Contractor shall do so on an ongoing basis at no additional charge, and must, as a condition of payment, update drawings and plans during the Contract term to reflect additions, alterations, and deletions. Such drawings and diagrams shall be delivered to the Authorized User's representative.
- c. Accuracy of Drawings Submitted All drawings shall be neat and professional in manner and shall be clearly labeled as to locations and type of product, connections and components. Drawings and diagrams are to be in compliance with accepted drafting standards. Acceptance or approval of such plans shall not relieve the Contractor from responsibility for design or other errors of any sort in the drawings or plans, or from its responsibility for performing as required, furnishing product, services or installation, or carrying out any other requirements of the intended scope of work.

CONDITIONAL BID:

Unless the Bid Specifications provides otherwise, a Bid is not rendered non-responsive if the Bidder specifies that the award will be accepted only on all or a specified group of items or Product included in the specification. It is understood that nothing herein shall be deemed to change or alter the method of award contained in the Bid Documents.

GENERAL INFORMATION (Cont'd)**CLARIFICATIONS / REVISIONS:**

Prior to award, DOCCS reserves the right to seek clarifications, request Bid revisions, or to request any information deemed necessary for proper evaluation of Bids from all Bidders deemed to be eligible for Contract award. Failure to provide requested information may result in rejection of the Bid.

EQUIVALENT OR IDENTICAL BIDS:

In the event two offers are found to be substantially equivalent, price shall be the basis for determining the award recipient. If two or more Bidders submit substantially equivalent Bids as to pricing or other factors, the decision of DOCCS to award a Contract to one or more of such Bidders shall be final.

PERFORMANCE AND RESPONSIBILITY QUALIFICATIONS:

DOCCS reserves the right to investigate or inspect at any time whether or not the Product, services, qualifications or facilities offered by the Bidder/Contractor meet the requirements set forth in the Bid Specifications/Contract or as set forth during Contract negotiations. Contractor shall at all times during the Contract term remain responsible and responsive. A Bidder/Contractor must be prepared, if requested by DOCCS, to present evidence of legal authority to do business in New York State, integrity, experience, ability, prior performance, organizational and financial capacity as well as where applicable, a statement as to supply, plant, machinery and capacity of the manufacturer or source for the production, distribution and servicing of the Product offered/Bid. If DOCCS determines that the conditions and terms of the Bid Documents, Bid Specifications or Contract are not complied with, or that items, services or Product proposed to be furnished do not meet the specified requirements, or that the legal authority, integrity, experience, ability, prior performance, organization and financial capacity or facilities are not satisfactory, DOCCS may reject such Bid or terminate the Contract.

DISQUALIFICATION FOR PAST PERFORMANCE AND FINDINGS OF NON-RESPONSIBILITY:

Bidder may be disqualified from receiving awards if Bidder, or anyone in Bidder's employment, has previously failed to perform satisfactorily in connection with public Bidding or contracts or is deemed non-responsible.

QUANTITY CHANGES PRIOR TO AWARD:

DOCCS reserves the right, at any time prior to the award of a specific quantity Contract, to alter in good faith the quantities listed in the Bid Specifications. In the event such right is exercised, the lowest responsible Bidder meeting Bid Specifications will be advised of the revised quantities and afforded an opportunity to extend or reduce its Bid price in relation to the changed quantities. Refusal by the low Bidder to so extend or reduce its Bid price may result in the rejection of its Bid and the award of such Contract to the lowest responsible Bidder who accepts the revised qualifications.

NON-COLLUSIVE BIDDING CERTIFICATION:**(Reference: State Finance Law Section 139-d and Appendix A, Clause 7)**

By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief:

(1) The prices in this bid have been arrived at independently without collusion, consultation, communication, or agreement, for the purpose of restricting competition, as to any matter relating to such prices with any other bidder or with any competitor;

(2) Unless otherwise required by law, the prices which have been quoted in this bid have not been knowingly disclosed by the bidder and will not knowingly be disclosed by the bidder prior to opening, directly or indirectly, to any other bidder or to any competitor; and

(3) No attempt has been made or will be made by the bidder to induce any other person, partnership or corporation to submit or not submit a bid for the purpose of restricting competition.

In the event that the bidder is unable to certify as stated above, the bidder shall provide a signed statement which sets forth in detail the reasons why the bidder is unable to furnish the certificate as required in accordance with State Finance law Section 139-d(1)(b).

GENERAL INFORMATION (Cont'd)**SUMMARY OF POLICY AND PROHIBITIONS ON PROCUREMENT LOBBYING:**

In reference to State Finance Law §§139-j and 139-k, this bid includes and imposes certain restrictions on communications between DOCCS and the bidder during the procurement process. The bidder is restricted from making contacts from the date of bid advertisement in the NYS Contract Reporter through final approval of the contract award by the Office of the State Comptroller, with anyone other than designated DOCCS staff, unless it is contact that is among certain statutory exceptions as per State Finance Law Section 139-j(3)(a). Designated staff is identified on the cover page of this Invitation for Bids. DOCCS employees are also required to obtain certain information when contacted during the "restricted period" and to make a determination of responsibility of the bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection of the bid, and in the event of two findings within a four-year period, the bidder is debarred from obtaining future State contracts. Further information about these requirements can be found at <http://www.ogs.ny.gov/aboutOgs/regulations/defaultAdvisoryCouncil.html>.

PROCUREMENT LOBBYING TERMINATION:

DOCCS reserves the right to terminate this contract in the event it is found that the certification filed by the Offerer/bidder in accordance with New York State Finance Law §139-k was intentionally false or intentionally incomplete. Upon such finding, DOCCS may exercise its termination right by providing written notification to the Offerer/bidder in accordance with the written notification terms of this contract.

DISPUTE RESOLUTION POLICY:

It is the policy of the DOCCS and the Office of the State Comptroller to provide vendors with an opportunity to administratively resolve disputes, complaints or inquiries related to NYS bid solicitations or contract awards. DOCCS and the Office of the State Comptroller encourages vendors to seek resolution of disputes through consultation with DOCCS staff. All such matters will be accorded impartial and timely consideration. Interested parties may also file formal written disputes.

DEBRIEFING:

Bidders will be accorded fair and equal treatment with respect to their opportunity for debriefing. Disclosure of the content of competing bids other than statistical tabulations of bids received in response to an IFB, is prohibited prior to contract award. Prior to contract award, DOCCS shall, upon request, provide a debriefing which would be limited to review of that bidder's proposal or bid. After contract award, DOCCS shall, upon request, provide a debriefing to any bidder that responded to the IFB, regarding the reason that the proposal or bid submitted by the unsuccessful bidder was not selected for a contract award. The post award debriefing should be requested by the bidder within fifteen (15) days of contract award.

APPENDIX A:

Appendix A, Standard Clauses For New York State Contracts, dated January 2014, attached hereto, is hereby expressly made a part of this Bid Document as fully as if set forth at length herein. **Please retain this document for future reference.**

APPENDIX B:

Appendix B, Department of Corrections and Community Supervision General Specifications, dated May 2015, attached hereto, is hereby expressly made a part of this Bid Document as fully as if set forth at length herein and shall govern any situations not covered by this Bid Document or Appendix A. **Please retain this document for future reference.**

APPENDIX C:

Appendix C, Contractors Requirements and Procedures for Equal Employment and Participation Opportunities for Minority Group Members and New York State Certified MWBE, attached hereto expressly made a part of this Bid Document as fully as if set forth at length herein and shall govern any situations not covered by this Bid Document or Appendix A. **Please retain this document for future reference.**

GENERAL INFORMATION (Cont'd)**CONFLICT OF TERMS AND CONDITIONS:**

Conflicts between documents shall be resolved in the following order of precedence:

- a. Appendix A
- b. This Invitation For Bids
- c. Appendix B
- d. Appendix C
- e. Bidder's Bid

NEW YORK STATE VENDOR FILE REGISTRATION:

Prior to being awarded a contract pursuant to this Solicitation, the Bidder(s) and any designated authorized resellers who accept payment directly from the State, must be registered in the New York State Vendor File (Vendor File) administered by the Office of the State Comptroller (OSC). This is a central registry for all vendors who do business with New York State Agencies and the registration must be initiated by a State Agency. Following the initial registration, unique New York State ten-digit vendor identification numbers will be assigned to your company and to each of your authorized resellers (if any) for usage on all future transactions with New York State. Additionally, the Vendor File enables vendors to use the Vendor Self-Service application to manage all vendor information in one central location for all transactions related to the State of New York.

If Bidder is already registered in the New York State Vendor File, list the ten-digit vendor id number on the first page of this bid document. Authorized resellers already registered should list the ten-digit vendor id number along with the authorized reseller information.

If your company is not currently registered with the New York State Vendor File administered by the Office of the State Comptroller (OSC), please visit: (http://www.osc.state.ny.us/vendor_management/) for instructions on how to register.

Complete the OSC Substitute W-9 form (http://www.osc.state.ny.us/vendors/forms/ac3237_fe.pdf) and submit the form with your bid.

To request assignment of a Vendor ID to access the VendRep System **in advance of submitting your bid**, contact the Office of the State Comptroller's Help Desk at 866-370-4672 or 518-408-4672 or by email at ITServiceDesk@osc.state.ny.us .

NYS STANDARD VENDOR RESPONSIBILITY QUESTIONNAIRE:

Bidder agrees to fully and accurately complete the NYS Standard Vendor Responsibility Questionnaire (hereinafter the "Questionnaire"). The Bidder acknowledges that the State's execution of the Contract will be contingent upon the State's determination that the Bidder is responsible, and that the State will be relying upon the Bidder's responses to the Questionnaire in making that determination. The Bidder agrees that if it is found by the State that the Bidder's responses to the Questionnaire were intentionally false or intentionally incomplete, on such finding, DOCCS may terminate the Contract by providing ten (10) days written notification to the Contractor. In no case shall such termination of the Contract by the State be deemed a breach thereof, nor shall the State be liable for any damages for lost profits or otherwise, which may be sustained by the Contractor as a result of such termination.

The NYS Department of Corrections and Community Supervision, Contract Procurement Unit recommends that vendors file the required Vendor Responsibility Questionnaire online via the New York State VendRep System. To enroll in and use the New York State VendRep System, see the VendRep System Instructions available at http://www.osc.state.ny.us/vendrep/vendor_index.htm or go directly to the VendRep System online at <https://portal.osc.state.ny.us>.

Vendors must provide their New York State Vendor Identification Number when enrolling. To request assignment of a Vendor ID or for VendRep System assistance, contact the Office of the State Comptroller's Help Desk at 866-370-4672 or 518-408-4672 or by email at ITServiceDesk@osc.state.ny.us.

GENERAL INFORMATION (Cont'd)

Vendors opting to complete and submit a paper questionnaire can obtain the appropriate questionnaire from the VendRep website www.osc.state.ny.us/vendrep or may contact the DOCCS staff member whose name appears on the cover page of this Invitation for Bids or the Office of the State Comptroller's Help Desk for a copy of the paper form.

It is the sole responsibility of the resultant contractor, at any time throughout the contract period, to promptly notify the VendRep System or DOCCS of any changes to its original responses in the questionnaire. Failure to do so may be sufficient cause for termination of the contract.

The contractor shall at all times during the Contract term remain responsible. The Contractor agrees, if requested by the DOCCS, to present evidence of its continuing legal authority to do business in New York State, integrity, experience, ability, prior performance, and organization and financial capacity.

DOCCS reserves the right to suspend any or all activities under this Contract, at any time, when DOCCS discovers information that calls into question the responsibility of the Contractor. In the event of such suspension, the Contractor will be given written notice outlining the particulars of the suspension order. Contract activity may resume at such time DOCCS issues a written notice authorizing a resumption of performance under the Contract.

Upon written notice to the Contractor, and a reasonable opportunity to be heard with appropriate DOCCS officials or staff, the Contract may be terminated by the DOCCS at the Contractor's expense where the Contractor is determined by DOCCS to be non-responsible. In such event, DOCCS may complete the contractual requirements in any manner deemed advisable and pursue available legal equitable remedies for breach.

TAX LAW § 5-A (Amended April 26, 2006):

Tax Law § 5-a, as amended on April 26, 2006, requires certain contractors who are awarded state contracts for commodities and/or services valued at more than \$100,000 (over the full term of the contract, excluding renewals) to certify to the Department of Taxation and Finance (DTF) they are registered to collect New York State (NYS) and local sales and compensating use taxes. The law applies to contracts where the total amount of the contractor's sales delivered into NYS exceed \$300,000 for the four quarterly periods immediately preceding the quarterly period when the certification is made; and with respect to any affiliates and subcontractors whose sales delivered into NYS also exceed \$300,000 in the same manner as noted above for the contractor.

This law imposes upon certain contractors the obligation to certify whether or not the contractor, its affiliates, and its subcontractors are required to register to collect state sales and compensating use tax. The contractors must certify to DTF that each affiliate and subcontractor exceeding the sales threshold is registered with DTF to collect such State and local sales and compensating use taxes. The law prohibits the Comptroller, or other approving agency, from approving a contract to a vendor who is not registered in accordance with the law.

There are two (2) Contractor certification forms, with instructions, attached to this bid. **Form ST-220-TD is to be submitted directly to DTF. Submission to DTF is a one-time occurrence. If you have already submitted this form to DTF for other bidding opportunities, you do not need to submit the form. If, however, any certification information changes, a new ST-220-TD must be filed with DTF. Form ST-220-CA must be completed and submitted with this bid. This form certifies to the procuring agency that the contractor has filed ST-220-TD with DTF in compliance with the law.**

Bidders should complete and submit the certification forms within two business days of request (if the forms are not submitted to DTF and/or returned with bid). Bidders shall take the necessary steps to provide properly certified forms within a timely manner to ensure compliance with the law, as failure to do so may render a bidder non-responsive and non-responsible.

GENERAL INFORMATION (Cont'd)

Vendors may call DTF at 1-800-698-2909 for any and all questions relating to Tax Law § 5-a, and relating to a company's registration status with DTF. For additional information and frequently asked questions, please refer to the DTF web site: <http://www.nystax.gov>.

TERMINATION FOR VIOLATION OF Revised Tax Law 5a:

DOCCS reserves the right to terminate this contract in the event it is found that the certification filed by the Contractor in accordance with § 5-a of the Tax Law is not timely filed during the term of the contract or the certification furnished was intentionally false or intentionally incomplete. Upon such finding, DOCCS may exercise its termination right by providing written notification to the Contractor.

MERCURY-ADDED CONSUMER PRODUCTS:

Bidders are advised that effective January 1, 2005, Article 27, Title 21 of the Environmental Conservation Law bans the sale or distribution free of charge of fever thermometers containing mercury (except by prescription written by a physician), and bans the sale or distribution free of charge of elemental mercury other than for medical pre-encapsulated dental amalgam, research, or manufacturing purposes due to the hazardous waste concerns of mercury. Further, effective July 12, 2005, manufacturers are now required to label mercury-added consumer products that are sold or offered for sale in New York State by a distributor or retailer. The label is intended to inform consumers of the presence of mercury in such products and of the proper disposal or recycling of mercury-added consumer products. Bidders are encouraged to contact the Department of Environmental Conservation, Bureau of Solid Waste, Reduction & Recycling at (518) 402-8705, or the Bureau of Hazardous Waste Regulation at 1-800-462-6553 with questions relating to the law. Bidders may also visit the Department's website for additional information: <http://www.dec.state.ny.us/website/dshm/redrecy/c145home.html>

CONTRACTOR REQUIREMENTS AND PROCEDURES FOR BUSINESS PARTICIPATION OPPORTUNITIES FOR NEWYORK STATE CERTIFIED MINORITY- AND WOMEN-OWNED BUSINESS ENTERPRISES AND EQUAL EMPLOYMENT OPPORTUNITIES FOR MINORITY GROUP MEMBERS AND WOMEN:

Pursuant to New York State Executive Law Article 15-A and 5 NYCRR 140-145 Department of Corrections and Community Supervision (hereinafter referred to as "DOCCS") recognizes its obligation under the law to promote opportunities for maximum feasible participation of certified minority-and women-owned business enterprises and the employment of minority group members and women in the performance of DOCCS contracts.

A. Business Participation Opportunities for MWBEs

For purposes of this solicitation, DOCCS hereby establishes an overall goal of **30%** for MWBE participation, **15%** for New York State certified minority-owned business enterprises ("MBE") participation and **15%** for New York State certified women-owned business enterprises ("WBE") participation (based on the current availability of qualified MBEs and WBEs). A contractor ("Contractor") on the subject contract ("Contract") must document its good faith efforts to provide meaningful participation by MWBEs as subcontractors or suppliers in the performance of the Contract and the Contractor agrees that DOCCS may withhold payment pending receipt of the required MWBE documentation. The directory of MWBEs can be viewed at: <https://ny.newnycontracts.com>. For guidance on how DOCCS will determine a Contractor's "good faith efforts," refer to 5 NYCRR §142.8.

In accordance with 5 NYCRR §142.13, the Contractor acknowledges that if it is found to have willfully and intentionally failed to comply with the MWBE participation goals set forth in the Contract, such finding constitutes a breach of Contract and DOCCS may withhold payment from the Contractor as liquidated damages.

GENERAL INFORMATION (Cont'd)

Such liquidated damages shall be calculated as an amount equaling the difference between: (1) all sums actually paid to MWBEs for work performed or materials supplied under the Contract.

By submitting a bid or proposal, a bidder on the Contract ("Bidder") agrees to demonstrate its good faith efforts to achieve its goals for the utilization of MWBEs by submitting evidence thereof through the New York State Contract System ("NYSCS"), which can be viewed at <https://ny.newnycontracts.com>, provided, however, that a Bidder may arrange to provide such evidence via a non-electronic method by contacting the designated contact for this procurement. Please note that the NYSCS is a one stop solution for all of your MWBE and Article 15-A contract requirements. For additional information on the use of the NYSCS to meet Bidder's MWBE requirements please see the attached MWBE guidance, "Your MWBE Utilization and Reporting Responsibilities Under Article 15-A."

Additionally, a Bidder will be required to submit the following documents and information as evidence of compliance with the foregoing:

- A. An MWBE Utilization Plan with their bid or proposal. Any modifications or changes to the MWBE Utilization Plan after the Contract award and during the term of the Contract must be reported on a revised MWBE Utilization Plan and submitted to DOCCS.

DOCCS will review the submitted MWBE Utilization Plan and advise the Bidder of DOCCS acceptance or issue a notice of deficiency within 30 days of receipt.

- B. If a notice of deficiency is issued, the Bidder will be required to respond to the notice of deficiency within seven (7) business days of receipt by submitting to the DOCCS, [address phone and fax information], a written remedy in response to the notice of deficiency. If the written remedy that is submitted is not timely or is found by DOCCS to be inadequate, DOCCS shall notify the Bidder and direct the Bidder to submit, within five (5) business days, a request for a partial or total waiver of MWBE participation goals. Failure to file the waiver form in a timely manner may be grounds for disqualification of the bid or proposal.

DOCCS may disqualify a Bidder as being non-responsive under the following circumstances:

- a) If a Bidder fails to submit a MWBE Utilization Plan;
- b) If a Bidder fails to submit a written remedy to a notice of deficiency;
- c) If a Bidder fails to submit a request for waiver; or
- d) If DOCCS determines that the Bidder has failed to document good faith efforts.

The Contractor will be required to attempt to utilize, in good faith, any MBE or WBE identified within its MWBE Utilization Plan, during the performance of the Contract. Requests for a partial or total waiver of established goal requirements made subsequent to Contract Award may be made at any time during the term of the Contract to DOCCS, but must be made no later than prior to the submission of a request for final payment on the Contract.

The Contractor will be required to submit a Contractor's Quarterly M/WBE Contractor Compliance & Payment Report to the DOCCS, by the 10th day following each end of quarter over the term of the Contract documenting the progress made toward achievement of the MWBE goals of the Contract.

B. Equal Employment Opportunity Requirements

By submission of a bid or proposal in response to this solicitation, the Bidder/Contractor agrees with all of the terms and conditions of Appendix A – Standard Clauses for All New York State Contracts including Clause 12 - Equal Employment Opportunities for Minorities and Women. The Contractor is required to ensure that it and any subcontractors awarded a subcontract over \$25,000 for the construction, demolition,

GENERAL INFORMATION (Cont'd)

replacement, major repair, renovation, planning or design of real property and improvements thereon (the "Work"), except where the Work is for the beneficial use of the Contractor, undertake or continue programs to ensure that minority group members and women are afforded equal employment opportunities without discrimination because of race, creed, color, national origin, sex, age, disability or marital status. For these purposes, equal opportunity shall apply in the areas of recruitment, employment, job assignment, promotion, upgrading, demotion, transfer, layoff, termination, and rates of pay or other forms of compensation. This requirement does not apply to: (i) work, goods, or services unrelated to the Contract; or (ii) employment outside New York State.

The Bidder will be required to submit a Minority and Women-Owned Business Enterprises and Equal Employment Opportunity Policy Statement to DOCCS with their bid or proposal.

To ensure compliance with this Section, the Bidder will be required to submit with the bid or proposal an Equal Employment Opportunity Staffing Plan (Form # EEO 100) identifying the anticipated work force to be utilized on the Contract and if awarded a Contract, will, upon request, submit an Equal Employment Opportunity Workforce Employment Utilization Compliance Report identifying the workforce actually utilized on the Contract, if known, through the New York State Contract System; provided, however, that a Bidder may arrange to provide such report via a non-electronic method by contacting the designated contact for this procurement.

Further, pursuant to Article 15 of the Executive Law (the "Human Rights Law"), all other State and Federal statutory and constitutional non-discrimination provisions, the Contractor and sub-contractors will not discriminate against any employee or applicant for employment because of race, creed (religion), color, sex, national origin, sexual orientation, military status, age, disability, predisposing genetic characteristic, marital status or domestic violence victim status, and shall also follow the requirements of the Human Rights Law with regard to non-discrimination on the basis of prior criminal conviction and prior arrest.

Please Note: Failure to comply with the foregoing requirements may result in a finding of non-responsiveness, non-responsibility and/or a breach of the Contract, leading to the withholding of funds, suspension or termination of the Contract or such other actions or enforcement proceedings as allowed by the Contract.

USE OF SERVICE-DISABLED VETERAN-OWNED BUSINESS ENTERPRISES IN CONTRACT PERFORMANCE

Article 17-B of the Executive Law enacted in 2014 acknowledges that Service-Disabled Veteran-Owned Businesses (SDVOBs) strongly contribute to the economies of the State and the nation. As defenders of our nation and in recognition of their economic activity in doing business in New York State, bidders/proposers for this contract for commodities, services or technology are strongly encouraged and expected to consider SDVOBs in the fulfillment of the requirements of the contract. Such partnering may be as subcontractors, suppliers, protégés or other supporting roles. SDVOBs can be readily identified on the directory of certified businesses at: http://ogs.ny.gov/Core/docs/CertifiedNYS_SDVOB.pdf

Bidders/proposers need to be aware that all authorized users of this contract will be strongly encouraged to the maximum extent practical and consistent with legal requirements of the State Finance Law and the Executive Law to use responsible and responsive SDVOBs in purchasing and utilizing commodities, services and technology that are of equal quality and functionality to those that may be obtained from non-SDVOBs. Furthermore, bidders/proposers are reminded that they must continue to utilize small, minority and women-owned businesses consistent with current State law.

GENERAL INFORMATION (Cont'd)

Utilizing SDVOBs in State contracts will help create more private sector jobs, rebuild New York State’s infrastructure, and maximize economic activity to the mutual benefit of the contractor and its SDVOB partners. SDVOBs will promote the contractor’s optimal performance under the contract, thereby fully benefiting the public sector programs that are supported by associated public procurements.

Public procurements can drive and improve the State’s economic engine through promotion of the use of SDVOBs by its contractors. The State, therefore, expects bidders/proposers to provide maximum assistance to SDVOBs in their contract performance. The potential participation by all kinds of SDVOBs will deliver great value to the State and its taxpayers.

ENCOURAGING USE OF NEW YORK STATE BUSINESSES IN CONTRACT PERFORMANCE

New York State businesses have a substantial presence in State Contracts and strongly contribute to the economies of the state and the nation. In recognition of their economic activity and leadership in doing business in New York State, bidders/proposers for this contract for commodities, services or technology are strongly encouraged and expected to consider New York State businesses in the fulfillment of the requirements of the contract. Such partnering may be as subcontractors, suppliers, protégés or other supporting roles.

Bidders/proposers need to be aware that all authorized users of this contract will be strongly encouraged, to the maximum extent practical and consistent with legal requirements, to use responsible and responsive New York State businesses in purchasing commodities that are of equal quality and functionality and in utilizing services and technology. Furthermore, bidders/proposers are reminded that they must continue to utilize small, minority and women-owned businesses, consistent with current State law.

Utilizing New York State businesses in State contacts will help create more private sector jobs, rebuild New York’s infrastructure, and maximize economic activity to the mutual benefit of the contractor and its New York State business partners. New York State businesses will promote the contractor’s optimal performance under the contract, thereby fully benefiting the public sector programs that are supported by associated procurements.

Public procurements can drive and improve the State’s economic engine through promotion of the use of New York businesses by its contractors. The State therefore expects bidders/proposers to provide maximum assistance to New York businesses in their use of the contract. The potential participation by all kinds of New York businesses will deliver great value to the State and its taxpayers.

Bidders/proposers can demonstrate their commitment to the use of New York State businesses by responding to the questions below:

WILL NEW YORK STATE BUSINESSES BE USED IN THE PERFORMANCE OF THIS CONTRACT? YES NO

IF YES, IDENTIFY NEW YORK STATE BUSINESSES THAT WILL BE USED AND ATTACH IDENTIFYING INFORMATION.

| NAME/ADDRESS/PHONE OF NYS BUSINESSES TO BE USED | SUBCONTRACTOR OR SUPPLIER? | APPROXIMATE DOLLAR VALUE |
|---|----------------------------|--------------------------|
| | | |
| | | |

GENERAL INFORMATION (Cont'd)**PRICE:**

Price shall include all customs duties and charges and be net, F.O.B. to NYSDOCCS, Uniform Quartermaster, 550 Broadway, Menands, New York 12204, including tailgate delivery. Restricted hours and delivery notification as designated by DOCCS. **Note: Prices must be rounded to the nearest cent.**

MINIMUM ORDER:

Minimum order shall be 30 pieces per item.

Contractor may elect to honor orders for less than the minimum order. For such orders, at the contractor's option, shipping costs from the contractor's address (as stated in bid) may be added to invoice with a copy of the freight bill. Shipping costs are to be prepaid by contractor and such orders are to be shipped on an F.O.B. destination basis. All such orders must be shipped by the most economical method for the proper delivery of the product unless special instructions are stated on the order by the agency.

METHOD OF AWARD: Please complete the *Bid Price Sheet*.

Award(s) shall be made to the lowest price of the ***Grand Total by Lot***. ***All qualified bids will be evaluated and award(s) will be based on*** the lowest price to the responsive and responsible bidder(s) as determined by consideration of:

1. Price
2. Conformity with bid specifications and terms & conditions of this IFB
3. Quality of item offered
4. Samples, and evaluation of the samples
5. Suitability of items(s) for intended use
6. Guaranteed delivery schedule
7. References
8. General reputation and performance capabilities of Vendor

PROCUREMENTS RIGHTS:

The State of New York reserves the right to:

1. Reject any and all bids received in response to this Solicitation.
2. Disqualify a Bidder from receiving the award if the Bidder, or anyone in the Bidder's employ, has previously failed to perform satisfactorily in connection with public bidding or contracts.
3. Negotiate with Bidders responding to this Solicitation within the Solicitation requirements to serve the best interests of the State.
4. Begin contract negotiations with another bidding Contractor(s) in order to serve the best interests of the State of New York should the State of New York be unsuccessful in negotiating a contract with the selected Contractor within 21 days of selection notification.
5. Waive any non-material requirement not met by all Bidders.
6. Not make an award from this Solicitation.
7. Make an award under this Solicitation in whole or in part.
8. Make multiple contract awards pursuant to the Solicitation.
9. Seek clarifications of bids.
10. If two or more offers are found to be substantially equivalent, the Commissioner of the Department of Corrections and Community Supervision, at his sole discretion, will determine award.

Please Note: The State is not liable for any cost incurred by a Bidder in the preparation and production of a bid or for any work performed prior to the issuance of a contract.

GENERAL INFORMATION (Cont'd)**ESTIMATED QUANTITIES:**

Estimated quantity contracts are expressly agreed and understood to be made for only the quantities, if any, actually ordered during the Contract term. No guarantee of any quantity(s) is implied or given. Purchases by Authorized Users from Contracts for services and technology are voluntary.

The quantities or dollar values listed are estimated only. Unless otherwise stated the estimated quantities are annual usage.

PURCHASE ORDERS:

All orders will be placed via purchase order by DOCCS Support Operations Unit. Purchase orders are effective and binding upon the CONTRACTOR when placed in the mail and addressed to the CONTRACTOR at the address shown herein. Product is required as soon as possible and guaranteed delivery may be considered in making award. Delivery shall be made in accordance with instructions on Purchase Order. If there is a discrepancy between the purchase order and what is listed on the contract, it is the contractor's obligation to seek clarification from DOCCS.

CONTRACT PAYMENTS:

Contractor shall provide complete and accurate billing invoices to the Agency in order to receive payment. Billing invoices submitted to the Agency must contain all information and supporting documentation required by the Contract, the Agency and the State Comptroller. Payment for invoices submitted by the Contractor shall only be rendered electronically unless payment by paper check is expressly authorized by the Commissioner, in the Commissioner's sole discretion, due to extenuating circumstances. Such electronic payment shall be made in accordance with ordinary State procedures and practices. The Contractor shall comply with the State Comptroller's procedures to authorize electric payments. Authorization forms are available at the State Comptroller's website as www.osc.state.ny.us/epay/index.htm, by email at epunit@osc.state.ny.us, or by telephone at (518) 474-4032. Contractor acknowledges that it will not receive payment on any invoices submitted under this Contract if it does not comply with the State Comptroller's electronic payment procedures, except where the Commissioner has expressly authorized payment by paper check as set forth above.

BILLING:

Invoices should be submitted by email to AccountsPayable@ogs.ny.gov attention: Accounts Payable. Payment will be based on an invoice used in the supplier's normal course of business. Invoices must contain the PA- Contract No., description of materials, quantity, unit and price per unit as well as the Federal Identification Number and New York State Vendor ID.

If unable to email invoices, mail them to the Business Services Center address at:

Dept. of Corrections and Community Supervision
Unit ID: 3250226
c/o OGS BSC Accounts Payable
Building 5, 5th floor
1220 Washington Ave
Albany, NY 12226-1900

INTEREST:

Interest on late payment is governed by State Finance Law, Section 179-M. Unless otherwise specified payment will be made 30 calendar days a/t/o and proper invoicing before interest may accrue. See "NYS Prompt Payment Law".

ESTIMATED/SPECIFIC QUANTITIES:

The quantities or dollar values listed are estimated only. See "Estimated/Specific Quantity Contracts" in Appendix B, General Specifications.

GENERAL INFORMATION (Cont'd)**CONTRACT PERIOD:**

It is the intention of the State to enter into a contract for a period of five (5) years as stated on the Invitation for Bids except that the commencement and termination dates appearing on the Invitation for Bids may be adjusted forward unilaterally by the State for any resulting contract for up to two calendar months, by indicating such change on the Contract Award Notification.

The contract dates may be adjusted forward beyond two months only with the approval of the successful bidder. If, however, the bidder is not willing to accept an adjustment of the contract dates beyond the two month period, the State reserves the right to proceed with an award to another bidder.

Prices quoted are to be firm for the entire period of the contract. Price increases will not be allowed and is specifically excluded from the terms and conditions of the Invitation for Bid, its specifications, and subsequent contract award. Price decreases are permitted at any time.

VENDOR RESPONSIBILITY DISCLOSURE:

All bidders are required to complete the “Vendor Responsibility Questionnaire” and “Certifications of Compliance and Understanding with State Finance Law Sections 139-j and 139-k” appearing within this Invitation for Bids. Any finding of non-responsibility may be sufficient cause for rejection of bid; or, in the event of determination made after an award, termination of the contract.

It is the sole responsibility of the resultant contractor, at any time throughout the contract period, to promptly notify DOCCS of any changes to its original responses in the questionnaire. Failure to do so may be sufficient cause for termination of the contract.

PROCUREMENT LOBBYING TERMINATION:

In accordance with the “Vendor Responsibility Disclosure” clause and/or “Termination – for Cause” in Appendix B, General Specifications and/or New York State Finance Law Section 139-k and/or Tax Law § 5-a, DOCCS reserves the right to terminate a contract by providing ten (10) days written notification to the Contractor, for cause in the event of determination made after an award with respect to vendor non-responsibility, or in the event of determination that certification filed in accordance with State Finance Law Section 139-k and/or Tax Law § 5-a was intentionally false or intentionally incomplete.

GUARANTEED DELIVERY:

Timeframes for initial order deliveries will likely be longer than the guaranteed delivery timeframes. The vendor’s actual timeframes for initial delivery must be discussed with DOCCS upon notice of tentative contract award. If unable to reach agreement with the tentative awardee on a mutually acceptable delivery timeframe, DOCCS reserves the right to withdraw the offer and proceed to award a contract to the next lowest bidder.

Items required herein are considered by the State as ongoing needs and shall be guaranteed by the resulting contractor not to exceed 120 days after receipt of purchase order. Accordingly, bidder deviation from this delivery time frame may be considered sufficient grounds for contract termination due to Contractors need to operate in a timely -manner.

Delivery shall be made in accordance with instructions on Purchase Order from each agency. If there is a discrepancy between the purchase order and what is listed on the contract, it is the contractor’s obligation to seek clarification from DOCCS.

RESTRICTED DELIVERY & PRE-DELIVERY NOTIFICATION

Uniform Quartermaster, 550 Broadway, Menands, New York 12204, including tailgate delivery.
Restricted hours and delivery notification as designated by DOCCS.

