



Corrections and Community Supervision

Community Supervision

Legislative Report

2017

Community Supervision Legislative Report 2017

This annual report was produced in response to legislation enacted in 2011 that merged the former Department of Correctional Services and the former Division of Parole into the Department of Corrections and Community Supervision (see Correction Law Section 201 (15)).

The Department has issued five previous reports and they can be found at <http://www.doccs.ny.gov/Research/Research.html>. That legislation required the Commissioner of the new agency to provide an annual report to the legislature regarding: parolees released from prison to community supervision, parolees supervised on community supervision, parolees whose community supervision was revoked for violating the conditions of release, parolees who were returned to prison for a new offense, and parolees under community supervision who were transferred out of state to be supervised. This report provides the legislature with the required information. All references to community supervision refer to parole supervision; parolees on probation are not included in the report.

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EXECUTIVE SUMMARY

- There were 20,945 releases to supervision during 2016. This was a decrease of 19% from the 25,909 releases in 2007 (Table 1).
- In 2016, 5,169 or 25% of releases to community supervision were Board Releases. This was a decrease of 50% from the 10,293, Board Releases in 2007 (Table 1). This decrease was primarily a result of the change in drug offender sentencing from indeterminate to determinate beginning in 2004.
- Between 2007 and 2016, the proportion of Violent Felony Offenders (VFO) or A-I Violent Offenders released to community supervision increased from 30% to 43%. During the same period of time, the proportion of Drug Offenders released to community supervision decreased from 38% to 22% (Table 2).
- Since 2012, the active parolee population under community supervision has declined from 36,599 to 35,415, which represents a decrease of 3%. This reduction is slightly lower than the 6% decrease in the prison population during the same time period (Figure 1).
- While the total number of parolees under community supervision decreased between 2007 and 2016, the number of parolees under community supervision for violent offenses increased from 16,524 (39%) at the end of 2007 to 18,960 (54%) at the end of 2016 (Table 7).
- On December 31, 2016, 29% of parolees on the street were supervised at COMPAS Supervision Level 1 (25:1), 17% at Level 2 (40:1), 27% at Level 3 (80:1), and 26% at Level 4 (160:1) (Table 12).
- The number of ultimate violation process outcomes for parole violators with sustained charges decreased by 4%, from 13,242 in 2012 to 12,677 during 2016. The majority of violation outcomes in 2016 (83%) were ordered to prison, Willard or an Alternative 90 or 45 Day Program, while 17% were revoked and restored to the street or a program in the community (Table 14).
- The number of parolees returned to prison for new felony convictions declined 33% between 2007 and 2016. The number of parolees returned to prison for violating the conditions of parole decreased 15% during the same time period (Table 16).
- While 29% of the parolees being supervised were Level 1, 44% of the parolees returned to prison were Level 1. Conversely, 26% of parolees were supervised at Level 4, but only 7% of parolees returned to prison were Level 4 (Table 21).

- At the end of 2016, there were 1,479 parolees from New York who were being supervised out of state under the Interstate Compact. This was an increase of 15% since 2012 (Table 22).
- At the end of 2016, there were 1,185 parolees from other states who were being actively supervised in New York under the Interstate Compact. This was an increase of 13% since 2012 (Table 23).

Section 1 – Parolees Released to Community Supervision

There are four major mechanisms through which parolees are released to parole supervision in the community: 1) Board of Parole decision, 2) Presumptive Release, 3) Conditional Release, and 4) Other Release.

Board releases are the result of the Parole Board making decisions to release parolees from prison to parole supervision. Board releases include releases as a result of Final Deportation and Shock hearings.

Presumptive releases are releases from prison in which the Commissioner of the Department of Corrections and Community Supervision (DOCCS) makes determinations that inmates should be released from prison after having served at least 5/6 of their minimum sentences. For these releases, the Board of Parole sets the conditions of parole supervision in the community.

Conditional releases are releases in which inmates have reached their conditional release dates (typically 6/7 of a determinate sentence; 2/3 of an indeterminate sentence) and are automatically released from prison on that date, unless good time has been lost while in prison.

The Other Release category includes: being on parole supervision in another state and requesting transfer to New York (Cooperative cases), being judicially sentenced to parole supervision (i.e., Judicially Sentenced to the Willard Drug Treatment Campus), being a juvenile offender released to parole supervision directly from OCFS, being placed on parole supervision directly from local jail, and being placed on a specialized sex offender caseload in the community after a determination is made by the court (SIST¹).

- There were 20,945 releases to supervision during 2016, an increase of 2% from the 20,491 releases in 2015 and a decrease of 19% from the 25,909 releases in 2007 (Table 1).
- In 2016, 5,169 or 25% of releases to community supervision were Board releases. This was a decrease of 50% (5,124) since 2007, when 40% of releases were Board releases, and an increase of 5% since 2015. This decrease of 5,124 releases since 2007 was primarily a result of changes in drug offender sentencing from indeterminate to determinate beginning in 2004 (Table 1).

¹ SIST stands for Strict and Intensive Supervision and Treatment. Sex offender parolees can be placed on this type of community supervision caseload as part of the civil management process in New York. Parolees must be referred (usually by DOCCS) for civil management prior to release from prison or discharge from parole supervision and evaluated by the Office of Mental Health (OMH). If OMH determines that the parolee suffers from a "mental abnormality", the case is referred to the Attorney General for possible litigation. If the Attorney General proceeds with litigation, the parolee is entitled to a jury trial; a unanimous verdict is required for a parolee to be involuntarily confined or placed under intensive supervision. If the court finds that the parolee warrants Civil Management, but can safely be supervised in the community, he or she will be allowed to live in the community while supervised on a SIST caseload, as long as he or she complies with all the conditions set by the Board of Parole, does not break the law and receives the treatment he or she needs. For additional information on this topic, the following report can be referenced:

http://www.criminaljustice.ny.gov/nsor/somta_report_april2008.pdf

- There were 14,296 conditional releases during 2016, which was an increase of 2% from 2015 (Table 1).
- The number of Conditional releases increased by 1,243 or 10% between 2007 and 2016. During this time period, the proportion of Conditional releases among parole releases increased from 50% to 68% (Table 1).
- Presumptive releases began in 2003. There were 21 presumptive releases during 2016. This was a decrease of 98% from the 1,243 presumptive releases in 2007 (Table 1).
- Among Other Releases, the number of Judicially Sanctioned (JS) offender releases increased by 93% from the 10-year low of 429 in 2008 to 828 in 2016. This was an increase of 9% from the 763 JS releases in 2015 (Table 1).
- In 2016, there were 508 Co-Ops released from other states to Community Supervision, representing a decrease of 4% since 2007 (Table 1).
- The number of Juvenile Offender releases decreased by 51% from the 10-year high of 141 releases in 2008 to 69 releases in 2016 (Table 1).
- Between 2007 and 2016, the proportion of VFO or A-I Violent Offenders released to community supervision increased from 30% to 43%. During the same period of time, the proportion of Drug Offenders released to community supervision decreased from 38% to 22% (Table 2).
- The COMPAS supervision model was implemented in January 2012. The four supervision levels and the accompanying supervision ratios (25:1, 40:1, 80:1, and 160:1) and reporting requirements were determined based on a number of risk factors, including: risk of absconding, risk of any arrest, and risk of VFO arrest. SIST cases are supervised at a 10:1 ratio and are included in the Level 1 category. Sex offenders and discretionary mental health cases are also supervised at Level 1.
- During 2016, 32% of offenders released to supervision were supervised at Level 1 (25:1), 19% were supervised at Level 2 (40:1), 27% at Level 3 (80:1) and 20% at Level 4 (160:1) (Table 3).
- Among 2016 releases, the Manhattan and Western regions had the largest proportion of offenders supervised at Level 1, with 37%. Central NY had the smallest proportion of offenders supervised at Level 1, with 26% (Table 3).
- Among 2016 releases, the Brooklyn region had the largest proportion of offenders supervised at Level 4 (25%), while the Hudson Valley region had the smallest proportion (15%) (Table 3).

TABLE 1: OFFENDERS RELEASED TO COMMUNITY SUPERVISION 2007-2016

	<u>2007</u>	<u>2008</u>	<u>2009</u>	<u>2010</u>	<u>2011</u>	<u>2012</u>	<u>2013</u>	<u>2014</u>	<u>2015</u>	<u>2016</u>
Releases to Community Supervision										
Board Releases	9,390	8,570	6,490	6,024	5,673	5,433	4,688	4,663	4,359	4,624
Final Deportations	48	83	74	48	65	54	40	29	23	15
Shock Board	855	741	706	790	868	719	778	659	547	530
Total Board	10,293	9,394	7,270	6,862	6,606	6,206	5,506	5,351	4,929	5,169
<i>Board Percent of Total Releases</i>	<i>40%</i>	<i>36%</i>	<i>29%</i>	<i>29%</i>	<i>29%</i>	<i>27%</i>	<i>25%</i>	<i>25%</i>	<i>24%</i>	<i>25%</i>
Presumptive Release	1,243	751	541	268	158	80	94	56	35	21
Total Board/Presumptive Release	11,536	10,145	7,811	7,130	6,764	6,286	5,600	5,407	4,964	5,190
<i>Board/Presumptive Percent of Total Releases</i>	<i>45%</i>	<i>39%</i>	<i>32%</i>	<i>30%</i>	<i>29%</i>	<i>28%</i>	<i>25%</i>	<i>25%</i>	<i>24%</i>	<i>25%</i>
Conditional Releases	13,053	14,662	15,501	15,595	15,230	15,298	15,179	14,759	14,064	14,296
<i>Conditional Percent of Total Releases</i>	<i>50%</i>	<i>57%</i>	<i>63%</i>	<i>65%</i>	<i>66%</i>	<i>67%</i>	<i>69%</i>	<i>68%</i>	<i>69%</i>	<i>68%</i>
Co-Ops from Other States	527	364	522	481	515	518	631	540	589	508
Judicially Sanctioned	476	429	535	539	527	586	624	741	763	828
Juvenile Offenders	95	141	131	102	86	70	84	92	62	69
Local Releases	216	154	117	52	27	20	15	22	11	16
SIST	6	13	30	15	21	23	19	22	38	38
Total Other Releases	1,320	1,101	1,335	1,189	1,176	1,217	1,373	1,417	1,463	1,459
<i>Other Percent of Total Releases</i>	<i>5%</i>	<i>4%</i>	<i>5%</i>	<i>5%</i>	<i>5%</i>	<i>5%</i>	<i>6%</i>	<i>7%</i>	<i>7%</i>	<i>7%</i>
GRAND TOTAL RELEASES	25,909	25,908	24,647	23,914	23,170	22,801	22,152	21,583	20,491	20,945

