

State of New York  
Department of Corrections  
and  
Community Supervision

Building Number 2  
Harriman Office Campus  
Albany, New York 12226

**UNUSUAL INCIDENT REPORT**

**January – December 2013**



**Andrew M. Cuomo**  
Governor



**Anthony J. Annucci**  
Acting Commissioner

**UNUSUAL INCIDENT REPORT  
JANUARY - DECEMBER 2013**

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## EXECUTIVE SUMMARY

- **Number and Rate of Unusual Incidents, January - December 2013**

There were 6,904 unusual incidents during January-December 2013. The annual rate of unusual incidents was 128.5 incidents per thousand inmates (see Table 2, p. 7).

- **Rate of Unusual Incidents by Facility Security Level**

The rate of unusual incidents was highest in maximum security facilities including their subdivisions with 182.0 incidents per 1,000 inmates. Medium security prisons experienced a rate of 85.9; minimum security (including work release facilities), 172.5; Shock Incarceration facilities, 26.3 (see Table 3.1, pp. 11-13).

- **Inmate Weapon Use**

Inmates used weapons in 28% of unusual incidents. Cutting or stabbing weapons were most often used during inmate self-injury and inmate assault on inmate incidents. Use of body, such as fists or kicking, was most often used during inmate assault on staff incidents (see Table 4.2, p. 18).

- **Deaths**

There were 142 inmate deaths under DOCCS custody reported in 2013. These include deaths where DOCCS retained legal custody, regardless of whether they were in the physical custody of another jurisdiction. The total included 13 suicides and 1 homicide in DOCCS facilities. One hundred fifteen inmate deaths were due to natural causes. Additionally, there were two deaths attributed to AIDS, four deaths due to accidental drug overdose, and two resulting from other accidents (see Table 6, p. 21).

- **Inmate Assault on Staff**

There were 645 inmate assault on staff incidents in 2013. The annualized rate within maximum security prisons was 21.1 incidents per thousand inmates; the rate for all facilities was 12.0 (see Table 8.1, pp. 27-29).

- **Inmate Assault on Inmate**

In 2013, there were 767 inmate assault on inmate incidents. The annualized rate within maximum security prisons (including subdivisions) was 20.0 incidents per thousand inmates; the rate for all facilities was 14.3 (see Table 8.3, pp. 33-35).

- **Extent of Injury to Staff**

The vast majority (96%) of unusual incidents were resolved without reported injuries to staff. Of the 26,286 staff members listed in unusual incidents, 4% reported minor injuries and less than 1% reported moderate injuries. There was one serious injury reported in 2013, (see Table 9.1, p. 39).

- **Staff Use of Force**

Department employees used force in 15% of unusual incidents in 2013. Force was used most often in inmate assault on staff incidents (see Table 10.2, p. 41).

## **INTRODUCTION**

The Unusual Incident System maintains information on incidents that occur within the New York State Department of Correctional Services and Community Supervision (DOCCS). Events that disrupt or affect facility operations are considered unusual incidents and are reported by telephone to the DOCCS Communication Control Center located in Albany and entered into the computer system via terminals at correctional facilities.

The reporting system is capable of recording information on more than one type of unusual incident that occurs as part of a series of events. In concert with other information maintained in electronic databases, the Department can examine characteristics of victims and perpetrators involved in assaultive behavior, as well as identify all inmates and staff listed in incidents.

## Section One

### FREQUENCY OF UNUSUAL INCIDENTS IN 2013

A total of 6,904 unusual incidents were reported in 2013. Table 1 shows the type and number of incidents that occurred each month during the year. The number of unusual incidents fluctuates from month to month, and while monthly variations may be important, trend analyses that extend over months may be more meaningful.

The most frequently reported categories of incidents were contraband (N=2,958), inmate assault on inmate (N=767), inmate assault on staff (N=645), and accidents (N=572). Together, these four categories accounted for 72% of reported incidents. The reader should note that the 145 deaths reported in Table 1 combine 142 inmates in DOCCS legal custody, 1 inmate who died in the custody of Riker's Island Correctional Facility (not in DOCCS legal custody), and, the deaths of 2 staff members. (also see Table 6).