PRODUCT DELIVERY:

In addition to the provisions of paragraph 44 of Appendix B, it shall be understood that with respect to contract deliveries, time is of the essence. Delivery must be made as ordered and in accordance with the terms of the contract. The Contractor shall make delivery within the terms and conditions of the contract after the receipt of a purchase order. The decision of DOCCS as to compliance with delivery terms shall

GENERAL INFORMATION (Cont'd)

be final. The burden of proof for delay in receipt of Purchase Order shall rest with the Contractor. In all instances of a potential or actual delay in delivery, the Contractor shall immediately notify the NYS Department of Correctional Services, Support Operations, and confirm in writing the explanation of the delay, and take appropriate action to avoid any subsequent late deliveries. Any extension of the time for delivery must be requested in writing by the Contractor and approved in writing by NYS Department of Corrections & Community Supervision, Support Operations. **Failure to meet such time schedule may be grounds for cancellation of the order or, in the NYS Department of Corrections & Community Supervision, Support Operations discretion, termination of the Contract. Failure to meet such time schedule may also subject the Contractor to liquidated damages at the discretion of the State or Authorized User. Liquidated damages shall be calculated as one (1) percent of the total outstanding order per day until the entire order is received in accordance with the terms of the contract. Liquidated damages shall be deducted or offset by the State or Authorized User from payments due, or to become due, the Contractor on the same or another transaction.**

LIQUIDATED DAMAGES:

In the event of a delay or default in any delivery, providing such delay or default is not directly attributable to a material fault of the ordering agency, the agency shall be entitled to and shall assess against the vendor as liquidated damages, and not be ware of penalty, a sum calculated as follows:

One hundred (\$100.00) dollars per day per truckload delivery to compensate for delay, and other losses deterrents and inconveniences attendant upon such delay from the end of the grace period commencing from the time delivery was due under the contract. A grace period of seven (7) calendar days commencing on and including the contract date for delivery shall be extended to the vendor prior to the assessment of such liquidated damages. Notice is hereby given to the vendor that despite the extensions of the grace period herein specified. - TIME SHALL BE AND IS OF THE ESSENCE IN REGARD TO DELIVERY OF THE PRODUCT.

FURTHERMORE, NOTICE IS HEREBY GIVEN THAT, WHERE THE DELAY IN DELIVERY IS DIRECTLY ATTRIBUTABLE TO THE MANUFACTURER OF THE FABRIC, THE VENDOR REMAINS RESPONSIBLE FOR AND THE STATE MAY ASSESS SUCH LIQUIDATED DAMAGES. SUCH MANUFACTURER BASED DELAY IN DELIVERY BEYOND 30 DAYS MAY ALSO CONSTITUTE GROUNDS FOR THE STATE TO TERMINATE FOR ITS CAUSE AND CONVENIENCE THE CONTRACT AT NO EXPENSE OR COST TO THE STATE AT THE STATE'S SOLE OPTION AND DISCRETION.

Liquidated damages, if assessed, shall be deducted from the purchase order price for each shipment delivered against such purchase order.

QUALITY CONTROL:

It is essential that the subsequent contract apply tight Quality Control in all aspects of the manufacturing process to insure that finished product is of good quality material and delivered in a timely fashion. DOCCS provides uniforms to all correctional officers in New York State. All products received must be able to be used as anticipated to fill orders on time.

PACKAGING:

Unless otherwise specified, all products shall be packaged according to best industry practices with each package individually wrapped except **no metal banding, clips, clamps, or staples are allowed, plastic wrapping is acceptable** to completely protect products from damage and soiling in transit to and handling at the facility.

Carton shall be marked with total weight, number of items, contract number, purchase order number, DOCCS Support Operations product code number and carton count (1 of_, 2 of_, etc.).

CONTRACTOR'S NOTES:

1. Contractor shall furnish the agency with written acknowledgement of the shipping date at least 48 hours prior to shipment. Failure to comply may be cause for the initiation of contract default proceedings.
2. If shipment will not be made within the guaranteed delivery time, the contractor is required to notify the agency in writing at least two weeks prior to the latest date of the original delivery obligation. This

GENERAL INFORMATION (Cont'd)

notification must include the reasons for the delay and the latest date the products will be shipped. Should the delay be not acceptable DOCCS, appropriate contract default proceedings will be initiated. Failure to supply timely written notification of delay may be cause for default proceedings.

CANCELLATION FOR CAUSE AND CONVENIENCE:

This agreement may be terminated by mutual agreement upon thirty (30) days written notice. Also, DOCCS may terminate the agreement immediately for cause, upon written notice, if the contractor fails to comply with the terms and conditions of this agreement and/or with any laws, rules, regulations, policies or procedures of the State of New York affecting this agreement.

The State of New York retains the right to cancel this contract, in whole or in part without reason provided that the Contractor is given at least thirty (30) day notice of its intent to cancel. This provision should not be understood as waiving the State's right to terminate the contract for cause or stop work immediately for unsatisfactory work, but is supplementary to that provision. Any such cancellation shall have no effect on existing Agency agreements, which are subject to the same 30 day discretionary cancellation or cancellation for cause by the respective user Agencies.

WARRANTIES:

See "Warranties" in Appendix B, Specifications.

At time of bid opening, product offered must meet all requirements of this solicitation including full commercial/retail availability. Product literature and specification must also be available.

QUALIFICATION OF BIDDER:

Bids will be accepted only from established manufacturers or their authorized dealers. Any dealer submitting a bid hereby guarantees that it is an authorized dealer of the manufacturer, that the manufacturer has agreed to supply the dealer with all quantities of products required by the dealer in fulfillment of its obligations under any resultant contract with the State, and that it will provide a certificate from the manufacturer acknowledging this level of support. **(See Manufacturer's Certificate in this document)**

Bidder must maintain a business establishment with adequate inventories of the products offered, and must be capable of processing and shipping large numbers of orders to various destinations.

DOCCS may require a certificate from the bidder showing the number of years the bidder has been active in selling the products offered and the size and location of the inventories regularly maintained.

DOCCS reserves the right to investigate or make any inquiry into the capabilities of any bidder to properly perform under any resultant contract. See "Performance Qualifications," "Disqualification for Past Performance" and "Employees/Subcontractors/Agents" in Appendix B, General Specifications.

LEGAL COMPLIANCE:

Contractor represents and warrants that it shall secure all notices and comply with all laws, ordinances, rules and regulations of any governmental entity in conjunction with the performance of obligations under the Contract. Prior to award and during the Contract term and any renewals thereof, Contractor must establish to the satisfaction of DOCCS that it meets or exceeds all requirements of the Bid and Contract and any applicable laws, including but not limited to, permits, licensing, and shall provide such proof as required by DOCCS. Failure to comply or failure to provide proof may constitute grounds for DOCCS to cancel or suspend the Contract, in whole or in part, or to take any other action deemed necessary by DOCCS.

REFERENCES:

As per the "Vendor Responsibility Disclosure" clause, all bidders **must** provide a minimum of five references, including references from two of the bidder's largest customers. References shall be commercial or governmental accounts, and should demonstrate the ability of the vendor to perform jobs similar in scope to the size, nature and complexity of the outlined bid. The references shall include the:

- Name, address, contact person, telephone number, fax number, and number of years bidder has serviced the referenced account;
- Volume of business performed within the past three years for each referenced account.

GENERAL INFORMATION (Cont'd)**FINANCIAL STABILITY:**

As per the "Vendor Responsibility Disclosure" clause, bidder must document its ability to service a contract with dollar sales volume similar to scope of this bid through submission of financial statements documenting past sales history. The bidder must be financially stable and able to substantiate the financial statements of its company. In addition to sales history, current financial statements may be requested and must be provided within five business days. The state reserves the right to request additional documentation from the bidder and to request reports on financial stability from independent financial rating services. The state reserves the right to reject any bidder who does not demonstrate financial stability sufficient for the scope of this bid.

INFORMATION TO BE FURNISHED WITH BID:

The bidder shall submit with its bid detailed specifications, circulars and all necessary data on the product to be furnished. Failure to submit any of the above data may result in rejection of the bid. The State, however, reserves the right to request any additional information deemed necessary for the proper evaluation of bids.

- **Manufacturer's Certificate**
- **Bidder's Certificate of Manufacture**

The bidder shall indicate in the spaces provided the manufacturer's name, the address where the proposed product or products will be produced, the catalog references or model number of the product or products offered and all other information requested.

TOLL-FREE NUMBER:

If available, contractors shall provide a toll-free telephone number for the agency's procurement usage. If bidder does not currently maintain a toll-free number, the bidder may offer to establish one prior to award of contract.

TESTING:

Unless otherwise specified, products will be inspected by DOCCS to ensure the products meet the quality and quantity requirements of the Specifications. When deemed necessary by DOCCS, samples of products may be taken at random from stock received for submission to a commercial laboratory or other appropriate agency for analysis and tests as to whether the products conform in all respects to the Specifications. In cases where commercial laboratory reports indicate that the products do not meet the Specifications, the contract is potentially subject to termination.

USE OF RECYCLED OR REMANUFACTURED MATERIALS:

New York State, as a member of the Council of Great Lakes Governors, supports and encourages vendors to use recycled, remanufactured or recovered materials in the manufacture of products and packaging to the maximum extent practicable without jeopardizing the performance or intended end use of the product or packaging unless such use is precluded due to health or safety requirements or product specifications contained herein. Refurbished or remanufactured components or products are required to be restored to original performance and regulatory standards and functions and are required to meet all other requirements of this bid solicitation. Warranties on refurbished or remanufactured components or products must be identical to the manufacturer's new equipment warranty or industry's normal warranty when remanufacturer does not offer new equipment. See "Recycled or Recovered Materials" in Appendix B, General Specifications.

DIESEL EMISSION REDUCTION ACT

Pursuant to §19-0323 of the N.Y. Environmental Conservation Law ("the Law") it is a requirement that heavy duty diesel vehicles in excess of 8,500 pounds use the best available retrofit technology ("BART") and ultra low sulfur diesel fuel ("ULSD"). The requirement of the Law applies to all vehicles owned, operated by or on behalf of, or leased by State agencies and State or regional public authorities. It

GENERAL INFORMATION (Cont'd)

also requires that such vehicles owned, operated by or on behalf of, or leased by State agencies and State or regional public authorities with more than half of its governing body appointed by the Governor utilize BART.

The Law may be applicable to vehicles used by contract vendors "on behalf of" State agencies and public authorities and require certain reports from contract vendors. All heavy duty diesel vehicles must have BART by **December 31, 2014 (unless further extended by Law)**. The Law also provides a list of exempted vehicles. Regulations set forth in 6 NYCRR Parts 248 and 249 provide further guidance. The Bidder hereby certifies and warrants that all heavy duty vehicles, as defined in NYECL §19-0323, to be used under this contract, will comply with the specifications and provisions of NYECL §19-0323, and 6 NYCRR Parts 248 and 249.

CERTIFICATE OF INSURANCE:

Bidders must have the necessary insurance to comply with New York State requirements. **A copy of that insurance certificate shall be submitted from the successful bidder.**

Prior to providing any service to the State of New York, the bidder must provide another copy of the insurance certificate naming the State of New York and New York State Department of Corrections and Community Supervision as "additional named insured" its liability policy.

WORKERS' COMPENSATION INSURANCE AND DISABILITY BENEFITS REQUIREMENTS:

Workers' Compensation Law (WCL) §57 & §220 requires the heads of all municipal and state entities to ensure that businesses applying for permits, licenses or contracts document it has appropriate workers' compensation and disability benefits insurance coverage. These requirements apply to both original contracts and renewals, whether the governmental agency is having the work done or is simply issuing the permit, license or contract. **Insurance certificate shall be submitted from the successful bidder.** Failure to provide proof of such coverage or a legal exemption will result in a rejection of your bid or renewal.

1. Proof of Compliance with Workers' Compensation Coverage Requirements:

An ACORD form is NOT acceptable proof of workers' compensation coverage. In order to provide proof of compliance with the requirements of the Workers' Compensation Law pertaining to workers' compensation coverage, a contractor shall:

- A) Be legally exempt from obtaining Workers' Compensation insurance coverage; or
- B) Obtain such coverage from an insurance carrier; or
- C) Be a Workers' Compensation Board-approved self-insured employer or participate in an authorized self-insurance plan.

A Contractor seeking to enter into a contract with the State of New York shall provide one of the following forms to DOCCS at the time of bid submission or shortly after the opening of bids:

- A) Form CE-200, Certificate of Attestation for New York Entities With No Employees and Certain Out of State Entities, That New York State Workers' Compensation and/or Disability Benefits Insurance Coverage is Not Required, which is available on the Workers' Compensation Board's website (www.wcb.ny.gov); (Reference applicable IFB/RFP and Group #s on the form.)
- B) Certificate of Workers' Compensation Insurance:
 - 1) Form C-105.2 (9/07) if coverage is provided by the contractor's insurance carrier, contractor must request its carrier to send this form to DOCCS, or
 - 2) Form U-26.3 if coverage is provided by the State Insurance Fund, contractor must request that the State Insurance Fund send this form to DOCCS.
- C) Form SI-12, Certificate of Workers' Compensation Self-Insurance available from the New York State Workers' Compensation Board's Self-Insurance Office.
- D) Form GSI-105.2, Certificate of Participation in Workers' Compensation Group Self-Insurance available from the contractor's Group Self-Insurance Administrator.

GENERAL INFORMATION (Cont'd)**2. Proof of Compliance with Disability Benefits Coverage Requirements:**

In order to provide proof of compliance with the requirements of the Workers' Compensation Law pertaining to disability benefits, a contractor shall:

- A) Be legally exempt from obtaining disability benefits coverage; or
- B) Obtain such coverage from an insurance carrier; or
- C) Be a Board-approved self-insured employer.

A Contractor seeking to enter into a contract with the State of New York shall provide one of the following forms to DOCCS at the time of bid submission or shortly after the opening of bids:

- A) Form CE-200, Certificate of Attestation for New York Entities With No Employees and Certain Out of State Entities, That New York State Workers' Compensation and/or Disability Benefits Insurance Coverage is Not Required, which is available on the Workers' Compensation Board's website (www.wcb.ny.gov); (Reference applicable IFB/RFP and Group #s on the form.)
- B) Form DB-120.1, Certificate of Disability Benefits Insurance. Contractor must request its business insurance carrier to send this form to DOCCS; or
- C) Form DB-155, Certificate of Disability Benefits Self-Insurance. The Contractor must call the Board's Self-Insurance Office at 518-402-0247 to obtain this form.

ALL OF THE ABOVE REFERENCED FORMS, EXCEPT CE-200, SI-12 & DB-155 MUST NAME:
The NYS Department of Corrections and Community Supervision, Contract Procurement Unit, 550 Broadway, Albany, NY 12204 as the Entity Requesting Proof of Coverage (Entity being listed as the Certificate Holder)

Questions should be directed to The NYS Worker's Compensation Board. Please call (518)486-6307 or visit www.wcb.ny.gov. An information pamphlet "Prove It to Move It Program" is available at www.wcb.ny.gov/content/main/Employers/ProveItToMoveIt.pdf

BID SPECIFICATIONS

REFERENCES:

As per the “Vendor Responsibility Disclosure” clause, all bidders **must** provide a minimum of five references, including references from two of the bidder's largest customers. References shall be commercial or governmental accounts, and should demonstrate the ability of the vendor to perform jobs similar in scope to the size, nature and complexity of the outlined bid. The references shall include the:

- Name, address, contact person, telephone number, fax number, and number of years bidder has serviced the referenced account;
- Volume of business performed within the past three years for each referenced account.

SAMPLES MUST BE SUBMITTED BY BIDDER/CONTRACTOR:

The Pre-production samples MUST be received with the bid or the bid WILL NOT be considered.

ALL bidders must submit 1 yard of each material of the pre-production samples to be tested by DOCCS prior to contract award.

a. Standard Samples

The products being purchased must be equal to the bid sample being provided.

b. Bidder Supplied Samples

The sample(s) shall be supplied at the bidder's expense. The successful bidder's sample(s) shall be retained for the duration of the contract, or at the discretion of the State of New York. DOCCS reserves the right to request from the Bidder/Contractor additional sample(s) of the Product offered at any time prior to or after award of a contract. Unless otherwise instructed, such samples shall be furnished within the time specified in the request.

Samples must be submitted free of charge and be accompanied by the Bidder's name and address and any descriptive literature relating to the Product. The sample(s) shall be labeled, and the package labeled, with the Invitation for Bids Number, Item Number and Bid Date.

Samples must be representative of the bidders offering and must conform to the required specifications. All bids will be rejected when the sample provided does not meet the required specifications.

c. Enhanced Samples (if applicable)

When an approved sample exceeds the minimum specifications, all Product delivered must be of the same enhanced quality and identity as the sample. Thereafter, in the event of a Contractor's default, DOCCS may procure a Product substantially equal to the enhanced sample from other sources, charging the Contractor for any additional costs incurred.

d. Contract Award Testing

Samples will be tested by DOCCS for compliance as required in the detailed specifications for the following properties:

1. Fiber Content
2. Weight
3. Tensile Strength

e. Conformance with Sample(s)

Submission of a sample (whether or not such sample is tested for all properties) and approval thereof **shall not relieve the Contractor from full compliance** with all terms and conditions, performance related and otherwise, specified in the Bid Specifications. If in the judgment of DOCCS the sample or product submitted is not in accordance with the specifications or testing requirements prescribed in the

Bid Specifications, DOCCS may reject the Bid. If after award the contractor fails to meet conformance of all detailed specifications, DOCCS may cancel the Contract at the expense of the Contractor.

DOCCS reserves the right to request additional samples at no cost to the State as required for evaluation.

The Pre-production samples **MUST** be received with the bid or the bid **WILL NOT** be considered.

Sample may be held by DOCCS during the entire term of the Contract and for a reasonable period thereafter for comparison with deliveries. The samples shall become the sole property of DOCCS at the conclusion of the holding period.

A successful bidder who receives a contract based on his bid samples must deliver the same quality and identity as the bid samples. Failure to do so may result in cancellation of the contract and disqualification of the bidder from receiving future awards.

SAMPLE SUBMISSION:

Bidder must submit 1 yard of fabric for each pre-production samples for testing. The successful bidder's sample(s) shall be retained for the duration of the contract, or at the discretion of the State of New York.

PRE-PRODUCTION SAMPLES:

The sample shall be supplied at the bidder's expense. The bidder shall submit, with their bid, a sample of each item listed in Lot I through Lot V as indicated in the following pages of the bid specifications. The sample shall be of the same material, quality, style, construction, workmanship, pantone, and finish as required on the bid. Materials shall be pre-cured and pre-shrunk fabrics. The yarns shall be of intimate blend of polyester and cotton fiber.

Emblems only for Pre-production samples: Each shirt shall have one insignia and one American flag patch, provided by Bidder (this one time only), sewn on by the contractor as follows: Bidder's insignia located on left sleeve ½ "below shoulder seam, centered. American flag patch located on right sleeve 2" from the shoulder seam, centered.

All Pre-production samples are to be submitted in size (L) large for shirts and blouses and size 36 for trousers and 30 for slacks inseam length 36 inches unhemmed, according to vendor manufacturing standards. The bidder shall submit their company's US standard clothing chart with this bid.

The successful bidder's sample shall be retained until the expiration of the contract or at the discretion of the State. The sample(s) shall be labeled on the exterior of the package with the invitation for Bid Number, Bid Opening date and Lot Number.

Note: Products purchased will be inspected by DOCCS to ensure they meet the quality and quantity requirements of the Specifications. When deemed necessary by DOCCS, samples of the products may be taken at random from stock received for submission to a commercial laboratory or other appropriate agency for analysis and tests as to whether the products conform in all respects to the Specifications. In cases where commercial laboratory reports indicate that the products do not meet the Specifications, the expense of such analysis shall be borne by the contractor.

DETAILED SPECIFICATIONS

NOTE: A penalty will be imposed for continued failure to meet the required specifications as described.

SCOPE:

To provide DOCCS Correction Officer Apparel that meet the requirements of the detailed specifications and general requirements of this IFB. Contractors will not be required to maintain inventory of finished products but must be able to satisfy all orders in the guaranteed delivery time not to exceed 120 days after receipt of order.

Material: Materials must be pre-cured and pre-shrunk fabric. The yarn must be of intimate blend of polyester and cotton fiber.

LOTs I and II Colors: Blue and White Shirts and Blouses

| Description: 4.15 OZ/ SQ. YD Poplin | | |
|--|---|--|
| PHYSICAL PROPERTIES | | |
| CHARACTERISTICS | REQUIREMENT | TEST METHOD |
| Fiber Content | 75/25 Poly/Cotton ($\pm 5\%$) 65/35 warp Texture 150 polyester Fill | ASTM D629 AATCC 20/20A |
| Width | 61" cutable Minimum | ASTM D3774 Option B |
| Weight | 4.15 oz./sq. yd. ($\pm 5\%$) | ASTM D3776 Option B |
| Weave | Plain Poplin | |
| Tensile Strength | Warp-116 lbs. (-3% + Limitless) Fill- 116 lbs. (-3% + Limitless) | ASTM D5034 – Grab Method – Part 3.1 |
| Construction | 102 Warp (± 3) 54 Fill (± 3) | ASTM D3775-08 |
| Pilling | 3.5 | ASTM D3512 |
| Appearance | 3.5 | AATCC 124 |
| Shrinkage (3W-105) (3W-165) | 3.0 x 3.0 Maximum 3.0 x 3.0 Maximum | AATCC 135 |
| Tear W x F | Warp 4lbs Minimum Fill 7lbs Minimum | ASTM 1424 |

COLOR FASTNESS:

Material should meet the listed Level of Compliance when tested according to the indicated test method.

| PROPERTY | ACCEPTABLE LEVEL OF COMPLIANCE | TEST METHOD |
|--|---|---|
| Fade due to light (20 hrs.) | Class 4 | AATCC 16E |
| Laundering Shade Change Stain-Dacron Stain-Cotton Soil Release Smoothness Appearance Wicking Properties | Class 3 Class 3 Class 3 Grade 3 or higher DP 3.5 Rating Horizontal 3.5 seconds | AATCC 61-III A AATCC 61-III A AATCC 61-III A AATCC 130 AATCC 124-VA AATCC 198-2011 |

| DOCCS | Pantone Name | Pantone # |
|-------|--------------|------------|
| Blue | Blue Bell | 14-4121TCX |
| White | Bright White | 11-0601TCX |

LOTs III and IV Colors: Navy Blue and Grey Twill Pants and Grey Shirts

| PHYSICAL PROPERTIES | | |
|---------------------|--|---|
| CHARACTERISTICS | REQUIREMENT | TEST METHOD |
| Fiber Content | 65%/35% polyester/cotton +/-5% | ASTM D629 |
| Width | 61" cutable width minimum | ASTM D3774 |
| Weight | 7.5 – 8.0 oz/sq. yd. | ASTM D3776 A & C |
| Weave | 2/1 right or left hand twill | Visual observation |
| Threads/Inch | Warp 80 minimum Fill 40 minimum | ASTM D3775 |
| Breaking Strength | Warp 189 lbs. minimum Fill 115 lbs. minimum | ASTM D5034 Grab Method-Part 3.1 |
| Shrinkage | 3% Warp/Fill (Max.) | Measure after 3 Wash cycles In Terg-O-tometer. Medium: Distilled water Agitation: 150RPM Water Temp: 140F Washing time: 15 min. Drying method: Screen @ 105 degrees centigrade +/- 2 deg Swatch Size: 15" x15" Bench Marks: 10" length/width or AATCC 135 |

Navy Blue and Grey Twill Pants

| DOCCS | Pantone Name | Pantone # |
|-------------|--------------|-------------|
| Medium Grey | Cloudburst | 17-1502TCX |
| Navy Blue | Dark Navy | 19-4013-TCX |

Grey Shirts Long and Short Sleeves

| DOCCS | Pantone Name | Pantone # |
|-------------|--------------|------------|
| Medium Grey | Cloudburst | 17-1502TCX |

COLOR FASTNESS:

Material should meet the listed Level of Compliance when tested according to the indicated test method.

| PROPERTY | ACCEPTABLE LEVEL OF COMPLIANCE | TEST METHOD |
|---|--|---|
| Fade due to light (20 hrs.) | Class 4 | AATCC 16E |
| Laundering Shade Change Stain-Dacron Stain- Cotton Soil Release | Class 3 Class 3 Class 3 Grade 3 or higher | AATCC 61-III A AATCC 61-III A AATCC 61-III A AATCC 130 |
| Smoothness appearance | Dp3.5 Rating | AATCC 124-VA |

PACKAGING:

Unless otherwise specified, all products shall be packaged according to best industry practices with each package individually wrapped except **no metal banding, clips, clamps, or staples are allowed, plastic wrapping is acceptable** to completely protect products from damage and soiling in transit to and handling at the facility.

Carton shall be marked with total weight, number of items, contract number, purchase order number, DOCCS Support Operations product code number and carton count (1 of_, 2 of_ , etc.).

LOT 1

SHIRTS, BLOUSES, SHORT SLEEVE, LONG SLEEVE – LIGHT BLUE

Lot I – Shirts, Blouses, short sleeve, long sleeve Light Blue**1. FEMALE SHORT SLEEVE BLOUSE - Light Blue**

- Fabric:** Fabric to be Plain Weave Poplin, 75% Dacron Polyester/25% Cotton, with vat dyed color and a wrinkle resistant, soil release finish. Fabric weight: 4.15 oz/sq. yd.
- Color: Light Blue – Reference Pantone Name: Blue Bell, Pantone #14-4121TCX.
- Style:** This women’s short sleeve shirt has a banded collar with eyelets, plain front and mitred chest pockets.
- Tailoring:** All stitches must be of proper tension and size so as to avoid puckering after the shirt has been laundered and to give the best durable press performance. All sewing shall be done with thread constructed with a Dacron Polyester Core covered with a cotton wrapping.
- Collar:** Banded collar shall be one piece and to measure 3” long at points and 1-1/2” wide at back. The collar is to be constructed of two plies of basic material and one ply of interlining. The collar is to be single stitched 1/4” from edge.
- The collar shall be made with permanent collar stays. The collar stays shall be of good quality vinyl, 2-1/2” in length and 3/8” wide and attached to the bottom collar along the front edge of the collar.
- Eyelets having 1/8” diameter opening shall be sewn 2” from collar point and 1-1/8” from finished collar edge.
- Sleeves:** Sleeves are to be straight and whole and have a 1” hem. Sleeves shall be graded in length so as to finish from the shoulder seam in proportion to shirt size and manufacturer’s size chart.
- The sleeves must be secured to the body of the shirt by means of a merrow stitch with a safety stitch so as to properly strengthen the stitch. The same stitch must be used on the side closing seams as well.
- Front:** The left front shall have a Revere 2-1/4” wide extending from collar band to the bottom of the shirt provided by a turn-under of material. There shall be six 23 ligne buttons sewn to the left front, 3 1/2” apart. The right front shall have a 2-1/4” Revere, with six buttonholes, placed to correspond with the buttons on the left side.
- Pockets:** There are two plain chest pockets with mitered corners. These shall be die cut and die creased to give uniform shape and size. They shall be 5-1/2” wide and 6” long. Each pocket to have a 1” stitched hem. To be single stitched on the edge with each upper corner securely held with a 3/4” triangular stitch made with apex down. The left chest pocket to have a pencil stitch compartment about 1-1/4” wide.
- Back:** The back yoke shall be of double thickness of material with a clean finished seam with two darts at the waist.
- Buttons:** All buttons shall be made from thermosetting pearlized polyester material. Size to be 23 ligne. Color to be white.
- Emblems:** Each shirt shall have one Agency insignia and one American flag patch, provided by Agency, sewn on by the contractor as follows: Agency insignia located on left sleeve 1/2 “ below shoulder seam, centered. American flag patch located on right sleeve 2” from the shoulder seam, centered.

Interlining: Collar top fuse lining to be CK331.

Workmanship: All components of the shirt shall be free of runs, loose threads, raw edges, faulty stitching, loose buttons, uneven creases, wrong sleeve lengths, color defects, etc.

Labels: Size shall be marked with a woven size loop attached to basic label in yoke. Care and content label to be placed in the yoke.

Pressing and Packaging:

Shirts shall be carefully pressed by hand in first class manner and individually packed in polyethylene bags. Shirts to be shipped in strong boxes so as not to be damaged in shipment. Shirts to be packed in increments of 30.

Sizes: 26 through 58

2. MALE SHORT SLEEVE SHIRT - Light Blue

Fabric: Fabric to be Plain Weave Poplin, 75% Dacron Polyester/25% Cotton, with vat dyed color and a wrinkle resistant, soil release finish. Fabric weight: 4.15 oz/sq. yd.

Color: Light Blue – Reference Pantone Name: Blue Bell, Pantone #14-4121TCX.

Style: This men's short sleeve shirt has a banded collar with eyelets, plain front and mitred chest pockets.

Tailoring: All stitches must be of proper tension and size so as to avoid puckering after the shirt has been laundered and to give the best durable press performance. All sewing shall be done with thread constructed with a Dacron Polyester Core covered with a cotton wrapping.

Collar: Banded collar shall be one piece and to measure 3" long at points and 1-1/2" wide at back. The collar is to be constructed of two plies of basic material and one ply of interlining. The collar is to be single stitched 1/4" from edge.

The collar shall be made with permanent collar stays. The collar stays shall be of good quality vinyl, 2-1/2" in length and 3/8" wide and attached to the bottom collar along the front edge of the collar.

Eyelets having 1/8" diameter opening shall be sewn 2" from collar point and 1-1/8" from finished collar edge.

Sleeves: Sleeves are to be straight and whole and have a 1" hem. Sleeves shall be graded in length so as to finish from the shoulder seam in proportion to shirt size and manufacturer's size chart.

The sleeves must be secured to the body of the shirt by means of a merrow stitch with a safety stitch so as to properly strengthen the stitch. The same stitch must be used on the side closing seams as well.

Front: The right front shall have a Revere 2-1/2" wide extending from collar band to the bottom of the shirt provided by a turn-under of material. There shall be six 23 ligne buttons sewn to the right front, 3 1/2" apart. The left front shall have a 2-1/2" Revere, with six buttonholes, placed to correspond with the buttons on the right side.

- Pockets:** There are two plain chest pockets with mitred corners. These shall be die cut and die creased to give uniform shape and size. They shall be 5-1/2" wide and 6" long. Each pocket to have a 1" stitched hem. To be single stitched on the edge with each upper corner securely held with a 3/4" triangular stitch made with apex down. The left chest pocket to have a pencil stitch compartment about 1-1/4" wide.
- Back:** The back yoke shall be of double thickness of material with a clean finished seam.
- Buttons:** All buttons shall be made from thermosetting pearlized polyester material. Size to be 23 ligne. Color to be white.
- Emblems:** Each shirt shall have one Agency insignia and one American flag patch, provided by Agency, sewn on by the contractor as follows: Agency insignia located on left sleeve 1/2 " below shoulder seam, centered. American flag patch located on right sleeve 2" from the shoulder seam, centered.
- Interlining:** Collar top fuse lining to be CK331.
- Workmanship:** All components of the shirt shall be free of runs, loose threads, raw edges, faulty stitching, loose buttons, uneven creases, wrong sleeve lengths, color defects, etc.
- Labels:** Size shall be marked with a woven size loop attached to basic label in yoke. Care and content label to be placed in the yoke.
- Pressing and Packaging:** Shirts shall be carefully pressed by hand in first class manner and individually packed in polyethylene bags. Shirts to be shipped in strong boxes so as not to be damaged in shipment. Shirts to be packed in increments of 30.
- Sizes:** Small through 8XLarge, regular and tall sizes.

3. FEMALE LONG SLEEVE BLOUSE - Light Blue

- Fabric:** Fabric to be Plain Weave Poplin, 75% Dacron Polyester/25% Cotton, with vat dyed color and a wrinkle resistant, soil release finish. Fabric weight: 4.15 oz/sq. yd.
- Color: Light Blue – Reference Pantone Blue Bell #14-4121TCX.
- Style:** This woman's long sleeve shirt has a banded collar with eyelets, plain front and mitred chest pockets, and rounded cuffs.
- Tailoring:** All stitches must be of proper tension and size so as to avoid puckering after the shirt has been laundered and to give the best durable press performance. All sewing shall be done with thread constructed with a Dacron Polyester Core covered with a cotton wrapping.
- Collar:** The shape and style of both leaf and stand shall conform to the TT89 Collar. The points, medium spread, are to be approximately 3" in length. The back of the stand is to measure 1-1/2". The stand shall fasten with one button and one horizontal button hole.
- The leaf shall be made of three pieces; two pieces of self-cloth and one whole lining, which is to be fused to the top collar.

Permanent collar stays to be secured to bottom ply. The collar stays shall be of good quality vinyl, 2-1/2" in length and 3/8" wide. The stays shall be held in place by forming the eyelet through them. The eyelets shall be placed on both collar points and shall be located 2 1/8" up from the collar point and 1" from either side.

Sleeves: To be straight and whole. The cuffs are to be 2-5/8" in width and to have one button and one buttonhole. There is to be 1/4" topstitch around the cuff. Sleeves shall be graded in length so as to finish from the shoulder seam in proportion to shirt size and manufacturer's size chart.

Front: The right front shall have a Revere 2-1/2" wide extending from collar band to the bottom of the shirt provided by a turn-under of material. There shall be six 23 ligne buttons sewn to the left front, 3 1/2" apart. The right front shall have a 2-1/2" Revere, with six buttonholes, placed to correspond with the buttons on the left side.

Pockets: There are two plain chest pockets with mitered corners. These shall be die cut and die creased to give uniform shape and size. They shall be 5-1/2" wide and 6" long. Each pocket to have a 1" stitched hem. To be single stitched on the edge with each upper corner securely held with a 3/4" triangular stitch made with apex down.

There should be a pencil stitch pocket in the right side of the left pocket, 1 1/4" x 5 7/8" deep.

Back: The back yoke shall be of double thickness of material with a clean finished seam with two darts at the waist.

Buttons: All buttons shall be made from thermosetting pearlized polyester material. Size to be 23 ligne. Color to be white.

Emblems: Each shirt shall have one Agency insignia and one American flag patch, provided by Agency, sewn on by the contractor as follows: Agency insignia located on left sleeve 1/2" below shoulder seam, centered. American flag patch located on right sleeve 2" from the shoulder seam, centered.

Interlining: Collar top fuse lining to be CK331. Band to be EZ Crease. Cuffs to be H'Press.

Workmanship: All components of the shirt shall be free of runs, loose threads, raw edges, faulty stitching, loose buttons, uneven creases, wrong sleeve lengths, color defects, etc.