TABLE 2: ORIGINAL CRIME OF COMMITMENT

OFFENDERS RELEASED TO COMMUNITY SUPERVISION 2007 TO 2016

Calendar Year	A-I Violent and Legislative VFO		Other Coercive		Drug Offenses		Major Property		Other Felony		YO/JO		Total	
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent
2007	7,804	30%	1,768	7%	9,858	38%	3,573	14%	1,920	8%	770	3%	25,693	100%
2008	8,168	32%	1,738	7%	9,810	38%	3,423	13%	1,793	7%	822	3%	25,754	100%
2009	8,120	33%	1,727	7%	8,935	37%	3,257	13%	1,733	7%	758	3%	24,530	100%
2010	8,227	34%	1,604	7%	8,085	34%	3,355	14%	1,891	8%	700	3%	23,862	100%
2011	8,613	37%	1,607	7%	7,215	31%	3,085	13%	1,956	9%	667	3%	23,143	100%
2012	8,840	39%	1,726	8%	6,181	27%	3,208	14%	2,106	9%	720	3%	22,781	100%
2013	9,001	41%	1,620	7%	5,498	25%	3,279	15%	2,062	9%	677	3%	22,137	100%
2014	9,094	42%	1,642	8%	5,022	23%	3,256	15%	1,947	9%	600	3%	21,561	100%
2015	8,572	42%	1,636	8%	4,715	23%	3,123	15%	1,871	9%	563	3%	20,480	100%
2016	8,973	43%	1,891	9%	4,665	22%	3,195	15%	1,670	8%	535	3%	20,929	100%

Note: CY 2007 excludes 216 Local Releases. CY 2008 excludes 154 Local Releases. CY 2009 excludes 117 Local Releases. CY 2010 excludes 52 Local Releases. CY 2011 excludes 27 Local Releases. CY 2012 excludes 20 Local Releases. CY 2013 excludes 15 Local Releases. CY 2014 excludes 22 Local Releases. CY 2015 excludes 11 Local Releases. CY 2016 excludes 16 Local Releases.

TABLE 3: COMPAS SUPERVISION LEVEL BY REGION FOR PAROLEES RELEASED TO COMMUNITY SUPERVISION IN 2016

Region	Level 1		Level 2		Level 3		Level 4		Pending		Total	
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent
Queens-LI	917	29%	579	19%	913	29%	641	21%	61	2%	3,111	100%
Brooklyn	789	33%	338	14%	610	26%	588	25%	52	2%	2,377	100%
Manhattan	820	37%	318	14%	556	25%	507	23%	41	2%	2,242	100%
Bronx	726	32%	380	17%	583	26%	529	23%	60	3%	2,278	100%
Hudson Valley	958	35%	737	27%	603	22%	408	15%	50	2%	2,756	100%
Central NY	1,026	26%	825	21%	1,232	32%	737	19%	88	2%	3,908	100%
Western	1,544	37%	850	20%	1,054	25%	696	17%	59	1%	4,203	100%
Total	6,780	32%	4,027	19%	5,551	27%	4,106	20%	411	2%	20,875	100%

Note: These figures exclude 70 out-of-state releases. Releases to Willard are included in the Central NY figures.

Section 2 – Parolees Under Community Supervision

This section presents information about parolees who were under supervision on December 31 of a particular year. This population reflects parolees under active supervision and, except where specifically reported, excludes parolees who are incarcerated in DOCCS facilities. Parolees on temporary release from prison and in community preparation status are also excluded from the figures.

- Since 2012, the active parolee population under community supervision has declined 3% from 36,599 to 35,415. This reduction is smaller than the 6% decrease in the prison population during the same time period (Figure 1).
- On December 31, 2016, the total parolee population was 45,655. Sixty percent (27,437) were streeted, or actively reporting to a parole officer. Out-of-state parolees made up 21% (9,491) of the parolee population. Twelve percent (5,528) were confined in local jails, federal or other facilities or in a DOCCS facility (Table 4).
- On December 31, 2016, there were 749 parolees incarcerated in DOCCS facilities. The majority of these individuals (69%) were housed in Parole Program Facilities, including Willard, Edgecombe Residential Treatment, Hale Creek PDP, and Orleans PDP (Table 5).
- On December 31, 2016, Drug Offenses and Property Offenses accounted for 36% each of the 749 incarcerated parolees were under supervision (Table 6).
- The number of parolees under community supervision for drug offenses decreased 32% from 10,587 at the end of 2011 to 7,169 at the end of 2016. In 2007, 38% of parolees were drug offenders, compared to only 20% in 2016 (Figure 2 and Table 7).
- While the total number of parolees under community supervision decreased between 2007 and 2016, the number of parolees under community supervision for violent offenses increased by 15% from 16,524 (39%) at the end of 2007 to 18,960 (54%) at the end of 2016 (Table 7).
- Almost half (48%) of the parolees under community supervision on December 31, 2016 were African-American. The median age of parolees was 37 and over half (51%) were from New York City. The large majority (93%) of parolees under supervision were male (Table 8).
- Fifty-seven percent of parolees under community supervision had a drug abuse history (Table 8).
- Of the parolees under community supervision, 3,041 or 9% were registered sex offenders (Tables 9A and 9B).

- Among the 2,473 female parolees under community supervision at the end of 2016, 31% were convicted of a Legislative VFO. The next largest crime category was Drug Offenses, which represented 25% of the female parolees (Tables 10A and 10B).
- On December 31, 2016, there were 193 Juvenile Offenders under community supervision. Nearly half (46%) of these Juvenile Offenders were age 25 or older (Table 11).
- On December 31, 2016, 29% of parolees on the street were supervised at COMPAS Supervision Level 1 (25:1), 17% at Level 2 (40:1), 27% at Level 3 (80:1), and 26% at Level 4 (160:1) (Table 12).

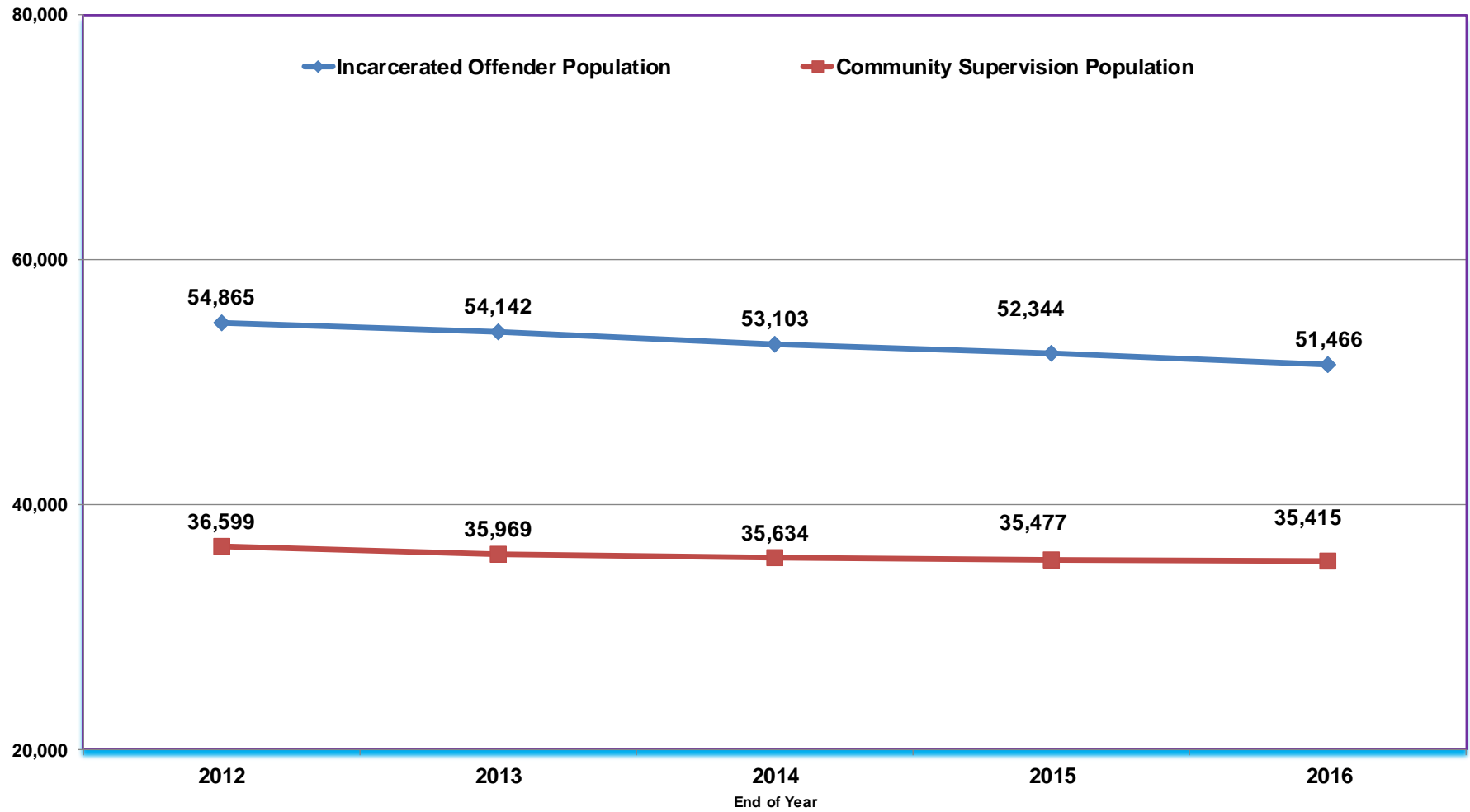
TABLE 4: COMMUNITY SUPERVISION POPULATION ALLOCATION
December 31, 2016

Total Streeted Parolees	27,437	60.1%
Absconders	3,166	6.9%
Other Active ¹	33	0.1%
Confined in Local Custody	4,469	9.8%
Confined in Federal or Other Facilities	310	0.7%
Total Active Parolee Population	35,415	77.6%
Incarcerated Parolees in DOCCS Facilities	749	1.6%
Out of State Parolees ²	9,491	20.8%
Total Parolee Population	45,655	100%

¹ Parolees whose deaths have not yet been verified or are in Inactive supervision status.

