



Corrections and Community Supervision

DOCCS FACT SHEET

February 1, 2017

The management and operation of prisons is difficult work. It requires an equal measure of patience, discipline and creativity. DOCCS is responsible for the care, custody, and treatment of individuals sentenced to state prison, working with these inmates to ensure successful re-entry into the community and supervising those who are placed on parole. That's our Department's mission and it's the guide we follow to carry out the very serious responsibility entrusted to us.

The material contained within this Fact Sheet is based on statistical information compiled by DOCCS and it is intended to provide insight into the function and operation of the Department's 54 facilities that house approximately 51,500 inmates and the 35,500 parolees under DOCCS' responsibility.

Prison Safety

Statewide Assaults*						
	2012	2013	2014	2015	2016	2017
Assaults on Staff Statewide**	524	645	747	895	760	68
Assaults on Inmates Statewide**	652	767	859	916	1,134	91

*Excludes Willard, Edgecombe and Parole Diversion Programs.

**Includes disciplinary confinement SHU 200 units and Regional Medical Units.

Maximum vs. Medium Facility Inmate-on-Staff Assaults* 2010-2015						
	2012	2013	2014	2015	2016	2017
Assaults on Staff Statewide	524	645	747	895	760	68
Maximum-Security**	409	504	538	626	553	49
Medium-Security**	114	132	189	257	199	19

*Excludes Willard, Edgecombe and Parole Diversion Programs.

**Includes disciplinary confinement SHU 200 units and Regional Medical Units.

Note: Unlike the Penal Law where physical injury is a required element, any attack by an inmate is classified by DOCCS as an assault. This includes events where no physical injury occurs and events where any object, including a small object, is thrown at and hits another person. By contrast, in the Penal Law, an assault requires physical injury, which means impairment of physical condition or substantial pain.

2016 4th Quarter Assaults				
	October	November	December	Total
Assault on Staff	57	62	62	181
Assault on Inmate	98	106	86	290
Total	155	168	148	471

Assault Degree of Injury to Staff				
Incident Type: Staff Injured during an Inmate on Inmate Assault				
	October	November	December	Total
No Injury	385	386	298	1,069
Minor*	4	5	4	13
Moderate**	1	0	0	1
Serious***	0	0	1	1
Severe****	0	0	0	0
Total	390	391	303	1,084

Incident Type: Staff Injured during an Inmate on Staff Assault				
	October	November	December	Total
No Injury	243	243	267	753
Minor*	62	65	65	192
Moderate**	3	1	3	7
Serious***	0	2	0	2
Severe****	0	0	0	0
Total	308	311	335	954

Note: Excludes Willard, Edgecombe, residential treatment facilities and Parole Diversion Programs and is based upon staff injuries sustained in Unusual Incidents each month, including employee accidents. Effective October 1, 2014 per Article VII bill, DOCCS added a category of severe and redefined injury definitions.

*Injuries that require either no treatment, minimal treatment (scratch, bruise, aches/pain) or precautionary treatment.

** Injuries such as lacerations, concussions, 2nd degree burns, serious sprains, dislocation, and muscle or ligament damage.

***Injuries that require transport to an outside hospital, but are not considered life-threatening at the preliminary report.

**** Injuries that cause obvious disfigurement, protracted impairment of health, loss or impairment of organ function, amputation, and injuries that risk cause of death.

Escape Incidents

- The last escape from within a maximum security secure perimeter was 2015 at Clinton Correctional Facility; prior to 2015 the last escape was in 2003.

- The last escape from within a medium security secure perimeter was 1992 at Mid-Orange Correctional Facility.

Prison Closures

- Since 1999, New York's prison population has declined by 29 percent, from a high of 72,649 inmates incarcerated (includes Willard DTC) to 51,456 today (2/01/17).
- Since 2011, the State has eliminated more than 5,500 prison beds and closed a total of 13 correctional facilities due to excess bed capacity resulting in an overall annual savings of approximately \$162 million.
- DOCCS security staff reductions have been consistent with inmate population declines. In each of the prison closure years more than 96% of affected employees remained employed, retired or resigned.

DOCCS Security Staff and Inmate Population							
December	Correction Officers Sergeants Lieutenants Positions Filled	Numeric Change	Percent Change	Offender Population (includes Willard)	Numeric Change	Percent Change	Staffing Ratio Inmate Population Versus Filled Uniform Staff Positions
2000	22,455	343	1.6%	71,354	-1,295	-1.8%	3.2
2001	21,821	-634	-2.8%	68,351	-3,003	-4.2%	3.1
2002	21,303	-518	-2.4%	67,658	-693	-1.0%	3.2
2003	20,839	-464	-2.2%	66,109	-1,549	-2.3%	3.2
2004	21,133	294	1.4%	64,905	-1,204	-1.8%	3.1
2005	21,414	281	1.3%	63,928	-977	-1.5%	3.0
2006	21,510	96	0.4%	64,410	482	0.8%	3.0
2007	21,386	155	-0.6%	63,425	-985	-1.5%	3.0
2008	21,278	108	-0.5%	60,933	-2,492	-3.9%	2.9
2009	20,580	698	-3.3%	59,279	-1,654	-2.7%	2.9
2010	20,596	16	0.1%	57,229	-2,050	-3.5%	2.8
2011	19,570	1,026	-4.9%	55,980	-1,249	-2.2%	2.9
2012	19,103	467	-2.9%	54,865	-1,115	-2.0%	2.9
2013	19,058	45	-0.2%	54,196	-669	-1.2%	2.8
2014	18,930	128	-0.7%	53,157	-1,039	-1.9%	2.8
2015	19,293	363	1.9%	52,363	-794	-1.5%	2.7