Labels: Size shall be marked with a woven size loop attached to basic label in yoke. Care and content label to be placed in the yoke.

Pressing and Packaging: Shirts shall be carefully pressed by hand in first class manner and individually packed in polyethylene bags. Shirts to be shipped in strong boxes so as not to be damaged in shipment. Shirts to be packed in increments of 30.

Sizes: 26 through 58

4. MALE LONG SLEEVE - Light Blue

Fabric: Fabric to be Plain Weave Poplin, 75% Dacron Polyester/25% Cotton, with vat dyed color and a wrinkle resistant, soil release finish. Fabric weight: 4.15 oz/sq. yd.

Color: Light Blue – Reference Pantone Blue Bell #14-4121TCX.

- Style:** This men's long sleeve shirt has a banded collar with eyelets, plain front and mitred chest pockets, and rounded cuffs.
- Tailoring:** All stitches must be of proper tension and size so as to avoid puckering after the shirt has been laundered and to give the best durable press performance. All sewing shall be done with thread constructed with a Dacron Polyester Core covered with a cotton wrapping.
- Collar:** The shape and style of both leaf and stand shall conform to the TT89 Collar. The points, medium spread, are to be approximately 3" in length. The back of the stand is to measure 1-1/2". The stand shall fasten with one button and one horizontal button hole.
- The leaf shall be made of three pieces; two pieces of self-cloth and one whole lining, which is to be fused to the top collar.
- Permanent collar stays to be secured to bottom ply. The collar stays shall be of good quality vinyl, 2-1/2" in length and 3/8" wide. The stays shall be held in place by forming the eyelet through them. The eyelets shall be placed on both collar points and shall be located 2 1/8" up from the collar point and 1" from either side.
- Sleeves:** To be straight and whole. The cuffs are to be 2-5/8" in width and to have one button and one buttonhole. There is to be 1/4" topstitch around the cuff. Sleeves shall be graded in length so as to finish from the shoulder seam in proportion to shirt size and manufacturer's size chart.
- Front:** The right front shall have a Revere 2-1/2" wide extending from collar band to the bottom of the shirt provided by a turn-under of material. There shall be six 23 ligne buttons sewn to the right front, 3 1/2" apart. The left front shall have a 2-1/2" Revere, with six buttonholes, placed to correspond with the buttons on the right side.
- Pockets:** There are two plain chest pockets with mitered corners. These shall be die cut and die creased to give uniform shape and size. They shall be 5-1/2" wide and 6" long. Each pocket to have a 1" stitched hem. To be single stitched on the edge with each upper corner securely held with a 3/4" triangular stitch made with apex down.
- There should be a pencil stitch pocket in the right side of the left pocket, 1 1/4" x 5 7/8" deep.
- Back:** The back yoke shall be of double thickness of material with a clean finished seam.
- Buttons:** All buttons shall be made from thermosetting pearlized polyester material. Size to be 23 ligne. Color to be white.
- Emblems:** Each shirt shall have one Agency insignia and one American flag patch, provided by Agency, sewn on by the contractor as follows: Agency insignia located on left sleeve 1/2" below shoulder seam, centered. American flag patch located on right sleeve 2" from the shoulder seam, centered.
- Interlining:** Collar top fuse lining to be CK331. Band to be EZ Crease. Cuffs to be H'Press.
- Workmanship:** All components of the shirt shall be free of runs, loose threads, raw edges, faulty stitching, loose buttons, uneven creases, wrong sleeve lengths, color defects, etc.
- Labels:** Size shall be marked with a woven size loop attached to basic label in yoke. Care and content label to be placed in the yoke.

Pressing and

Packaging: Shirts shall be carefully pressed by hand in first class manner and individually packed in polyethylene bags. Shirts to be shipped in strong boxes so as not to be damaged in shipment. Shirts to be packed in increments of 30.

Sizes: **Neck** - 14.5" through 22.5" (all inclusive in ½" increments), **Sleeve Length** – 29/30" through 37/38", regular and tall sizes.

LOT II

SHIRTS, BLOUSES, SHORT SLEEVE, LONG SLEEVE - WHITE

Lot II. Shirts, Blouses, short sleeve, long sleeve - White**1. FEMALE SHORT SLEEVE BLOUSE - White**

- Fabric:** Fabric to be Plain Weave Poplin, 75% Dacron Polyester/25% Cotton, with vat dyed color and a wrinkle resistant, soil release finish. Fabric weight: 4.15 oz/sq. yd.
- Color: White— reference Pantone Name: Bright White #11-0601TCX.
- Style:** This women's short sleeve shirt has a banded collar, plain front and mitred chest pockets.
- Tailoring:** All stitches must be of proper tension and size so as to avoid puckering after the shirt has been laundered and to give the best durable press performance. All sewing shall be done with thread constructed with a Dacron Polyester Core covered with a cotton wrapping.
- Collar:** Banded collar shall be one piece and to measure 3" long at points and 1-1/2" wide at back. The collar is to be constructed of two plies of basic material and one ply of interlining. The collar is to be single stitched 1/4" from edge.
- The collar shall be made with permanent collar stays. The collar stays shall be of good quality vinyl, 2-1/2" in length and 3/8" wide and attached to the bottom collar along the front edge of the collar.
- Sleeves:** Sleeves are to be straight and whole and have a 1" hem. Sleeves shall be graded in length so as to finish from the shoulder seam in proportion to shirt size and manufacturer's size chart.
- The sleeves must be secured to the body of the shirt by means of a merrow stitch with a safety stitch so as to properly strengthen the stitch. The same stitch must be used on the side closing seams as well.
- Front:** The left front shall have a Revere 2-1/4" wide extending from collar band to the bottom of the shirt provided by a turn-under of material. There shall be six 23 ligne buttons sewn to the left front, 3 1/2" apart. The right front shall have a 2-1/4" Revere, with six buttonholes, placed to correspond with the buttons on the left side.
- Pockets:** There are two plain chest pockets with mitered corners. These shall be die cut and die creased to give uniform shape and size. They shall be 5-1/2" wide and 6" long. Each pocket to have a 1" stitched hem. To be single stitched on the edge with each upper corner securely held with a 3/4" triangular stitch made with apex down.
- There should be a pencil stitch pocket in the right side of the left pocket, 1 1/4" x 5 7/8" deep.
- Back:** The back yoke shall be of double thickness of material with a clean finished seam with two darts at the waist.
- Buttons:** All buttons shall be made from thermosetting pearlized polyester material. Size to be 23 ligne. Color to be white.
- Emblems:** Each shirt shall have one Agency insignia and one American flag patch, provided by Agency, sewn on by the contractor as follows: Agency insignia located on left sleeve 1/2" below shoulder seam, centered. American flag patch located on right sleeve 2" from the shoulder seam, centered.
- Interlining:** Collar top fuse lining to be CK331.

Workmanship: All components of the shirt shall be free of runs, loose threads, raw edges, faulty stitching, loose buttons, uneven creases, wrong sleeve lengths, color defects, etc.

Labels: Size shall be marked with a woven size loop attached to basic label in yoke. Care and content label to be placed in the yoke.

Pressing and Packaging: Shirts shall be carefully pressed by hand in first class manner and individually packed in polyethylene bags. Shirts to be shipped in strong boxes so as not to be damaged in shipment. Shirts to be packed in increments of 30.

Sizes: 26 through 58

2. MALE SHORT SLEEVE SHIRT - White

Fabric: Fabric to be Plain Weave Poplin, 75% Dacron Polyester/25% Cotton, with vat dyed color and a wrinkle resistant, soil release finish. Fabric weight: 4.15 oz/sq. yd.

Color: white – Reference Pantone Bright White #11-0601TCX

Style: This men's short sleeve shirt has a banded collar, plain front and mitred chest pockets.

Tailoring: All stitches must be of proper tension and size so as to avoid puckering after the shirt has been laundered and to give the best durable press performance. All sewing shall be done with thread constructed with a Dacron Polyester Core covered with a cotton wrapping.

Collar: Banded collar shall be one piece and to measure 3-1/4" long at points and 1-5/8" wide at back. The collar is to be constructed of two plies of basic material and one ply of interlining. The collar is to be single stitched 1/4" from edge.

The collar shall be made with permanent collar stays. The collar stays shall be of good quality vinyl, 2-1/2" in length and 3/8" wide and attached to the bottom collar.

Sleeves: Sleeves are to be straight and whole and have a 1" hem. Sleeves shall be graded in length so as to finish from the shoulder seam in proportion to shirt size and manufacturer's size chart.

The sleeves must be secured to the body of the shirt by means of a merrow stitch with a safety stitch so as to properly strengthen the stitch. The same stitch must be used on the side closing seams as well.

Front: The right front shall have a Revere 2-1/2" wide extending from collar band to the bottom of the shirt provided by a turn-under of material. There shall be six 23 ligne buttons sewn to the right front, 3 1/2" apart. The left front shall have a 2-1/2" Revere, with six buttonholes, placed to correspond with the buttons on the right side.

Pockets: There are two plain chest pockets with mitered corners. These shall be die cut and die creased to give uniform shape and size. They shall be 5-1/2" wide and 6" long. Each pocket to have a 1" stitched hem. To be single stitched on the edge with each upper corner securely held with a 3/4" triangular stitch made with apex down.

There should be a pencil stitch pocket in the right side of the left pocket, 1 1/4" x 5 7/8" deep.

Back: The back yoke shall be of double thickness of material with a clean finished seam.

- Buttons:** All buttons shall be made from thermosetting pearlized polyester material. Size to be 23 ligne. Color to be white.
- Emblems:** Each shirt shall have one Agency insignia and one American flag patch, provided by Agency, sewn on by the contractor as follows: Agency insignia located on left sleeve ½ “below shoulder seam, centered. American flag patch located on right sleeve 2” from the shoulder seam, centered.
- Interlining:** Collar top fuse lining to be CK331.
- Workmanship:** All components of the shirt shall be free of runs, loose threads, raw edges, faulty stitching, loose buttons, uneven creases, wrong sleeve lengths, color defects, etc.
- Labels:** Size shall be marked with a woven size loop attached to basic label in yoke. Care and content label to be placed in the yoke.
- Pressing and Packaging:** Shirts shall be carefully pressed by hand in first class manner and individually packed in polyethylene bags. Shirts to be shipped in strong boxes so as not to be damaged in shipment. Shirts to be packed in increments of 30.
- Sizes:** Small through 8XLarge, regular and tall sizes.

3. FEMALE LONG SLEEVE BLOUSE - White

- Fabric:** Fabric to be Plain Weave Poplin, 75% Dacron Polyester/25% Cotton, with vat dyed color and a wrinkle resistant, soil release finish. Fabric weight: 4.15 oz/sq. yd.
Color: white – Reference Pantone Bright White #11-0601TCX.
- Style:** This woman’s long sleeve shirt has a banded collar, plain front and mitred chest pockets, and rounded cuffs.
- Tailoring:** All stitches must be of proper tension and size so as to avoid puckering after the shirt has been laundered and to give the best durable press performance. All sewing shall be done with thread constructed with a Dacron Polyester Core covered with a cotton wrapping.
- Collar:** The shape and style of both leaf and stand shall conform to the TT89 Collar. The points, medium spread, are to be approximately 3” in length. The back of the stand is to measure 1-1/2”. The stand shall fasten with one button and one horizontal button hole.
The leaf shall be made of three pieces; two pieces of self-cloth and one whole lining, which is to be fused to the top collar.
Permanent collar stays to be secured to bottom ply. The collar stays shall be of good quality vinyl, 2-1/2” in length and 3/8” wide and attached to the bottom collar.
- Sleeves:** To be straight and whole. The cuffs are to be 2-5/8” in width and to have one button and one buttonhole. There is to be ¼” topstitch around the cuff. Sleeves shall be graded in length so as to finish from the shoulder seam in proportion to shirt size and manufacturer’s size chart.
- Front:** The right front shall have a Revere 2-1/2” wide extending from collar band to the bottom of the shirt provided by a turn-under of material. There shall be six 23 ligne buttons sewn to the left front, 3 1/2” apart. The right front shall have a 2-1/2” Revere, with six buttonholes, placed to correspond with the buttons on the left side.

- Pockets:** There are two plain chest pockets with mitered corners. These shall be die cut and die creased to give uniform shape and size. They shall be 5-1/2" wide and 6" long. Each pocket to have a 1" stitched hem. To be single stitched on the edge with each upper corner securely held with a 3/4" triangular stitch made with apex down.
- Back:** The back yoke shall be of double thickness of material with a clean finished seam with two darts at the waist.
- Buttons:** All buttons shall be made from thermosetting pearlized polyester material. Size to be 23 ligne. Color to be white.
- Emblems:** Each shirt shall have one Agency insignia and one American flag patch, provided by Agency, sewn on by the contractor as follows: Agency insignia located on left sleeve 1/2 "below shoulder seam, centered. American flag patch located on right sleeve 2" from the shoulder seam, centered.
- Interlining:** Collar top fuse lining to be CK331. Band to be EZ Crease. Cuffs to be H'Press.
- Workmanship:** All components of the shirt shall be free of runs, loose threads, raw edges, faulty stitching, loose buttons, uneven creases, wrong sleeve lengths, color defects, etc.
- Labels:** Size shall be marked with a woven size loop attached to basic label in yoke. Care and content label to be placed in the yoke.
- Pressing and Packaging:** Shirts shall be carefully pressed by hand in first class manner and individually packed in polyethylene bags. Shirts to be shipped in strong boxes so as not to be damaged in shipment. Shirts to be packed in increments of 30.
- Sizes:** 26 through 58

4. MALE LONG SLEEVE SHIRT - White

- Fabric:** Fabric to be Plain Weave Poplin, 75% Dacron Polyester/25% Cotton, with vat dyed color and a wrinkle resistant, soil release finish. Fabric weight: 4.15 oz/sq. yd.
- Color: white – Reference Pantone Bright White #11-0601TCX.
- Style:** This men's long sleeve shirt has a banded collar, plain front and mitred chest pockets, and rounded cuffs.
- Tailoring:** All stitches must be of proper tension and size so as to avoid puckering after the shirt has been laundered and to give the best durable press performance. All sewing shall be done with thread constructed with a Dacron Polyester Core covered with a cotton wrapping.
- Collar:** The shape and style of both leaf and stand shall conform to the TT89 Collar. The points, medium spread, are to be approximately 3" in length. The back of the stand is to measure 1-1/2". The stand shall fasten with one button and one horizontal button hole.
- The leaf shall be made of three pieces; two pieces of self-cloth and one whole lining, which is to be fused to the top collar.
- Permanent collar stays to be secured to bottom ply. The collar stays shall be of good quality vinyl, 2-1/2" in length and 3/8" wide and attached to the bottom collar.

- Sleeves:** To be straight and whole. The cuffs are to be 2-5/8" in width and to have one button and one buttonhole. There is to be 1/4" topstitch around the cuff. Sleeves shall be graded in length so as to finish from the shoulder seam in proportion to shirt size and manufacturer's size chart.
- Front:** The right front shall have a Revere 2-1/2" wide extending from collar band to the bottom of the shirt provided by a turn-under of material. There shall be six 23 ligne buttons sewn to the right front, 3 1/2" apart. The left front shall have a 2-1/2" Revere, with six buttonholes, placed to correspond with the buttons on the right side.
- Pockets:** There are two plain chest pockets with mitered corners. These shall be die cut and die creased to give uniform shape and size. They shall be 5-1/2" wide and 6" long. Each pocket to have a 1" stitched hem. To be single stitched on the edge with each upper corner securely held with a 3/4" triangular stitch made with apex down.
- There should be a pencil stitch pocket in the right side of the left pocket, 1 1/4" x 5 7/8" deep.
- Back:** The back yoke shall be of double thickness of material with a clean finished seam.
- Buttons:** All buttons shall be made from thermosetting pearlized polyester material. Size to be 23 ligne. Color to be white.
- Emblems:** Each shirt shall have one Agency insignia and one American flag patch, provided by Agency, sewn on by the contractor as follows: Agency insignia located on left sleeve 1/2" below shoulder seam, centered. American flag patch located on right sleeve 2" from the shoulder seam, centered.
- Interlining:** Collar top fuse lining to be CK331. Band to be EZ Crease. Cuffs to be H'Press.
- Workmanship:** All components of the shirt shall be free of runs, loose threads, raw edges, faulty stitching, loose buttons, uneven creases, wrong sleeve lengths, color defects, etc.
- Labels:** Size shall be marked with a woven size loop attached to basic label in yoke. Care and content label to be placed in the yoke.
- Pressing and Packaging:** Shirts shall be carefully pressed by hand in first class manner and individually packed in polyethylene bags. Shirts to be shipped in strong boxes so as not to be damaged in shipment. Shirts to be packed in increments of 30.
- Sizes:** Neck – 14.5" through 22.5" (all-inclusive in 1/2" increments), Sleeve Length – 29/30" through 37/38", regular and tall sizes.

LOT III

TROUSERS, SLACKS- NAVY BLUE

Lot III– Trousers, slacks - Navy Blue**Item #1 Male Trouser, Navy Blue (relaxed fit)**

- Fabric:** 65% Polyester/35% Combed Cotton 2/1 right or left hand twill weave, with 6-8% engineered filling stretch. A continuous dyeing process enhances the color retention of the fabric.
Fabric weight: 7.5 - 8.0 oz/sq. yd.
- Color: Dark Navy Blue– Reference Pantone #19-4013 TCX.
- Style:** Shall be made on a uniform pattern, having a plain front with quarter top front pockets, 3/8” belt loops and two back pockets.
- Sizes:** Waist - 26” through 70”, inseam/length - unhemmed
- Pockets:** The front pocket opening will be a minimum 6½” and be 6” deep from the bottom of the opening. Pockets shall be stitched, turned, and restitched. The inside front pocket facing shall be a separate piece of self material finishing no less than 1¼” wide. The back pockets will have a minimum opening of 6” and be 7” deep. They shall be made with a double besom with 3/8” on bottom and 1/8” on top. The left pocket has a button to buttonhole closure. All pockets shall each have straight bartacks.
- Pocketing:** All pocketing shall be black 65% Polyester/35% Cotton with a minimum thread count of 70 x 48, weight is 4.3oz. /sq. yd.
- Waistband:** The waistband shall be 2" wide and shall be closed with a crush-proof hook and eye, the eye being bartacked for stability. The waistband curtain, attached with a rocap machine, shall have Snugtex and be made of black 65% Polyester/35% Cotton. Banrol waistband stiffener, ¾" in width, shall be sewn into the waistband. The trousers are to be made with a continuous closed waistband. The waistband shall be set on and shall be stitched below the lower edge through the outer fabric and the waistband curtain.
- Belt Loops:** There should be 7 belt loops on all sizes. Each loop is to be 3/8” wide of double thickness, with stitching on the face side. All loops shall be tacked on top and bottom.
- Inner Fly/
Crotch:** There shall be a non-woven interlining sewn to the fly to give additional stability and strength to the fly. The right fly lining shall be sewn to the left fly below the zipper and continue centered on the join seam across the inseam. A separate French fly made of the outer fabric shall be sewn to the inside right fly.
- Zippers:** The trousers shall be closed with a brass zipper and have a brass bottom stop at the base of the zipper chain. A straight bartack shall be sewn through the outside of the garment to the inside at the bottom of the fly. It shall be sewn through the zipper tape, the right and left fly and the right fly lining. The right and left fly shall be joined by an additional bartack located below the bottom zipper stop on the inside of the trouser.
- Creasing:** The front and back crease in both trouser legs must be applied via a pneumatically controlled application of Creaset silicone sealant, specially formulated for technologically enhanced fabrics, so as to give permanency to the creases for the life of the garment, or an equivalent process.
- Seaming:** The entire trouser is to be seamed with Polyester core or 100% Polyester spun thread. The seat seam shall be stitched with a tandem needle seat seaming machine.
- Labels:** There shall be a branded woven label sewn-in the left hip pocket bag. A woven size label shall be sewn next to the main label, with a care and content label sewn below that label. An outside waistband label shall be marked with lot number, size, fiber content and cut number.

Finishing &

Pressing: All loose threads shall be removed. Trousers must be pressed completely and properly with side seam, inseam, and seat seam pressed open. There must be a Jetclip attached to the top fly of the finished trouser.

Packaging: Pants shall be individually packed in polyethylene bags. Pants to be shipped in strong boxes so as not to be damaged in shipment. Pants to be packed in increments of 30.

Item #2 Female Trouser, Navy Blue (relaxed fit)

Fabric: 65% Polyester/35% Combed Cotton 2/1 right or left hand twill weave, with 6.8% engineered filling stretch. A continuous dyeing process enhances the color retention of the fabric.
Fabric weight: 7.5 - 8.0 oz/sq. yd

Color: Dark Navy Blue– Reference Pantone #19-4013 TCX.

Style: Shall be made on a uniform pattern, having a plain front with quarter top front pockets, 3/8" belt loops and two back pockets.

Size: Waist - 24" through 58", inseam/length - unhemmed

Pockets: The front pocket opening will be a minimum 7½" and be 6" deep from the bottom of the opening. Pockets shall be stitched, turned, and restitched. The inside front pocket facing shall be a separate piece of self material finishing no less than 1¼" wide.

The back pockets will have a minimum opening of 6" and be 7" deep. They shall be made with a double besom with 3/8" on bottom and 1/8" on top. The left pocket has a button to buttonhole closure. All pockets shall each have straight bartacks.

Pocketing: All pocketing shall be black 65% Polyester/35% Cotton with a minimum thread count of 70 x 48, weight is 4.3oz. /sq. yd.

Waistband: The waistband shall be 2¼" wide and shall be closed with a crush-proof hook and eye, the eye being bartacked for stability. The waistband curtain, attached with a rocap machine, shall have Snugtex and be made of black 65% Polyester/35% Cotton. Banrol waistband stiffener, ¾" in width, shall be sewn into the waistband. The trousers are to be made with a continuous closed waistband. The waistband shall be set on and shall be stitched below the lower edge through the outer fabric and the waistband curtain.

Belt Loops: There should be 7 belt loops on all sizes. Each loop is to be 3/8" wide of double thickness, with stitching on the face side. All loops shall be tacked on top and bottom.

Inner Fly/

Crotch: There shall be a non-woven interlining sewn to the fly to give additional stability and strength to the fly. The right fly lining shall be sewn to the left fly below the zipper and continue centered on the join seam across the inseam. A separate French fly made of the outer fabric shall be sewn to the inside right fly.

Zippers: The trousers shall be closed with a brass zipper and have a brass bottom stop at the base of the zipper chain. A straight bartack shall be sewn through the outside of the garment to the inside at the bottom of the fly. It shall be sewn through the zipper tape, the right and left fly and the right fly lining. The right and left fly shall be joined by an additional bartack located below the bottom zipper stop on the inside of the trouser.

Creasing: The front and back crease in both trouser legs must be applied via a pneumatically controlled application of Creaset silicone sealant, specially formulated for technologically enhanced fabrics, so as to give permanency to the creases for the life of the garment, or an equivalent process

Seaming: The entire trouser is to be seamed with Polyester core or 100% Polyester spun thread. The seat seam shall be stitched with a tandem needle seat seaming machine.

Labels: There shall be a branded woven label sewn-in the left hip pocket bag. A woven size label shall be sewn next to the main label, with a care and content label sewn below that label. An outside waistband label shall be marked with lot number, size, fiber content and cut number.

**Finishing &
Pressing:**

All loose threads shall be removed. Trousers must be pressed completely and properly with side seam, inseam, and seat seam pressed open. There must be a Jetclip attached to the top fly of the finished trouser.

Packaging: Pants shall be individually packed in polyethylene bags. Pants to be shipped in strong boxes so as not to be damaged in shipment. Pants to be packed in increments of 30.

LOT IV

SHIRTS, BLOUSES, TROUSERS, SLACKS- GREY

Lot IV –Shirts, Blouses, Grey**1. MALE SHORT SLEEVE SHIRT - Grey**

- Fabric:** Fabric to be Weave Twill, 65% Dacron Polyester/35% Cotton, with vat dyed color and a wrinkle resistant, soil release finish. Fabric weight: 7.5 -8.0 oz/sq. yd.
- Color: Medium Grey – Reference Pantone Name: Cloudburst #17-1502
- Style:** This men’s short sleeve shirt has a banded collar with eyelets, plain front and mitred chest pockets.
- Tailoring:** All stitches must be of proper tension and size so as to avoid puckering after the shirt has been laundered and to give the best durable press performance. All sewing shall be done with thread constructed with a Dacron Polyester Core covered with a cotton wrapping.
- Collar:** Banded collar shall be one piece and to measure 3” long at points and 1-1/2” wide at back. The collar is to be constructed of two plies of basic material and one ply of interlining. The collar is to be single stitched ¼” from edge.
- The collar shall be made with permanent collar stays. The collar stays shall be of good quality vinyl, 2-1/2” in length and 3/8” wide and attached to the bottom collar along the front edge of the collar.
- Eyelets having 1/8” diameter opening shall be sewn 2” from collar point and 1-1/8” from finished collar edge.
- Sleeves:** Sleeves are to be straight and whole and have a 1” hem. Sleeves shall be graded in length so as to finish from the shoulder seam in proportion to shirt size and manufacturer’s size chart.
- The sleeves must be secured to the body of the shirt by means of a merrow stitch with a safety stitch so as to properly strengthen the stitch. The same stitch must be used on the side closing seams as well.
- Front:** The right front shall have a Revere 2-1/2” wide extending from collar band to the bottom of the shirt provided by a turnunder of material. There shall be six 23 ligne buttons sewn to the right front, 3 1/2” apart. The left front shall have a 2-1/2” Revere, with six buttonholes, placed to correspond with the buttons on the right side.
- Pockets:** There are two plain chest pockets with mitered corners. These shall be die cut and die creased to give uniform shape and size. They shall be 5-1/2” wide and 6” long. Each pocket to have a 1” stitched hem. To be single stitched on the edge with each upper corner securely held with a ¾” triangular stitch made with apex down. The left chest pocket to have a pencil stitch compartment about 1-1/4” wide.
- Back:** The back yoke shall be of double thickness of material with a clean finished seam.
- Buttons:** All buttons shall be made from thermosetting pearlized polyester material. Size to be 23 ligne. Color to be grey.
- Sizes:** Small through 8XLarge.

Emblems: Each shirt shall have one Agency insignia and one American flag patch, provided by Agency, sewn on by the contractor as follows: Agency insignia located on left sleeve ½ “below shoulder seam, centered. American flag patch located on right sleeve 2” from the shoulder seam, centered.

Interlining: Collar top fuse lining to be CK331.

Workmanship: All components of the shirt shall be free of runs, loose threads, raw edges, faulty stitching, loose buttons, uneven creases, wrong sleeve lengths, color defects, etc.

Labels: Size shall be marked with a woven size loop attached to basic label in yoke. Care and content label to be placed in the yoke.

Pressing and

Packaging: Shirts shall be carefully pressed by hand in first class manner and individually packed in polyethylene bags. Shirts to be shipped in strong boxes so as not to be damaged in shipment. Shirts to be packed in increments of 30.

2. FEMALE SHORT SLEEVE SHIRT (BLOUSE) – Grey

Fabric: Fabric to be Weave Twill, 65% Dacron Polyester/35% Cotton, with vat dyed color and a wrinkle resistant, soil release finish. Fabric weight: 7.5-8.0 oz./sq. yd

Color: Medium Grey – Reference Pantone Name: Cloudburst #17-1502

Style: This women’s short sleeve shirt has a banded collar with eyelets, plain front and mitred chest pockets.

Tailoring: All stitches must be of proper tension and size so as to avoid puckering after the shirt has been laundered and to give the best durable press performance. All sewing shall be done with thread constructed with a Dacron Polyester Core covered with a cotton wrapping.

Collar: Banded collar shall be one piece and to measure 3” long at points and 1-1/2” wide at back. The collar is to be constructed of two plies of basic material and one ply of interlining. The collar is to be single stitched ¼” from edge.

The collar shall be made with permanent collar stays. The collar stays shall be of good quality vinyl, 2-1/2” in length and 3/8” wide and attached to the bottom collar along the front edge of the collar.

Eyelets having 1/8” diameter opening shall be sewn 2” from collar point and 1-1/8” from finished collar edge.

Sleeves: Sleeves are to be straight and whole and have a 1” hem. Sleeves shall be graded in length so as to finish from the shoulder seam in proportion to shirt size and manufacturer’s size chart.

The sleeves must be secured to the body of the shirt by means of a merrow stitch with a safety stitch so as to properly strengthen the stitch. The same stitch must be used on the side closing seams as well.

Sizes: 26 through 58

- Front:** The left front shall have a Revere 2-1/4" wide extending from collar band to the bottom of the shirt provided by a turnunder of material. There shall be six 23 ligne buttons sewn to the left front, 3 1/2" apart. The right front shall have a 2-1/4" Revere, with six buttonholes, placed to correspond with the buttons on the left side.
- Pockets:** There are two plain chest pockets with mitered corners. These shall be die cut and die creased to give uniform shape and size. They shall be 5-1/2" wide and 6" long . Each pocket to have a 1" stitched hem. To be single stitched on the edge with each upper corner securely held with a 3/4" triangular stitch made with apex down. The left chest pocket to have a pencil stitch compartment about 1-1/4" wide.
- Back:** The back yoke shall be of double thickness of material with a clean finished seam. Shall be fitted by means of two darts at the waist.
- Buttons:** All buttons shall be made from thermosetting pearlized polyester material. Size to be 23 ligne. Color to be grey.
- Emblems:** Each shirt shall have one Agency insignia and one American flag patch, provided by Agency, sewn on by the contractor as follows: Agency insignia located on left sleeve 1/2 " below shoulder seam, centered. American flag patch located on right sleeve 2" from the shoulder seam, centered.
- Interlining:** Collar top fuse lining to be CK331.
- Workmanship:** All components of the shirt shall be free of runs, loose threads, raw edges, faulty stitching, loose buttons, uneven creases, wrong sleeve lengths, color defects, etc.
- Labels:** Size shall be marked with a woven size loop attached to basic label in yoke. Care and content label to be placed in the yoke.
- Pressing and Packaging:** Shirts shall be carefully pressed by hand in first class manner and individually packed in polyethylene bags. Shirts to be shipped in strong boxes so as not to be damaged in shipment. Shirts to be packed in increments of 30.

3. MALE LONG SLEEVE – Grey

- Fabric:** Fabric to be Weave Twill, 65% Dacron Polyester/35% Cotton, with vat dyed color and a wrinkle resistant, soil release finish. Fabric weight: 7.5 -8.0 oz/sq. yd.
- Color: Medium Grey – Reference Pantone Name: Cloudburst #17-1502
- Style:** This men's long sleeve shirt has a banded collar with eyelets, plain front and mitred chest pockets, and rounded cuffs.
- Tailoring:** All stitches must be of proper tension and size so as to avoid puckering after the shirt has been laundered and to give the best durable press performance. All sewing shall be done with thread constructed with a Dacron Polyester Core covered with a cotton wrapping.
- Collar:** The shape and style of both leaf and stand shall conform to the TT89 Collar. The points, medium spread, are to be approximately 3" in length. The back of the stand is to measure 1-1/2". The stand shall fasten with one button and one horizontal button hole.

The leaf shall be made of three pieces; two pieces of self cloth and one whole lining, which is to be fused to the top collar.

Permanent collar stays to be secured to bottom ply. The collar stays shall be of good quality vinyl, 2-1/2" in length and 3/8" wide. The stays shall be held in place by forming the eyelet through them. The eyelets shall be placed on both collar points and shall be located 2 1/8" up from the collar point and 1" from either side.

Sleeves: To be straight and whole. The cuffs are to be 2-5/8" in width and to have one button and one buttonhole. There is to be 1/4" topstitch around the cuff.

Sizes: Neck 14.5" – 22.5" (all-inclusive in 1/2" increments), sleeve length 29"/30" – 37"/38", regular and tall sizes.

Front: The right front shall have a Revere 2-1/2" wide extending from collar band to the bottom of the shirt provided by a turnunder of material. There shall be six 23 ligne buttons sewn to the right front, 3 1/2" apart. The left front shall have a 2-1/2" Revere, with six buttonholes, placed to correspond with the buttons on the right side.

Pockets: There are two plain chest pockets with mitered corners. These shall be die cut and die creased to give uniform shape and size. They shall be 5-1/2" wide and 6" long. Each pocket to have a 1" stitched hem. To be single stitched on the edge with each upper corner securely held with a 3/4" triangular stitch made with apex down.

There should be a pencil pocket in the right side of the left pocket, 1 1/4" x 5 7/8" deep.

Back: The back yoke shall be of double thickness of material with a clean finished seam.

Buttons: All buttons shall be made from thermosetting pearlized polyester material. Size to be 23 ligne. Color to be grey.

Emblems: Each shirt shall have one Agency insignia and one American flag patch, provided by Agency, sewn on by the contractor as follows: Agency insignia located on left sleeve 1/2" below shoulder seam, centered. American flag patch located on right sleeve 2" from the shoulder seam, centered.

Interlining: Collar top fuse lining to be CK331. Band to be EZ Crease. Cuffs to be H'Press.

Workmanship: All components of the shirt shall be free of runs, loose threads, raw edges, faulty stitching, loose buttons, uneven creases, wrong sleeve lengths, color defects, etc.

Labels: Size shall be marked with a woven size loop attached to basic label in yoke. Care and content label to be placed in the yoke.

Pressing and

Packaging: Shirts shall be carefully pressed by hand in first class manner and individually packed in polyethylene bags. Shirts to be shipped in strong boxes so as not to be damaged in shipment. Shirts to be packed in increments of 30.

4. FEMALE LONG SLEEVE SHIRT (BLOUSE) – Grey

Fabric: Fabric to be Weave Twill, 65% Dacron Polyester/35% Cotton, with vat dyed color and a wrinkle resistant, soil release finish. Fabric weight: 7.5 -8.0 oz/sq. yd.