² Parolees who have been deported, transferred to another state via the Interstate Compact or are incarcerated out of state.

**Figure 1: Incarcerated Offender Population Down 6% ;
Community Supervision Population Down 3%**



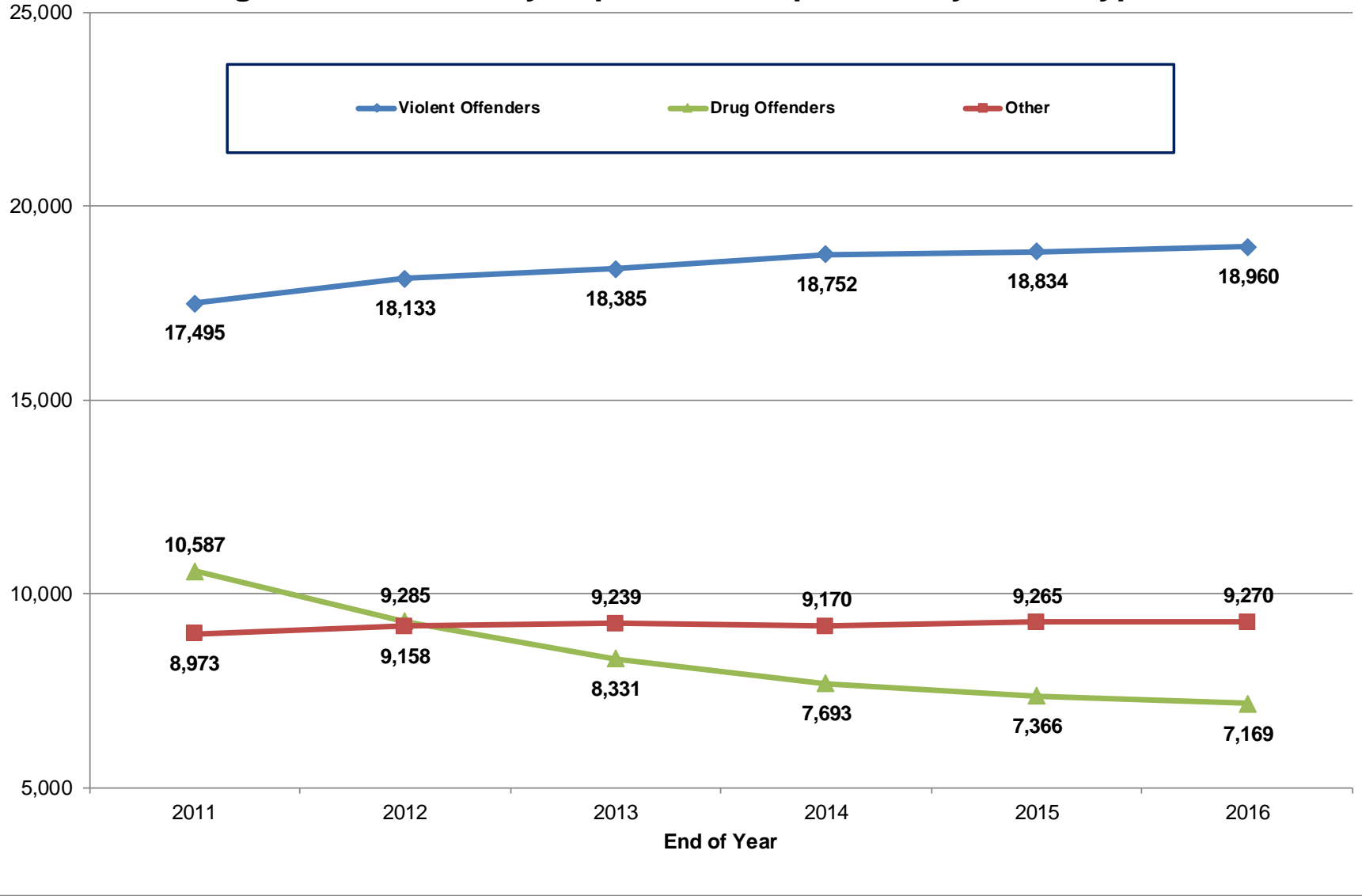
**TABLE 5: SECURITY LEVEL AND FACILITY BY GENDER
INCARCERATED PAROLEES UNDER CUSTODY DECEMBER 31, 2016**

		GENDER		TOTAL
		MALE	FEMALE	
MAXIMUM SECURITY	AUBURN	1	0	1
	BEDFORD HILLS	0	20	20
	COXSACKIE	1	0	1
	DOWNSTATE	29	0	29
	ELMIRA	22	0	22
	FISHKILL SHU200	2	0	2
	FIVE POINTS	19	0	19
	GREEN HAVEN	13	0	13
	MARCY RMHU	1	0	1
	MOHAWK (WALSH)	3	0	3
	WENDE	7	0	7
		SUBTOTAL	98	20
MEDIUM SECURITY	ALBION FEMALE	0	1	1
	CAYUGA	3	0	3
	FISHKILL	44	0	44
	HALE CREEK	1	0	1
	MARCY	3	0	3
	MID-STATE	4	0	4
	ORLEANS	2	0	2
	ULSTER	14	0	14
	WOODBOURNE	3	0	3
	SUBTOTAL	74	1	75
MINIMUM SECURITY	FISHKILL WORK RELEASE	20	0	20
	QUEENSBORO GENERAL	15	0	15
		SUBTOTAL	35	0
PAROLE PROGRAM FACILITY	EDGECOMBE RESIDENTIAL TREATMENT	53	0	53
	HALE CREEK PDP	43	0	43
	ORLEANS PDP	20	0	20
	WILLARD	342	1	343
	WILLARD FEMALE	0	62	62
	SUBTOTAL	458	63	521
GRAND TOTAL		665	84	749

**TABLE 6: CRIME BY GENDER
INCARCERATED PAROLEES UNDER CUSTODY ON DECEMBER 31, 2016**

COMMITMENT OFFENSE TYPE		GENDER		TOTAL
		MALE	FEMALE	
VIOLENT FELONY	ATTEMPTED MURDER	2	0	2
	MANSLT 1ST, AG 2ND	2	0	2
	RAPE 1ST	13	0	13
	ROBBERY 1ST	6	0	6
	ROBBERY 2ND	10	0	10
	ASSAULT 1ST	2	0	2
	ASSAULT 2ND	8	0	8
	BURGLARY 1ST	3	0	3
	BURGLARY 2ND	20	0	20
	SODOMY 1ST	3	0	3
	SEX AB 1ST, AG 2	24	0	24
	WEAPONS OFFENSES	13	0	13
	OTHER VFO SEX OFF	15	0	15
	SUBTOTAL	121	0	121
	<i>PERCENT VIOLENT FELONY</i>	18%	--	16%
OTHER COERCIVE	ROBBERY 3RD	20	1	21
	ATT ASSAULT 2ND	9	1	10
	CONSPIRACY 2,3,4	2	0	2
	OTHER WEAPONS	9	0	9
	OTHER SEX OFFENSES	18	0	18
	OTHER COERCIVE	7	0	7
	SUBTOTAL	65	2	67
<i>PERCENT OTHER COERCIVE</i>	10%	2%	9%	
DRUG OFFENSES	DRUG SALE	97	18	115
	DRUG POSSESSION	137	15	152
	SUBTOTAL	234	33	267
<i>PERCENT DRUG OFFENSES</i>	35%	39%	36%	
PROPERTY & OTHER	BURGLARY 3RD	86	12	98
	GRAND LARCENY	50	15	65
	FORGERY	20	4	24
	STOLEN PROPERTY	12	1	13
	DRIVE INTOXICATED	18	6	24
	CONTEMPT 1ST	4	0	4
	ALL OTHER FELONIES	29	9	38
	SUBTOTAL	219	47	266
<i>PERCENT PROPERTY AND OTHER OFFENSES</i>	33%	56%	36%	
YOUTHFUL OFFENDER	SUBTOTAL	25	2	27
<i>PERCENT YOUTHFUL OFFENDER</i>	4%	2%	4%	
JUVENILE OFFENDER	SUBTOTAL	1	0	1
<i>PERCENT JUVENILE OFFENDER</i>	0%	0%	0%	
GRAND TOTAL		665	84	749

Figure 2: Community Supervision Population by Crime Type



**TABLE 7: ORIGINAL CRIME OF COMMITMENT
OFFENDERS UNDER COMMUNITY SUPERVISION
DECEMBER 31**

The proportion of Violent Felony Offenders and A-I Violent Offenders among those under supervision has increased in recent years primarily due to early discharge options for non-violent offenders. As of December 31, 2016 half (54%) of all offenders under Community Supervision were Violent Felony Offenders while one-fifth (20%) were Drug Offenders.