Staffing and Population Differences				
	12/31/99	2/01/17	Numeric Difference	Percent Change
Correction Officers Sergeants Lieutenants	22,112	19,280	2,832	-13.0%
Inmates (includes Willard)	72,649	51,456	21,193	-29.2%

DOCCS Correctional Facility Security Personnel
Council 82/NYSCOPBA Staff

	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2/1/17
Council 82														
Lieutenants	539	540	543	541	535	526	521	458	483	482	472	475	471	474
NYSCOPBA														
Sergeants	1,266	1,297	1,308	1,308	1,289	1,236	1,256	1,169	1,196	1,190	1,175	1,172	1,179	1,176
Officers	19,328	19,577	19,660	20,040	19,522	18,955	18,930	17,945	17,741	17,627	17,569	17,635	17,601	17,630
Subtotal	20,593	20,874	20,967	21,348	20,811	20,191	20,186	19,114	18,937	18,817	18,744	18,807	18,780	18,806
Total	21,133	21,414	21,510	21,889	21,346	20,717	20,707	19,572	19,420	19,300	19,216	19,282	19,251	19,280

**Number and Percent of Inmates Convicted of a Violent Felony Offense
In Male Maximum and Medium Security Facilities
February 1st of Selected Years**

	2007			2012			2017		
	VFO	Inmates	%	VFO	Inmates	%	VFO	Inmates	%
Maximum Facilities	18,624	24,617	75.7%	19,256	24,039	80.1%	17,690	21,844	81.0%
Medium Facilities	16,036	32,238	49.7%	14,799	27,308	54.2%	13,819	25,231	54.8%

Note: This table includes inmates at the following parole program facilities: Edgecombe, Willard, Orleans, and Hudson PDP.

**SHU Cell Occupants
Effective Beginning of Business**

Inmate Status	11/1/2016	12/1/2016	1/1/2017	2/1/2017
SHU Disciplinary Sanction	2,430	2,301	2,313	2,256
KEEPLOCK Disciplinary Sanction	485	522	498	525
Administrative Segregation	32	29	28	36
Involuntary Protective Custody	31	23	22	25
Pending Disciplinary Hearing	346	375	277	381
Pending Movement from Unit	50	58	44	45
Pending Investigation	1	0	0	0
Special Watch	2	5	3	3
Voluntary Protective Custody	23	19	18	14
TOTAL SHU Cell Occupants (Excluding Youthful Offenders)	3,400	3,332	3,203	3,285

Statewide Inmates Convicted of a Violent Felony Offense

End of Year	2012	2013	2014	2015	2016	2017
Violent Offenders	35,270	35,136	34,380	33,641	32,908	32,867

Note: This table includes offenders at the following parole program facilities: Edgecombe Residential Treatment, Orleans and Hudson Parole Diversion Programs and Willard Drug Treatment Campus.

2011 Releases by Return Rate

Release Type And Release Cohort	Total Released	Total Returned		Return Type New Commitment		Return Type Parole Violator	
2011 Releases	23,710	10,007	42.2%	2,041	8.6%	7,966	33.6%
Parole	9,217	4,811	52.2%	709	7.7%	4,102	44.5%
Conditional Release	11,991	4,767	39.8%	903	7.5%	3,864	32.2%
Maximum Expiration	2,502	429	17.1%	429	17.1%	N/A	N/A

Note: DOCCS defines recidivism as re-commitment or return to NYS DOCCS custody after an inmate's sanctioned release to the community.

Note: DOCCS followed a 2010 release cohort of offenders for three years to obtain the return rate data in the above table.

Community Supervision Staffing and Cases by Region/Bureau* (start of month data - excludes staff and parolees in the revocation process)

Region/Bureau	Number of Parolees	Number of Parole Officers & Senior Parole Officers
Bronx Region		
Bureau I	1,252	20
Bureau II	863	23
Bureau III	745	19
Bureau IV	1,027	21
Bureau V	911	18
Brooklyn Region		
Bureau I	841	18
Bureau II	1,073	18
Bureau III	895	16
Bureau IV	1,089	20
Bureau V	1,089	29
Central New York		
Northeast	1,543	36
Syracuse Belt	1,268	18
Syracuse	896	22
Utica	1,130	37
Hudson Valley Region		
New Rochelle	903	23
Peekskill	918	23
Albany	1,226	36
Poughkeepsie	961	26

Manhattan/Staten Island		
Manhattan Bureau II	785	17
Manhattan Bureau III	1,022	16
Manhattan Bureau IV	1,114	21
Manhattan Bureau VI	696	24
Staten Island	582	13
Queens/Long Island		
Queens Bureau I	836	15
Queens Bureau II	1,225	21
Queens Bureau III	870	17
Suffolk	1,648	33
Nassau	916	21
Western Region		
Buffalo Metro	1,172	24
Niagara Frontier	1,182	25
Elmira	1,149	27
Rochester Metro	1,554	35
Rochester Belt	1,228	24

*average case load size cannot be determined using this data