Color: Medium Grey – Reference Pantone Name: Cloudburst #17-1502

- Style:** This woman's long sleeve shirt has a banded collar with eyelets, plain front and mitred chest pockets, and rounded cuffs.
- Tailoring:** All stitches must be of proper tension and size so as to avoid puckering after the shirt has been laundered and to give the best durable press performance. All sewing shall be done with thread constructed with a Dacron Polyester Core covered with a cotton wrapping.
- Collar:** The shape and style of both leaf and stand shall conform to the TT89 Collar. The points, medium spread, are to be approximately 3" in length. The back of the stand is to measure 1-1/2". The stand shall fasten with one button and one horizontal button hole.
- The leaf shall be made of three pieces; two pieces of self cloth and one whole lining, which is to be fused to the top collar.
- Permanent collar stays to be secured to bottom ply. The collar stays shall be of good quality vinyl, 2-1/2" in length and 3/8" wide. The stays shall be held in place by forming the eyelet through them. The eyelets shall be placed on both collar points and shall be located 2 1/8" up from the collar point and 1" from either side.
- Sleeves:** To be straight and whole. The cuffs are to be 2-5/8" in width and to have one button and one buttonhole. There is to be 1/4" topstitch around the cuff. Sleeves shall be graded in length so as to finish from the shoulder seam in proportion to shirt size and manufacturer's size chart.
- Sizes:** XSmall through 5XLarge
- Front:** The right front shall have a Revere 2-1/2" wide extending from collar band to the bottom of the shirt provided by a turnunder of material. There shall be six 23 ligne buttons sewn to the left front, 3 1/2" apart. The right front shall have a 2-1/2" Revere, with six buttonholes, placed to correspond with the buttons on the left side.
- Pockets:** There are two plain chest pockets with mitered corners. These shall be die cut and die creased to give uniform shape and size. They shall be 5-1/2" wide and 6" long. Each pocket to have a 1" stitched hem. To be single stitched on the edge with each upper corner securely held with a 3/4" triangular stitch made with apex down.
- There should be a pencil pocket in the right side of the left pocket, 1 1/4" x 5 7/8" deep.
- Back:** The back yoke shall be of double thickness of material with a clean finished seam. Shall be fitted by means of two darts at the waist.
- Buttons:** All buttons shall be made from thermosetting pearlized polyester material. Size to be 23 ligne. Color to be grey.
- Emblems:** Each shirt shall have one Agency insignia and one American flag patch, provided by Agency, sewn on by the contractor as follows: Agency insignia located on left sleeve 1/2" below shoulder seam, centered. American flag patch located on right sleeve 2" from the shoulder seam, centered.
- Interlining:** Collar top fuse lining to be CK331. Band to be EZ Crease. Cuffs to be H'Press.

Workmanship: All components of the shirt shall be free of runs, loose threads, raw edges, faulty stitching, loose buttons, uneven creases, wrong sleeve lengths, color defects, etc.

Labels: Size shall be marked with a woven size loop attached to basic label in yoke. Care and content label to be placed in the yoke.

Pressing and Packaging: Shirts shall be carefully pressed by hand in first class manner and individually packed in polyethylene bags. Shirts to be shipped in strong boxes so as not to be damaged in shipment. Shirts to be packed in increments of 30.

Item #1 Male Trouser, Grey (relaxed fit)

Fabric: 65% Polyester/35% Combed Cotton 2/1 right or left hand twill weave, with 6-8% engineered filling stretch. A continuous dyeing process enhances the color retention of the fabric.
Fabric weight: 7.5 - 8.0 oz/sq. yd

Color: Medium Grey – Reference Pantone Name: Cloudburst #17-1502

Style: Shall be made on a uniform pattern, having a plain front with quarter top front pockets, 3/8” belt loops and two back pockets.

Pockets: The front pocket opening will be a minimum 6½” and be 6” deep from the bottom of the opening. Pockets shall be stitched, turned, and restitched. The inside front pocket facing shall be a separate piece of self material finishing no less than 1¼” wide. The back pockets will have a minimum opening of 6” and be 7” deep. They shall be made with a double besom with 3/8” on bottom and 1/8” on top. The left pocket has a button to buttonhole closure. All pockets shall each have straight bartacks.

Pocketing: All pocketing shall be black 65% Polyester/35% Cotton with a minimum thread count of 70 x 48, weight is 4.3oz. /sq. yd.

Waistband: The waistband shall be 2” wide and shall be closed with a crush-proof hook and eye, the eye being bartacked for stability. The waistband curtain, attached with a rocap machine, shall have Snugtex and be made of black 65% Polyester/35% Cotton. Banrol waistband stiffener, ¾” in width, shall be sewn into the waistband. The trousers are to be made with a continuous closed waistband. The waistband shall be set on and shall be stitched below the lower edge through the outer fabric and the waistband curtain.

Belt Loops: There should be 7 belt loops on all sizes. Each loop is to be 3/8” wide of double thickness, with stitching on the face side. All loops shall be tacked on top and bottom.

Inner Fly/Crotch: There shall be a non-woven interlining sewn to the fly to give additional stability and strength to the fly. The right fly lining shall be sewn to the left fly below the zipper and continue centered on the join seam across the inseam. A separate French fly made of the outer fabric shall be sewn to the inside right fly.

Zippers: The trousers shall be closed with a brass zipper and have a brass bottom stop at the base of the zipper chain. A straight bartack shall be sewn through the outside of the garment to the inside at the bottom of the fly. It shall be sewn through the zipper tape, the right and left fly and the right fly lining. The right and left fly shall be joined by an additional bartack located below the bottom zipper stop on the inside of the trouser.

- Creasing:** The front and back crease in both trouser legs must be applied via a pneumatically controlled application of Creaset silicone sealant, specially formulated for technologically enhanced fabrics, so as to give permanency to the creases for the life of the garment or an equivalent process.
- Seaming:** The entire trouser is to be seamed with Polyester core or 100% Polyester spun thread. The seat seam shall be stitched with a tandem needle seat seaming machine.
- Size:** Waist - 26" through 66", inseam/length – unhemmed
- Labels:** There shall be a branded woven label sewn-in the left hip pocket bag. A woven size label shall be sewn next to the main label, with a care and content label sewn below that label. An outside waistband label shall be marked with lot number, size, fiber content and cut number.
- Finishing & Pressing:** All loose threads shall be removed. Trousers must be pressed completely and properly with side seam, inseam, and seat seam pressed open. There must be a Jetclip attached to the top fly of the finished trouser.
- Packaging:** Pants shall be individually packed in polyethylene bags. Pants to be shipped in strong boxes so as not to be damaged in shipment. Pants to be packed in increments of 30.

Item #2 Female Slacks, Grey (relaxed fit)

- Fabric:** 65% Polyester/35% Combed Cotton 2/1 right or left hand twill weave, with 6-8% engineered filling stretch. A continuous dyeing process enhances the color retention of the fabric.
Fabric weight: 7.5 - 8.0 oz/sq. yd

Color: Medium Grey – Reference Pantone Name: Cloudburst #17-1502
- Style:** Shall be made on a uniform pattern, having a plain front with quarter top front pockets, 3/8" belt loops and two back pockets.
- Pockets:** The front pocket opening will be a minimum 7½" and be 6" deep from the bottom of the opening. Pockets shall be stitched, turned, and restitched. The inside front pocket facing shall be a separate piece of self material finishing no less than 1¼" wide.

The back pockets will have a minimum opening of 6" and be 7" deep. They shall be made with a double besom with 3/8" on bottom and 1/8" on top. The left pocket has a button to buttonhole closure. All pockets shall each have straight bartacks.
- Pocketing:** All pocketing shall be black 65% Polyester/35% Cotton with a minimum thread count of 70 x 48, weight is 4.3oz. /sq. yd.
- Waistband:** The waistband shall be 2¼" wide and shall be closed with a crush-proof hook and eye, the eye being bartacked for stability. The waistband curtain, attached with a rocap machine, shall have Snugtex and be made of black 65% Polyester/35% Cotton. Banrol waistband stiffener, ¾" in width, shall be sewn into the waistband. The trousers are to be made with a continuous closed waistband. The waistband shall be set on and shall be stitched below the lower edge through the outer fabric and the waistband curtain.
- Belt Loops:** There should be 7 belt loops on all sizes. Each loop is to be 3/8" wide of double thickness, with stitching on the face side. All loops shall be tacked on top and bottom.

Inner Fly/**Crotch:**

There shall be a non-woven interlining sewn to the fly to give additional stability and strength to the fly. The right fly lining shall be sewn to the left fly below the zipper and continue centered on the join seam across the inseam. A separate French fly made of the outer fabric shall be sewn to the inside right fly.

Zipper:

The trousers shall be closed with a brass zipper and have a brass bottom stop at the base of the zipper chain. A straight bartack shall be sewn through the outside of the garment to the inside at the bottom of the fly. It shall be sewn through the zipper tape, the right and left fly and the right fly lining. The right and left fly shall be joined by an additional bartack located below the bottom zipper stop on the inside of the trouser.

Creasing:

The front and back crease in both trouser legs must be applied via a pneumatically controlled application of Creaset silicone sealant, specially formulated for technologically enhanced fabrics, so as to give permanency to the creases for the life of the garment or an equivalent process.

Seaming:

The entire trouser is to be seamed with Polyester core or 100% Polyester spun thread. The seat seam shall be stitched with a tandem needle seat seaming machine.

Size:

Waist - 24" through 58", inseam/length - unhemmed

Labels:

There shall be a branded woven label sewn-in the left hip pocket bag. A woven size label shall be sewn next to the main label, with a care and content label sewn below that label. An outside waistband label shall be marked with lot number, size, fiber content and cut number.

Finishing &**Pressing:**

All loose threads shall be removed. Trousers must be pressed completely and properly with side seam, inseam, and seat seam pressed open. There must be a Jetclip attached to the top fly of the finished trouser.

Packaging:

Pants shall be individually packed in polyethylene bags. Pants to be shipped in strong boxes so as not to be damaged in shipment. Pants to be packed in increments of 30.

LOT V

TURTLENECKS

Lot V - Turtlenecks**Material**

Body: Shall be tubular knit fabric, 1x1 knit jersey consisting of 100% combed cotton. The finished cloth shall conform to the following:

| | |
|--------------------|--------------------------|
| Weight: | 6 ounces per square yard |
| Wales: | 23 per inch |
| Courses: | 34 per inch |
| Bursting Strength: | 95 lbs. |

Collar and Neck: Shall be tubular rib knit fabric, 1x1 rib knit consisting of 95% cotton/5% lycra.

| | |
|------------|----------------------------------|
| Weight: | 6.5 ounces per square yard |
| Yarn size: | to be a minimum 36 singles |
| Finish: | pre-shrunk and anti-curl treated |

In addition to being pre-shrunk and anti-curl treated, the finished fabric shall show fastness to light, laundering, and perspiration.

Color: Shall be Midnight Navy Blue (acceptability of color shall be determined by agency)

Collar: Turtleneck shall be manufactured so that it will retain its shape and will measure 4 inches in height unfolded.

Sleeves: To be long set-in type with rib knit cuff.

Seams and Stitching: All seams and stitching shall conform to FED-STD-751. The stitches shall be 10-12 per inch. Seam allowance shall be maintained with seams sewn so that no raw edges, run-offs, pleats, puckers or open seams occur.

Shoulder seams shall have a ¼" wide white elastic cord sewn into the seams so as to add stability and durability.

Ends of all stitching shall be backstitched or overstitched not less than ½" except where ends are turned under or caught in other seams or stitching. Thread tensions shall be maintained so that there will be no loose stitching resulting in loose bobbin or top thread excessively light stitching resulting in puckering of the materials sewn. The lock shall be imbedded in the materials sewn.

Sizes: Small through 8XLarge

Dimensional Stability: The finished turtleneck, after five launderings and dryings, shall not elongate more than 5.5 percent or shrink more than 8.0 percent lot average with no sample unit to exceed 8 percent.

Label and Care Instructions: Each garment shall have a combination size, identification, and instructions label conforming to all Federal regulations.

Packaging: Each garment shall be carefully pressed in a first class manner and individually packed in polyethylene bags with size marked on each bag. Packed 30 per carton, cartons clearly marked indicating quantity and size.

Lettering: Block lettering, embroidered letters **DOCCS**, left of center. Embroidering must be completed on collar before shirt is made. Color: Gold. Height: ¾ inch.

PLEASE USE BLACK INK OR TYPEWRITER WHEN PREPARING YOUR BID. BE SURE YOU HAVE INSERTED YOUR COMPANY'S NAME IN THE BOX

⇒ ⇒ ⇒ ⇒ ⇒ ⇒

| |
|----------------------|
| <p>Bidder</p> |
|----------------------|

NOTES TO BIDDERS: FAILURE TO ANSWER THE QUESTIONS WILL DELAY THE EVALUATION OF YOUR BID AND MAY RESULT IN REJECTION OF YOUR BID.

- Has Bidder completed the New York State Vendor Responsibility Questionnaire?
 -
 - If Bidder completed the Questionnaire online, has Bidder certified or recertified the Vendor Responsibility Questionnaire no more than six (6) months prior to the bid opening date?
 - Are prices quoted the same as or lower than those quoted other corporations, institutions and government agencies (including GSA/VA contracts) on similar products, quantities, terms and conditions? See "Best Pricing Offer" in Appendix B, General Specifications. If "NO", please explain on a separate sheet.
 - Do you have a contract with the General Services Administration (GSA) or Veterans Affairs (VA) for products offered? (Check all that apply.)
- If yes, will you offer New York State pricing equal to or better than your GSA or VA pricing?
- If yes, a copy of the GSA or VA schedule is required. Have you included a copy?
- Is this product available only on a "direct from the manufacturer" basis, or can pricing be obtained from dealers or distributors? Check one:
- If you are a manufacturer and have checked "Other", please attach listing of authorized dealers and distributors.
- Do you have your catalog available on the Internet?
- If yes, do you have the ability to make NYS pricing available along with your catalog on line?
- Does bidder offer Electronic Access Ordering (EDI)?
 - Are any products offered manufactured from recycled materials?

___ YES, filed online
(OR)

___ YES, paper copy attached

___ YES ___ NO

___ YES ___ NO

___ GSA ___ VA ___ NO

___ GSA ___ VA ___ NO

___ GSA ___ VA ___ NO

___ Manufacturer ___ Other

___ YES ___ NO

PLEASE USE BLACK INK OR TYPEWRITER WHEN PREPARING YOUR BID. BE SURE YOU HAVE INSERTED YOUR COMPANY'S NAME IN THE BOX

⇒ ⇒ ⇒ ⇒ ⇒ ⇒

Bidder

NOTES TO BIDDERS: (Cont'd)

Are any products offered remanufactured (restored to its original performance standards and function)?

___ YES ___ NO

Are any products offered Energy Star Compliant? (If YES to any of the above, please attach specifics.)

___ YES ___ NO

- For bidders offering wood products, are the products manufactured from wood harvested from forests certified as being sustainably managed?

_____ YES _____ NO

IF YES:

- Please check the organization providing the certification:
Please identify the products manufactured from certified forests

- American Tree Farm Program
Canadian Standards Association
Forest Stewardship Council
Sustainable Forestry Initiative SM
Other (Name)

Blank lines for providing product details.

PLEASE USE BLACK INK OR TYPEWRITER WHEN PREPARING YOUR BID. BE SURE YOU HAVE INSERTED YOUR COMPANY'S NAME IN THE BOX



Bidder

NOTES TO BIDDERS: (Cont'd)

- If awarded a contract, will bidder honor orders for less than the minimum order?

___ YES ___ NO

If YES, will shipping costs be added in accordance with the "Minimum Order" clause?

___ YES ___ NO

OR

If YES, will bidder ship at no additional cost?

___ YES ___ NO

- Person or persons to contact for expediting New York State contract orders:

Name: _____

Title: _____

Telephone Number: () _____

Toll Free Telephone Number: () _____

Fax Number: () _____

Toll Free Fax Number: () _____

E-Mail Address: _____

- Person or persons to contact in the event of an emergency occurring after business hours or on weekend/holidays:

State Normal Business Hours (Specify M-F, Sat, Sun): _____

Name: _____

Title: _____

Telephone Number: () _____

Fax Number: () _____

Pager Number: () _____

Cellular Telephone Number: () _____

E-Mail Address: _____

PLEASE USE BLACK INK OR TYPEWRITER WHEN PREPARING YOUR BID. BE SURE YOU HAVE INSERTED YOUR COMPANY'S NAME IN THE BOX

Bidder

=> => => => => =>

BIDDERS PLEASE ANSWER THE FOLLOWING QUESTIONS:

1. Is your company a Minority or Women-Owned Business Enterprise, certified in accordance with Article 15A of the New York State Executive Law as defined below?

_____YES _____NO

2. Is your company listed in the Empire State Development Directory of Certified Minority and Women Owned Businesses?

_____YES _____NO

http://www.empire.state.ny.us/Small_and_Growing_Businesses/mwbe.asp

NOTE: Contractors certified **and** listed in the Empire State Development's Directory of Certified Minority and Women-Owned Business Enterprises* will be identified by DOCCS as MBEs and/or WBEs in DOCCS Contract Award Notification upon award of the contract.

- MINORITY-OWNED
- WOMEN-OWNED
- MINORITY AND WOMEN-OWNED

*For further information and or application please contact New York State Department of Economic Development, Division of Minority and Women-Owned Business Enterprise at 518-292-5250 (Albany) or 212-803-2414 (New York City).

"Minority or Women-Owned Business Enterprise" shall mean a business enterprise, including a sole proprietorship, partnership or corporation that is:

- (a) at least fifty-one percent owned and controlled by the minority members and/or women;
- (b) an enterprise in which such minority and/or women ownership interest is real, substantial and continuing;
- (c) an enterprise in which such minority and/or women ownership has and exercises the authority to independently control the day-to-day business decisions; and
- (d) an enterprise independently owned, operated and authorized to do business in New York State.

3. Is your company a New York Small Business Concern as defined in accordance with Article 11 of the New York State Finance Law?

_____YES _____NO

"Small Business Concern" means a business which:

- (a) is resident in New York State;
- (b) is independently owned and operated;
- (c) is not dominant in its field; and,
- (d) employs one hundred or fewer persons.

PLEASE USE BLACK INK OR TYPEWRITER WHEN PREPARING YOUR BID. BE SURE YOU HAVE INSERTED YOUR COMPANY'S NAME IN THE BOX

Bidder

=> => => => => =>

4. Total number of people employed by your business in New York State:

5. PLACE OF MANUFACTURE OF PRODUCT(S) BID: (Indicate Yes or No for either A, B or C)

- A. All NYS Manufacture
B. All Manufactured outside NYS
C. Manufactured In NYS and Outside NYS
If yes to C above, Location (State) where more than half the value is added to the product(s) bid:

_____ YES _____ NO
_____ YES _____ NO
_____ YES _____ NO

State of _____

6. BIDDER'S PRINCIPAL PLACE OF BUSINESS*:

*"Principal Place of Business" is the location of the primary control, direction and management of the enterprise.

State of _____

7. "NONDISCRIMINATION IN EMPLOYMENT IN NORTHERN IRELAND: MacBRIDE FAIR EMPLOYMENT PRINCIPLES"

In accordance with Section 165 of the State Finance Law, the bidder, by submission of this bid, certifies that it or any individual or legal entity in which the bidder holds a 10% or greater ownership interest, or any individual or legal entity that holds a 10% or greater ownership interest in the bidder, either:

(Answer Yes or No to one or both of the following, as applicable),

A. have business operations in Northern Ireland:

_____ YES _____ NO

If yes,

B. shall take lawful steps in good faith to conduct any business operations in Northern Ireland in accordance with the MacBride Fair Employment Principles relating to non-discrimination in employment and freedom of workplace opportunity regarding such operations in Northern Ireland, and shall permit independent monitoring of compliance with such Principles.

_____ YES _____ NO

PLEASE USE BLACK INK OR TYPEWRITER WHEN PREPARING YOUR BID. BE SURE YOU HAVE INSERTED YOUR COMPANY'S NAME IN THE BOX

=> => => => => =>

Bidder

8. BIDDER/OFFERER DISCLOSURE OF PRIOR NON-RESPONSIBILITY DETERMINATIONS

Pursuant to Procurement Lobbying Law (SFL §139-j)

A. Has any Governmental Entity made a finding of non-responsibility regarding the individual or entity seeking to enter into the Procurement Contract in the previous four years?

_____ YES _____ NO

If yes, please answer the following question:

B. Was the basis for the finding of non-responsibility due to a violation of State Finance Law §139-j?

_____ YES _____ NO

C. If yes, was the basis for the finding of non-responsibility due to the intentional provision of false or incomplete information to a governmental entity?

_____ YES _____ NO

If yes, please provide details regarding the finding of non-responsibility:

Governmental Entity:

Date of Finding of Non-responsibility:

Basis of Finding of Non-Responsibility: (add additional pages if necessary)

D. Has any governmental agency terminated or withheld a procurement contract with the above-named individual or entity due to the intentional provision of false or incomplete information?

_____ YES _____ NO

If yes, please provide details:

Governmental Entity:

Date of Termination or Withholding of Contract:

Basis of Termination or Withholding: (add additional pages if necessary)

BIDDER'S CERTIFICATE OF MANUFACTURE

I, _____, (name of individual signing bid/proposal) certify under penalty of perjury that the final product for which I am providing a bid/proposal, was manufactured in compliance with all applicable labor and occupational safety laws, including, but not limited to child labor laws, wage and hour laws and workplace safety laws.

I further certify that:

The names and addresses of subcontractors involved in the manufacture of the final product are:

(Add additional sheets if necessary.)

I cannot determine, using commercially reasonable methods of inquiry, the names and addresses of any subcontractors involved in the manufacture of the final product other than those identified above.

There are no subcontractors for this product.

The names and addresses of manufacturing plants contributing to the manufacture of the final product are:

(Add additional sheets if necessary.)

I cannot determine, using commercially reasonable methods of inquiry, the names and addresses of any manufacturing plants contributing to the manufacture of the final product other than those identified above.

Printed or Typed Copy of Signature

Dated: _____

Bidder's Signature

Name of Company Bidding: _____

* * * * *

PROCUREMENT LOBBYING CERTIFICATION

By signing, the offerer/bidder affirms that it understands and agrees to comply with the NYS Office of General Services (OGS) procedures relative to permissible contacts, as required by State Finance Law §139-j and §139-k.

Procurement Lobbying information can be accessed at:

<http://www.ogs.ny.gov/aboutogs/regulations/advisoryCouncil/sfl139-j.htm> and

<http://www.ogs.ny.gov/aboutogs/regulations/advisoryCouncil/sfl139-k.htm>

Offerer affirms that it understands and agrees to comply with the procedures of the Government Entity relative to permissible Contacts as required by State Finance Law §139-j (3) and §139-j (6) (b).

By: _____ Date: _____

Name: _____ Title: _____

Contractor Name: _____

Contractor Address: _____

Prior Non-Responsibility Determinations – State Finance Law §139-k

1. Has any Government Entity made a finding of non-responsibility against this organization/company? **No Yes**
2. If yes, was the basis for the finding of non-responsibility due to a violation of SFL§139-j or due to the intentional provision of false or incomplete information to a Government Entity? **No Yes**
3. Has any Government Entity terminated or withheld a procurement contract with this organization/company due to the intentional provision of false or incomplete information? **No Yes**

If yes to any of the above questions, provide complete details on a separate page and attach.

Offerer Certification:

I certify that all information provided to the Governmental Entity with respect to State Finance Law §139-k is complete, true and accurate.

By: _____ Date: _____

Name: _____ Title: _____

Procurement Lobbying Termination

DOCCS reserves the right to terminate this contract in the event it is found that the certification filed by the Offeror/bidder in accordance with New York State Finance Law §139-k was intentionally false or intentionally incomplete. Upon such finding, DOCCS may exercise its termination right by providing written notice to the Offeror/bidder in accordance with the written notification terms of the contract.

CONTRACTOR CERTIFICATION FORMS
(Pursuant to Section 5-A of the Tax Law)

Form ST-220-TD (4 pages)

If filing with the Department of Taxation & Finance for the first time, or previously submitted information needs to be updated, these 4 pages must be completed, signed and submitted directly to the Department of Taxation and Finance.

Form available at:

https://www.tax.ny.gov/pdf/current_forms/st/st220td_fill_in.pdf

Form ST-220-CA (2 pages)

Regardless of whether ST-220-TD is being filed/updated for this bid or not, these 2 pages must be completed, signed and returned with this bid.

Form available at:

https://www.tax.ny.gov/pdf/current_forms/st/st220ca_fill_in.pdf

ATTACHMENT B
PRICE SHEET

**NYS Department of Corrections and Community Supervision
IFB 2016-15 Security Career Apparel**

PRICE SHEET

Bidder's Name: _____

Award(s) shall be made by the **Grand Total by Lot** to the lowest responsive and responsible bidder(s).
Bidders must indicate guaranteed delivery date for each LOT bid.

Lot I - shirts, blouses, short sleeve, long sleeve - Color: Light Blue

| Items | Annual Estimated Quantity | | Unit Price | | Total |
|--|---------------------------|---|------------|---|-------|
| 1. Female short sleeve blouse - Lt. Blue | 3,000 | X | | = | \$ |
| 2. Male short sleeve shirt - Lt. Blue | 95,000 | X | | = | |
| 3. Female long sleeve blouse - Lt. Blue | 2,000 | X | | = | |
| 4. Male long sleeve shirt - Lt. Blue | 50,000 | X | | = | |

Lot I - Grand Total = \$

Guaranteed Delivery Days A/R/O = _____

Lot II - shirts, blouses, short sleeve, long sleeve - Color: White

| | | | | | |
|---------------------------------------|-------|---|--|---|--|
| 1. Female short sleeve blouse - White | 300 | X | | = | |
| 2. Male short sleeve shirt - White | 6,500 | X | | = | |
| 3. Female long sleeve blouse White | 300 | X | | = | |
| 4. Male long sleeve shirt - White | 2,500 | X | | = | |

Lot II - Grand Total = \$

Guaranteed Delivery Days A/R/O = _____

Lot III- trousers, slacks - Color: Blue

| Items | Annual Estimated Quantity | | Unit Price | | Total |
|---------------------------------------|---------------------------|---|------------|---|-------|
| 1. Male trouser (relaxed fit) - Blue | 60,000 | X | | = | |
| 2. Female slack (relaxed fit) - Blue | 7,500 | X | | = | |

Lot II - Grand Total = \$

Guaranteed Delivery Days A/R/O = _____

Lot IV - shirts, blouses, trousers, slacks, male, female - Color: Grey

| Items | Annual Estimated Quantity | | Unit Price | | Total |
|---------------------------------------|---------------------------|---|------------|---|-------|
| 1. Male short sleeve shirt - Grey | 1000 | X | | = | |
| 2. Female short sleeve blouse -Grey | 100 | X | | = | |
| 3. Male long sleeve shirt - Grey | 800 | X | | = | |
| 4. Female long sleeve blouse - Grey | 100 | X | | = | |
| 5. Male trouser (relaxed fit) - Grey | 1,500 | X | | = | |
| 6. Female slack (relaxed fit) - Grey | 100 | X | | = | |

Lot III - Grand Total = \$

Guaranteed Delivery Days A/R/O = _____

Lot V - Turtlenecks

| Items | Annual Estimated Quantity | | Unit Price | | Total |
|----------------|---------------------------|---|------------|---|-------|
| 1. Turtlenecks | 12,500 | X | | = | |

Lot V - Grand Total = \$

Guaranteed Delivery Days A/R/O = _____

APPENDIX A

STANDARD CLAUSES FOR NEW YORK STATE CONTRACTS

**PLEASE RETAIN THIS DOCUMENT
FOR FUTURE REFERENCE.**

TABLE OF CONTENTS

| | Page |
|---|------|
| 1. Executory Clause | 3 |
| 2. Non-Assignment Clause | 3 |
| 3. Comptroller's Approval | 3 |
| 4. Workers' Compensation Benefits | 3 |
| 5. Non-Discrimination Requirements | 3 |
| 6. Wage and Hours Provisions | 3 |
| 7. Non-Collusive Bidding Certification | 4 |
| 8. International Boycott Prohibition | 4 |
| 9. Set-Off Rights | 4 |
| 10. Records | 4 |
| 11. Identifying Information and Privacy Notification | 4 |
| 12. Equal Employment Opportunities For Minorities and Women | 4-5 |
| 13. Conflicting Terms | 5 |
| 14. Governing Law | 5 |
| 15. Late Payment | 5 |
| 16. No Arbitration | 5 |
| 17. Service of Process | 5 |
| 18. Prohibition on Purchase of Tropical Hardwoods | 5-6 |
| 19. MacBride Fair Employment Principles | 6 |
| 20. Omnibus Procurement Act of 1992 | 6 |
| 21. Reciprocity and Sanctions Provisions | 6 |
| 22. Compliance with New York State Information Security Breach and Notification Act | 6 |
| 23. Compliance with Consultant Disclosure Law | 6 |
| 24. Procurement Lobbying | 7 |
| 25. Certification of Registration to Collect Sales and Compensating Use Tax by Certain State Contractors, Affiliates and Subcontractors | 7 |
| 26. Iran Divestment Act | 7 |

STANDARD CLAUSES FOR NYS CONTRACTS

The parties to the attached contract, license, lease, amendment or other agreement of any kind (hereinafter, "the contract" or "this contract") agree to be bound by the following clauses which are hereby made a part of the contract (the word "Contractor" herein refers to any party other than the State, whether a contractor, licenser, licensee, lessor, lessee or any other party):

1. EXECUTORY CLAUSE. In accordance with Section 41 of the State Finance Law, the State shall have no liability under this contract to the Contractor or to anyone else beyond funds appropriated and available for this contract.

2. NON-ASSIGNMENT CLAUSE. In accordance with Section 138 of the State Finance Law, this contract may not be assigned by the Contractor or its right, title or interest therein assigned, transferred, conveyed, sublet or otherwise disposed of without the State's previous written consent, and attempts to do so are null and void. Notwithstanding the foregoing, such prior written consent of an assignment of a contract let pursuant to Article XI of the State Finance Law may be waived at the discretion of the contracting agency and with the concurrence of the State Comptroller where the original contract was subject to the State Comptroller's approval, where the assignment is due to a reorganization, merger or consolidation of the Contractor's business entity or enterprise. The State retains its right to approve an assignment and to require that any Contractor demonstrate its responsibility to do business with the State. The Contractor may, however, assign its right to receive payments without the State's prior written consent unless this contract concerns Certificates of Participation pursuant to Article 5-A of the State Finance Law.

3. COMPTROLLER'S APPROVAL. In accordance with Section 112 of the State Finance Law (or, if this contract is with the State University or City University of New York, Section 355 or Section 6218 of the Education Law), if this contract exceeds \$50,000 (or the minimum thresholds agreed to by the Office of the State Comptroller for certain S.U.N.Y. and C.U.N.Y. contracts), or if this is an amendment for any amount to a contract which, as so amended, exceeds said statutory amount, or if, by this contract, the State agrees to give something other than money when the value or reasonably estimated value of such consideration exceeds \$10,000, it shall not be valid, effective or binding upon the State until it has been approved by the State Comptroller and filed in his office. Comptroller's approval of contracts let by the Office of General Services is required when such contracts exceed \$85,000 (State Finance Law Section 163.6-a). However, such pre-approval shall not be required for any contract established as a centralized contract through the Office of General Services or for a purchase order or other transaction issued under such centralized contract.

4. WORKERS' COMPENSATION BENEFITS. In accordance with Section 142 of the State Finance Law, this

contract shall be void and of no force and effect unless the Contractor shall provide and maintain coverage during the life of this contract for the benefit of such employees as are required to be covered by the provisions of the Workers' Compensation Law.

5. NON-DISCRIMINATION REQUIREMENTS. To the extent required by Article 15 of the Executive Law (also known as the Human Rights Law) and all other State and Federal statutory and constitutional non-discrimination provisions, the Contractor will not discriminate against any employee or applicant for employment because of race, creed, color, sex (including gender identity or expression), national origin, sexual orientation, military status, age, disability, predisposing genetic characteristics, marital status or domestic violence victim status. Furthermore, in accordance with Section 220-e of the Labor Law, if this is a contract for the construction, alteration or repair of any public building or public work or for the manufacture, sale or distribution of materials, equipment or supplies, and to the extent that this contract shall be performed within the State of New York, Contractor agrees that neither it nor its subcontractors shall, by reason of race, creed, color, disability, sex, or national origin: (a) discriminate in hiring against any New York State citizen who is qualified and available to perform the work; or (b) discriminate against or intimidate any employee hired for the performance of work under this contract. If this is a building service contract as defined in Section 230 of the Labor Law, then, in accordance with Section 239 thereof, Contractor agrees that neither it nor its subcontractors shall by reason of race, creed, color, national origin, age, sex or disability: (a) discriminate in hiring against any New York State citizen who is qualified and available to perform the work; or (b) discriminate against or intimidate any employee hired for the performance of work under this contract. Contractor is subject to fines of \$50.00 per person per day for any violation of Section 220-e or Section 239 as well as possible termination of this contract and forfeiture of all moneys due hereunder for a second or subsequent violation.

6. WAGE AND HOURS PROVISIONS. If this is a public work contract covered by Article 8 of the Labor Law or a building service contract covered by Article 9 thereof, neither Contractor's employees nor the employees of its subcontractors may be required or permitted to work more than the number of hours or days stated in said statutes, except as otherwise provided in the Labor Law and as set forth in prevailing wage and supplement schedules issued by the State Labor Department. Furthermore, Contractor and its subcontractors must pay at least the prevailing wage rate and pay or provide the prevailing supplements, including the premium rates for overtime pay, as determined by the State Labor Department in accordance with the Labor Law. Additionally, effective April 28, 2008, if this is a public work contract covered by Article 8 of the Labor Law, the Contractor understands and agrees that the filing of payrolls in a manner consistent with Subdivision 3-a of Section 220 of the Labor Law shall be a condition precedent to payment by the State of

any State approved sums due and owing for work done upon the project.

7. NON-COLLUSIVE BIDDING CERTIFICATION. In accordance with Section 139-d of the State Finance Law, if this contract was awarded based upon the submission of bids, Contractor affirms, under penalty of perjury, that its bid was arrived at independently and without collusion aimed at restricting competition. Contractor further affirms that, at the time Contractor submitted its bid, an authorized and responsible person executed and delivered to the State a non-collusive bidding certification on Contractor's behalf.