December 31	A-I Violent and Legislative VFO		Other Coercive		Drug Offenses		Major Property		Other Felony		YO/JO		Total	
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent
2007	16,524	39%	2,174	5%	15,828	38%	4,498	11%	2,231	5%	909	2%	42,164	100%
2008	16,299	40%	2,192	5%	14,958	36%	4,473	11%	2,263	6%	952	2%	41,137	100%
2009	16,665	43%	2,170	6%	13,062	33%	4,130	11%	2,124	5%	937	2%	39,088	100%
2010	16,857	44%	2,065	5%	11,913	31%	4,127	11%	2,276	6%	815	2%	38,053	100%
2011	17,495	47%	2,044	6%	10,587	29%	3,858	10%	2,293	6%	778	2%	37,055	100%
2012	18,133	50%	2,170	6%	9,285	25%	3,851	11%	2,377	6%	760	2%	36,576	100%
2013	18,385	51%	2,070	6%	8,331	23%	3,930	11%	2,493	7%	746	2%	35,955	100%
2014	18,752	53%	2,166	6%	7,693	22%	3,942	11%	2,361	7%	701	2%	35,615	100%
2015	18,834	53%	2,223	6%	7,366	21%	3,964	11%	2,335	7%	743	2%	35,465	100%
2016	18,960	54%	2,671	8%	7,169	20%	3,939	11%	1,982	6%	678	2%	35,399	100%

Note: Table excludes 203 locals on December 31, 2007, 131 locals on December 31, 2008, 121 locals on December 31, 2009, 54 locals on December 31, 2010, 28 locals on December 31, 2011, 23 locals on December 31, 2012, 14 locals on December 31, 2013, 19 locals on December 31, 2014, 12 locals on December 31, 2015 and 16 locals on December 31, 2016.

The data in this table reflects parolees under community supervision in New York state and excludes parolees incarcerated in DOCCS facilities.

The sums of the percentage subtotals may not equal 100% due to rounding.

Major Property includes Burglary 3, Grand Larceny, Forgery and Stolen Property.

TABLE 8: COMMUNITY SUPERVISION OFFENDER FACTS*

The community supervision offender population is largely minority, poorly educated and underemployed. Over half (58%) of parolees live in New York City and Long Island.

December 31, 2016

Ethnicity	Service Needs
48% - African American	57% - Drug Abuse
23% - Hispanic	42% - Alcohol Abuse
26% - White	48% - Unemployment
3% - Other	37% - No High School Diploma or Equivalency
Sex	Residence
93% - Male	51% - New York City
7% - Female	7% - Long Island
Age	42% - Upstate
Median - 37	
Mean - 40	
Conviction Crime**	
<u>A-I Violent*** and VFO Offenses 53%</u>	<u>Drugs 20%</u>
14% - Robbery	11% - Sale
7% - Murder/Manslaughter	9% - Possession
9% - Weapons	
8% - Assault	<u>Property/Other 17%</u>
9% - Burglary	5%-Burglary
5% - Sex Offenses	4% - Larceny
1% - Kidnapping/Arson	2% - Other theft
	2% - DWI
	4% - Other
<u>Other Violent 8%</u>	<u>YO/JO 2%</u>
2% - Robbery	2% - YO/JO
6% - Other	

* This information is for parolees under supervision in New York State and excludes parolees incarcerated in New York state correctional facilities.

**All conviction crimes reflect top charge.

***A-1 violent offenses include Murder, Attempted Murder 1st, Arson 1st and Kidnapping 1st. VFOs include other legislatively-designated violent felony offenses.

TABLE 9A: COMMUNITY SUPERVISION OFFENDER CHARACTERISTICS (NUMBERS)
December 31, 2016

The following table presents the characteristics of offenders supervised within New York State by Region. The figures exclude temporary release, community preparation and parolees incarcerated in DOCCS facilities.

	QUEENS/ LI	BROOKLYN	NYC ABSCONDERS*	MANHATTAN	BRONX	HUDSON VALLEY	CENTRAL NY	WESTERN NY	IN-STATE TOTAL
GENDER									
Male	5,327	4,677	1,312	3,747	4,487	3,562	4,273	5,557	32,942
Female	319	222	77	216	234	309	510	586	2,473
AGE									
16-17 years	4	2	0	2	0	5	2	3	18
18-20 years	74	51	3	35	53	48	89	78	431
21-29 years	1,449	1,177	86	873	1,121	1,023	1,418	1,728	8,875
30-39 years	1,880	1,453	170	1,054	1,402	1,232	1,617	2,023	10,831
40-49 years	1,125	1,039	337	873	1,006	784	853	1,183	7,200
50-59 years	836	932	429	826	862	559	595	819	5,858
60 years or older	278	245	364	300	277	220	209	309	2,202
RACE/ETHNICITY									
African American	2,964	3,339	424	2,139	2,375	1,817	1,246	2,660	16,964
Hispanic	1,240	1,096	757	1,329	1,998	651	382	638	8,091
White	1,181	324	156	374	227	1,280	3,018	2,701	9,261
Other	249	125	52	112	111	103	120	139	1,011
Unknown	12	15	0	9	10	20	17	5	88
DRUG ABUSE HISTORY									
Yes	2,982	2,092	772	1,826	2,385	2,417	3,274	4,319	20,067
No	2,469	2,660	320	2,029	2,128	1,328	1,362	1,606	13,902
Unknown	195	147	297	108	208	126	147	218	1,446
ALCOHOL ABUSE HISTORY									
Yes	2,095	1,377	548	1,161	1,373	1,820	2,743	3,853	14,970
No	3,352	3,374	528	2,694	3,140	1,925	1,893	2,072	18,978
Unknown	199	148	313	108	208	126	147	218	1,467
EMPLOYMENT STATUS**									
Employed	1,896	1,527	N/A	1,020	1,457	1,493	1,450	2,121	10,964
Unemployed	1,916	1,893	N/A	1,482	1,767	1,442	2,095	2,439	13,034
Unable to be Employed	181	202	N/A	300	167	164	326	347	1,687
Unknown	297	245	N/A	126	300	202	206	338	1,714
EDUCATION									
No Degree	1,883	2,024	766	1,703	2,169	1,135	1,406	1,990	13,076
High School Grad/GED	3,456	2,614	585	2,017	2,288	2,461	3,218	3,968	20,607
At Least Some College	307	261	38	243	264	275	159	185	1,732
ORIGINAL CONVICTION OFFENSE									
A-1 Violent	216	355	22	202	255	150	74	172	1,446
Legislative VFO	3,035	2,745	526	2,112	2,574	1,849	1,723	2,950	17,514
Other Coercive	433	374	59	259	305	293	457	491	2,671
Drug Offenses	1,011	748	618	904	1,018	764	1,097	1,012	7,172
Major Property	577	437	109	328	347	471	858	813	3,940
Other Felony	258	159	32	112	126	272	455	580	1,994
Youthful Offender	104	59	20	37	68	60	112	114	574
Juvenile Offender	12	22	3	9	28	12	7	11	104
SEX OFFENDER REGISTRY OFFENSE									
Yes	284	206	70	337	242	342	809	751	3,041
No	5,362	4,693	1,319	3,626	4,479	3,529	3,974	5,392	32,374
TOTAL CASES	5,646	4,899	1,389	3,963	4,721	3,871	4,783	6,143	35,415 ***

Notes:

* NYC Absconder Caseloads assigned to the Bureau of Special Services.

** Employment status is based on active offenders under supervision only so this information is not available for absconders.

*** Excludes 16 Local releases.

TABLE 9B: COMMUNITY SUPERVISION OFFENDER CHARACTERISTICS (PERCENTS)
December 31, 2016

The following table presents the characteristics of offenders supervised within New York State by Region. The figures exclude temporary release, community preparation and incarcerated parolees and have been adjusted for missing information.

	QUEENS/ LI	BROOKLYN	NYC ABSCONDERS*	MANHATTAN	BRONX	HUDSON VALLEY	CENTRAL NY	WESTERN NY	IN-STATE TOTAL
GENDER									
Male	94%	95%	94%	95%	95%	92%	89%	90%	93%
Female	6%	5%	6%	5%	5%	8%	11%	10%	7%
AGE									
16-17 years	0%	<1%	0%	<1%	<1%	<1%	<1%	<1%	<1%
18-20 years	1%	1%	<1%	1%	1%	1%	2%	2%	1%
21-29 years	27%	25%	7%	23%	24%	27%	29%	28%	26%
30-39 years	32%	29%	15%	26%	29%	31%	33%	32%	30%
40-49 years	20%	22%	26%	23%	23%	21%	19%	19%	21%
50-59 years	15%	18%	30%	20%	17%	14%	12%	14%	16%
60 years or older	4%	5%	22%	6%	5%	5%	4%	5%	6%
RACE/ETHNICITY									
African American	52%	68%	31%	54%	50%	47%	26%	43%	48%
Hispanic	22%	22%	54%	34%	42%	17%	8%	10%	23%
White	21%	7%	11%	9%	5%	33%	63%	44%	26%
Other	4%	3%	4%	3%	2%	3%	3%	2%	3%
Unknown	<1%	<1%	0%	<1%	<1%	<1%	<1%	<1%	<1%
DRUG ABUSE HISTORY									
Yes	53%	43%	56%	46%	51%	62%	68%	70%	57%
No	44%	54%	23%	51%	45%	34%	28%	26%	39%
Unknown	3%	3%	21%	3%	4%	3%	3%	4%	4%
ALCOHOL ABUSE HISTORY									
Yes	37%	28%	39%	29%	29%	47%	57%	63%	42%
No	59%	69%	38%	68%	67%	50%	40%	34%	54%
Unknown	4%	3%	23%	3%	4%	3%	3%	4%	4%
EMPLOYMENT STATUS**									
Employed	44%	39%	N/A	35%	39%	45%	36%	40%	40%
Unemployed	45%	49%	N/A	51%	48%	44%	51%	47%	48%
Unable to be Employed	4%	5%	N/A	10%	5%	5%	8%	7%	6%
Unknown	7%	6%	N/A	4%	8%	6%	5%	6%	6%
EDUCATION									
No Degree	33%	41%	55%	43%	46%	29%	29%	32%	37%
High School Grad/GED	61%	53%	42%	51%	48%	64%	67%	65%	58%
At Least Some College	5%	5%	3%	6%	6%	7%	3%	3%	5%
ORIGINAL CONVICTION OFFENSE									
A-1 Violent	4%	7%	2%	5%	5%	4%	2%	3%	4%
Legislative VFO	54%	56%	38%	53%	55%	48%	36%	48%	49%
Other Coercive	8%	8%	4%	7%	6%	8%	10%	8%	8%
Drug Offenses	18%	15%	44%	23%	22%	20%	23%	16%	20%
Major Property	10%	9%	8%	8%	7%	12%	18%	13%	11%
Other Felony	5%	3%	2%	3%	3%	7%	10%	9%	6%
Youthful Offender	2%	1%	1%	1%	1%	2%	2%	2%	2%
Juvenile Offender	<1%	<1%	<1%	<1%	1%	<1%	<1%	<1%	<1%
SEX OFFENDER REGISTRY OFFENSE									
Yes	5%	4%	5%	9%	5%	9%	17%	12%	9%
No	95%	96%	95%	91%	95%	91%	83%	88%	91%
TOTAL CASES									
	5,646	4,899	1,389	3,963	4,721	3,871	4,783	6,143	35,415 ***
REGIONAL PERCENT									
	16%	14%	4%	11%	13%	11%	14%	17%	100% ***

Notes:

* NYC Absconder Caseloads assigned to the Warrant Squads and Bureau of Special Services.

** Employment status is based on active offenders under supervision only so this information is not available for absconders.

*** Excludes 16 Local releases.

**** Subtotal percentages may not equal 100% due to rounding.

TABLE 10A: CHARACTERISTICS OF FEMALE OFFENDERS UNDER COMMUNITY SUPERVISION (NUMBERS)
December 31, 2016

The following table presents the characteristics of offenders supervised within New York State by Region. The figures exclude temporary release, community preparation and parolees incarcerated in DOCCS facilities.

	QUEENS/ LI	BROOKLYN	NYC ABSCONDERS*	MANHATTAN	BRONX	HUDSON VALLEY	CENTRAL NY	WESTERN NY	IN-STATE TOTAL
AGE									
16-17 years	1	2	0	0	0	0	0	0	3
18-20 years	4	1	1	1	1	2	7	5	22
21-29 years	83	59	2	45	48	76	162	160	635
30-39 years	103	65	11	62	92	106	184	203	826
40-49 years	60	45	13	50	45	75	92	119	499
50-59 years	49	38	33	47	44	40	55	80	386
60 years or older	19	12	17	11	4	10	10	19	102
RACE/ETHNICITY									
African American	136	142	22	132	130	94	71	154	881
Hispanic	50	50	34	45	77	28	15	18	317
White	114	25	19	35	25	182	412	400	1,212
Other	19	5	2	3	2	5	11	12	59
Unknown	0	0	0	1	0	0	1	2	4
DRUG ABUSE HISTORY									
Yes	155	96	43	107	128	204	390	429	1,552
No	155	118	16	104	99	95	103	125	815
Unknown	9	8	18	5	7	10	17	32	106
ALCOHOL ABUSE HISTORY									
Yes	115	66	29	63	73	146	306	375	1,173
No	195	148	29	148	154	153	187	179	1,193
Unknown	9	8	19	5	7	10	17	32	107
EMPLOYMENT STATUS**									
Employed	106	66	N/A	49	52	100	138	171	682
Unemployed	134	92	N/A	89	112	154	272	272	1,125
Unable to be Employed	17	13	N/A	25	7	15	32	48	157
Unknown	18	10	N/A	4	16	17	24	39	128
EDUCATION									
No Degree	99	112	42	98	119	87	131	164	852
High School Grad/GED	191	98	32	108	102	207	359	395	1,492
At Least Some College	29	12	3	10	13	15	20	27	129
ORIGINAL CONVICTION OFFENSE									
A-1 Violent	15	8	0	8	3	5	3	5	47
Legislative VFO	125	99	16	105	109	91	77	155	777
Other Coercive	24	20	3	11	14	35	41	44	192
Drug Offenses	62	41	42	51	60	56	170	144	626
Major Property	60	35	13	30	31	88	151	133	541
Other Felony	28	15	2	7	12	30	60	94	248
Youthful Offender	5	4	1	4	3	4	8	11	40
Juvenile Offender	0	0	0	0	2	0	0	0	2
SEX OFFENDER REGISTRY OFFENSE									
Yes	5	2	0	0	1	4	18	18	48
No	314	220	77	216	233	305	492	568	2,425
TOTAL CASES	319	222	77	216	234	309	510	586	2,473 ***

Notes:

* NYC Absconder Caseloads assigned to the Warrant Squads and Bureau of Special Services.

** Employment status is based on active offenders under supervision only so this information is not available for absconders.

*** Includes 5 Local releases.

TABLE 10B: CHARACTERISTICS OF FEMALE OFFENDERS UNDER COMMUNITY SUPERVISION (PERCENTS)

December 31, 2016

The following table presents the characteristics of offenders supervised within New York State by Region. The figures exclude temporary release, community preparation and incarcerated parolees and have been adjusted for missing information.

	QUEENS/ LI	BROOKLYN	NYC ABSCONDERS*	MANHATTAN	BRONX	HUDSON VALLEY	CENTRAL NY	WESTERN NY	IN-STATE TOTAL
AGE									
16-17 years	<1%	1%	0%	0%	0%	0%	0%	0%	<1%
18-20 years	1%	<1%	1%	<1%	<1%	1%	1%	1%	1%
21-29 years	26%	27%	3%	21%	21%	25%	32%	27%	26%
30-39 years	32%	29%	14%	29%	39%	34%	36%	35%	33%
40-49 years	19%	20%	17%	23%	19%	24%	18%	20%	20%
50-59 years	15%	17%	43%	22%	19%	13%	11%	14%	16%
60 years or older	6%	5%	22%	5%	2%	3%	2%	3%	4%
RACE/ETHNICITY									
African American	43%	64%	29%	61%	56%	30%	14%	26%	36%
Hispanic	16%	23%	44%	21%	33%	9%	3%	3%	13%
White	36%	11%	25%	16%	11%	59%	81%	68%	49%
Other	6%	2%	3%	1%	1%	2%	2%	2%	2%
Unknown	0%	0%	0%	<1%	0%	0%	<1%	0%	<1%
DRUG ABUSE HISTORY									
Yes	49%	43%	56%	50%	55%	66%	76%	73%	63%
No	49%	53%	21%	48%	42%	31%	20%	21%	33%
Unknown	3%	4%	23%	2%	3%	3%	3%	5%	4%
ALCOHOL ABUSE HISTORY									
Yes	36%	30%	38%	29%	31%	47%	60%	64%	47%
No	61%	67%	38%	69%	66%	50%	37%	31%	48%
Unknown	3%	4%	25%	2%	3%	3%	3%	5%	4%
EMPLOYMENT STATUS**									
Employed	39%	36%	N/A	29%	28%	35%	30%	32%	33%
Unemployed	49%	51%	N/A	53%	60%	54%	58%	51%	54%
Unable to be Employed	6%	7%	N/A	15%	4%	5%	7%	9%	8%
Unknown	7%	6%	N/A	2%	9%	6%	5%	7%	6%
EDUCATION									
No Degree	31%	50%	55%	45%	51%	28%	26%	28%	34%
High School Grad/GED	60%	44%	42%	50%	44%	67%	70%	67%	60%
At Least Some College	9%	5%	4%	5%	6%	5%	4%	5%	5%
ORIGINAL CONVICTION OFFENSE									
A-1 Violent	5%	4%	0%	4%	1%	2%	<1%	1%	2%
Legislative VFO	39%	45%	21%	49%	47%	29%	15%	26%	31%
Other Coercive	8%	9%	4%	5%	6%	11%	8%	8%	8%
Drug Offenses	19%	18%	55%	24%	26%	18%	33%	25%	25%
Major Property	19%	16%	17%	14%	13%	28%	30%	23%	22%
Other Felony	9%	7%	3%	3%	5%	10%	12%	16%	10%
Youthful Offender	2%	2%	1%	2%	1%	1%	2%	2%	2%
Juvenile Offender	0%	0%	0%	0%	1%	0%	0%	0%	<1%
SEX OFFENDER REGISTRY OFFENSE									
Yes	2%	1%	0%	0%	<1%	1%	4%	3%	2%
No	98%	99%	100%	100%	100%	99%	96%	97%	98%
TOTAL CASES									
	319	222	77	216	234	309	510	586	2,473
REGIONAL PERCENT									
	13%	9%	3%	9%	9%	12%	21%	24%	100% ***

Notes:

* NYC Absconder Caseloads assigned to the Warrant Squads and Bureau of Special Services.

** Employment status is based on active offenders under supervision only so this information is not available for absconders.

*** Includes 5 Local releases.

*** Subtotal percentages may not equal 100% due to rounding.

**Table 11: Characteristics of Juvenile Offenders Under Community Supervision
December 31, 2016**

The following table presents the characteristics of Juvenile Offenders supervised within New York State by Region. The figures exclude temporary release, community preparation and unassigned cases and have been adjusted for missing information.