8. INTERNATIONAL BOYCOTT PROHIBITION. In accordance with Section 220-f of the Labor Law and Section 139-h of the State Finance Law, if this contract exceeds \$5,000, the Contractor agrees, as a material condition of the contract, that neither the Contractor nor any substantially owned or affiliated person, firm, partnership or corporation has participated, is participating, or shall participate in an international boycott in violation of the federal Export Administration Act of 1979 (50 USC App. Sections 2401 et seq.) or regulations thereunder. If such Contractor, or any of the aforesaid affiliates of Contractor, is convicted or is otherwise found to have violated said laws or regulations upon the final determination of the United States Commerce Department or any other appropriate agency of the United States subsequent to the contract's execution, such contract, amendment or modification thereto shall be rendered forfeit and void. The Contractor shall so notify the State Comptroller within five (5) business days of such conviction, determination or disposition of appeal (2NYCRR 105.4).

9. SET-OFF RIGHTS. The State shall have all of its common law, equitable and statutory rights of set-off. These rights shall include, but not be limited to, the State's option to withhold for the purposes of set-off any moneys due to the Contractor under this contract up to any amounts due and owing to the State with regard to this contract, any other contract with any State department or agency, including any contract for a term commencing prior to the term of this contract, plus any amounts due and owing to the State for any other reason including, without limitation, tax delinquencies, fee delinquencies or monetary penalties relative thereto. The State shall exercise its set-off rights in accordance with normal State practices including, in cases of set-off pursuant to an audit, the finalization of such audit by the State agency, its representatives, or the State Comptroller.

10. RECORDS. The Contractor shall establish and maintain complete and accurate books, records, documents, accounts and other evidence directly pertinent to performance under this contract (hereinafter, collectively, "the Records"). The Records must be kept for the balance of the calendar year in which they were made and for six (6) additional years thereafter. The State Comptroller, the Attorney General and any other person or entity authorized to conduct an examination, as well as the agency or agencies involved in this

contract, shall have access to the Records during normal business hours at an office of the Contractor within the State of New York or, if no such office is available, at a mutually agreeable and reasonable venue within the State, for the term specified above for the purposes of inspection, auditing and copying. The State shall take reasonable steps to protect from public disclosure any of the Records which are exempt from disclosure under Section 87 of the Public Officers Law (the "Statute") provided that: (i) the Contractor shall timely inform an appropriate State official, in writing, that said records should not be disclosed; and (ii) said records shall be sufficiently identified; and (iii) designation of said records as exempt under the Statute is reasonable. Nothing contained herein shall diminish, or in any way adversely affect, the State's right to discovery in any pending or future litigation.

11. IDENTIFYING INFORMATION AND PRIVACY NOTIFICATION.

(a) Identification Number(s). Every invoice or New York State Claim for Payment submitted to a New York State agency by a payee, for payment for the sale of goods or services or for transactions (e.g., leases, easements, licenses, etc.) related to real or personal property must include the payee's identification number. The number is any or all of the following: (i) the payee's Federal employer identification number, (ii) the payee's Federal social security number, and/or (iii) the payee's Vendor Identification Number assigned by the Statewide Financial System. Failure to include such number or numbers may delay payment. Where the payee does not have such number or numbers, the payee, on its invoice or Claim for Payment, must give the reason or reasons why the payee does not have such number or numbers.

(b) Privacy Notification. (1) The authority to request the above personal information from a seller of goods or services or a lessor of real or personal property, and the authority to maintain such information, is found in Section 5 of the State Tax Law. Disclosure of this information by the seller or lessor to the State is mandatory. The principal purpose for which the information is collected is to enable the State to identify individuals, businesses and others who have been delinquent in filing tax returns or may have understated their tax liabilities and to generally identify persons affected by the taxes administered by the Commissioner of Taxation and Finance. The information will be used for tax administration purposes and for any other purpose authorized by law. (2) The personal information is requested by the purchasing unit of the agency contracting to purchase the goods or services or lease the real or personal property covered by this contract or lease. The information is maintained in the Statewide Financial System by the Vendor Management Unit within the Bureau of State Expenditures, Office of the State Comptroller, 110 State Street, Albany, New York 12236.

12. EQUAL EMPLOYMENT OPPORTUNITIES FOR MINORITIES AND WOMEN.

In accordance with Section 312 of the Executive Law and 5 NYCRR 143, if this contract is: (i) a written agreement or purchase order instrument, providing for a total expenditure in excess of \$25,000.00,

whereby a contracting agency is committed to expend or does expend funds in return for labor, services, supplies, equipment, materials or any combination of the foregoing, to be performed for, or rendered or furnished to the contracting agency; or (ii) a written agreement in excess of \$100,000.00 whereby a contracting agency is committed to expend or does expend funds for the acquisition, construction, demolition, replacement, major repair or renovation of real property and improvements thereon; or (iii) a written agreement in excess of \$100,000.00 whereby the owner of a State assisted housing project is committed to expend or does expend funds for the acquisition, construction, demolition, replacement, major repair or renovation of real property and improvements thereon for such project, then the following shall apply and by signing this agreement the Contractor certifies and affirms that it is Contractor's equal employment opportunity policy that:

(a) The Contractor will not discriminate against employees or applicants for employment because of race, creed, color, national origin, sex, age, disability or marital status, shall make and document its conscientious and active efforts to employ and utilize minority group members and women in its work force on State contracts and will undertake or continue existing programs of affirmative action to ensure that minority group members and women are afforded equal employment opportunities without discrimination. Affirmative action shall mean recruitment, employment, job assignment, promotion, upgradings, demotion, transfer, layoff, or termination and rates of pay or other forms of compensation;

(b) at the request of the contracting agency, the Contractor shall request each employment agency, labor union, or authorized representative of workers with which it has a collective bargaining or other agreement or understanding, to furnish a written statement that such employment agency, labor union or representative will not discriminate on the basis of race, creed, color, national origin, sex, age, disability or marital status and that such union or representative will affirmatively cooperate in the implementation of the Contractor's obligations herein; and

(c) the Contractor shall state, in all solicitations or advertisements for employees, that, in the performance of the State contract, all qualified applicants will be afforded equal employment opportunities without discrimination because of race, creed, color, national origin, sex, age, disability or marital status.

Contractor will include the provisions of "a", "b", and "c" above, in every subcontract over \$25,000.00 for the construction, demolition, replacement, major repair, renovation, planning or design of real property and improvements thereon (the "Work") except where the Work is for the beneficial use of the Contractor. Section 312 does not apply to: (i) work, goods or services unrelated to this contract; or (ii) employment outside New York State. The State shall consider compliance by a contractor or subcontractor with the requirements of any federal law concerning equal employment

opportunity which effectuates the purpose of this section. The contracting agency shall determine whether the imposition of the requirements of the provisions hereof duplicate or conflict with any such federal law and if such duplication or conflict exists, the contracting agency shall waive the applicability of Section 312 to the extent of such duplication or conflict. Contractor will comply with all duly promulgated and lawful rules and regulations of the Department of Economic Development's Division of Minority and Women's Business Development pertaining hereto.

13. CONFLICTING TERMS. In the event of a conflict between the terms of the contract (including any and all attachments thereto and amendments thereof) and the terms of this Appendix A, the terms of this Appendix A shall control.

14. GOVERNING LAW. This contract shall be governed by the laws of the State of New York except where the Federal supremacy clause requires otherwise.

15. LATE PAYMENT. Timeliness of payment and any interest to be paid to Contractor for late payment shall be governed by Article 11-A of the State Finance Law to the extent required by law.

16. NO ARBITRATION. Disputes involving this contract, including the breach or alleged breach thereof, may not be submitted to binding arbitration (except where statutorily authorized), but must, instead, be heard in a court of competent jurisdiction of the State of New York.

17. SERVICE OF PROCESS. In addition to the methods of service allowed by the State Civil Practice Law & Rules ("CPLR"), Contractor hereby consents to service of process upon it by registered or certified mail, return receipt requested. Service hereunder shall be complete upon Contractor's actual receipt of process or upon the State's receipt of the return thereof by the United States Postal Service as refused or undeliverable. Contractor must promptly notify the State, in writing, of each and every change of address to which service of process can be made. Service by the State to the last known address shall be sufficient. Contractor will have thirty (30) calendar days after service hereunder is complete in which to respond.

18. PROHIBITION ON PURCHASE OF TROPICAL HARDWOODS. The Contractor certifies and warrants that all wood products to be used under this contract award will be in accordance with, but not limited to, the specifications and provisions of Section 165 of the State Finance Law, (Use of Tropical Hardwoods) which prohibits purchase and use of tropical hardwoods, unless specifically exempted, by the State or any governmental agency or political subdivision or public benefit corporation. Qualification for an exemption under this law will be the responsibility of the contractor to establish to meet with the approval of the State.

In addition, when any portion of this contract involving the use of woods, whether supply or installation, is to be performed by any subcontractor, the prime Contractor will indicate and certify in the submitted bid proposal that the subcontractor has been informed and is in compliance with specifications and provisions regarding use of tropical hardwoods as detailed in §165 State Finance Law. Any such use must meet with the approval of the State; otherwise, the bid may not be considered responsive. Under bidder certifications, proof of qualification for exemption will be the responsibility of the Contractor to meet with the approval of the State.

19. MACBRIDE FAIR EMPLOYMENT PRINCIPLES.

In accordance with the MacBride Fair Employment Principles (Chapter 807 of the Laws of 1992), the Contractor hereby stipulates that the Contractor either (a) has no business operations in Northern Ireland, or (b) shall take lawful steps in good faith to conduct any business operations in Northern Ireland in accordance with the MacBride Fair Employment Principles (as described in Section 165 of the New York State Finance Law), and shall permit independent monitoring of compliance with such principles.

20. OMNIBUS PROCUREMENT ACT OF 1992. It is the policy of New York State to maximize opportunities for the participation of New York State business enterprises, including minority and women-owned business enterprises as bidders, subcontractors and suppliers on its procurement contracts.

Information on the availability of New York State subcontractors and suppliers is available from:

NYS Department of Economic Development
Division for Small Business
Albany, New York 12245
Telephone: 518-292-5100
Fax: 518-292-5884
email: opa@esd.ny.gov

A directory of certified minority and women-owned business enterprises is available from:

NYS Department of Economic Development
Division of Minority and Women's Business Development
633 Third Avenue
New York, NY 10017
212-803-2414
email: mwbecertification@esd.ny.gov
<https://ny.newnycontracts.com/FrontEnd/VendorSearchPublic.asp>

The Omnibus Procurement Act of 1992 requires that by signing this bid proposal or contract, as applicable, Contractors certify that whenever the total bid amount is greater than \$1 million:

(a) The Contractor has made reasonable efforts to encourage the participation of New York State Business Enterprises as suppliers and subcontractors, including certified minority and women-owned business enterprises, on this project, and has retained the documentation of these efforts to be provided upon request to the State;

(b) The Contractor has complied with the Federal Equal Opportunity Act of 1972 (P.L. 92-261), as amended;

(c) The Contractor agrees to make reasonable efforts to provide notification to New York State residents of employment opportunities on this project through listing any such positions with the Job Service Division of the New York State Department of Labor, or providing such notification in such manner as is consistent with existing collective bargaining contracts or agreements. The Contractor agrees to document these efforts and to provide said documentation to the State upon request; and

(d) The Contractor acknowledges notice that the State may seek to obtain offset credits from foreign countries as a result of this contract and agrees to cooperate with the State in these efforts.

21. RECIPROCITY AND SANCTIONS PROVISIONS.

Bidders are hereby notified that if their principal place of business is located in a country, nation, province, state or political subdivision that penalizes New York State vendors, and if the goods or services they offer will be substantially produced or performed outside New York State, the Omnibus Procurement Act 1994 and 2000 amendments (Chapter 684 and Chapter 383, respectively) require that they be denied contracts which they would otherwise obtain. NOTE: As of May 15, 2002, the list of discriminatory jurisdictions subject to this provision includes the states of South Carolina, Alaska, West Virginia, Wyoming, Louisiana and Hawaii. Contact NYS Department of Economic Development for a current list of jurisdictions subject to this provision.

22. COMPLIANCE WITH NEW YORK STATE INFORMATION SECURITY BREACH AND NOTIFICATION ACT. Contractor shall comply with the provisions of the New York State Information Security Breach and Notification Act (General Business Law Section 899-aa; State Technology Law Section 208).

23. COMPLIANCE WITH CONSULTANT DISCLOSURE LAW. If this is a contract for consulting services, defined for purposes of this requirement to include analysis, evaluation, research, training, data processing, computer programming, engineering, environmental, health, and mental health services, accounting, auditing, paralegal, legal or similar services, then, in accordance with Section 163 (4-g) of the State Finance Law (as amended by Chapter 10 of the Laws of 2006), the Contractor shall timely, accurately and properly comply with the requirement to submit an annual employment report for the contract to the agency that awarded

the contract, the Department of Civil Service and the State Comptroller.

24. PROCUREMENT LOBBYING. To the extent this agreement is a "procurement contract" as defined by State Finance Law Sections 139-j and 139-k, by signing this agreement the contractor certifies and affirms that all disclosures made in accordance with State Finance Law Sections 139-j and 139-k are complete, true and accurate. In the event such certification is found to be intentionally false or intentionally incomplete, the State may terminate the agreement by providing written notification to the Contractor in accordance with the terms of the agreement.

25. CERTIFICATION OF REGISTRATION TO COLLECT SALES AND COMPENSATING USE TAX BY CERTAIN STATE CONTRACTORS, AFFILIATES AND SUBCONTRACTORS.

To the extent this agreement is a contract as defined by Tax Law Section 5-a, if the contractor fails to make the certification required by Tax Law Section 5-a or if during the term of the contract, the Department of Taxation and Finance or the covered agency, as defined by Tax Law 5-a, discovers that the certification, made under penalty of perjury, is false, then such failure to file or false certification shall be a material breach of this contract and this contract may be terminated, by providing written notification to the Contractor in accordance with the terms of the agreement, if the covered agency determines that such action is in the best interest of the State.

26. IRAN DIVESTMENT ACT. By entering into this Agreement, Contractor certifies in accordance with State Finance Law §165-a that it is not on the "Entities Determined to be Non-Responsive Bidders/Offerers pursuant to the New York State Iran Divestment Act of 2012" ("Prohibited Entities List") posted at:
<http://www.ogs.ny.gov/about/regs/docs/ListofEntities.pdf>

Contractor further certifies that it will not utilize on this Contract any subcontractor that is identified on the Prohibited Entities List. Contractor agrees that should it seek to renew or extend this Contract, it must provide the same certification at the time the Contract is renewed or extended. Contractor also agrees that any proposed Assignee of this Contract will be required to certify that it is not on the Prohibited Entities List before the contract assignment will be approved by the State.

During the term of the Contract, should the state agency receive information that a person (as defined in State Finance Law §165-a) is in violation of the above-referenced certifications, the state agency will review such information and offer the person an opportunity to respond. If the person fails to demonstrate that it has ceased its engagement in the investment activity which is in violation of the Act within 90 days after the determination of such violation, then the state agency shall take such action as may be appropriate and provided for by law, rule, or contract, including, but not

limited to, imposing sanctions, seeking compliance, recovering damages, or declaring the Contractor in default.

The state agency reserves the right to reject any bid, request for assignment, renewal or extension for an entity that appears on the Prohibited Entities List prior to the award, assignment, renewal or extension of a contract, and to pursue a responsibility review with respect to any entity that is awarded a contract and appears on the Prohibited Entities list after contract award.

APPENDIX B
GENERAL SPECIFICATIONS

PLEASE RETAIN THIS DOCUMENT FOR FUTURE REFERENCE

TABLE OF CONTENTS

| <u>GENERAL</u> | <u>PAGE</u> | <u>TERMS & CONDITIONS</u> | <u>PAGE</u> |
|---|-------------|--|-------------|
| 1. Applicability | 1 | 42. Emergency Contracts | 9 |
| 2. Governing Law | 1 | 43. Purchase Orders | 9 |
| 3. Ethics Compliance | 1 | 44. Product Delivery | 10 |
| 4. Conflict of Terms | 1 | 45. Weekend and Holiday Deliveries | 10 |
| 5. Definitions | 1-3 | 46. Shipping/Receipt of Product | 10 |
| | | 47. Title and Risk of Loss | 10 |
| | | 48. Re-Weighing Product | 10 |
| | | 49. Product Substitution | 10 |
| | | 50. Rejected Product | 10 |
| | | 51. Installation | 10 |
| | | 52. Repaired or Replaced Product/ Components | 11 |
| | | 53. On-Site Storage | 11 |
| | | 54. Employees/Subcontractors/Agents | 11 |
| | | 55. Assignment | 11 |
| | | 56. Subcontractors and Suppliers | 11 |
| | | 57. Performance/Bid Bond | 11 |
| | | 58. Suspension of Work | 11 |
| | | 59. Termination | 11 |
| | | 60. Savings/Force Majeure | 12 |
| | | 61. Contract Billings | 12 |
| | | 62. Default - Authorized User | 12 |
| | | 63. Interest on Late Payments | 12 |
| | | 64. Remedies for Breach | 13 |
| | | 65. Assignment of Claim | 13 |
| | | 66. Toxic Substances | 13 |
| | | 67. Independent Contractor | 13 |
| | | 68. Security | 13 |
| | | 69. Cooperation with Third Parties | 13 |
| | | 70. Contract Term - Renewal | 13 |
| | | 71. Additional Warranties | 13 |
| | | 72. Legal Compliance | 15 |
| | | 73. Indemnification | 15 |
| | | 74. Indemnification Relating to Third Party Rights | 15 |
| | | 75. Limitation of Liability | 15 |
| | | 76. Insurance | 15 |
| | | <u>THE FOLLOWING CLAUSES PERTAIN TO TECHNOLOGY & NEGOTIATED CONTRACTS</u> | |
| | | 77. Software License Grant | 15 |
| | | 78. Product Acceptance | 17 |
| | | 79. Audit of Licensed Product Usage | 17 |
| | | 80. Ownership/Title to Project Deliverables | 17 |
| | | 81. Proof of License | 18 |
| | | 82. Product Version | 18 |
| | | 83. Changes to Product or Service Offerings | 18 |
| | | 84. No Hardstop/Passive License Monitoring | 19 |
| | | 85. Source Code Escrow for Licensed Product | 19 |
| <u>BID SUBMISSION</u> | | | |
| 6. International Bidding | 3 | | |
| 7. Bid Opening | 3 | | |
| 8. Bid Submission | 3 | | |
| 9. Facsimile Submissions | 3 | | |
| 10. Authentication of Facsimile Bids | 4 | | |
| 11. Late Bids | 4 | | |
| 12. Bid Contents | 4 | | |
| 13. Extraneous Terms | 4 | | |
| 14. Confidential/Trade Secret Materials | 4 | | |
| 15. Release of Bid Evaluation Materials | 4 | | |
| 16. Freedom of Information Law | 5 | | |
| 17. Prevailing Wage Rates - Public Works and Building Services Contracts | 5 | | |
| 18. Taxes | 6 | | |
| 19. Expenses Prior to Contract Execution | 6 | | |
| 20. Advertising Results | 6 | | |
| 21. Product References | 6 | | |
| 22. Remanufactured, Recycled, Recyclable Or Recovered Materials | 6 | | |
| 23. Products Manufactured in Public Institutions | 6 | | |
| 24. Pricing | 6 | | |
| 25. Drawings | 7 | | |
| 26. Site Inspection | 7 | | |
| 27. Procurement Card | 7 | | |
| 28. Samples | 7 | | |
| <u>BID EVALUATION</u> | | | |
| 29. Bid Evaluation | 8 | | |
| 30. Conditional Bid | 8 | | |
| 31. Clarification/Revisions | 8 | | |
| 32. Prompt Payment Discounts | 8 | | |
| 33. Equivalent or Identical Bids | 8 | | |
| 34. Performance and Responsibility Qualifications | 8 | | |
| 35. Disqualification for Past Performance | 8 | | |
| 36. Quantity Changes Prior To Award | 8 | | |
| 37. Timeframe for Offers | 8 | | |
| <u>TERMS & CONDITIONS</u> | | | |
| 38. Contract Creation/Execution | 8 | | |
| 39. Modification of Contract Terms | 9 | | |
| 40. Scope Changes | 9 | | |
| 41. Estimated/Specific Quantity Contracts | 9 | | |

GENERAL

1. **APPLICABILITY** The terms and conditions set forth in this Appendix B are expressly incorporated in and applicable to the resulting procurement contracts let by the Department of Corrections and Community Supervision, or let by any other Authorized User where incorporated by reference in its Bid Documents. Captions are intended as descriptive and are not intended to limit or otherwise restrict the terms and conditions set forth herein.

2. **GOVERNING LAW** This procurement, the resulting contract and any purchase orders issued hereunder shall be governed by the laws of the State of New York except where the Federal supremacy clause requires otherwise, and actions or proceedings arising from the contract shall be heard in a court of competent jurisdiction in the State of New York.

3. **ETHICS COMPLIANCE** All Bidders/Contractors and their employees must comply with the requirements of Sections 73 and 74 of the Public Officers Law, other State codes, rules, regulations and executive orders establishing ethical standards for the conduct of business with New York State. In signing the Bid, Bidder certifies full compliance with those provisions for any present or future dealings, transactions, sales, contracts, services, offers, relationships, etc., involving New York State and/or its employees. Failure to comply with those provisions may result in disqualification from the Bidding process, termination of contract, and/or other civil or criminal proceedings as required by law.

4. **CONFLICT OF TERMS** Unless otherwise set forth in the procurement or contract documents, conflicts among documents shall be resolved in the following order of precedence:

- a. **Appendix A** (Standard Clauses for NYS Contracts)
- b. **Mini-Bid Project Definition** if applicable and in accordance with the terms and conditions of the Back-Drop Contract.
- c. **Contract and other writing(s)** setting forth the final agreements, clarifications and terms between the Bid Documents and Contractor's Bid. In the latter circumstance, clarifications must specifically note in writing what was offered by the Contractor and what was accepted by the State. If not, such clarifications shall be considered last in the order of precedence under this paragraph.
- d. **Bid Documents** (Other than Appendix A).
 - i. Bid Specifications prepared by the Authorized User.
 - ii. Appendix B (General Specifications).
 - iii. Incorporated Contract Appendices, if any, following the order of precedence as stated for Contract above.
- e. **Contractor's Bid or Mini-Bid Proposal.**
- f. **Unincorporated Appendices** (if any).

5. **DEFINITIONS** Terms used in this Appendix B shall have the following meanings:

AFFILIATE Any individual or other legal entity, (including but not limited to sole proprietor, partnership, limited liability company, firm or corporation) that effectively controls another company in which (a) the Bidder owns more than 50% of the ownership; or (b) any individual or other legal entity which owns more than 50% of the ownership of the Bidder. In addition, if a Bidder owns less than 50% of the ownership of another legal entity, but directs or has the right to direct such entity's daily operations, that entity will be an Affiliate.

AGENCY OR AGENCIES The State of New York, acting by or through one or more departments, boards, commissions, offices or institutions of the State of New York.

ATTORNEY GENERAL Attorney General of the State of New York.

AUTHORIZED USER(S) Agencies, or any other entity authorized by the laws of the State of New York to participate in NYS centralized contracts (including but not limited to political subdivisions, public authorities, public benefit corporations and certain other entities set forth in law), or the State of New York acting on behalf of one or more such Agencies or other entities, provided that each such Agency or other entity shall be held solely responsible for liabilities or payments due as a result of its participation.

BID OR BID PROPOSAL An offer or proposal submitted by a Bidder to furnish a described product or a solution, perform services or means of achieving a practical end, at a stated price for the stated Contract term. As required by the Bid Documents, the Bid or proposal may be subject to modification through the solicitation by the Agency of best and final offers during the evaluation process prior to recommendation for award of the Contract.

BIDDER/OFFERER Any individual or other legal entity (including but not limited to sole proprietor, partnership, limited liability company, firm or corporation) which submits a Bid in response to a Bid Solicitation. The term Bidder shall also include the term "offeror." In the case of negotiated Contracts, "Bidder" shall refer to the "Contractor."

BID DOCUMENTS Writings by the State setting forth the scope, terms, conditions and technical specifications for a procurement of Product. Such writings typically include, but are not limited to: Invitation for Bids (IFB), Request for Quotation (RFQ), Request for Proposals (RFP), addenda or amendments thereto, and terms and conditions which are incorporated by reference, including but not limited to, Appendix A (Standard Clauses for NYS Contracts), Appendix B, (General Specifications). Where these General Specifications are incorporated in negotiated Contracts that have not been competitively Bid, the term "Bid Documents" shall be deemed to refer to the terms and conditions set forth in the negotiated Contract and associated documentation.

BID SPECIFICATION A written description drafted by the Authorized User setting forth the specific terms of the intended procurement, which may include: physical or functional characteristics, the nature of a commodity or construction item, any description of the work to be performed, Products to be provided, the necessary qualifications of the Bidder, the capacity and capability of the Bidder to successfully carry out the proposed Contract, or the process for achieving specific results and/or anticipated outcomes or any other requirement necessary to perform work. Where these General Specifications are incorporated in negotiated Contracts that have not been competitively Bid, the term "Bid Specifications" shall be deemed to refer to the terms and conditions set forth in the negotiated Contract and associated documentation.

COMMISSIONER Commissioner of DOCCS, or in the case of Bid Specifications issued by an Authorized User, the head of such Authorized User or their authorized representative.

COMPTROLLER Comptroller of the State of New York.

CONTRACT The writing(s) which contain the agreement of the Commissioner and the Bidder/Contractor setting forth the total legal obligation between the parties as determined by applicable rules of law, and which most typically include the following classifications of public procurements:

a. Agency Specific Contracts Contracts where the specifications for a Product or a particular scope of work are described and defined to meet the needs of one or more Authorized User(s).

b. Piggyback Contract A Contract let by any department, agency or instrumentality of the United States government, or any department, agency, office, political subdivision or instrumentality of any state or state(s) which is adopted and extended for use by the DOCCS Commissioner in accordance with the requirements of the State Finance Law.

c. Contract Letter A letter to the successful Bidder(s) indicating acceptance of its Bid in response to a solicitation. Unless otherwise specified, the issuance of a Letter of Acceptance forms a Contract but is not an order for Product, and Contractor should not take any action with respect to actual Contract deliveries except on the basis of Purchase Orders sent from Authorized User(s).

CONTRACT AWARD NOTIFICATION An announcement to Authorized Users that a Contract has been established.

CONTRACTOR Any successful Bidder(s) to whom a Contract has been awarded by the Commissioner.

DOCUMENTATION The complete set of manuals (e.g., user, installation, instruction or diagnostic manuals) in either hard or electronic copy, which are necessary to enable an Authorized User to properly test, install, operate and enjoy full use of the Product.

EMERGENCY An urgent and unexpected requirement where health and public safety or the conservation of public resources is at risk.

ENTERPRISE The total business operations in the United States of Authorized User (s) without regard to geographic location where such operations are performed or the entity actually performing such operations on behalf of Authorized User.

ENTERPRISE LICENSE A license grant of unlimited rights to deploy, access, use and execute Product anywhere within the Enterprise up to the maximum capacity stated on the Purchase Order or in the Contract.

ERROR CORRECTIONS Machine executable software code furnished by Contractor which corrects the Product so as to conform to the applicable warranties, performance standards and/or obligations of the Contractor.

INVITATION FOR BIDS (IFB) A type of Bid Document which is most typically used where requirements can be stated and award will be made based on lowest price to the responsive and responsible Bidder(s).

LICENSED SOFTWARE Software transferred upon the terms and conditions set forth in the Contract. "Licensed Software" includes error corrections, upgrades, enhancements or new releases, and any deliverables due under a maintenance or service contract (e.g., patches, fixes, PTFs, programs, code or data conversion, or custom programming).

LICENSEE One or more Authorized Users who acquire Product from Contractor by issuing a Purchase Order in accordance with the terms and conditions of the Contract; provided that, for purposes of compliance with an individual license, the term "Licensee" shall be deemed to refer separately to the individual Authorized User(s) who took receipt of and who is executing the Product, and who shall be solely responsible for performance and liabilities incurred. In the case

of acquisitions by State Agencies, the Licensee shall be the State of New York.

LICENSE EFFECTIVE DATE The date Product is delivered to an Authorized User. Where a License involves Licensee's right to copy a previously licensed and delivered Master Copy of a Program, the license effective date for additional copies shall be deemed to be the date on which the Purchase Order is executed.

LICENSOR A Contractor who transfers rights in proprietary Product to Authorized Users in accordance with the rights and obligations specified in the Contract.

MINI-BID PROJECT DEFINITION A Bid Document containing project specific Bid Specifications developed by or for an Authorized User which solicits Bids from Contractors previously qualified under a Back-Drop Contract.

MULTIPLE AWARD A determination and award of a Contract in the discretion of the Commissioner to more than one responsive and responsible Bidder who meets the requirements of a specification, where the multiple award is made on the grounds set forth in the Bid Document in order to satisfy multiple factors and needs of Authorized Users (e.g., complexity of items, various manufacturers, differences in performance required to accomplish or produce required end results, production and distribution facilities, price, compliance with delivery requirements, geographic location or other pertinent factors).

NEW PRODUCT RELEASES (Product Revisions) Any commercially released revisions to the licensed version of a Product as may be generally offered and available to Authorized Users. New releases involve a substantial revision of functionality from a previously released version of the Product.

PROCUREMENT RECORD Documentation by the Authorized User of the decisions made and approach taken during the procurement process and during the contract term.

PRODUCT A deliverable under any Bid or Contract which may include commodities, services and/or technology. The term "Product" includes Licensed Software.

PROPRIETARY Protected by secrecy, patent, copyright or trademark against commercial competition.

PURCHASE ORDER The Authorized User's fiscal form or format that is used when making a purchase (e.g., formal written Purchase Order, Procurement Card, electronic Purchase Order, or other authorized instrument).

REQUEST FOR PROPOSALS (RFP) A type of Bid Document that is used for procurements where factors in addition to cost are considered and weighted in awarding the contract and where the method of award is "best value," as defined by the State Finance Law.

REQUEST FOR QUOTATION (RFQ) A type of Bid Document that can be used when a formal Bid opening is not required (e.g., discretionary, sole source, single source or emergency purchases).

RESPONSIBLE BIDDER A Bidder that is determined to have financial and organizational capacity, legal authority, satisfactory previous performance, skill, judgment and integrity, and that is found to be competent, reliable and experienced, as determined by the Commissioner. For purposes of being deemed responsible, a Bidder must also be determined to be in compliance with Sections 139-j and 139-k of the State Finance Law relative to restrictions on contacts

during the procurement process and disclosure of contacts and prior findings of non-responsibility under these statutes.

RESPONSIVE BIDDER A Bidder meeting the specifications or requirements prescribed in the Bid Document or solicitation, as determined by the Commissioner.

SINGLE SOURCE A procurement where two or more Bidders can supply the required Product, and the Commissioner may award the contract to one Bidder over the other.

SITE The location (street address) where Product will be executed or services delivered.

SOLE SOURCE A procurement where only one Bidder is capable of supplying the required Product.

SOURCE CODE The programming statements or instructions written and expressed in any language understandable by a human being skilled in the art which are translated by a language compiler to produce executable machine Object Code.

STATE State of New York.

SUBCONTRACTOR Any individual or other legal entity, (including but not limited to sole proprietor, partnership, limited liability company, firm or corporation) who has entered into a contract, express or implied, for the performance of a portion of a Contract with a Contractor.

TERMS OF LICENSE The terms and conditions set forth in the Contract that are in effect and applicable to a Purchase Order at the time of order placement.

VIRUS Any computer code, whether or not written or conceived by Contractor, that disrupts, disables, harms, or otherwise impedes in any manner the operation of the Product, or any other associated software, firmware, hardware, or computer system (such as local area or wide-area networks), including aesthetic disruptions or distortions, but does not include security keys or other such devices installed by Product manufacturer.

BID SUBMISSION

6. INTERNATIONAL BIDDING All offers (tenders), and all information and Product required by the solicitation or provided as explanation thereof, shall be submitted in English. All prices shall be expressed, and all payments shall be made, in United States Dollars (\$US). Any offers (tenders) submitted which do not meet the above criteria will be rejected.

7. BID OPENING Bids may, as applicable, be opened publicly. The Commissioner reserves the right at any time to postpone or cancel a scheduled Bid opening.

8. BID SUBMISSION All Bids are to be packaged, sealed and submitted to the location stated in the Bid Specifications. Bidders are solely responsible for timely delivery of their Bids to the location set forth in the Bid Specifications prior to the stated Bid opening date/time.

A Bid return envelope, if provided with the Bid Specifications, should be used with the Bid sealed inside. If the Bid response does not fit into the envelope, the Bid envelope should be attached to the outside of the sealed box or package with the Bid inside. If using a commercial

delivery company that requires use of their shipping package or envelope, Bidder's sealed Bid, labeled as detailed below, should be placed within the shipper's sealed envelope to ensure that the Bid is not prematurely opened.

All Bids must have a label on the outside of the package or shipping container outlining the following information:

“**BID ENCLOSED** (bold print, all capitals)

- Group Number
- IFB or RFP Number
- Bid Submission date and time”

In the event that a Bidder fails to provide such information on the return Bid envelope or shipping material, the receiving entity reserves the right to open the shipping package or envelope to determine the proper Bid number or Product group, and the date and time of Bid opening. Bidder shall have no claim against the receiving entity arising from such opening and such opening shall not affect the validity of the Bid or the procurement.

Notwithstanding the receiving agency's right to open a Bid to ascertain the foregoing information, Bidder assumes all risk of late delivery associated with the Bid not being identified, packaged or labeled in accordance with the foregoing requirements.

All Bids must be signed by a person authorized to commit the Bidder to the terms of the Bid Documents and the content of the Bid (offer).

9. FACSIMILE SUBMISSIONS Unless specifically prohibited by the terms of the Bid Specifications, facsimile Bids may be SUBMITTED AT THE SOLE OPTION AND RISK OF THE BIDDER. Only the FAX number(s) indicated in the Bid Specifications may be used. Access to the facsimile machine(s) is on a “first come, first serve” basis, and the Commissioner bears no liability or responsibility and makes no guarantee whatsoever with respect to the Bidder's access to such equipment at any specific time. Bidders are solely responsible for submission and receipt of the entire facsimile Bid by the Authorized User prior to Bid opening and must include on the first page of the transmission the total number of pages transmitted in the facsimile, including the cover page. Incomplete, ambiguous or unreadable transmissions in whole or in part may be rejected at the sole discretion of the Commissioner. Facsimile Bids are fully governed by all conditions outlined in the Bid Documents and must be submitted on forms or in the format required in the Bid Specifications, including the executed signature page and acknowledgment.