	QUEENS/ LI	BROOKLYN	NYC ABSCONDERS*	MANHATTAN	BRONX	HUDSON VALLEY	CENTRAL NY	WESTERN NY	IN-STATE TOTAL
GENDER									
Male	24	33	6	24	39	23	8	23	180
Female	1	4	2	1	3	1	0	1	13
TOTAL GENDER	25	37	8	25	42	24	8	24	193
AGE									
16-17 years	3	2	0	2	0	4	1	3	15
18-20 years	9	10	1	10	12	7	0	7	56
21-22 years	2	5	0	4	3	3	1	3	21
23-24 years	3	1	0	1	1	2	1	3	12
25 years or Older	8	19	7	8	26	8	5	8	89
TOTAL AGE	25	37	8	25	42	24	8	24	193
RACE/ETHNICITY									
African American	18	28	3	19	23	18	7	17	133
Hispanic	5	7	2	5	16	3	0	3	41
White	0	2	0	1	3	3	1	3	13
Other	2	0	3	0	0	0	0	0	5
Unknown	0	0	0	0	0	0	0	1	1
TOTAL RACE/ETHNICITY	25	37	8	25	42	24	8	24	193
DRUG ABUSE HISTORY									
Yes	14	14	7	10	21	11	4	14	95
No	10	23	0	15	21	10	4	10	93
Unknown	1	0	1	0	0	3	0	0	5
TOTAL DRUG ABUSE	25	37	8	25	42	24	8	24	193
ALCOHOL ABUSE HISTORY									
Yes	7	8	7	3	9	9	2	9	54
No	17	29	0	22	33	12	6	15	134
Unknown	1	0	1	0	0	3	0	0	5
TOTAL ALCOHOL ABUSE	25	37	8	25	42	24	8	24	193
EMPLOYMENT STATUS**									
Employed	6	8	N/A	7	16	10	5	5	57
Unemployed	10	16	N/A	12	12	3	3	13	69
Unable to be Employed	0	1	N/A	1	1	2	0	1	6
TOTAL EMPLOYMENT	16	25	N/A	20	29	15	8	19	132
EDUCATION									
Grade School Only	2	5	3	5	12	4	1	3	35
Some High School	13	12	1	3	8	3	4	4	48
High School Diploma or Equivalency	0	4	0	0	2	4	0	4	14
Some College	0	0	0	1	0	0	0	0	1
Unknown	10	16	4	16	20	13	3	13	95
TOTAL EDUCATION	15	21	4	9	22	11	5	11	98
SEX OFFENDER REGISTRY OFFENSE									
Yes	1	0	0	0	0	1	0	3	5
No	24	37	8	25	42	23	8	21	188
TOTAL SEX OFFENDER	25	37	8	25	42	24	8	24	193
TOTAL CASES	25	37	8	25	42	24	8	24	193

Notes:

The table represents Juvenile Offenders released from OCFS and DOCCS facilities.

* NYC Absconder Caseloads assigned to the Warrant Squads and Bureau of Special Services.

** Employment status is based on active offenders under supervision only so this information is not available for absconders.

TABLE 12: COMPAS SUPERVISION LEVEL BY REGION FOR PAROLEES IN THE COMMUNITY
December 31, 2016

	Level 1		Level 2		Level 3		Level 4		Pending		Total	
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent
Queens-LI	1,135	26%	675	16%	1,245	29%	1,172	27%	63	1%	4,290	100%
Brooklyn	996	26%	526	14%	1,127	29%	1,160	30%	58	1%	3,867	100%
Manhattan	994	34%	418	14%	674	23%	801	27%	41	1%	2,928	100%
Bronx	1,119	30%	545	15%	969	26%	995	27%	63	2%	3,691	100%
Hudson Valley	886	27%	921	28%	815	25%	635	19%	44	1%	3,301	100%
Central NY	1,230	30%	702	17%	1,166	29%	952	23%	27	1%	4,077	100%
Western	1,533	29%	909	17%	1,378	26%	1,369	26%	56	1%	5,245	100%
Total	7,893	29%	4,696	17%	7,374	27%	7,084	26%	352	1%	27,399	100%

Note: this table reflects parolees supervised on the street in New York state and excludes those in the violation process, incarcerated in DOCCS facilities and out of state or in ICE custody, and absconders at large.

Note: Percentage totals may not equal 100% due to rounding.

Section 3 - Violation Process

There are three major outcomes associated with violations of the conditions of parole supervision: return to prison, placement in an alternative program, and restoration to the community. A parolee can be ordered to return to prison to serve a time assessment imposed at a parole revocation hearing. It is possible that a parolee will serve the full time assessment in local jail rather than prison because he or she was also facing local criminal charges. Another sanction that can be imposed for the violation of parole supervision is placement in an alternative program, such as drug treatment at the Willard Drug Treatment Campus. Finally, a parolee can have his or her parole supervision revoked, but be restored to community supervision, frequently with an additional condition to participate in specified programming in the community.

- Of the 15,161 warrants issued in 2016, 42% were issued to parolees supervised at Level 1, 27% at Level 2, 22% at Level 3 and 8% at Level 4. Nearly half (46%) of the parolees under supervision were supervised at Level 1 or 2, but over two-thirds (69%) of warrants issued were to individuals supervised at Levels 1 or 2 (Table 13).
- Between 2012 and 2016, the number of ultimate violation process outcomes for parole violators with sustained charges decreased by 4%, from 13,242 in 2012 to 12,677 in 2016 (Table 14).
- In 2016, the majority (83%) of violation outcomes were ordered to prison, Willard or an Alternative 90 or 45 Day Program², while 17% were revoked and restored to the street or a program in the community (Table 14).
- Since 2012, parolees who had a parole violation that resulted in the revocation of parole supervision and return to prison consistently made up the largest category of violation process outcomes. In 2016, 58% of violations resulted in a decision of Revoke and Ordered Returned to Prison. This was a decrease from 64% in 2012 (Table 14).
- Between 2012 and 2016, the number of violations resulting in parolees being returned to prison decreased by 13%; between 2015 and 2016, the number decreased by 2% (Table 14).
- In 2012, 22% of violations resulted in placement at Willard (including the Alt 90 program) or in the Edgecombe Alt 45 program. By 2016, the proportion of violations resulting in placement in these programs increased to 25% (Table 14).

² Alternative 90 and 45 Day Programs include violators participating in alternative drug treatment programs at both the Willard Drug Treatment Campus and Edgecombe Residential Treatment Facility as DOCCS inmates.

TABLE 13: 2016 WARRANTS ISSUED BY SUPERVISION LEVEL

Supervision Level	Type of Warrant							
	Absconder		New Arrest		Rule Violation		Total	
	Number	Percent	Number	Percent	Number	Percent	Number	Percent
1 (25:1)	2,295	40%	1,810	37%	2,271	51%	6,376	42%
2 (40:1)	1,718	30%	1,277	26%	1,048	23%	4,043	27%
3 (80:1)	1,259	22%	1,303	26%	806	18%	3,368	22%
4 (160:1)	406	7%	532	11%	337	7%	1,275	8%
Pending	38	1%	28	1%	33	1%	99	1%
TOTAL	5,716	100%	4,950	100%	4,495	100%	15,161	100%

Supervision Level for Parolees in the Community	
December 31, 2016	
1 (25:1)	29%
2 (40:1)	17%
3 (80:1)	27%
4 (160:1)	26%
Pending	1%
TOTAL	100%

*Percentage total may not equal 100% due to rounding.

**TABLE 14: ULTIMATE DISPOSITIONS FOR VIOLATIONS
WITH CHARGES SUSTAINED**

	2012		2013		2014		2015		2016	
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent
Revoke and Ordered Returned to Prison*	8,502	64.2%	8,415	63.6%	7,460	61.1%	7,504	61.8%	7,375	58.2%
Revoke and Restore to Willard - Mandatory	935	7.1%	877	6.6%	852	7.0%	904	7.4%	952	7.5%
Revoke and Restore to Willard - Voluntary	201	1.5%	163	1.2%	159	1.3%	143	1.2%	143	1.1%
Alternative 90 Day Program	1,654	12.5%	1,804	13.6%	1,714	14.0%	1,758	14.5%	1,887	14.9%
Alternative 45 Day Program	121	0.9%	197	1.5%	164	1.3%	112	0.9%	188	1.5%
TOTAL ORDERED TO PRISON, WILLARD OR ALT PROGRAM	11,413	86.2%	11,456	86.6%	10,349	84.8%	10,421	85.8%	10,545	83.2%
Revoke and Restore to Street or Program	1,829	13.8%	1,768	13.4%	1,853	15.2%	1,720	14.2%	2,132	16.8%
TOTAL OUTCOMES	13,242	100%	13,224	100%	12,202	100%	12,141	100%	12,677	100%

Revoke and Restore to Street - Time Served

424

411

379

464

476

Notes: *Revoke and Ordered Returned to Prison includes some cases that were ultimately restored to supervision after serving the complete time assessment while in local jail, with no return to prison. The subset of these case is presented immediately above in the Revoke and Restore to Street - Time Served category.

Alternative 90 and 45 Day Programs include violators participating in alternative drug treatment programs at both Willard Drug Treatment Campus and Edgecombe Residential Treatment Facility as DOCCS inmates.

Cases included in the table had their VIOLATORS record closed during the year reported.

Section 4 – Parolees Removed from Community Supervision

Parolees are removed from community supervision as a result of being returned to prison for violating the conditions of release, being convicted of a new offense, or being successfully discharged. Parolees are successfully discharged after reaching their Maximum Expiration date, thereby completing their sentence, or prior to the completion of their sentence through one of the early discharge mechanisms, including Merit Termination³, Mandatory Termination⁴, and Board Three Year Discharge⁵.

- In 2016, 54% of parolees removed from Community Supervision were discharged after successfully completing the terms of supervision. This was a decrease from a 10-year high of 59% in 2009 (Table 15).
- Seventeen percent of parolees discharged in 2016 (1,880) received an early discharge by way of either Merit or Mandatory Termination of sentence. This was a decrease from 2007, when 23% (3,096) of parolees received either a Merit or Mandatory Merit discharge (Table 15).
- In 2016, 46% of parolees removed from Community Supervision were returned to prison. This was an increase from a 10-year low of 41% in 2009 (Table 15).
- The number of parolees returned to prison for new felony convictions declined 33% since 2007, from 1,968 to 1,319 in 2016. These returns made up 6% of all removals from supervision in 2016, down from a high of 8% in 2007 (Table 15).
- There were 8,419 rule violators removed from community supervision in 2016, including 2,057 parolees sent to the Alternate 90 Day and Alternate 45 Day programs. This represented a decrease of 15% from the 9,907 rule violator returns in 2007 when the Alternative 45 Day program did not exist. These returns comprised 40% of all removals from supervision in 2016, up from 39% in 2007 (Table 15).
- Among the 50,675 parolees who spent time under active supervision in 2016, 3% were returned to prison with new court convictions (Table 16).
- In 2016, 17% of the 50,675 parolees who spent time under active supervision were returned to prison as rule violators, consistent with 2007 (Table 16).

³ DOCCS has the discretionary authority to discharge eligible non-violent offenders from their sentence after either one or two continuous years of unrevoked supervision, depending upon the felony class of the instant offense.

⁴ For those under DOCCS community supervision for a felony drug conviction, a mandatory termination of sentence must be granted, by law, after either two or three continuous years of unrevoked suspension, with the time period dependent upon the felony class of the instant offense.

⁵ The Board of Parole has the authority to grant discharge prior to the expiration of sentence to statutorily eligible offenders under DOCCS community supervision following three continuous years of unrevoked suspension.

- Between 2007 and 2016, the proportion of A-I Violent/Legislative VFO offenders among parolees returned with new convictions increased from 31% to 50%, while the proportion of Drug Offenders decreased from 44% to 21%. This is consistent with the changes in the representation of these offenses among the supervised population (Table 17).
- Similar to returns for new convictions, between 2007 and 2016, the proportion of A-I Violent/Legislative VFO offenders amongst rule violators returned to prison has steadily increased from 31% to 48%, while the proportion of Drug Offenders has decreased from 38% to 18% (Table 19).
- Among 2016 returns to prison, there was an inverse relationship between supervision level and rate of return. Specifically, 44% of returns were among offenders supervised at Level 1, while 7% of returns were among offenders supervised at Level 4 (Table 21).
- While 46% of parolees under supervision in the community on December 31, 2016 were supervised at Level 1 or 2, 71% of parolees returned to prison were supervised at Levels 1 or 2 (Table 21).

TABLE 15: PAROLEES REMOVED FROM COMMUNITY SUPERVISION: 2007-2016

	<u>2007</u>	<u>2008</u>	<u>2009</u>	<u>2010</u>	<u>2011</u>	<u>2012</u>	<u>2013</u>	<u>2014</u>	<u>2015</u>	<u>2016</u>
Removals from Community Supervision										
Returned Rule Violations	9,719	8,997	7,970	7,546	7,427	7,479	7,321	6,612	6,401	6,362
<i>ALT 90 Day</i>	188	1,323	1,190	1,403	1,696	1,689	1,780	1,726	1,734	1,882
<i>ALT 45 Day</i>	--	30	172	138	63	124	183	164	94	175
Total Returned Rule Violations	9,907	10,350	9,332	9,087	9,186	9,292	9,284	8,502	8,229	8,419
<i>Rule Violations Percent of Total Removals</i>	39%	38%	35%	36%	38%	39%	40%	39%	40%	40%
Returned New Felony Convictions	1,968	1,710	1,553	1,538	1,496	1,363	1,406	1,401	1,275	1,319
<i>New Felony Percent of Total Removals</i>	8%	6%	6%	6%	6%	6%	6%	6%	6%	6%
Total Returned to Prison	11,875	12,060	10,885	10,625	10,682	10,655	10,690	9,903	9,504	9,738
<i>Returned Percent of Total Removals</i>	47%	44%	41%	42%	44%	45%	46%	46%	46%	46%
Discharged From Supervision	10,254	12,354	12,234	11,837	10,917	10,336	10,326	9,878	9,406	9,460
Merit Termination	1,051	1,022	2,375	1,964	2,276	2,496	2,100	1,846	1,726	1,811
Mandatory Termination	2,045	1,861	1,358	853	543	258	163	127	87	69
Total Discharges	13,350	15,237	15,967	14,654	13,736	13,090	12,589	11,851	11,219	11,340
<i>Discharge Percent of Total Removals</i>	53%	56%	59%	58%	56%	55%	54%	54%	54%	54%
GRAND TOTAL REMOVALS	25,225	27,297	26,852	25,279	24,418	23,745	23,279	21,754	20,723	21,078

**TABLE 17: PAROLEES RETURNED TO PRISON AS NEW COMMITMENTS: 2007 TO 2016
ORIGINAL CRIME OF COMMITMENT**

The number of Drug Offenders returned to prison after conviction of a new felony has decreased by 69 percent since 2007.

This reflects the combined impact of decreases in the drug offender population under supervision and significant numbers of drug offenders returned for rule violations before they commit new crimes. In 2016, 271 Drug Offenders were returned to DOCCS for committing new crimes.

Year	A-I Violent and Legislative VFO		Other Coercive		Drug Offenses		Major Property		Other Felony		Youthful Offenders		Total	
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent
2007	607	31%	81	4%	869	44%	303	15%	55	3%	53	3%	1,968	100%
2008	533	31%	78	5%	722	42%	257	15%	70	4%	50	3%	1,710	100%
2009	516	33%	70	5%	601	39%	254	16%	69	4%	43	3%	1,553	100%
2010	631	41%	70	5%	493	32%	248	16%	51	3%	45	3%	1,538	100%
2011	599	40%	57	4%	470	31%	257	17%	69	5%	44	3%	1,496	100%
2012	583	43%	61	4%	386	28%	230	17%	75	6%	28	2%	1,363	100%
2013	652	46%	68	5%	380	27%	200	14%	70	5%	36	3%	1,406	100%
2014	643	46%	54	4%	349	25%	255	18%	64	5%	36	3%	1,401	100%
2015	585	46%	69	5%	317	25%	206	16%	53	4%	45	4%	1,275	100%
2016	663	50%	64	5%	271	21%	216	16%	74	6%	31	2%	1,319	100%

TABLE 18: ORIGINAL COMMITMENT OFFENSE OF NEW FELONY RETURNS TO PRISON: 2012 TO 2016

COMMITMENT OFFENSE	2012		2013		2014		2015		2016	
	NUMBER	PERCENT	NUMBER	PERCENT	NUMBER	PERCENT	NUMBER	PERCENT	NUMBER	PERCENT
A-I VIOLENT OFFENSES										
Murder, Murder 1st & 2nd, Att. Murder 1st	8	1%	14	1%	13	1%	7	<1%	13	1%
Kidnapping 1st	0	--	0	--	0	--	0	--	1	<1%
Arson 1st	0	--	0	--	0	--	0	--	0	--
TOTAL A-I Violent	8	1%	14	1%	13	1%	7	<1%	14	1%
LEGISLATIVE VFO										
Attempted Murder 2nd	9	1%	18	1%	11	1%	8	1%	6	<1%
Manslaughter 1st	14	1%	16	1%	10	1%	8	1%	13	1%
Rape 1st	6	<1%	7	<1%	7	0%	6	<1%	2	<1%
Robbery 1st	97	7%	111	8%	99	7%	95	7%	95	7%
Robbery 2nd	122	9%	153	11%	133	9%	109	8%	127	10%
Assault 1st	15	1%	25	2%	23	2%	28	2%	20	2%
Other Assault	48	4%	36	3%	49	3%	64	5%	59	4%
Burglary 1st	8	1%	13	1%	19	1%	14	1%	15	1%
Burglary 2nd	138	10%	125	9%	144	10%	126	9%	159	12%
Attempted Arson 1st, Arson 2nd	0	--	0	--	1	<1%	1	<1%	2	<1%
Sodomy 1st	3	<1%	2	<1%	2	<1%	1	<1%	2	<1%
Sexual Abuse	4	<1%	9	1%	7	<1%	9	1%	11	1%
Weapons Offense	109	8%	121	9%	121	9%	109	8%	134	10%
Terrorism/False Bombing	0	--	1	<1%	2	<1%	0	--	1	<1%
Attempted Kidnapping 1st, Kidnapping 2nd	2	<1%	1	<1%	2	<1%	0	--	3	<1%
TOTAL Legislative VFO	575	42%	638	45%	630	45%	578	41%	649	49%
OTHER COERCIVE										
Manslaughter 2nd	1	<1%	2	<1%	0	--	0	--	1	<1%
Other Homicide	0	--	1	<1%	0	--	0	--	0	--
Robbery 3rd	36	3%	35	2%	39	3%	41	3%	31	2%
Attempted Assault 2nd	10	1%	12	1%	4	<1%	9	1%	12	1%
Other Sex Offenses	5	<1%	7	<1%	5	<1%	9	1%	6	<1%
Other Coercive	9	1%	11	1%	6	<1%	10	1%	14	1%
TOTAL Other Coercive	61	4%	68	5%	54	4%	69	5%	64	5%
DRUG OFFENSES										
Drug Sale	200	15%	221	16%	191	14%	165	12%	148	11%
Drug Possession	186	14%	159	11%	158	11%	152	11%	123	9%
TOTAL Drug Offenses	386	28%	380	27%	349	25%	317	23%	271	21%
MAJOR PROPERTY										
Burglary 3rd	127	9%	93	7%	117	8%	103	7%	110	8%
Grand Larceny	61	4%	51	4%	85	6%	52	4%	58	4%
Forgery	14	1%	24	2%	32	2%	23	2%	26	2%
Stolen Property	28	2%	32	2%	21	1%	28	2%	22	2%
TOTAL Major Property	230	17%	200	14%	255	18%	206	15%	216	16%
OTHER FELONY										
Driving While Intoxicated	22	2%	16	1%	16	1%	16	1%	16	1%
Non-Violent Weapons Offense	20	1%	17	1%	15	1%	17	1%	16	1%
All Other Felonies	33	2%	37	3%	33	2%	20	1%	42	3%
TOTAL Other Felony	75	6%	70	5%	64	5%	53	4%	74	6%
YOUTHFUL										
Youthful Offenders	28	2%	36	3%	36	3%	45	3%	31	2%
TOTAL YO	28	2%	36	3%	36	3%	45	3%	31	2%
GRAND TOTAL	1,363	100%	1,406	100%	1,401	100%	1,275	100%	1,319	100%

**TABLE 19: PAROLEES RETURNED TO PRISON FOR RULE VIOLATIONS: 2007 TO 2016
ORIGINAL CRIME OF COMMITMENT**

In 2016, 4,082 Violent Felony Offenders were returned to prison for rule violations. As the proportion of Drug Offenders under supervision has decreased, the number of Drug Offenders returned to prison for rule violations has also decreased. In 2007, just under one-third (31%) of all rule violation returns were violent felony offenders and 38% were Drug Offenders. In 2016, less than one-quarter (18%) of rule violation returns were drug offenders and almost half (48%) were violent felony offenders.