10. AUTHENTICATION OF FACSIMILE BIDS The act of submitting a Bid by facsimile transmission, including an executed signature page or as otherwise specified in the Bid Documents, shall be deemed a confirming act by Bidder which authenticates the signing of the Bid.

11. LATE BIDS For purposes of Bid openings held and conducted by DOCCS, a Bid must be received in such place as may be designated in the Bid Documents.

Any Bid received at the specified location after the time specified will be considered a late Bid. A late Bid shall not be considered for award unless: (i) no timely Bids meeting the requirements of the Bid Documents are received or, (ii) in the case of a multiple award, an insufficient number of timely Bids were received to satisfy the multiple award; and acceptance of the late Bid is in the best interests of the Authorized Users. Delays in United States mail deliveries or

any other means of transmittal, including couriers or agents of the Authorized User shall not excuse late Bid submissions. Similar types of delays, including but not limited to, bad weather, or security procedures for parking and building admittance shall not excuse late Bid submissions. Determinations relative to Bid timeliness shall be at the sole discretion of the Commissioner.

12. BID CONTENTS Bids must be complete and legible. All Bids must be signed. All information required by the Bid Specifications must be supplied by the Bidder on the forms or in the format specified. No alteration, erasure or addition is to be made to the Bid Documents. Changes may be ignored by the Commissioner or may be grounds for rejection of the Bid. Changes, corrections and/or use of white-out in the Bid or Bidder's response portion of the Bid Document must be initialed by an authorized representative of the Bidder. Bidders are cautioned to verify their Bids before submission, as amendments to Bids or requests for withdrawal of Bids received by the Commissioner after the time specified for the Bid opening, may not be considered.

13. EXTRANEOUS TERMS Bids must conform to the terms set forth in the Bid Documents, as extraneous terms or material deviations (including additional, inconsistent, conflicting or alternative terms) may render the Bid non-responsive and may result in rejection of the Bid.

Extraneous term(s) submitted on standard, pre-printed forms (including but not limited to: product literature, order forms, license agreements, contracts or other documents) that are attached or referenced with submissions shall not be considered part of the Bid or resulting Contract, but shall be deemed included for informational or promotional purposes only.

Only those extraneous terms that meet all the following requirements may be considered as having been submitted as part of the Bid:

- a. Each proposed extraneous term (addition, deletion, counter-offer, deviation, or modification) must be specifically enumerated in a writing which is not part of a pre-printed form; and
- b. The writing must identify the particular specification requirement (if any) that Bidder rejects or proposes to modify by inclusion of the extraneous term; and
- c. The Bidder shall enumerate the proposed addition, counter offer, modification or deviation from the Bid Document, and the reasons therefore.

No extraneous term(s), whether or not deemed "material," shall be incorporated into a Contract or Purchase Order unless submitted in accordance with the above and the Commissioner or Authorized User expressly accepts each such term(s) in writing. Acceptance and/or processing of the Bid shall not constitute such written acceptance of Extraneous Term(s).

14. CONFIDENTIAL/TRADE SECRET MATERIALS

a. **Contractor** Confidential, trade secret or proprietary materials as defined by the laws of the State of New York must be clearly marked and identified as such upon submission by the Bidder. Marking the Bid as "confidential" or "proprietary" on its face or in the document header or footer shall not be considered by the Commissioner or Authorized User to be sufficient without specific justification as to why disclosure of particular information in the Bid would cause substantial injury to the competitive position of the Bidder. Bidders/Contractors intending to seek an exemption from disclosure of these materials under the Freedom of Information Law must request the exemption in writing, setting forth the reasons for the claimed

exemption. Acceptance of the claimed materials does not constitute a determination on the exemption request, which determination will be made in accordance with statutory procedures. Properly identified information that has been designated confidential, trade secret, or proprietary by the Bidder will not be disclosed except as may be required by the Freedom of Information Law or other applicable State and federal laws.

b. **Commissioner or Authorized User** Contractor further warrants, covenants and represents that any confidential information obtained by Contractor, its agents, Subcontractors, officers, distributors, resellers or employees in the course of performing its obligations, including without limitation, security procedures, business operations information, or commercial proprietary information in the possession of the State or any Authorized User hereunder or received from another third party, will not be divulged to any third parties. Contractor shall not be required to keep confidential any such material that is publicly available through no fault of Contractor, independently developed by Contractor without reliance on confidential information of the Authorized User, or otherwise obtained under the Freedom of Information Act or other applicable New York State laws and regulations. This warranty shall survive termination of this Contract. Contractor further agrees to take appropriate steps as to its agents, Subcontractors, officers, distributors, resellers or employees regarding the obligations arising under this clause to insure such confidentiality.

15. RELEASE OF BID EVALUATION MATERIALS Requests concerning the evaluation of Bids may be submitted under the Freedom of Information Law. Information, other than statistical or factual tabulations or data such as the Bid Tabulation, shall only be released as required by law after Contract award. Bid Tabulations are not maintained for all procurements. Names of Bidders may be disclosed after Bid opening upon request. Written requests should be directed to the Commissioner.

16. FREEDOM OF INFORMATION LAW During the evaluation process, the content of each Bid will be held in confidence and details of any Bid will not be revealed (except as may be required under the Freedom of Information Law or other State law). The Freedom of Information Law provides for an exemption from disclosure for trade secrets or information the disclosure of which would cause injury to the competitive position of commercial enterprises. This exception would be effective both during and after the evaluation process. If the Bid contains any such trade secret or other confidential or proprietary information, it must be accompanied in the Bid with a written request to the Commissioner to not disclose such information. Such request must state with particularity the reasons why the information should not be available for disclosure and must be provided at the time of submission of the Bid. Notations in the header, footer or watermark of the Bid Document will not be considered sufficient to constitute a request for non-disclosure of trade secret or other confidential or proprietary information. Where a Freedom of Information request is made for trademark or other confidential or proprietary information, the Commissioner reserves the right to determine upon written notice to the Bidder whether such information qualifies for the exemption for disclosure under the law. Notwithstanding the above, where a Bid tabulation is prepared and Bids publicly opened, such Bid tabulation shall be available upon request.

17. PREVAILING WAGE RATES - PUBLIC WORKS AND BUILDING SERVICES CONTRACTS If any portion of work being Bid is subject to the prevailing wage rate provisions of the Labor Law, the following shall apply:

- a. **"Public Works" and "Building Services" - Definitions**

i. Public Works Labor Law Article 8 applies to contracts for public improvement in which laborers, workers or mechanics are employed on a “public works” project (distinguished from public “procurement” or “service” contracts). The State, a public benefit corporation, a municipal corporation (including a school district), or a commission appointed by law must be a party to the Contract. The wage and hours provision applies to any work performed by Contractor or Subcontractors.

ii. Building Services Labor Law Article 9 applies to Contracts for building service work over \$1,500 with a public agency, that: (i) involve the care or maintenance of an existing building, or (ii) involve the transportation of office furniture or equipment to or from such building, or (iii) involve the transportation and delivery of fossil fuel to such building, and (iv) the principal purpose of which is to furnish services through use of building service employees.

b. Prevailing Wage Rate Applicable to Bid Submissions A copy of the applicable prevailing wage rates to be paid or provided are annexed to the Bid Documents. Bidders must submit Bids which are based upon the prevailing hourly wages, and supplements in cash or equivalent benefits (i.e., fringe benefits and any cash or non-cash compensation which are not wages, as defined by law) that equal or exceed the applicable prevailing wage rate(s) for the location where the work is to be performed. Bidders may not submit Bids based upon hourly wage rates and supplements below the applicable prevailing wage rates as established by the New York State Department of Labor. Bids that fail to comply with this requirement will be disqualified.

c. Wage Rate Payments / Changes During Contract Term The wages to be paid under any resulting Contract shall not be less than the prevailing rate of wages and supplements as set forth by law. It is required that the Contractor keep informed of all changes in the Prevailing Wage Rates during the Contract term that apply to the classes of individuals supplied by the Contractor on any projects resulting from this Contract, subject to the provisions of the Labor Law. Contractor is solely liable for and must pay such required prevailing wage adjustments during the Contract term as required by law.

d. Public Posting & Certified Payroll Records In compliance with Article 8, Section 220 of the New York State Labor Law:

i. Posting The Contractor must publicly post on the work site, in a prominent and accessible place, a legible schedule of the prevailing wage rates and supplements.

ii. Payroll Records Contractors and Subcontractors must keep original payrolls or transcripts subscribed and affirmed as true under the penalties of perjury as required by law. For public works contracts over \$25,000 where the Contractor maintains no regular place of business in New York State, such records must be kept at the work site. For building services contracts, such records must be kept at the work site while work is being performed.

iii. Submission of Certified Payroll Transcripts for Public Works Contracts Only Contractors and Subcontractors on public works projects must submit monthly payroll transcripts to the Authorized User that has prepared or directs the preparation of the plans and specifications for a public works project, as set forth in the Bid Specifications. For “agency specific” Bids, the payroll records should be submitted to the entity issuing the purchase order. Upon mutual agreement of the Contractor and the Authorized User, the form of submission may be submitted in a specified disk format acceptable to the Department of Labor provided: 1) the Contractor/Subcontractor retains the original records; and, (2) an original signed letter by a duly

authorized individual of the Contractor or Subcontractor attesting to the truth and accuracy of the records accompanies the disk. This provision does not apply to Article 9 of the Labor Law building services contracts.

iv. Records Retention Contractors and Subcontractors must preserve such certified transcripts for a period of three years from the date of completion of work on the awarded contract.

Day’s Labor Eight hours shall constitute a legal day’s work for all classes of employees in this state except those engaged in farm and domestic service unless otherwise provided by law.

No laborers, workmen or mechanics in the employ of the Contractor, Subcontractor or other person doing or contracting to do all or part of the work contemplated by the Contract shall be permitted or required to work more than eight hours in any one calendar day or more than five calendar days in any one week except in cases of extraordinary emergency including fire, flood or danger to life or property. “Extraordinary emergency” shall be deemed to include situations in which sufficient laborers, workers and mechanics cannot be employed to carry on public work expeditiously as a result of such restrictions upon the number of hours and days of labor and the immediate commencement or prosecution or completion without undue delay of the public work is necessary in the judgment of the NYS Commissioner of Labor for the preservation of the Contract site or for the protection of the life and limb of the persons using the Contract site.

18. TAXES

a. Unless otherwise specified in the Bid Specifications or Contract, the quoted Bid price includes all taxes applicable to the transaction.

b. Purchases made by the State of New York and certain non-State Authorized Users are exempt from New York State and local sales taxes and, with certain exceptions, federal excise taxes. To satisfy the requirements of the New York State Sales tax exemption, either the Purchase Order issued by a State Agency or the invoice forwarded to authorize payment for such purchases will be sufficient evidence that the sale by the Contractor was made to the State, an exempt organization under Section 1116 (a) (1) of the Tax Law. Non-State Authorized Users must offer their own proof of exemption upon request. No person, firm or corporation is, however, exempt from paying the State Truck Mileage and Unemployment Insurance or Federal Social Security taxes, which remain the sole responsibility of the Bidder/Contractor.

c. Pursuant to Revised Tax Law 5-a, Contractor will be required to furnish sales tax certification on its behalf and for its affiliates, and subcontractors for Contracts with a value greater than \$100,000 in accordance with provisions of the law.

d. Purchases by Authorized Users other than the State of New York may be subject to certain taxes which were not included in the Bid price, and in those instances the tax should be computed based on the Contract price and added to the invoice submitted to such entity for payment.

19. EXPENSES PRIOR TO CONTRACT EXECUTION The Commissioner and any Authorized User(s) are not liable for any costs incurred by a Vendor, Bidder or Contractor in the preparation and production of a Bid, Mini-Bid or best and final offers or for any work performed prior to Contract execution.

20. ADVERTISING RESULTS The prior written approval of the Commissioner is required in order for results of the Bid to be used by

the Contractor as part of any commercial advertising. The Contractor shall also obtain the prior written approval of the Commissioner relative to the Bid or Contract for press or other media releases.

21. PRODUCT REFERENCES

a. **“Or Equal”** In all Bid Specifications the words “or equal” are understood to apply where a copyrighted, brand name, trade name, catalog reference, or patented Product is referenced. References to such specific Product are intended as descriptive, not restrictive, unless otherwise stated. Comparable Product will be considered if proof of compatibility is provided, including appropriate catalog excerpts, descriptive literature, specifications and test data, etc. The Commissioner’s decision as to acceptance of the Product as equal shall be final.

b. **Discrepancies in References** In the event of a discrepancy between the model number referenced in the Bid Specifications and the written description of the Products which cannot be reconciled, with respect to such discrepancy, then the written description shall prevail.

22. REMANUFACTURED, RECYCLED, RECYCLABLE OR RECOVERED MATERIALS

Upon the conditions specified in the Bid Specifications and in accordance with the laws of the State of New York, Contractors are encouraged to use recycled, recyclable or recovered materials in the manufacture of Products and packaging to the maximum extent practicable without jeopardizing the performance or intended end use of the Product or packaging unless such use is precluded due to health, welfare, safety requirements or in the Bid Specifications. Contractors are further encouraged to offer remanufactured Products to the maximum extent practicable without jeopardizing the performance or intended end use of the Product and unless such use is precluded due to health, welfare, safety requirements or by the Bid Specifications. Where such use is not practical, suitable, or permitted by the Bid Specifications, Contractor shall deliver new materials in accordance with the “Warranties” set forth below.

Items with recycled, recyclable, recovered, refurbished or remanufactured content must be identified in the Bid or Bidder will be deemed to be offering new Product.

23. PRODUCTS MANUFACTURED IN PUBLIC INSTITUTIONS

Bids offering Products that are manufactured or produced in public institutions will be rejected.

24. PRICING

a. **Unit Pricing** If required by the Bid Specifications, the Bidder should insert the price per unit specified and the price extensions in decimals, not to exceed four places for each item unless otherwise specified, in the Bid. In the event of a discrepancy between the unit price and the extension, the unit price shall govern unless, in the sole judgment of the Commissioner, such unit pricing is obviously erroneous.

b. **Net Pricing** Unless otherwise required by the Bid Specifications, prices shall be net, including transportation, customs, tariff, delivery and other charges fully prepaid by the Contractor to the destination(s) indicated in the Bid Specifications, subject to the cash discount.

c. **“No Charge” Bid** When Bids are requested on a number of Products as a Group or Lot, a Bidder desiring to Bid “no charge” on a Product in the Group or Lot must clearly indicate such. Otherwise, such Bid may be considered incomplete and be rejected, in whole or in part, at the discretion of the Commissioner.

d. **Educational Pricing** All Products to be supplied for educational purposes that are subject to educational discounts shall be identified in the Bid and such discounts shall be made available to qualifying institutions.

e. **Third Party Financing** If Product acquisitions are financed through any third party financing, Contractor may be required as a condition of Contract Award to agree to the terms and conditions of a “Consent & Acknowledgment Agreement” in a form acceptable to the Commissioner.

f. **Best Pricing Offer** During the Contract term, if substantially the same or a smaller quantity of a Product is sold by the Contractor outside of this Contract upon the same or similar terms and conditions as that of this Contract at a lower price to a federal, state or local governmental entity, the price under this Contract, at the discretion of the Commissioner, shall be immediately reduced to the lower price.

Price decreases shall take effect automatically during the Contract term and apply to Purchase Orders submitted on or after:

(i) **GSA Changes**: Where NYS Net Prices are based on an approved GSA Schedule, the date the approved GSA Schedule pricing decreases during the Contract term; or

(ii) **Commercial Price List Reductions**: Where NYS Net Prices are based on a discount from Contractor’s list prices, the date Contractor lowers its pricing to its customers generally or to similarly situated government customers during the Contract term; or

(iii) **Special Offers/Promotions Generally**: Where Contractor generally offers more advantageous special price promotions or special discount pricing to other customers during the Contract term for a similar quantity, and the maximum price or discount associated with such offer or promotion is better than the discount or Net Price otherwise available under this Contract, such better price or discount shall apply for similar quantity transactions under this Contract for the life of such general offer or promotion; and

(iv) **Special Offers/Promotions to Authorized Users**: Contractor may offer Authorized Users, under either this Contract or any other Contracting vehicle, competitive pricing which is lower than the NYS Net Price set forth herein at any time during the Contract term and such lower pricing shall not be applied as a global price reduction under the Contract pursuant to the foregoing paragraph (iii).

Unless otherwise specified in the Bid Specifications, Contractor may offer lower prices or better terms (see Modification of Contract Terms) on any specific Purchase Order(s) from any Authorized User without being in conflict with, or obligation to comply on a global basis, with the terms of this clause.

g. **Best and Final Prices** As specified in the Bid Documents and Contract, a Contractor may be solicited at the time of issuance of a Purchase Order or Mini-Bid award for best and final pricing for the Product or service to be delivered to the Authorized User. Contractors are encouraged to reduce their pricing upon receipt of such request.

25. DRAWINGS

a. **Drawings Submitted With Bid** When the Bid Specifications require the Bidder to furnish drawings and/or plans, such drawings and/or plans shall conform to the mandates of the Bid Documents and shall, when approved by the Commissioner, be considered a part of the Bid and of any resulting Contract. All symbols and other representations appearing on the drawings shall be considered a part of the drawing.

b. **Drawings Submitted During the Contract Term** Where required to develop, maintain and deliver diagrams or other technical schematics regarding the scope of work, Contractor shall do so on an

ongoing basis at no additional charge, and must, as a condition of payment, update drawings and plans during the Contract term to reflect additions, alterations, and deletions. Such drawings and diagrams shall be delivered to the Authorized User's representative.

c. Accuracy of Drawings Submitted All drawings shall be neat and professional in manner and shall be clearly labeled as to locations and type of product, connections and components. Drawings and diagrams are to be in compliance with accepted drafting standards. Acceptance or approval of such plans shall not relieve the Contractor from responsibility for design or other errors of any sort in the drawings or plans, or from its responsibility for performing as required, furnishing product, services or installation, or carrying out any other requirements of the intended scope of work.

26. SITE INSPECTION Where a site inspection is required by the Bid Specifications or Project Definition, Bidder shall be required to inspect the site, including environmental or other conditions for pre-existing deficiencies that may affect the installed Product, equipment, or environment or services to be provided and, which may affect Bidder's ability to properly deliver, install or otherwise provide the required Product. All inquiries regarding such conditions shall be made in writing. Bidder shall be deemed to have knowledge of any deficiencies or conditions which such inspection or inquiry might have disclosed. Bidder must provide a detailed explanation with its Bid if additional work is required under this clause in order to properly complete the delivery and installation of the required Product or provide the requested service.

27. PROCUREMENT CARD The State has entered into an agreement for purchasing card services. The Purchasing Card enables Authorized Users to make authorized purchases directly from a Contractor without processing a Purchase Orders or Purchase Authorizations. Purchasing Cards are issued to selected employees authorized to purchase for the Authorized User and having direct contact with Contractors. Cardholders can make purchases directly from any Contractor that accepts the Purchasing Card.

The Contractor shall not process a transaction for payment through the credit card clearinghouse until the purchased products have been shipped or services performed. Unless the cardholder requests correction or replacement of a defective or faulty Product in accordance with other Contract requirements, the Contractor shall immediately credit a cardholder's account for products returned as defective or faulty.

28. SAMPLES

a. Standard Samples Bid Specifications may indicate that the Product to be purchased must be equal to a standard sample on display in a place designated by the Commissioner and such sample will be made available to the Bidder for examination prior to the opening date. Failure by the Bidder to examine such sample shall not entitle the Bidder to any relief from the conditions imposed by the Bid Specifications.

b. Bidder Supplied Samples The Commissioner reserves the right to request from the Bidder/Contractor a representative sample(s) of the Product offered at any time prior to or after award of a contract. Unless otherwise instructed, samples shall be furnished within the time specified in the request. Untimely submission of a sample may constitute grounds for rejection of Bid or cancellation of the Contract. Samples must be submitted free of charge and be accompanied by the Bidder's name and address, any descriptive literature relating to the Product and a statement indicating how and where the sample is to be returned. Where applicable, samples must be properly labeled with the appropriate Bid or Contract reference.

A sample may be held by the Commissioner during the entire term of the Contract and for a reasonable period thereafter for comparison with deliveries. At the conclusion of the holding period the sample, where feasible, will be returned as instructed by the Bidder, at the Bidder's expense and risk. Where the Bidder has failed to fully instruct the Commissioner as to the return of the sample (i.e., mode and place of return, etc.) or refuses to bear the cost of its return, the sample shall become the sole property of the receiving entity at the conclusion of the holding period.

c. Enhanced Samples When an approved sample exceeds the minimum specifications, all Product delivered must be of the same enhanced quality and identity as the sample. Thereafter, in the event of a Contractor's default, the Commissioner may procure a Product substantially equal to the enhanced sample from other sources, charging the Contractor for any additional costs incurred.

d. Conformance with Sample(s) Submission of a sample (whether or not such sample is tested by, or for, the Commissioner) and approval thereof shall not relieve the Contractor from full compliance with all terms and conditions, performance related and otherwise, specified in the Bid Specifications. If in the judgment of the Commissioner the sample or product submitted is not in accordance with the specifications or testing requirements prescribed in the Bid Specifications, the Commissioner may reject the Bid. If an award has been made, the Commissioner may cancel the Contract at the expense of the Contractor.

e. Testing All samples are subject to tests in the manner and place designated by the Commissioner, either prior to or after Contract award. Unless otherwise stated in the Bid Specifications, Bidder samples consumed or rendered useless by testing will not be returned to the Bidder. Testing costs for samples that fails to meet Contract requirements may be at the expense of the Contractor.

f. Requests For Samples By Authorized Users Requests for samples by Authorized Users require the consent of the Contractor. Where Contractor refuses to furnish a sample, Authorized User may, in its sole discretion, make a determination on the performance capability of the Product or on the issue in question.

BID EVALUATION

29. BID EVALUATION The Commissioner reserves the right to accept or reject any and all Bids, or separable portions of offers, and waive technicalities, irregularities, and omissions if the Commissioner determines the best interests of the State will be served. The Commissioner, in his/her sole discretion, may accept or reject illegible, incomplete or vague Bids and his/her decision shall be final. A conditional or revocable Bid which clearly communicates the terms or limitations of acceptance may be considered, and Contract award may be made in compliance with the Bidder's conditional or revocable terms in the offer.

30. CONDITIONAL BID Unless the Bid Specifications provides otherwise, a Bid is not rendered non-responsive if the Bidder specifies that the award will be accepted only on all or a specified group of items or Product included in the specification. It is understood that nothing herein shall be deemed to change or alter the method of award contained in the Bid Documents.

31. CLARIFICATIONS / REVISIONS Prior to award, the Commissioner reserves the right to seek clarifications, request Bid revisions, or to request any information deemed necessary for proper

evaluation of Bids from all Bidders deemed to be eligible for Contract award. Failure to provide requested information may result in rejection of the Bid.

32. PROMPT PAYMENT DISCOUNTS While prompt payment discounts will not be considered in determining the low Bid, the Commissioner may consider any prompt payment discount in resolving Bids which are otherwise tied. However, any notation indicating that the price is net, (e.g., net 30 days), shall be understood to mean only that no prompt payment discount is offered by the Bidder. The imposition of service, interest, or other charges, except pursuant to the provisions of Article 11-A of the State Finance Law, which are applicable in any case, may render the Bid non-responsive and may be cause for its rejection.

33. EQUIVALENT OR IDENTICAL BIDS In the event two offers are found to be substantially equivalent, price shall be the basis for determining the award recipient. If two or more Bidders submit substantially equivalent Bids as to pricing or other factors, the decision of the Commissioner to award a Contract to one or more of such Bidders shall be final.

34. PERFORMANCE AND RESPONSIBILITY QUALIFICATIONS The Commissioner reserves the right to investigate or inspect at any time whether or not the Product, services, qualifications or facilities offered by the Bidder/Contractor meet the requirements set forth in the Bid Specifications/Contract or as set forth during Contract negotiations. Contractor shall at all times during the Contract term remain responsible and responsive. A Bidder/Contractor must be prepared, if requested by the Commissioner, to present evidence of legal authority to do business in New York State, integrity, experience, ability, prior performance, organizational and financial capacity as well as where applicable, a statement as to supply, plant, machinery and capacity of the manufacturer or source for the production, distribution and servicing of the Product offered/Bid. If the Commissioner determines that the conditions and terms of the Bid Documents, Bid Specifications or Contract are not complied with, or that items, services or Product proposed to be furnished do not meet the specified requirements, or that the legal authority, integrity experience, ability, prior performance, organization and financial capacity or facilities are not satisfactory, the Commissioner may reject such Bid or terminate the Contract.

35. DISQUALIFICATION FOR PAST PERFORMANCE AND FINDINGS OF NON-RESPONSIBILITY Bidder may be disqualified from receiving awards if Bidder, or anyone in Bidder's employment, has previously failed to perform satisfactorily in connection with public Bidding or contracts or is deemed non-responsible.

36. QUANTITY CHANGES PRIOR TO AWARD The Commissioner reserves the right, at any time prior to the award of a specific quantity Contract, to alter in good faith the quantities listed in the Bid Specifications. In the event such right is exercised, the lowest responsible Bidder meeting Bid Specifications will be advised of the revised quantities and afforded an opportunity to extend or reduce its Bid price in relation to the changed quantities. Refusal by the low Bidder to so extend or reduce its Bid price may result in the rejection of its Bid and the award of such Contract to the lowest responsible Bidder who accepts the revised qualifications.

37. TIMEFRAME FOR OFFERS The Commissioner reserves the right to make awards within sixty (60) days after the date of the Bid opening or such other period of time as set forth in the Bid Documents, during which period, Bids must remain firm and cannot be withdrawn.

Pursuant to Section 163(9)(e) of the State Finance Law and Section 2-205 of the Uniform Commercial Code when applicable, where an award is not made within the sixty (60) day period or other time specified as set forth in the Bid Documents, the Bids shall remain firm until such later time as either a Contract is awarded or the Bidder delivers to the Commissioner written notice of the withdrawal of its Bid. Any Bid which expressly states therein that acceptance must be made within a shorter specified time, may at the sole discretion of the Commissioner, be accepted or rejected.

TERMS & CONDITIONS

38. CONTRACT CREATION / EXECUTION Except for contracts governed by Article 11-B of the State Finance Law, subject to and upon receipt of all required approvals as set forth in the Bid Specifications a Contract shall be deemed executed and created with the successful Bidder(s), upon the Commissioner's mailing or electronic communication to the address on the Bid/Contract of: (i) the final Contract Award Notice; (ii) a fully executed Contract; or (iii) a Purchase Order authorized by the Commissioner.

39. MODIFICATION OF CONTRACT TERMS The terms and conditions set forth in the Contract shall govern all transactions by Authorized User(s) under this Contract. The Contract may only be modified or amended upon mutual written agreement of the Commissioner and Contractor.

The Contractor may, however, offer Authorized User(s) more advantageous pricing, payment, or other terms and conditions than those set forth in the Contract. In such event, a copy of such terms shall be furnished to the Authorized User(s) and Commissioner by the Contractor at the time of such offer.

Other than where such terms are more advantageous for the Authorized User(s) than those set forth in the Contract, no alteration or modification of the terms of the Contract, including substitution of Product, shall be valid or binding against Authorized User(s) unless authorized by the Commissioner or specified in the Contract Award Notification. No such alteration or modification shall be made by unilaterally affixing such terms to Product upon delivery (including, but not limited to, attachment or inclusion of standard pre-printed order forms, product literature, "shrink wrap" terms accompanying software upon delivery, or other documents) or by incorporating such terms onto order forms, purchase orders or other documents forwarded by the Contractor for payment, notwithstanding Authorized User's subsequent acceptance of Product, or that Authorized User has subsequently processed such document for approval or payment.

40. SCOPE CHANGES The Commissioner reserves the right, unilaterally, to require, by written order, changes by altering, adding to or deducting from the Bid Specifications, such changes to be within the general scope of the Contract. The Commissioner may make an equitable adjustment in the Contract price or delivery date if the change affects the cost or time of performance. Such equitable adjustments require the consent of the Contractor, which consent shall not be unreasonably withheld.

41. ESTIMATED / SPECIFIC QUANTITY CONTRACTS Estimated quantity contracts are expressly agreed and understood to be made for only the quantities, if any, actually ordered during the Contract term. No guarantee of any quantity(s) is implied or given. Purchases by Authorized Users from Contracts for services and technology are voluntary.

With respect to any specific quantity stated in the contract, the Commissioner reserves the right after award to order up to 20% more or less (rounded to the next highest whole number) than the specific quantities called for in the Contract. Notwithstanding the foregoing, the Commissioner may purchase greater or lesser percentages of Contract quantities should the Commissioner and Contractor so agree. Such agreement may include an equitable price adjustment.

42. EMERGENCY CONTRACTS In the event that a disaster emergency is declared by Executive Order under Section 28 of Article 2-B of the Executive Law, or the Commissioner determines pursuant to his/her authority under Section 163 (10) (b) of the State Finance Law that an emergency exists requiring the prompt and immediate delivery of Product, the Commissioner reserves the right to obtain such Product from any source, including but not limited to this Contract(s), as the Commissioner in his/her sole discretion determines will meet the needs of such emergency. Contractor shall not be entitled to any claim or lost profits for Product procured from other sources pursuant to this paragraph. The reasons underlying the finding that an emergency exists shall be included in the procurement record.

43. PURCHASE ORDERS Unless otherwise authorized in writing by the Commissioner, no Product is to be delivered or furnished by Contractor until transmittal of an official Purchase Order from the Authorized User. Unless terminated or cancelled pursuant to the authority vested in the Commissioner, Purchase Orders shall be effective and binding upon the Contractor when placed in the mail or electronically transmitted prior to the termination of the contract period, addressed to the Contractor at the address for receipt of orders set forth in the Contract or in the Contract Award Notification.

All Purchase Orders issued pursuant to Contracts let by the Commissioner must bear the appropriate Contract number and, if necessary, required State approvals. As deemed necessary, the Authorized User may confirm pricing and other Product information with the Contractor prior to placement of the Purchase Order. The State reserves the right to require any other information from the Contractor which the State deems necessary in order to complete any Purchase Order placed under the Contract. Unless otherwise specified, all Purchase Orders against Centralized Contracts will be placed by Authorized Users directly with the Contractor and any discrepancy between the terms stated on the vendor's order form, confirmation or acknowledgment, and the Contract terms shall be resolved in favor of the terms most favorable to the Authorized User. Should an Authorized User add written terms and conditions to the Purchase Order that conflict with the terms and conditions of the Contract, the Contractor has the option of rejecting the Purchase Order within five business days of its receipt but shall first attempt to negotiate the additional written terms and conditions in good faith with the Authorized User, or fulfill the Purchase Order. Notwithstanding the above, the Authorized User reserves the right to dispute any discrepancies arising from the presentation of additional terms and conditions with the Contractor.

If, with respect to an Agency Specific Contract let by DOCCS, a Purchase Order is not received by the Contractor within two weeks after the issuance of a Contract Award Notification, it is the responsibility of the Contractor to request in writing that the appropriate Authorized User forward a Purchase Order. If, thereafter, a Purchase Order is not received within a reasonable period of time, the Contractor shall promptly notify in writing the appropriate purchasing officer. Failure to timely notify such officer may, in the discretion of DOCCS and without cost to the State, result in the cancellation of such requirement by DOCCS with a corresponding reduction in the Contract quantity and price.

45. PRODUCT DELIVERY Delivery must be made as ordered to the address specified on the Purchase Order and in accordance with the terms of the Contract or Contract Award Notice. Unless otherwise specified in the Bid Documents, delivery shall be made within thirty calendar days after receipt of a Purchase Order by the Contractor. The decision of the Commissioner as to compliance with delivery terms shall be final. The burden of proof for delay in receipt of Purchase Order shall rest with the Contractor. In all instances of a potential or actual delay in delivery, the Contractor shall immediately notify the Commissioner and the Authorized User, and confirm in writing the explanation of the delay, and take appropriate action to avoid any subsequent late deliveries. Any extension of time for delivery must be requested in writing by the Contractor and approved in writing by the Authorized User. Failure to meet such delivery time schedule may be grounds for cancellation of the order or, in the Commissioner's discretion, the Contract.

45. WEEKEND AND HOLIDAY DELIVERIES Unless otherwise specified in the Bid Specifications or by an Authorized User, deliveries will be scheduled for ordinary business hours, Monday through Friday (excluding legal holidays observed by the State of New York). Deliveries may be scheduled by mutual agreement for Saturdays, Sundays or legal holidays observed by the State of New York where the Product is for daily consumption, an emergency exists, the delivery is a replacement, delivery is late, or other reasonable circumstance in which event the convenience of the Authorized User shall govern.

46. SHIPPING/RECEIPT OF PRODUCT

a. Packaging Tangible Product shall be securely and properly packed for shipment, storage and stocking in appropriate, clearly labeled shipping containers and according to accepted commercial practice, without any extra charges for packing materials, cases or other types of containers. The container shall become and remain the property of the Authorized User unless otherwise specified in the Contract documents.

b. Shipping Charges Unless otherwise stated in the Bid Specifications, all deliveries shall be deemed to be freight on board (F.O.B.) destination tailgate delivery at the dock of the Authorized User. Unless otherwise agreed, items purchased at a price F.O.B. Shipping point plus transportation charges shall not relieve the Contractor from responsibility for safe and proper delivery notwithstanding the Authorized User's payment of transportation charges. Contractor shall be responsible for ensuring that the Bill of Lading states "charges prepaid" for all shipments.

c. Receipt of Product The Contractor shall be solely responsible for assuring that deliveries are made to personnel authorized to accept delivery on behalf of the Authorized User. Any losses resulting from the Contractor's failure to deliver Product to authorized personnel shall be borne exclusively by the Contractor.

47. TITLE AND RISK OF LOSS Notwithstanding the form of shipment, title or other property interest, risk of loss shall not pass from the Contractor to the Authorized User until the Products have been received, inspected and accepted by the receiving entity. Acceptance shall occur within a reasonable time or in accordance with such other defined acceptance period as may be specified in the Bid Specifications or Purchase Order. Mere acknowledgment by Authorized User personnel of the delivery or receipt of goods (e.g., signed bill of lading) shall not be deemed or construed as acceptance of the Products received. Any delivery of Product that is substandard or does not comply with the Bid Specifications or Contract terms and conditions, may be rejected or accepted on an adjusted price basis, as determined by the Commissioner.