Calendar Year	A-I Violent and Legislative VFO		Other Coercive		Drug Offenses		Major Property		Other Felony		Youthful Offenders		Total	
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent
2007	3,036	31%	667	7%	3,808	38%	1,526	15%	569	6%	301	3%	9,907	100%
2008	3,382	33%	652	6%	3,808	37%	1,566	15%	615	6%	327	3%	10,350	100%
2009	3,119	33%	617	7%	3,418	37%	1,351	14%	544	6%	283	3%	9,332	100%
2010	3,268	36%	642	7%	3,045	34%	1,328	15%	534	6%	270	3%	9,087	100%
2011	3,487	38%	586	6%	2,886	31%	1,373	15%	575	6%	279	3%	9,186	100%
2012	3,889	42%	620	7%	2,539	27%	1,319	14%	614	7%	311	3%	9,292	100%
2013	4,078	44%	719	8%	2,186	24%	1,385	15%	625	7%	291	3%	9,284	100%
2014	3,865	45%	629	7%	1,832	22%	1,321	16%	597	7%	258	3%	8,502	100%
2015	3,857	47%	611	7%	1,589	19%	1,324	16%	579	7%	269	3%	8,229	100%
2016	4,082	48%	614	7%	1,541	18%	1,366	16%	586	7%	230	3%	8,419	100%

TABLE 20: ORIGINAL COMMITMENT OFFENSE OF RULE RETURNS TO PRISON: 2012 TO 2016

COMMITMENT OFFENSE	2012		2013		2014		2015		2016	
	NUMBER	PERCENT	NUMBER	PERCENT	NUMBER	PERCENT	NUMBER	PERCENT	NUMBER	PERCENT
A-I VIOLENT OFFENSES										
Murder, Murder 1st & 2nd, Att. Murder 1st	65	1%	54	1%	53	1%	53	1%	84	1%
Kidnapping 1st	1	<1%	1	<1%	1	<1%	1	<1%	1	<1%
Arson 1st	1	<1%	1	<1%	0	--	0	--	2	<1%
TOTAL A-I Violent	67	1%	56	1%	54	1%	54	1%	87	1%
LEGISLATIVE VFO										
Attempted Murder 2nd	64	1%	53	1%	56	1%	48	1%	53	1%
Manslaughter 1st	93	1%	93	1%	57	1%	68	1%	66	1%
Rape 1st	115	1%	125	1%	101	1%	128	2%	110	1%
Robbery 1st	548	6%	533	6%	501	6%	457	6%	482	6%
Robbery 2nd	814	9%	829	9%	803	9%	768	9%	749	9%
Assault 1st	148	2%	146	2%	159	2%	155	2%	132	2%
Other Assault	366	4%	396	4%	398	5%	368	4%	402	5%
Burglary 1st	77	1%	86	1%	80	1%	88	1%	92	1%
Burglary 2nd	695	7%	758	8%	787	9%	761	9%	823	10%
Attempted Arson 1st, Arson 2nd	19	<1%	24	<1%	15	<1%	24	<1%	24	<1%
Sodomy 1st	103	1%	97	1%	81	1%	66	1%	80	1%
Sexual Abuse	217	2%	259	3%	184	2%	245	3%	299	4%
Weapons Offense	552	6%	599	6%	575	7%	606	7%	667	8%
Terrorism/False Bombing	4	<1%	6	<1%	3	<1%	8	<1%	4	<1%
Attempted Kidnapping 1st, Kidnapping 2nd	7	<1%	18	<1%	11	<1%	13	<1%	12	<1%
TOTAL Legislative VFO	3,822	41%	4,022	43%	3,811	45%	3,803	46%	3,995	47%
OTHER COERCIVE										
Manslaughter 2nd	15	<1%	11	<1%	15	<1%	11	<1%	11	<1%
Other Homicide	6	<1%	6	<1%	5	<1%	3	<1%	10	<1%
Robbery 3rd	278	3%	312	3%	290	3%	240	3%	250	3%
Attempted Assault 2nd	100	1%	119	1%	95	1%	113	1%	101	1%
Other Sex Offenses	132	1%	161	2%	141	2%	175	2%	169	2%
Other Coercive	89	1%	110	1%	83	1%	69	1%	73	1%
TOTAL Other Coercive	620	7%	719	8%	629	7%	611	7%	614	7%
DRUG OFFENSES										
Drug Sale	1,658	18%	1,416	15%	1,172	14%	971	12%	923	11%
Drug Possession	881	9%	770	8%	660	8%	618	8%	618	7%
TOTAL Drug Offenses	2,539	27%	2,186	24%	1,832	22%	1,589	19%	1,541	18%
MAJOR PROPERTY										
Burglary 3rd	637	7%	695	7%	656	8%	684	8%	653	8%
Grand Larceny	349	4%	355	4%	378	4%	365	4%	408	5%
Forgery	157	2%	178	2%	149	2%	125	2%	160	2%
Stolen Property	176	2%	157	2%	138	2%	150	2%	145	2%
TOTAL Major Property	1,319	14%	1,385	15%	1,321	16%	1,324	16%	1,366	16%
OTHER FELONY										
Driving While Intoxicated	154	2%	128	1%	124	1%	114	1%	129	2%
Non-Violent Weapons Offense	101	1%	100	1%	120	1%	100	1%	94	1%
All Other Felonies	359	4%	397	4%	353	4%	365	4%	363	4%
TOTAL Other Felony	614	7%	625	7%	597	7%	579	7%	586	7%
YOUTHFUL OFFENDERS										
Youthful Offenders	311	3%	291	3%	258	3%	269	3%	230	3%
TOTAL YO	311	3%	291	3%	258	3%	269	3%	230	3%
GRAND TOTAL	9,292	100%	9,284	100%	8,502	100%	8,229	100%	8,419	100%

TABLE 21: PAROLEES RETURNED TO PRISON DURING 2016 BY SUPERVISION LEVEL

	Return Reason					
	New Court Conviction		Violating Conditions of Parole		Total	
Supervision Level	Number	Percent	Number	Percent	Number	Percent
1 (25:1)	473	36%	3,797	45%	4,270	44%
2 (40:1)	323	24%	2,326	28%	2,649	27%
3 (80:1)	362	27%	1,675	20%	2,037	21%
4 (160:1)	156	12%	565	7%	721	7%
Pending	5	<1%	56	1%	61	1%
TOTAL	1,319	100%	8,419	100%	9,738	100%

Supervision Level for Parolees in the Community	
December 31, 2016	
1 (25:1)	29%
2 (40:1)	17%
3 (80:1)	27%
4 (160:1)	26%
Pending	1%
TOTAL	100%

Section 5 – Parolees Supervised Out-of-State

- At the end of 2016, there were 1,479 parolees from New York who were being actively supervised out-of-state under the Interstate Compact (Table 22).
- Between 2012 and 2016, the number of parolees being supervised out-of-state increased by 189 or 15% (Table 22).
- Between 2015 and 2016, the number of parolees supervised out-of-state increased by 105 or 8% (Table 22).
- During 2016, 463 parolees were transferred out of New York to complete their community supervision under the Interstate Compact. This was a decrease of 10 or 2% from the 473 transfers in 2015.

**TABLE 22: PAROLEES SUPERVISED OUT-OF-STATE
UNDER THE INTERSTATE COMPACT
December 31, 2016**

2012	2013	2014	2015	2016
1,291	1,113	1,299	1,374	1,479

Section 6 – Parolees Supervised from Other States

- At the end of 2016, there were 1,185 parolees from other states who were being actively supervised in New York under the Interstate Compact (Table 23).
- Between 2012 and 2016, the number of parolees from other states being supervised increased by 135 or 13% (Table 23).
- During 2016, 563 parolees were transferred from other states to New York to complete their community supervision under the Interstate Compact. This was a decrease of 61 or 10% from the 624 transfers in 2015.
- The majority of parolees from other states (56%) are supervised as Level 3 and 4 (Table 24).

TABLE 23: PAROLEES FROM OTHER STATES SUPERVISED IN NEW YORK UNDER THE INTERSTATE COMPACT DECEMBER 31, 2016

2012	2013	2014	2015	2016
1,050	1,111	1,102	1,191	1,185

TABLE 24: PAROLEES FROM OTHER STATES SUPERVISED IN NEW YORK BY COMPAS SUPERVISION LEVEL

Supervision Level	Number	Percent
1 (25:1)	157	13%
2 (40:1)	79	7%
3 (80:1)	242	20%
4 (160:1)	429	36%
Pending	278	23%
TOTAL	1,185	100%

*Percentage total may not equal 100% due to rounding.

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