48. RE-WEIGHING PRODUCT Deliveries are subject to re-weighing at the point of destination by the Authorized User. If shrinkage occurs which exceeds that normally allowable in the trade, the Authorized User shall have the option to require delivery of the difference in quantity or to reduce the payment accordingly. Such option shall be exercised in writing by the Authorized User.

49. PRODUCT SUBSTITUTION In the event a specified manufacturer's Product listed in the Contract becomes unavailable or cannot be supplied by the Contractor for any reason (except as provided for in the Savings/Force Majeure Clause) a Product deemed in writing by the Commissioner to be equal to or better than the specified Product must be substituted by the Contractor at no additional cost or expense to the Authorized User. Unless otherwise specified, any substitution of Product prior to the Commissioner's written approval may be cause for cancellation of Contract.

50. REJECTED PRODUCT When Product is rejected, it must be removed by the Contractor from the premises of the Authorized User within ten calendar days of notification of rejection by the Authorized User. Upon notification of rejection, risk of loss of rejected or non-conforming Product shall remain with Contractor. Rejected items not removed by the Contractor within ten calendar days of notification shall be regarded as abandoned by the Contractor, and the Authorized User shall have the right to dispose of Product as its own property. The Contractor shall promptly reimburse the Authorized User for any and all costs and expenses incurred in storage or effecting removal or disposition after the ten-calendar day period.

51. INSTALLATION Where installation is required, Contractor shall be responsible for placing and installing the Product in the required locations. All materials used in the installation shall be of good quality and shall be free from any and all defects that would mar the appearance of the Product or render it structurally unsound. Installation includes the furnishing of any equipment, rigging and materials required to install or place the Product in the proper location. The Contractor shall protect the site from damage for all its work and shall repair damages or injury of any kind caused by the Contractor, its employees, officers or agents. If any alteration, dismantling or excavation, etc. is required to effect installation, the Contractor shall thereafter promptly restore the structure or site. Work shall be performed to cause the least inconvenience to the Authorized User(s) and with proper consideration for the rights of other Contractors or workers. The Contractor shall promptly perform its work and shall coordinate its activities with those of other Contractors. The Contractor shall clean up and remove all debris and rubbish from its work as required or directed. Upon completion of the work, the building and surrounding area of work shall be left clean and in a neat, unobstructed condition, and everything in satisfactory repair and order.

52. REPAIRED OR REPLACED PARTS / COMPONENTS

Where the Contractor is required to repair, replace or substitute Product or parts or components of the Product under the Contract, the repaired, replaced or substituted Products shall be subject to all terms and conditions for new parts and components set forth in the Contract including Warranties, as set forth in the Additional Warranties Clause herein. Replaced or repaired Product or parts and components of such Product shall be new and shall, if available, be replaced by the original manufacturer's component or part. Remanufactured parts or components meeting new Product standards may be permitted by the Commissioner or Authorized User. Before installation, all proposed substitutes for the original manufacturer's installed parts or components must be approved by the Authorized User. The part or component shall be equal to or of better quality than the original part or component being replaced.

53. ON-SITE STORAGE With the written approval of the Authorized User, materials, equipment or supplies may be stored at the Authorized User's site at the Contractor's sole risk.

54. EMPLOYEES, SUBCONTRACTORS & AGENTS All employees, Subcontractors or agents performing work under the Contract must be trained staff or technicians who meet or exceed the professional, technical and training qualifications set forth in the Bid Specifications or the Bid Documents, whichever is more restrictive, and must comply with all security and administrative requirements of the Authorized User. The Commissioner reserves the right to conduct a security background check or otherwise approve any employee, Subcontractor or agent furnished by Contractor and to refuse access to or require replacement of any personnel for cause based on, including but not limited to, professional, technical or training qualifications, quality of work or change in security status or non-compliance with Authorized User's security or other requirements. Such approval shall not relieve the Contractor of the obligation to perform all work in compliance with the Contract terms. The Commissioner reserves the right to reject and/or bar from the facility for cause any employee, Subcontractor, or agents of the Contractor.

55. ASSIGNMENT The Contractor shall not assign, transfer, convey, sublet, or otherwise dispose of the contract or its right, title or interest therein, or its power to execute such contract to any other person, company, firm or corporation in performance of the contract without the prior written consent of the Commissioner or Authorized User (as applicable). Failure to obtain consent to assignment from the Authorized User shall revoke and annul such Contract. Notwithstanding the foregoing, the State shall not hinder, prevent or affect assignment of money by a Contractor for the benefit of its creditors. Prior to a consent to assignment of monies becoming effective, the Contractor shall file a written notice of such monies assignment(s) with the Comptroller. Prior to a consent to assignment of a Contract, or portion thereof, becoming effective, the Contractor shall submit the request to assignment to the Commissioner and seek written agreement from the Commissioner which will be filed with the Comptroller. The Commissioner reserves the right to reject any proposed assignee in his/her discretion.

Upon notice to the Contractor, the Contract may be assigned without the consent of the Contractor to another State Agency or subdivision of the State pursuant to a governmental reorganization or assignment of functions under which the functions are transferred to a successor Agency or to another Agency that assumes responsibilities for the Contract.

56. SUBCONTRACTORS AND SUPPLIERS The Commissioner reserves the right to reject any proposed Subcontractor or supplier for bona fide business reasons, which may include, but are not limited to: they are on the Department of Labor's list of companies with which New York State cannot do business; the Commissioner determines that the company is not qualified; the Commissioner determines that the company is not responsible; the company has previously provided unsatisfactory work or services; the company failed to solicit minority and women's business enterprises (M/WBE) Bidders as required by prior Contracts.

57. PERFORMANCE / BID BOND The Commissioner reserves the right to require a Bidder or Contractor to furnish without additional cost, a performance, payment or Bid bond or negotiable irrevocable letter of credit or other form of security for the faithful performance of the Contract. Where required, such bond or other security shall be in the form prescribed by the Commissioner.

58. SUSPENSION OF WORK The Commissioner, in his/her sole discretion, reserves the right to suspend any or all activities under this

Contract, at any time, in the best interests of the Authorized User. In the event of such suspension, the Contractor will be given a formal written notice outlining the particulars of such suspension. Examples of the reason for such suspension include, but are not limited to, a budget freeze or reduction on State spending, declaration of emergency, contract compliance issues or other such circumstances. Upon issuance of such notice, the Contractor is not to accept any Purchase Orders, and shall comply with the suspension order. Activity may resume at such time as the Commissioner issues a formal written notice authorizing a resumption of performance under the Contract.

An Authorized User may issue a formal written notice for the suspension of work for which it has engaged the Contractor for reasons specified in the above paragraph. The written notice shall set forth the reason for such suspension and a copy of the written notice shall be provided to the Commissioner.

59. TERMINATION

a. For Cause: For a material breach that remains uncured for more than thirty (30) days or other specified period after written notice to the Contractor, the Contract or Purchase Order may be terminated by the Commissioner or Authorized User at the Contractor's expense where Contractor becomes unable or incapable of performing, or meeting any requirements or qualifications set forth in the Contract, or for non-performance, or upon a determination that Contractor is non-responsible. Such termination shall be upon written notice to the Contractor. In such event, the Commissioner or Authorized User may complete the contractual requirements in any manner it may deem advisable and pursue available legal or equitable remedies for breach.

b. For Convenience: By written notice, this Contract may be terminated at any time by the State for convenience upon sixty (60) days written notice or other specified period without penalty or other early termination charges due. Such termination of the Contract shall not affect any project or Purchase Order that has been issued under the Contract prior to the date of such termination. If the Contract is terminated pursuant to this subdivision, the Authorized User shall remain liable for all accrued but unpaid charges incurred through the date of the termination. Contractor shall use due diligence and provide any outstanding deliverables.

c. For Violation of the Sections 139-j and 139-k of the State Finance Law: The Commissioner reserves the right to terminate the Contract in the event it is found that the certification filed by the Bidder in accordance with Section 139-k of the State Finance Law was intentionally false or intentionally incomplete. Upon such finding, the Commissioner may exercise its termination right by providing written notification to the Contractor in accordance with the written notification terms of the Contract.

d. For Violation of Revised Tax Law 5a: The Commissioner reserves the right to terminate the contract in the event it is found that the certification filed by the Contractor in accordance with §5-a of the Tax Law is not timely filed during the term of the Contract or the certification furnished was intentionally false or intentionally incomplete. Upon such finding, the Commissioner may exercise its termination right by providing written notification to the Contractor.

60. SAVINGS/FORCE MAJEURE A force majeure occurrence is an event or effect that cannot be reasonably anticipated or controlled. Force majeure includes, but is not limited to, acts of God, acts of war, acts of public enemies, strikes, fires, explosions, actions of the elements, floods, or other similar causes beyond the control of the Contractor or the Commissioner in the performance of the Contract which non-performance, by exercise of reasonable diligence, cannot

be prevented. Contractor shall provide the Commissioner with written notice of any force majeure occurrence as soon as the delay is known.

Neither the Contractor nor the Commissioner shall be liable to the other for any delay in or failure of performance under the Contract due to a force majeure occurrence. Any such delay in or failure of performance shall not constitute default or give rise to any liability for damages. The existence of such causes of such delay or failure shall extend the period for performance to such extent as determined by the Contractor and the Commissioner to be necessary to enable complete performance by the Contractor if reasonable diligence is exercised after the cause of delay or failure has been removed.

Notwithstanding the above, at the discretion of the Commissioner where the delay or failure will significantly impair the value of the Contract to the State or to Authorized Users, the Commissioner may:

- a. Accept allocated performance or deliveries from the Contractor. The Contractor, however, hereby agrees to grant preferential treatment to Authorized Users with respect to Product subjected to allocation; and/or
- b. Purchase from other sources (without recourse to and by the Contractor for the costs and expenses thereof) to replace all or part of the Products which are the subject of the delay, which purchases may be deducted from the Contract quantities without penalty or liability to the State; or
- c. Terminate the Contract or the portion thereof which is subject to delays, and thereby discharge any unexecuted portion of the Contract or the relative part thereof.

In addition, the Commissioner reserves the right, in his/her sole discretion, to make an equitable adjustment in the Contract terms and/or pricing should extreme and unforeseen volatility in the marketplace affect pricing or the availability of supply. "Extreme and unforeseen volatility in the marketplace" is defined as market circumstances which meet the following criteria: (i) the volatility is due to causes outside the control of Contractor; (ii) the volatility affects the marketplace or industry, not just the particular Contract source of supply; (iii) the effect on pricing or availability of supply is substantial; and (iv) the volatility so affects Contractor's performance that continued performance of the Contract would result in a substantial loss.

61. CONTRACT BILLINGS Contractor and the distributors/resellers designated by the Contractor, if any, shall provide complete and accurate billing invoices to each Authorized User in order to receive payment. Billings for Authorized Users must contain all information required by the Contract and the State Comptroller. The State Comptroller shall render payment for Authorized User purchases, and such payment shall be made in accordance with ordinary State procedures and practices. Payment of Contract purchases made by Authorized Users, other than Agencies, shall be billed directly by Contractor on invoices/vouchers, together with complete and accurate supporting documentation as required by the Authorized User.

Submission of an invoice and payment thereof shall not preclude the Commissioner from reimbursement or demanding a price adjustment in any case where the Product delivered is found to deviate from the terms and conditions of the Contract or where the billing was inaccurate.

Contractor shall provide, upon request of the Commissioner, any and all information necessary to verify the accuracy of the billings. Such

information shall be provided in the format requested by the Commissioner and in a media commercially available from the Contractor. The Commissioner may direct the Contractor to provide the information to the State Comptroller or to any Authorized User of the Contract.

62. DEFAULT – AUTHORIZED USER

a. Breach of Authorized User Not Breach of Centralized Contract. An Authorized User's breach shall not be deemed a breach of the Centralized Contract, rather it shall be deemed a breach of the Authorized User's performance under the terms and conditions of the Centralized Contract.

b. Failure to Make Payment. In the event a participating Authorized User fails to make payment to the Contractor for Products delivered, accepted and properly invoiced, within 60 days of such delivery and acceptance, the Contractor may, upon 10 days advance written notice to both the Commissioner and the Authorized User's purchasing official, suspend additional shipments of Product or provision of services to such entity until such time as reasonable arrangements have been made and assurances given by such entity for current and future Contract payments.

c. Notice of Breach. Notwithstanding the foregoing, the Contractor shall, at least 10 days prior to declaring a breach of Contract by any Authorized User, by certified or registered mail, notify both the Commissioner and the purchasing official of the breaching Authorized User of the specific facts, circumstances and grounds upon which a breach will be declared.

d. It is understood, however, that if the Contractor's basis for declaring a breach is insufficient, the Contractor's declaration of breach and failure to service an Authorized User shall constitute a breach of its Contract and the Authorized User may thereafter seek any remedy available at law or equity.

63. INTEREST ON LATE PAYMENTS

a. State Agencies The payment of interest on certain payments due and owed by Agency may be made in accordance with Article 11-A of the State Finance Law (SFL §179-d et. Seq.) and Title 2 of the New York Code of Rules and Regulations, Part 18 (Implementation of Prompt Payment Legislation -2 NYCRR §18.1 et seq.).

b. By Non-State Agencies The terms of Article 11-A apply only to procurements by and the consequent payment obligations of Agencies. Neither expressly nor by any implication is the statute applicable to Non-State Authorized Users. Neither DOCCS nor the State Comptroller is responsible for payments on any purchases made by a Non-State Agency Authorized User.

c. By Contractor Should the Contractor be liable for any payments to the State hereunder, interest, late payment charges and collection fee charges will be determined and assessed pursuant to Section 18 of the State Finance Law.

64. REMEDIES FOR BREACH It is understood and agreed that all rights and remedies afforded below shall be in addition to all remedies or actions otherwise authorized or permitted by law:

a. Cover/Substitute Performance In the event of Contractor's material breach, the Commissioner may, with or without formally Bidding: (i) Purchase from other sources; or (ii) If the Commissioner is unsuccessful after making reasonable attempts, under the circumstances then existing, to timely obtain acceptable service or acquire replacement Product of equal or comparable quality, the

Commissioner may acquire acceptable replacement Product of lesser or greater quality.

Such purchases may, in the discretion of the Commissioner, be deducted from the Contract quantity and payments due Contractor.

b. Withhold Payment In any case where a question of non-performance by Contractor arises, payment may be withheld in whole or in part at the discretion of the Commissioner. Should the amount withheld be finally paid, a cash discount originally offered may be taken as if no delay in payment had occurred.

c. Bankruptcy In the event that the Contractor files a petition under the U.S. Bankruptcy Code during the term of this Centralized Contract, Authorized Users may, at their discretion, make application to exercise its right to set-off against monies due the Debtor or, under the Doctrine of Recoupment, credit the Authorized User the amounts owed by the Contractor arising out of the same transactions.

d. Reimbursement of Costs Incurred The Contractor agrees to reimburse the Authorized User promptly for any and all additional costs and expenses incurred for acquiring acceptable services, and/or replacement Product. Should the cost of cover be less than the Contract price, the Contractor shall have no claim to the difference. The Contractor covenants and agrees that in the event suit is successfully prosecuted for any default on the part of the Contractor, all costs and expenses expended or incurred by the Authorized User in connection therewith, including reasonable attorney's fees, shall be paid by the Contractor.

Where the Contractor fails to timely deliver pursuant to the guaranteed delivery terms of the Contract, the ordering Authorized User may rent substitute equipment temporarily. Any sums expended for such rental shall, upon demand, be reimbursed to the Authorized User promptly by the Contractor or deducted by the Authorized User from payments due or to become due the Contractor on the same or another transaction.

e. Deduction/Credit Sums due as a result of these remedies may be deducted or offset by the Authorized User from payments due, or to become due, the Contractor on the same or another transaction. If no deduction or only a partial deduction is made in such fashion the Contractor shall pay to the Authorized User the amount of such claim or portion of the claim still outstanding, on demand. The Commissioner reserves the right to determine the disposition of any rebates, settlements, restitution, liquidated damages, etc., which arise from the administration of the Contract.

65. ASSIGNMENT OF CLAIM Contractor hereby assigns to the State any and all its claims for overcharges associated with this Contract which may arise under the antitrust laws of the United States, 15 USC Section 1, et. seq. and the antitrust laws of the State of New York, General Business Law Section 340, et. seq.

66. TOXIC SUBSTANCES Each Contractor furnishing a toxic substance as defined by Section 875 of the Labor Law, shall provide such Authorized User with not less than two copies of a material safety data sheet, which sheet shall include for each such substance the information outlined in Section 876 of the Labor Law.

Before any chemical product is used or applied on or in any building, a copy of the product label and Material Safety Data Sheet must be provided to and approved by the Authorized User agency representative.

67. INDEPENDENT CONTRACTOR It is understood and agreed that the legal status of the Contractor, its agents, officers and employees

under this Contract is that of an independent Contractor, and in no manner shall they be deemed employees of the Authorized User, and therefore are not entitled to any of the benefits associated with such employment. The Contractor agrees, during the term of this Contract, to maintain at Contractor's expense those benefits to which its employees would otherwise be entitled by law, including health benefits, and all necessary insurance for its employees, including worker's compensation, disability and unemployment insurance, and to provide the Authorized User with certification of such insurance upon request. The Contractor remains responsible for all applicable federal, state and local taxes, and all FICA contributions.

68. SECURITY Contractor warrants, covenants and represents that it will comply fully with all security procedures of the Authorized User(s) in performance of the Contract including but not limited to physical, facility, documentary and cyber security rules, procedures and protocols.

69. COOPERATION WITH THIRD PARTIES The Contractor shall be responsible for fully cooperating with any third party, including but not limited to other Contractors or Subcontractors of the Authorized User, as necessary to ensure delivery of Product or coordination of performance of services.

70. CONTRACT TERM - RENEWAL In addition to any stated renewal periods in the Contract, any Contract or unit portion thereof let by the Commissioner may be extended by the Commissioner for an additional period(s) of up to one year with the written concurrence of the Contractor and Comptroller. Such extension may be exercised on a month to month basis or in other stated periods of time during the one year extension.

71. ADDITIONAL WARRANTIES Where Contractor, product manufacturer or service provider generally offers additional or more advantageous warranties than set forth below, Contractor shall offer or pass through any such warranties to Authorized Users. Contractor hereby warrants and represents:

a. Product Performance Contractor warrants and represents that Products delivered pursuant to this Contract conform to the manufacturer's specifications, performance standards and documentation, and the documentation fully describes the proper procedure for using the Products.

b. Title and Ownership Warranty Contractor warrants, represents and conveys (i) full ownership, clear title free of all liens, or (ii) the right to transfer or deliver perpetual license rights to any Products transferred to Authorized User under this Contract. Contractor shall be solely liable for any costs of acquisition associated therewith. Contractor fully indemnifies the Authorized User for any loss, damages or actions arising from a breach of said warranty without limitation.

c. Contractor Compliance Contractor represents and warrants to pay, at its sole expense, for all applicable permits, licenses, tariffs, tolls and fees to give all notices and comply with all laws, ordinances, rules and regulations of any governmental entity in conjunction with the performance of obligations under the Contract. Prior to award and during the Contract term and any renewals thereof, Contractor must establish to the satisfaction of the Commissioner that it meets or exceeds all requirements of the Bid/Contract and any applicable laws, including but not limited to, permits, insurance coverage, licensing, proof of coverage for worker's compensation, and shall provide such proof as required by the Commissioner. Failure to do so may constitute grounds for the Commissioner to cancel or suspend this

Contract, in whole or in part, or to take any other action deemed necessary by the Commissioner.

d. Product Warranty Unless recycled or recovered materials are available in accordance with the "Recycled or Recovered Materials" clause, Product offered shall be standard new equipment, current model or most recent release of regular stock product with all parts regularly used with the type of equipment offered; and no attachment or part has been substituted or applied contrary to the manufacturer's recommendations and standard practice.

Contractor further warrants and represents that components or deliverables specified and furnished by or through Contractor shall individually, and where specified and furnished as a system, be substantially uninterrupted or error-free in operation and guaranteed against faulty material and workmanship for the warranty period, or for a minimum of one (1) year from the date of acceptance, whichever is longer ("Project warranty period"). During the Project warranty period, defects in the materials or workmanship of components or deliverables specified and furnished by or through Contractor shall be repaired or replaced by Contractor at no cost or expense to the Authorized User. Contractor shall extend the Project warranty period for individual component(s), or for the System as a whole, as applicable, by the cumulative period(s) of time, after notification, during which an individual component or the System requires servicing or replacement (down time) or is in the possession of the Contractor, its agents, officers, Subcontractors, distributors, resellers or employees ("extended warranty").

Where Contractor, the Independent Software Vendor "ISV," or other third party manufacturer markets any Project Deliverable delivered by or through Contractor with a standard commercial warranty, such standard warranty shall be in addition to, and not relieve the Contractor from, Contractor's warranty obligations during the project warranty and extended warranty period(s). Where such standard commercial warranty covers all or some of the Project warranty or extended warranty period(s), Contractor shall be responsible for the coordination during the Project warranty or extended warranty period(s) with ISV or other third party manufacturer(s) for warranty repair or replacement of ISV or other third party manufacturer's Product.

Where Contractor, ISV or other third party manufacturer markets any Project Deliverable with a standard commercial warranty which goes beyond the Project warranty or extended warranty period(s), Contractor shall notify the Authorized User and pass through the manufacturer's standard commercial warranty to Authorized User at no additional charge; provided, however, that Contractor shall not be responsible for coordinating services under the third party extended warranty after expiration of the Project warranty and extended warranty period(s).

e. Replacement Parts Warranty If during the regular or extended warranty period's faults develop, the Contractor shall promptly repair or, upon demand, replace the defective unit or component part affected. All costs for labor and material and transportation incurred to repair or replace defective Product during the warranty period shall be borne solely by the Contractor, and the State or Authorized User shall in no event be liable or responsible therefor.

Any part of component replaced by the Contractor under the Contract warranty shall be replaced at no cost to the Authorized User and guaranteed for the greater of: a) the warranty period under paragraph (d) above; or b) if a separate warranty for that part or component is generally offered by the manufacturer, the standard commercial warranty period offered by the manufacturer for the individual part or component.

f. Virus Warranty The Contractor represents and warrants that Licensed Software contains no known viruses. Contractor is not responsible for viruses introduced at Licensee's site.

g. Date/Time Warranty Contractor warrants that Product(s) furnished pursuant to this Contract shall, when used in accordance with the Product documentation, be able to accurately process date/time data (including, but not limited to, calculating, comparing, and sequencing) transitions, including leap year calculations. Where a Contractor proposes or an acquisition requires that specific Products must perform as a package or system, this warranty shall apply to the Products as a system.

Where Contractor is providing ongoing services, including but not limited to: i) consulting, integration, code or data conversion, ii) maintenance or support services, iii) data entry or processing, or iv) contract administration services (e.g., billing, invoicing, claim processing), Contractor warrants that services shall be provided in an accurate and timely manner without interruption, failure or error due to the inaccuracy of Contractor's business operations in processing date/time data (including, but not limited to, calculating, comparing, and sequencing) various date/time transitions, including leap year calculations. Contractor shall be responsible for damages resulting from any delays, errors or untimely performance resulting therefrom, including but not limited to the failure or untimely performance of such services.

This Date/Time Warranty shall survive beyond termination or expiration of this contract through: a) ninety (90) days or b) the Contractor's or Product manufacturer/developer's stated date/time warranty term, whichever is longer. Nothing in this warranty statement shall be construed to limit any rights or remedies otherwise available under this Contract for breach of warranty.

h. Workmanship Warranty Contract warrants that all components or deliverables specified and furnished by or through Contractor under the Project Definition/Work Order meet the completion criteria set forth in the Project Definition/Work Order and any subsequent statement(s) of work, and that services will be provided in a workmanlike manner in accordance with industry standards.

i. Survival of Warranties All warranties contained in this Contract shall survive the termination of this Contract.

72. LEGAL COMPLIANCE Contractor represents and warrants that it shall secure all notices and comply with all laws, ordinances, rules and regulations of any governmental entity in conjunction with the performance of obligations under the Contract. Prior to award and during the Contract term and any renewals thereof, Contractor must establish to the satisfaction of the Commissioner that it meets or exceeds all requirements of the Bid and Contract and any applicable laws, including but not limited to, permits, licensing, and shall provide such proof as required by the Commissioner. Failure to comply or failure to provide proof may constitute grounds for the Commissioner to cancel or suspend the Contract, in whole or in part, or to take any other action deemed necessary by the Commissioner. Contractor also agrees to disclose information and provide affirmations and certifications to comply with Sections 139-j and 139-k of the State Finance Law.

73. INDEMNIFICATION Contractor shall be fully liable for the actions of its agents, employees, partners or Subcontractors and shall fully indemnify and save harmless the Authorized Users from suits, actions, damages and costs of every name and description relating to personal injury and damage to real or personal tangible property caused by any intentional act or negligence of Contractor, its agents,

employees, partners or Subcontractors, without limitation; provided, however, that the Contractor shall not indemnify for that portion of any claim, loss or damage arising hereunder due to the negligent act or failure to act of the Authorized Users.

74. INDEMNIFICATION RELATING TO THIRD PARTY RIGHTS The Contractor will also indemnify and hold the Authorized Users harmless from and against any and all damages, expenses (including reasonable attorneys' fees), claims, judgments, liabilities and costs that may be finally assessed against the Authorized Users in any action for infringement of a United States Letter Patent, or of any copyright, trademark, trade secret or other third party proprietary right except to the extent such claims arise from the Authorized Users gross negligence or willful misconduct, provided that the State shall give Contractor: (i) prompt written notice of any action, claim or threat of infringement suit, or other suit, (ii) the opportunity to take over, settle or defend such action, claim or suit at Contractor's sole expense, and (iii) assistance in the defense of any such action at the expense of Contractor.

If usage shall be enjoined for any reason or if Contractor believes that it may be enjoined, Contractor shall have the right, at its own expense and sole discretion to take action in the following order of precedence: (i) to procure for the Authorized User the right to continue Usage (ii) to modify the service or Product so that Usage becomes non-infringing, and is of at least equal quality and performance; or (iii) to replace said service or Product or part(s) thereof, as applicable, with non-infringing service or Product of at least equal quality and performance. If the above remedies are not available, the parties shall terminate the Contract, in whole or in part as necessary and applicable, provided the Authorized User is given a refund for any amounts paid for the period during which Usage was not feasible.

The foregoing provisions as to protection from third party rights shall not apply to any infringement occasioned by modification by the Authorized User of any Product without Contractor's approval.

In the event that an action at law or in equity is commenced against the Authorized User arising out of a claim that the Authorized User's use of the service or Product under the Contract infringes any patent, copyright or proprietary right, and Contractor is of the opinion that the allegations in such action in whole or in part are not covered by the indemnification and defense provisions set forth in the Contract, Contractor shall immediately notify the Authorized User and the Office of the Attorney General in writing and shall specify to what extent Contractor believes it is obligated to defend and indemnify under the terms and conditions of the Contract. Contractor shall in such event protect the interests of the Authorized User and secure a continuance to permit the Authorized User to appear and defend its interests in cooperation with Contractor, as is appropriate, including any jurisdictional defenses the Authorized User may have. This constitutes the Authorized User's sole and exclusive remedy for patent infringement, or for infringement of any other third party proprietary right.

75. LIMITATION OF LIABILITY Except as otherwise set forth in the Indemnification Paragraphs above, the limit of liability shall be as follows:

a. Contractor's liability for any claim, loss or liability arising out of, or connected with the Products and services provided, and whether based upon default, or other liability such as breach of contract, warranty, negligence, misrepresentation or otherwise, shall in no case exceed direct damages in: (i) an amount equal to two (2) times the charges specified in the Purchase Order for the Products and services, or parts thereof forming the basis of the Authorized User's claim, (said

amount not to exceed a total of twelve (12) months charges payable under the applicable Purchase Order) or (ii) one million dollars (\$1,000,000), whichever is greater.

b. The Authorized User may retain such monies from any amount due Contractor as may be necessary to satisfy any claim for damages, costs and the like asserted against the Authorized User unless Contractor at the time of the presentation of claim shall demonstrate to the Authorized User's satisfaction that sufficient monies are set aside by the Contractor in the form of a bond or through insurance coverage to cover associated damages and other costs.

c. Notwithstanding the above, neither the Contractor nor the Authorized User shall be liable for any consequential, indirect or special damages of any kind which may result directly or indirectly from such performance, including, without limitation, damages resulting from loss of use or loss of profit by the Authorized User, the Contractor, or by others.

76. INSURANCE Contractor shall secure and maintain insurance coverage as specified in the Bid Documents and shall promptly provide documentation of specified coverages to the Authorized User. If specified, the Contractor may be required to add the Authorized User as an additional insured.

**THE FOLLOWING CLAUSES PERTAIN TO
TECHNOLOGY & NEGOTIATED CONTRACTS**

77. SOFTWARE LICENSE GRANT Where Product is acquired on a licensed basis the following shall constitute the license grant:

a. **License Scope** Licensee is granted a non-exclusive, perpetual license to use, execute, reproduce, display, perform, or merge the Product within its business enterprise in the United States up to the maximum licensed capacity stated on the Purchase Order. Product may be accessed, used, executed, reproduced, displayed or performed up to the capacity measured by the applicable licensing unit stated on the Purchase Order (i.e., payroll size, number of employees, CPU, MIPS, MSU, concurrent user, workstation). Licensee shall have the right to use and distribute modifications or customizations of the Product to and for use by any Authorized Users otherwise licensed to use the Product, provided that any modifications, however extensive, shall not diminish Licensor's proprietary title or interest. No license, right or interest in any trademark, trade name, or service mark is granted hereunder.

b. **License Term** The license term shall commence upon the License Effective Date, provided, however, that where an acceptance or trial period applies to the Product, the License Term shall be extended by the time period for testing, acceptance or trial.

c. **Licensed Documentation** If commercially available, Licensee shall have the option to require the Contractor to deliver, at Contractor's expense: (i) one (1) hard copy and one (1) master electronic copy of the Documentation in a mutually agreeable format; (ii) based on hard copy instructions for access by downloading from the Internet (iii) hard copies of the Product Documentation by type of license in the following amounts, unless otherwise mutually agreed:

- Individual/Named User License - one (1) copy per License
- Concurrent Users - 10 copies per site
- Processing Capacity - 10 copies per site

Software media must be in a format specified by the Authorized User, without requiring any type of conversion.

Contractor hereby grants to Licensee a perpetual license right to make, reproduce (including downloading electronic copies of the Product) and distribute, either electronically or otherwise, copies of Product Documentation as necessary to enjoy full use of the Product in accordance with the terms of license.

d. **Product Technical Support & Maintenance** Licensee shall have the option of electing the Product technical support and maintenance ("maintenance") set forth in the Contract by giving written notice to Contractor any time during the Centralized Contract term. Maintenance term(s) and any renewal(s) thereof are independent of the expiration of the Centralized Contract term and will not automatically renew.

Maintenance shall include, at a minimum, (i) the provision of error corrections, updates, revisions, fixes, upgrade and new releases to Licensee, and (ii) Help Desk assistance with locally accessible "800" or toll free, local telephone service, or alternatively on-line Help Desk accessibility. Contractor shall maintain the Products so as to provide Licensee with the ability to utilize the Products in accordance with the Product documentation without significant functional downtime to its ongoing business operations during the maintenance term.

Authorized User shall not be required to purchase maintenance for use of Product, and may discontinue maintenance at the end of any current maintenance term upon notice to Contractor. In the event that Authorized User does not initially acquire or discontinues maintenance of licensed Product, it may, at any time thereafter, reinstate maintenance for Product without any additional penalties or other charges, by paying Contractor the amount which would have been due under the Contract for the period of time that such maintenance had lapsed, at then current NYS net maintenance rates.

e. **Permitted License Transfers** As Licensee's business operations may be altered, expanded or diminished, licenses granted hereunder may be transferred or combined for use at an alternative or consolidated site not originally specified in the license, including transfers between Agencies ("permitted license transfers"). Licensee(s) do not have to obtain the approval of Contractor for permitted license transfers, but must give thirty (30) days prior written notice to Contractor of such move(s) and certify in writing that the Product is not in use at the prior site. There shall be no additional license or other transfer fees due Contractor, provided that: i) the maximum capacity of the consolidated machine is equal to the combined individual license capacity of all licenses running at the consolidated or transferred site (e.g., named users, seats, or MIPS); or ii) if the maximum capacity of the consolidated machine is greater than the individual license capacity being transferred, a logical or physical partition or other means of restricting access will be maintained within the computer system so as to restrict use and access to the Product to that unit of licensed capacity solely dedicated to beneficial use for Licensee. In the event that the maximum capacity of the consolidated machine is greater than the combined individual license capacity of all licenses running at the consolidated or transferred site, and a logical or physical partition or other means of restricting use is not available, the fees due Contractor shall not exceed the fees otherwise payable for a single license for the upgrade capacity.

f. **Restricted Use By Outsourcers / Facilities Management, Service Bureaus / or Other Third Parties** Outsourcers, facilities management or service bureaus retained by Licensee shall have the right to use the Product to maintain Licensee's business operations, including data processing, for the time period that they are engaged in such activities, provided that: 1) Licensee gives notice to Contractor

of such party, site of intended use of the Product, and means of access; and 2) such party has executed, or agrees to execute, the Product manufacturer's standard nondisclosure or restricted use agreement which executed agreement shall be accepted by the Contractor ("Non-Disclosure Agreement"); and 3) if such party is engaged in the business of facility management, outsourcing, service bureau or other services, such third party will maintain a logical or physical partition within its computer system so as to restrict use and access to the program to that portion solely dedicated to beneficial use for Licensee. In no event shall Licensee assume any liability for third party's compliance with the terms of the Non-Disclosure Agreement, nor shall the Non-Disclosure Agreement create or impose any liabilities on the State or Licensee.

Any third party with whom a Licensee has a relationship for a state function or business operation, shall have the temporary right to use Product (e.g., JAVA Applets), provided that such use shall be limited to the time period during which the third party is using the Product for the function or business activity.

g. Archival Back-Up and Disaster Recovery Licensee may use and copy the Product and related Documentation in connection with: i) reproducing a reasonable number of copies of the Product for archival backup and disaster recovery procedures in the event of destruction or corruption of the Product or disasters or emergencies which require Licensee to restore backup(s) or to initiate disaster recovery procedures for its platform or operating systems; ii) reproducing a reasonable number of copies of the Product and related Documentation for cold site storage. "Cold Site" storage shall be defined as a restorable back-up copy of the Product not to be installed until and after the declaration by the Licensee of a disaster; iii) reproducing a back-up copy of the Product to run for a reasonable period of time in conjunction with a documented consolidation or transfer otherwise allowed herein. "Disaster Recovery" shall be defined as the installation and storage of Product in ready-to-execute, back-up computer systems prior to disaster or breakdown which is not used for active production or development.

h. Confidentiality Restrictions The Product is a trade secret, copyrighted and proprietary product. Licensee and its employees will keep the Product strictly confidential, and Licensee will not disclose or otherwise distribute or reproduce any Product to anyone other than as authorized under the terms of Contract. Licensee will not remove or destroy any proprietary markings of Contractor.

i. Restricted Use by Licensee Except as expressly authorized by the terms of license, Licensee shall not:

- (i) Copy the Product;
- (ii) Cause or permit reverse compilation or reverse assembly of all or any portion of the Product;
- (iii) Export the Licensed Software in violation of any U.S. Department of Commerce export administration regulations.

78. PRODUCT ACCEPTANCE Unless otherwise provided by mutual agreement of the Authorized User and the Contractor, Authorized User(s) shall have thirty (30) days from the date of delivery to accept hardware products and sixty (60) days from the date of delivery to accept all other Product. Where the Contractor is responsible for installation, acceptance shall be from completion of installation. Failure to provide notice of acceptance or rejection or a deficiency statement to the Contractor by the end of the period provided for under this clause constitutes acceptance by the Authorized User(s) as of the expiration of that period. The License Term shall be extended by the time periods allowed for trial use, testing and acceptance unless the Commissioner or Authorized User agrees to accept the Product at completion of trial use.

Unless otherwise provided by mutual agreement of the Authorized User and the Contractor, Authorized User shall have the option to run testing on the Product prior to acceptance, such tests and data sets to be specified by User. Where using its own data or tests, Authorized User must have the tests or representative set of data available upon delivery. This demonstration will take the form of a documented installation test, capable of observation by the Authorized User, and shall be made part of the Contractor's standard documentation. The test data shall remain accessible to the Authorized User after completion of the test.

In the event that the documented installation test cannot be completed successfully within the specified acceptance period, and the Contractor or Product is responsible for the delay, Authorized User shall have the option to cancel the order in whole or in part, or to extend the testing period for an additional thirty (30) day increment. Authorized User shall notify Contractor of acceptance upon successful completion of the documented installation test. Such cancellation shall not give rise to any cause of action against the Authorized User for damages, loss of profits, expenses, or other remuneration of any kind.

If the Authorized User elects to provide a deficiency statement specifying how the Product fails to meet the specifications within the testing period, Contractor shall have thirty (30) days to correct the deficiency, and the Authorized User shall have an additional sixty (60) days to evaluate the Product as provided herein. If the Product does not meet the specifications at the end of the extended testing period, Authorized User, upon prior written notice to Contractor, may then reject the Product and return all defective Product to Contractor, and Contractor shall refund any monies paid by the Authorized User to Contractor therefor. Costs and liabilities associated with a failure of the Product to perform in accordance with the functionality tests or product specifications during the acceptance period shall be borne fully by Contractor to the extent that said costs or liabilities shall not have been caused by negligent or willful acts or omissions of the Authorized User's agents or employees. Said costs shall be limited to the amounts set forth in the Limitation of Liability Clause for any liability for costs incurred at the direction or recommendation of Contractor.

79. AUDIT OF LICENSED PRODUCT USAGE Contractor shall have the right to periodically audit, no more than annually, at Contractor's expense, use of licensed Product at any site where a copy of the Product resides provided that: (i) Contractor gives Licensee(s) at least thirty (30) days advance written notice, (ii) such audit is conducted during such party's normal business hours, (iii) the audit is conducted by an independent auditor chosen on mutual agreement of the parties. Contractor shall recommend a minimum of three (3) auditing/accounting firms from which the Licensee will select one (1). In no case shall the Business Software Alliance (BSA), Software Publishers Association (SPA), Software and Industry Information Association (SIIA) or Federation Against Software Theft (FAST) be used directly or indirectly to conduct audits, or be recommended by Contractor; (iv) Contractor and Licensee are each entitled to designate a representative who shall be entitled to participate, and who shall mutually agree on audit format, and simultaneously review all information obtained by the audit. Such representatives also shall be entitled to copies of all reports, data or information obtained from the audit; and (v) if the audit shows that such party is not in compliance, Licensee shall be required to purchase additional licenses or capacities necessary to bring it into compliance and shall pay for the unlicensed capacity at the NYS Net Price in effect at time of audit, or if none, then at the Contractor's U.S. Commercial list price. Once such additional licenses or capacities are purchased, Licensee shall be deemed to have been in compliance retroactively, and Licensee shall

have no further liability of any kind for the unauthorized use of the software.

80. OWNERSHIP/TITLE TO PROJECT DELIVERABLES

a. Definitions

(i) For purposes of this paragraph, "Products." A deliverable furnished under this Contract by or through Contractor, including existing and custom Products, including, but not limited to: a) components of the hardware environment, b) printed materials (including but not limited to training manuals, system and user documentation, reports, drawings), whether printed in hard copy or maintained on diskette, CD, DVD or other electronic media c) third party software, d) modifications, customizations, custom programs, program listings, programming tools, data, modules, components, and e) any properties embodied therein, whether in tangible or intangible form (including but not limited to utilities, interfaces, templates, subroutines, algorithms, formulas, source code, object code).

(ii) For purposes of this paragraph, "Existing Products." Tangible Products and intangible licensed Products that exist prior to the commencement of work under the Contract. Contractor bears the burden of proving that a particular product was in existence prior to the commencement of the Project.

(iii) For purposes of this paragraph, "Custom Products." Products, preliminary, final or otherwise, which are created or developed by Contractor, its Subcontractors, partners, employees or agents for Authorized User under the Contract.

b. Title to Project Deliverables Contractor acknowledges that it is commissioned by the Authorized User to perform the services detailed in the Purchase Order. Unless otherwise specified in writing in the Bid or Purchase Order, the Authorized User shall have ownership and license rights as follows:

(i) Existing Products:

1. Hardware - Title and ownership of Existing Hardware Product shall pass to Authorized User upon Acceptance.

2. Software - Title and ownership to Existing Software Product(s) delivered by Contractor under the Contract that is normally commercially distributed on a license basis by the Contractor or other independent software vendor proprietary owner ("Existing Licensed Product"), whether or not embedded in, delivered or operating in conjunction with hardware or Custom Products, shall remain with Contractor or the proprietary owner of other independent software vendor(s) (ISV). Effective upon acceptance, such Product shall be licensed to Authorized User in accordance with the Contractor or ISV owner's standard license agreement, provided, however, that such standard license, must, at a minimum: (a) grant Authorized User a non-exclusive, perpetual license to use, execute, reproduce, display, perform, adapt (unless Contractor advises Authorized User as part of Contractor's proposal that adaptation will violate existing agreements or statutes and Contractor demonstrates such to the Authorized User's satisfaction) and distribute Existing Licensed Product to the Authorized User up to the license capacity stated in the Purchase Order or work order with all license rights necessary to fully effect the general business purpose(s) stated in the Bid or Authorized User's Purchase Order or work order, including the financing assignment rights set forth in paragraph (c) below; and (b) recognize the State of New York as the licensee where the Authorized User is a state agency, department, board, commission, office or institution. Where these rights are not otherwise covered by the ISV's owner's standard license agreement, the Contractor shall be responsible for obtaining these rights at its sole cost and expense. The Authorized User shall reproduce all copyright notices and any other legend of ownership on any copies authorized under this paragraph.

(ii) **Custom Products:** Effective upon creation of Custom Products, Contractor hereby conveys, assigns and transfers to Authorized User the sole and exclusive rights, title and interest in Custom Product(s), whether preliminary, final or otherwise, including all trademark and copyrights. Contractor hereby agrees to take all necessary and appropriate steps to ensure that the Custom Products are protected against unauthorized copying, reproduction and marketing by or through Contractor, its agents, employees, or Subcontractors. Nothing herein shall preclude the Contractor from otherwise using the related or underlying general knowledge, skills, ideas, concepts, techniques and experience developed under a Purchase Order, project definition or work order in the course of Contractor's business. Authorized User may, by providing written notice thereof to the Contractor, elect in the alternative to take a non-exclusive perpetual license to Custom Products in lieu of Authorized User taking exclusive ownership and title to such Products. In such case, Licensee on behalf of all Authorized Users shall be granted a non-exclusive perpetual license to use, execute, reproduce, display, perform, adapt and distribute Custom Product as necessary to fully effect the general business purpose(s) as stated in paragraph (b)(i)(2), above.

c. Transfers or Assignments to a Third Party Financing Agent It is understood and agreed by the parties that a condition precedent to the consummation of the purchase (s) under the Contract may be the obtaining of acceptable third party financing by the Authorized User. The Authorized User shall make the sole determination of the acceptability of any financing proposal. The Authorized User will make all reasonable efforts to obtain such financing, but makes no representation that such financing has been obtained as of the date of Bid receipt. Where financing is used, Authorized User may assign or transfer its rights in Licensed Products (existing or custom) to a third party financing entity or trustee ("Trustee") as collateral where required by the terms of the financing agreement. Trustee's sole rights with respect to transferability or use of Licensed Products shall be to exclusively sublicense to Authorized User all of its Licensee's rights under the terms and conditions of the License Agreement; provided, further, however, in the event of any termination or expiration of such sublicense by reason of payment in full, all of Trustee's rights in such Licensed Product shall terminate immediately and Authorized User's prior rights to such Existing Licensed Product shall be revived.

d. Sale or License of Custom Products Involving Tax-Exempt Financing (i.e., Certificates of Participation - COPS) The Authorized User's sale or other transfer of Custom Products which were acquired by the Authorized User using third party, tax-exempt financing may not occur until such Custom Products are, or become, useable. In the event that the Contractor wishes to obtain ownership rights to Custom Product(s), the sale or other transfer shall be at fair market value determined at the time of such sale or other transfer, and must be pursuant to a separate written agreement in a form acceptable to the Authorized User which complies with the terms of this paragraph.

e. Contractor's Obligation with Regard to ISV (Third Party) Product Where Contractor furnishes Existing Licensed Product(s) as a Project Deliverable, and sufficient rights necessary to effect the purposes of this section are not otherwise provided in the Contractor or ISV's standard license agreement, Contractor shall be responsible for obtaining from the ISV third party proprietary owner/developer the rights set forth herein to the benefit of the Authorized User at Contractor's sole cost and expense.

81. PROOF OF LICENSE The Contractor must provide to each Licensee who places a Purchase Order either: (i) the Product developer's certified License Confirmation Certificates in the name of such Licensee; or (ii) a written confirmation from the Proprietary owner accepting Product invoice as proof of license. Contractor shall

submit a sample certificate, or alternatively such written confirmation from the proprietary developer. Such certificates must be in a form acceptable to the Licensee.

82. PRODUCT VERSION Purchase Orders shall be deemed to reference Manufacturer's most recently released model or version of the Product at time of order, unless an earlier model or version is specifically requested in writing by Authorized User and Contractor is willing to provide such version.

83. CHANGES TO PRODUCT OR SERVICE OFFERINGS

a. Product or Service Discontinuance Where Contractor is the Product Manufacturer/Developer, and Contractor publicly announces to all U.S. customers ("date of notice") that a Product is being withdrawn from the U.S. market or that maintenance service or technical support provided by Contractor ("withdrawn support") is no longer going to be offered, Contractor shall be required to: (i) notify the Commissioner, each Licensee and each Authorized User then under contract for maintenance or technical support in writing of the intended discontinuance; and (ii) continue to offer Product or withdrawn support upon the Contract terms previously offered for the greater of: a) the best terms offered by Contractor to any other customer, or b) not less than twelve (12) months from the date of notice; and (iii) at Authorized User's option, provided that the Authorized User is under contract for maintenance on the date of notice, either: provide the Authorized User with a Product replacement or migration path with at least equivalent functionality at no additional charge to enable Authorized User to continue use and maintenance of the Product.

In the event that the Contractor is not the Product Manufacturer, Contractor shall be required to: (i) provide the notice required under the paragraph above, to the entities described within five (5) business days of Contractor receiving notice from the Product Manufacturer, and (ii) include in such notice the period of time from the date of notice that the Product Manufacturer will continue to provide Product or withdraw support.

The provisions of this subdivision (a) shall not apply or eliminate Contractor's obligations where withdrawn support is being provided by an independent Subcontractor. In the event that such Subcontractor ceases to provide service, Contractor shall be responsible for subcontracting such service, subject to state approval, to an alternate Subcontractor.

b. Product or Service Re-Bundling In the event that Contractor is the Product manufacturer and publicly announces to all U.S. customers ("date of notice") that a Product or maintenance or technical support offering is being re-bundled in a different manner from the structure or licensing model of the prior U.S. commercial offering, Contractor shall be required to: (i) notify the State and each Authorized User in writing of the intended change; (ii) continue to provide Product or withdrawn support upon the same terms and conditions as previously offered on the then-current NYS Contract for the greater of: a) the best terms offered by Contractor to any other customer, or b) not less than twelve (12) months from the date of notice; and (iii) shall submit the proposed rebundling change to the Commissioner for approval prior to its becoming effective for the remainder of the Contract term. The provisions of this section do not apply if the Contractor is not the Product manufacturer.

84. NO HARDSTOP/PASSIVE LICENSE MONITORING

Unless an Authorized User is otherwise specifically advised to the contrary in writing at the time of order and prior to purchase, Contractor hereby warrants and represents that the Product and all Upgrades do not and will not contain any computer code that would

disable the Product or Upgrades or impair in any way its operation based on the elapsing of a period of time, exceeding an authorized number of copies, advancement to a particular date or other numeral, or other similar self-destruct mechanisms (sometimes referred to as "time bombs," "time locks," or "drop dead" devices) or that would permit Contractor to access the Product to cause such disablement or impairment (sometimes referred to as a "trap door" device). Contractor agrees that in the event of a breach or alleged breach of this provision that Authorized User shall not have an adequate remedy at law, including monetary damages, and that Authorized User shall consequently be entitled to seek a temporary restraining order, injunction, or other form of equitable relief against the continuance of such breach, in addition to any and all remedies to which Authorized User shall be entitled.

85. SOURCE CODE ESCROW FOR LICENSED PRODUCT

If Source Code or Source Code escrow is offered by either Contractor or Product manufacturer or developer to any other commercial customers, Contractor shall either: (i) provide Licensee with the Source Code for the Product; or (ii) place the Source Code in a third party escrow arrangement with a designated escrow agent who shall be named and identified to the State, and who shall be directed to release the deposited Source Code in accordance with a standard escrow agreement acceptable to the State; or (iii) will certify to the State that the Product manufacturer/developer has named the State, acting by and through the Authorized User, and the Licensee, as a named beneficiary of an established escrow arrangement with its designated escrow agent who shall be named and identified to the State and Licensee, and who shall be directed to release the deposited Source Code in accordance with the terms of escrow. Source Code, as well as any corrections or enhancements to such source code, shall be updated for each new release of the Product in the same manner as provided above and such updating of escrow shall be certified to the State in writing. Contractor shall identify the escrow agent upon commencement of the Contract term and shall certify annually that the escrow remains in effect in compliance with the terms of this paragraph.

The State may release the Source Code to Licensees under this Contract who have licensed Product or obtained services, who may use such copy of the Source Code to maintain the Product.

FOR NEGOTIATED CONTRACTS THE FOLLOWING CLAUSES ARE RESERVED BECAUSE BIDDING DOES NOT APPLY:

Clauses: 7, 8, 9, 10, 11, 12, 13, 16, 15, 21, 25, 26, 28, 29, 30, 31, 32, 33, 36, 49, 50, 52, 54 and 37

INDEX

| | <u>Paragraph</u> | | <u>Paragraph</u> |
|--|------------------|---|------------------|
| | <u>No.</u> | | <u>No.</u> |
| <u>A</u> | | | |
| Additional Warranties | 72 | Modification of Contract Terms | 40 |
| Advertising Results | 20 | | |
| Applicability | 1 | <u>N</u> | |
| Assignment | 56 | No Hardstop/Passive License Monitoring | 85 |
| Assignment of Claim | 66 | | |
| Audit of Licensed Product Usage | 80 | <u>O</u> | |
| Authentication of Facsimile Bids | 10 | On-Site Storage | 54 |
| | | Ownership/Title to Project Deliverables | 81 |
| <u>B</u> | | | |
| Bid Contents | 12 | <u>P</u> | |
| Bid Evaluation | 29 | Participation in Centralized Contracts | 39 |
| Bid Opening | 7 | Performance and Responsibility Qualifications | 34 |
| Bid Submission | 8 | Performance/Bid Bond | 58 |
| | | Prevailing Wage Rates Public Works & Building Services Contracts | 17 |
| | | Pricing | 24 |
| | | Procurement Card | 27 |
| | | Product Acceptance | 79 |
| | | Product Delivery | 45 |
| | | Product References | 21 |
| | | Product Substitution | 50 |
| | | Product Version | 83 |
| | | Products Manufactured in Public Institutions | 23 |
| | | Prompt Payment Discounts | 32 |
| | | Proof of License | 82 |
| | | Purchase Orders | 44 |
| | | <u>Q</u> | |
| | | Quantity Changes Prior to Award | 36 |
| | | <u>R</u> | |
| | | Rejected Product | 51 |
| | | Release of Bid Evaluation Materials | 15 |
| | | Re-Weighing Product | 49 |
| | | Remanufactured, Recycled, Recyclable or Recovered Materials | 22 |
| | | Remedies for Breach | 65 |
| | | Repaired or Replaced Product/Components | 53 |
| | | <u>S</u> | |
| | | Samples | 28 |
| | | Savings/Force Majeure | 61 |
| | | Scope Changes | 41 |
| | | Security | 69 |
| | | Site Inspection | 26 |
| | | Shipping/Receipt of Product | 47 |
| | | Software License Grant | 78 |
| | | Source Code Escrow for Licensed Product | 86 |
| | | Subcontractors and Suppliers | 57 |
| | | Suspension of Work | 59 |
| | | <u>T</u> | |
| | | Taxes | 18 |
| | | Termination | 60 |
| | | Timeframe for Offers | 37 |
| | | Title and Risk of Loss | 48 |
| | | Toxic Substances | 67 |
| | | <u>W</u> | |
| | | Weekend and Holiday Deliveries | 46 |
| <u>C</u> | | | |
| Changes to Product or Service Offerings | 84 | | |
| Clarification/Revisions | 31 | | |
| Confidential/Trade Secret Materials | 14 | | |
| Conflict of Terms | 4 | | |
| Conditional Bid | 30 | | |
| Contract Billings | 62 | | |
| Contract Creation/Execution | 38 | | |
| Contract Term - Renewal | 71 | | |
| Cooperation with Third Parties | 70 | | |
| <u>D</u> | | | |
| Default - Authorized User | 63 | | |
| Definitions | 5 | | |
| Disqualification for Past Performance | 35 | | |
| Drawings | 25 | | |
| <u>E</u> | | | |
| Emergency Contracts | 43 | | |
| Employees/Subcontractors/Agents | 55 | | |
| Equivalent or Identical Bids | 33 | | |
| Estimated/Specific Quantity Contracts | 42 | | |
| Ethics Compliance | 3 | | |
| Expenses Prior to Contract Execution | 19 | | |
| Extraneous Terms | 13 | | |
| <u>F</u> | | | |
| Facsimile Submissions | 9 | | |
| Freedom of Information Law | 16 | | |
| <u>G</u> | | | |
| Governing Law | 2 | | |
| <u>I</u> | | | |
| Indemnification | 74 | | |
| Indemnification Relating to Third Party Rights | 75 | | |
| Independent Contractor | 68 | | |
| Installation | 52 | | |
| Insurance | 77 | | |
| Interest on Late Payments | 64 | | |
| International Bidding | 6 | | |
| <u>L</u> | | | |
| Late Bids | 11 | | |
| Legal Compliance | 73 | | |
| Limitation of Liability | 76 | | |

Appendix C

PARTICIPATION BY MINORITY GROUP MEMBERS AND WOMEN WITH RESPECT TO STATE CONTRACTS: REQUIREMENTS AND PROCEDURES

I. General Provisions

- A. The Department of Corrections and Community Supervision (hereinafter referred to as “DOCCS”) is required to implement the provisions of New York State Executive Law Article 15-A and 5 NYCRR Parts 140-145 (“MWBE Regulations”) for all State contracts as defined therein, with a value (1) in excess of \$25,000 for labor, services, equipment, materials, or any combination of the foregoing or (2) in excess of \$100,000 for real property renovations and construction.
- B. The contractor to the subject contract (the “Contractor” and the “Contract,” respectively) agrees, in addition to any other nondiscrimination provision of the Contract and at no additional cost to the New York State DOCCS (the “DOCCS”), to fully comply and cooperate with the DOCCS in the implementation of New York State Executive Law Article 15-A. These requirements include equal employment opportunities for minority group members and women (“EEO”) and contracting opportunities for certified minority and women-owned business enterprises (“MWBEs”). The Contractor’s demonstration of “good faith efforts” pursuant to 5 NYCRR §142.8 shall be a part of these requirements. These provisions shall be deemed supplementary to, and not in lieu of, the nondiscrimination provisions required by New York State Executive Law Article 15 (the “Human Rights Law”) or other applicable federal, state or local laws.
- C. Failure to comply with all of the requirements herein may result in a finding of non-responsiveness, non-responsibility and/or a breach of contract, leading to the withholding of funds or such other actions, liquidated damages pursuant to Section VII of this Appendix or enforcement proceedings as allowed by the Contract.

II. Contract Goals

- A. For purposes of this procurement, the DOCCS hereby establishes an overall goal of 30% for Minority and Women-Owned Business Enterprises (“MWBE”) participation, 15% for New York State certified minority-owned business enterprises (“MBE”) participation and 15% for New York State certified women-owned business enterprises (“WBE”) participation (collectively, “MWBE Contract Goals”) based on the current availability of qualified MBEs and WBEs.
- B. For purposes of providing meaningful participation by MWBEs on the Contract and achieving the MWBE Contract Goals established in Section II-A hereof, the Contractor should reference the directory of New York State Certified MBWEs found at the following internet address: <https://ny.newnycontracts.com>.

Appendix C

Additionally, the Contractor is encouraged to contact the Division of Minority and Woman Business Development ((518) 292-5250; (212) 803-2414; or (716) 846-8200) to discuss additional methods of maximizing participation by MWBEs on the Contract.

- C. Where MWBE Contract Goals have been established herein, pursuant to 5 NYCRR §142.8, the Contractor must document “good faith efforts” to provide meaningful participation by MWBEs as subcontractors or suppliers in the performance of the Contract. In accordance with Section 316-a of Article 15-A and 5 NYCRR §142.13, the Contractor acknowledges that if it is found to have willfully and intentionally failed to comply with the MWBE participation goals set forth in the Contract, such a finding constitutes a breach of contract and the Contractor shall be liable to the DOCCS for liquidated or other appropriate damages, as set forth herein.

III. Equal Employment Opportunity (EEO)

- A. The Contractor agrees to be bound by the provisions of Article 15-A and the MWBE Regulations promulgated by the Division of Minority and Women's Business Development of the Department of Economic Development (the “Division”). If any of these terms or provisions conflict with applicable law or regulations, such laws and regulations shall supersede these requirements.
- B. The Contractor shall comply with the following provisions of Article 15-A:
1. Contractor and subcontractor performing work on the Contract (“Subcontractor”) shall undertake or continue existing EEO programs to ensure that minority group members and women are afforded equal employment opportunities without discrimination because of race, creed, color, national origin, sex, age, disability or marital status. For these purposes, EEO shall apply in the areas of recruitment, employment, job assignment, promotion, upgrading, demotion, transfer, layoff, or termination and rates of pay or other forms of compensation.
 2. The Contractor shall submit an EEO policy statement to the DOCCS within seventy two (72) hours after the date of the notice by DOCCS to award the Contract to the Contractor.
 3. If the Contractor or Subcontractor does not have an existing EEO policy statement, the DOCCS may provide the Contractor or Subcontractor a model statement (see Form – Minority and Women-Owned Business Enterprises Equal Employment Opportunity Policy Statement).
 4. The Contractor’s EEO policy statement shall include the following language:
 - a. The Contractor will not discriminate against any employee or applicant for employment because of race, creed, color, national origin, sex, age, disability or marital status, will undertake or continue existing EEO programs to ensure that minority group members and women are afforded equal employment opportunities without discrimination, and shall make and document its conscientious and active efforts to employ and utilize minority group members and women in its work force.

Appendix C

- b. The Contractor shall state in all solicitations or advertisements for employees that, in the performance of the contract, all qualified applicants will be afforded equal employment opportunities without discrimination because of race, creed, color, national origin, sex, age, disability or marital status.
- c. The Contractor shall request each employment agency, labor union, or authorized representative of workers with which it has a collective bargaining or other agreement or understanding, to furnish a written statement that such employment agency, labor union, or representative will not discriminate on the basis of race, creed, color, national origin, sex age, disability or marital status and that such union or representative will affirmatively cooperate in the implementation of the Contractor's obligations herein.
- d. The Contractor will include the provisions of Subdivisions (a) through (c) of this Subsection 4 and Paragraph "E" of this Section III, which provides for relevant provisions of the Human Rights Law, in every subcontract in such a manner that the requirements of the subdivisions will be binding upon each Subcontractor as to work in connection with the Contract.

C. Form EEO 100 - Staffing Plan

To ensure compliance with this Section, the Contractor shall submit a staffing plan to document the composition of the proposed workforce to be utilized in the performance of the Contract by the specified categories listed, including ethnic background, gender, and Federal occupational categories. The Contractor shall complete the Staffing plan form and submit it as part of their bid or proposal or within a reasonable time, but no later than the time of award of the contract.

D. Form EEO 101 - Workforce Employment Utilization Report ("Workforce Report")

1. Once a contract has been awarded and during the term of Contract, the Contractor is responsible for updating and providing notice to the DOCCS of any changes to the previously submitted Staffing Plan. This information is to be submitted on a quarterly basis during the term of the contract to report the actual workforce utilized in the performance of the contract by the specified categories listed including ethnic background, gender, and Federal occupational categories. The Workforce Report must be submitted to report this information.
2. Separate forms shall be completed by Contractor and any Subcontractor.
3. In limited instances, the Contractor may not be able to separate out the workforce utilized in the performance of the Contract from the Contractor's and/or Subcontractor's total workforce. When a separation can be made, the Contractor shall submit the Workforce Report and indicate that the information provided related to the actual workforce utilized on the Contract. When the workforce to be utilized on the contract cannot be separated out from the Contractor's and/or Subcontractor's total workforce, the Contractor shall submit the Workforce Report and indicate that the information provided is the Contractor's total workforce during the subject time frame, not limited to work specifically under the contract.

Appendix C

- E. The Contractor shall comply with the provisions of the Human Rights Law, all other State and Federal statutory and constitutional non-discrimination provisions. The Contractor and Subcontractors shall not discriminate against any employee or applicant for employment because of race, creed (religion), color, sex, national origin, sexual orientation, military status, age, disability, predisposing genetic characteristic, marital status or domestic violence victim status, and shall also follow the requirements of the Human Rights Law with regard to non-discrimination on the basis of prior criminal conviction and prior arrest.

IV. MWBE Utilization Plan

- A. The Contractor represents and warrants that Contractor has submitted an MWBE Utilization Plan, by submitting evidence thereof through the New York State Contract System (“NYSCS”), which can be viewed at <https://ny.newnycontracts.com>, provided, however, that the Contractor may arrange to provide such evidence via a non-electronic method to DOCCS, either prior to, or at the time of, the execution of the contract.
- B. The Contractor agrees to use such MWBE Utilization Plan for the performance of MWBEs on the Contract pursuant to the prescribed MWBE goals set forth in Section III-A of this Appendix.
- C. The Contractor further agrees that a failure to submit and/or use such MWBE Utilization Plan shall constitute a material breach of the terms of the Contract. Upon the occurrence of such a material breach, DOCCS shall be entitled to any remedy provided herein, including but not limited to, a finding of the Contractor non-responsiveness.

V. Waivers

- A. For Waiver Requests, the Contractor should use the NYSCS, provided, however, that Bidder may arrange to provide such evidence via a non-electronic method to DOCCS.
- B. If the Contractor, after making good faith efforts, is unable to comply with MWBE goals, the Contractor may submit a Request for Waiver documenting good faith efforts by the Contractor to meet such goals. If the documentation included with the waiver request is complete, the DOCCS shall evaluate the request and issue a written notice of acceptance or denial within twenty (20) days of receipt.
- C. If the DOCCS, upon review of the MWBE Utilization Plan and updated Quarterly MWBE Contractor Compliance Reports determines that the Contractor is failing or refusing to comply with the MWBE Contract Goals and no waiver has been issued in regards to such non-compliance, the DOCCS may issue a notice of deficiency to the Contractor. The Contractor must respond to the notice of deficiency within seven (7) business days of receipt. Such response may include a request for partial or total waiver of MWBE Contract Goals.

Appendix C

VI. Quarterly MWBE Contractor Compliance Report

The Contractor is required to submit a Quarterly MWBE Contractor Compliance Report through the NYSCS, provided, however, that Bidder may arrange to provide such evidence via a non-electronic method to the DOCCS by the 10th day following each end of quarter over the term of the Contract documenting the progress made towards achievement of the MWBE goals of the Contract.

VII. Liquidated Damages - MWBE Participation

- A. Where DOCCS determines that the Contractor is not in compliance with the requirements of the Contract and the Contractor refuses to comply with such requirements, or if the Contractor is found to have willfully and intentionally failed to comply with the MWBE participation goals, the Contractor shall be obligated to pay to the DOCCS liquidated damages.
- B. Such liquidated damages shall be calculated as an amount equaling the difference between:
 - 1. All sums identified for payment to MWBEs had the Contractor achieved the contractual MWBE goals; and
 - 2. All sums actually paid to MWBEs for work performed or materials supplied under the Contract.
- C. In the event a determination has been made which requires the payment of liquidated damages and such identified sums have not been withheld by the DOCCS, the Contractor shall pay such liquidated damages to the DOCCS within sixty (60) days after they are assessed by the DOCCS unless prior to the expiration of such sixtieth day, the Contractor has filed a complaint with the Director of the Division of Minority and Woman Business Development pursuant to Subdivision 8 of Section 313 of the Executive Law in which event the liquidated damages shall be payable if Director renders a decision in favor of the DOCCS.

**MINORITY AND WOMEN-OWNED BUSINESS ENTERPRISES – EQUAL
EMPLOYMENT OPPORTUNITY POLICY STATEMENT**

M/WBE AND EEO POLICY STATEMENT

I, _____, the (awardee/contractor)_____ agree to adopt the following policies with respect to the project being developed or services rendered at _____

M/WBE

This organization will and will cause its contractors and subcontractors to take good faith actions to achieve the M/WBE contract participations goals set by the State for that area in which the State-funded project is located, by taking the following steps:

- (1) Actively and affirmatively solicit bids for contracts and subcontracts from qualified State certified MBEs or WBEs, including solicitations to M/WBE contractor associations.
- (2) Request a list of State-certified M/WBEs from AGENCY and solicit bids from them directly.
- (3) Ensure that plans, specifications, request for proposals and other documents used to secure bids will be made available in sufficient time for review by prospective M/WBEs.
- (4) Where feasible, divide the work into smaller portions to enhanced participations by M/WBEs and encourage the formation of joint venture and other partnerships among M/WBE contractors to enhance their participation.
- (5) Document and maintain records of bid solicitation, including those to M/WBEs and the results thereof. The Contractor will also maintain records of actions that its subcontractors have taken toward meeting M/WBE contract participation goals.
- (6) Ensure that progress payments to M/WBEs are made on a timely basis so that undue financial hardship is avoided, and that bonding and other credit requirements are waived or appropriate alternatives developed to encourage M/WBE participation.

EEO

(a) This organization will not discriminate against any employee or applicant for employment because of race, creed, color, national origin, sex, age, disability or marital status, will undertake or continue existing programs of affirmative action to ensure that minority group members are afforded equal employment opportunities without discrimination, and shall make and document its conscientious and active efforts to employ and utilize minority group members and women in its work force on state contracts.

(b) This organization shall state in all solicitation or advertisements for employees that in the performance of the State contract all qualified applicants will be afforded equal employment opportunities without discrimination because of race, creed, color, national origin, sex disability or marital status.

(c) At the request of the contracting agency, this organization shall request each employment agency, labor union, or authorized representative will not discriminate on the basis of race, creed, color, national origin, sex, age, disability or marital status and that such union or representative will affirmatively cooperate in the implementation of this organization's obligations herein.

(d) The Contractor shall comply with the provisions of the Human Rights Law, all other State and Federal statutory and constitutional non-discrimination provisions. The Contractor and subcontractors shall not discriminate against any employee or applicant for employment because of race, creed (religion), color, sex, national origin, sexual orientation, military status, age, disability, predisposing genetic characteristic, marital status or domestic violence victim status, and shall also follow the requirements of the Human Rights Law with regard to non-discrimination on the basis of prior criminal conviction and prior arrest.

(e) This organization will include the provisions of sections (a) through (d) of this agreement in every subcontract in such a manner that the requirements of the subdivisions will be binding upon each subcontractor as to work in connection with the State contract.

Agreed to this _____ day of _____, 2_____

By _____

Print: _____ Title: _____

_____ is designated as the Minority Business Enterprise Liaison
(Name of Designated Liaison)

responsible for administering the Minority and Women-Owned Business Enterprises- Equal Employment Opportunity (M/WBE-EEO) program.

M/WBE Contract Goals

_____30% Minority and Women’s Business Enterprise Participation

_____ % Minority Business Enterprise Participation

_____ % Women’s Business Enterprise Participation

EEO Contract Goals

_____ % Minority Labor Force Participation

_____ % Female Labor Force Participation

(Authorized Representative)

Title: _____

Date: _____