<b>TABLE 1 UNUSUAL INCIDENT TYPE BY MONTH JANUARY - DECEMBER 2013</b>													
INCIDENT TYPE	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	TOTAL
ACCIDENT	54	34	44	59	44	45	51	53	54	45	35	54	<b>572</b>
ASSAULT ON INMATE	59	54	60	51	45	54	71	72	69	82	73	77	<b>767</b>
ASSAULT ON STAFF	59	46	42	52	61	52	52	54	63	51	56	57	<b>645</b>
ASSAULT ON OTHER	0	0	2	0	0	2	0	1	1	1	0	0	<b>7</b>
CONTRABAND	279	228	212	255	253	240	242	273	235	271	222	248	<b>2,958</b>
DEATH	15	11	15	14	8	8	15	11	19	10	5	14	<b>145</b>
DISRUPTIVE BEHAVIOR	47	35	41	41	56	47	44	56	37	37	48	32	<b>521</b>
DISRUPTION OF FACILITY	1	1	0	1	0	0	1	0	0	0	0	0	<b>4</b>
EMPLOYEE MISCONDUCT	12	11	6	10	12	15	10	8	5	11	10	10	<b>120</b>
ESCAPE	1	0	0	0	0	0	0	0	0	0	0	0	<b>1</b>
ATTEMPTED ESCAPE	0	0	1	0	0	0	0	0	0	0	0	0	<b>1</b>
FIRE	4	1	5	3	3	0	4	3	5	1	3	2	<b>34</b>
PROPERTY DESTROYED	0	0	0	0	1	0	0	0	1	1	0	0	<b>3</b>
PROPERTY LOST OR STOLEN	12	6	6	7	7	6	10	3	1	3	3	11	<b>75</b>
SELF-INFLICTED INJURY	11	11	8	7	3	7	3	7	5	2	6	5	<b>75</b>
SEXUAL MISCONDUCT	3	4	3	0	9	8	7	2	6	5	3	1	<b>51</b>
SUICIDE ATTEMPT	14	13	9	4	7	11	19	27	10	17	15	15	<b>161</b>
TEMP RELEASE RELATED	4	0	1	3	2	4	1	4	1	6	3	0	<b>29</b>
STAFF USE OF WEAPONS	15	8	12	20	22	16	19	19	21	14	15	22	<b>203</b>
OTHER INCIDENTS	40	40	35	39	55	53	43	57	41	41	37	51	<b>532</b>
<b>TOTAL</b>	<b>630</b>	<b>503</b>	<b>502</b>	<b>566</b>	<b>588</b>	<b>568</b>	<b>592</b>	<b>650</b>	<b>574</b>	<b>598</b>	<b>534</b>	<b>599</b>	<b>6,904</b>

## Section Two

### ANNUALIZED RATE OF UNUSUAL INCIDENTS

The rate of unusual incidents is a ratio of the number of reported incidents to the number of inmates in the DOCCS population. The rate used in this report is incident per 1,000 inmates per year and it allows for comparison between different facilities over different time periods. See Appendix A for an illustration and an explanation of the rate calculation methodology.

Table 2 displays the frequency and rate of unusual incidents by category. In 2013, there were 128.5 incidents per 1,000 inmates. Unusual incident rates for correctional facilities are presented in Section 3; rates of assaults by inmates on staff and on other inmates are presented in Section 8. An additional method to examine unusual incidents is by inmate involvement. In 2013, there were 8,764 inmates listed in UI reports (see Section 5).

<b>TABLE 2 UNUSUAL INCIDENT FREQUENCY AND ANNUAL RATE JANUARY - DECEMBER 2013</b>		
INCIDENT TYPE	NUMBER OF INCIDENTS	ANNUAL RATE PER 1,000 INMATES
ACCIDENT	572	10.6
ASSAULT ON INMATE	767	14.3
ASSAULT ON STAFF	645	12.0
ASSAULT ON OTHER	7	0.1
CONTRABAND	2,958	55.1
DEATH	145	2.7
DISRUPTIVE BEHAVIOR	521	9.7
DISRUPTION OF FACILITY	4	0.1
EMPLOYEE MISCONDUCT	120	2.2
ESCAPE	1	0.0
ATTEMPTED ESCAPE	1	0.0
FIRE	34	0.6
PROPERTY DESTROYED	3	0.1
PROPERTY LOST OR STOLEN	75	1.4
SELF-INFLICTED INJURY	75	1.4
SEXUAL MISCONDUCT	51	0.9
SUICIDE ATTEMPT	161	3.0
TEMP RELEASE RELATED	29	0.5
STAFF USE OF WEAPONS	203	3.8
OTHER INCIDENTS	532	9.9
<b>TOTAL</b>	<b>6,904</b>	<b>128.5</b>

Annual Unusual Incident rate equals the total number of incidents (6,904) divided by the average inmate population for 2013 (53,732) multiplied by 1,000.

## Section Three

### UNUSUAL INCIDENTS BY CORRECTIONAL FACILITY

The number and rate of incidents by correctional facility and security level appear in Table 3.1; the number of incidents per month by facility and facility security level appear in Table 3.2.

### MAXIMUM SECURITY

In 2013, there were 4,338 unusual incidents reported from maximum security and specialized maximum security units representing an overall rate of 182.0 per 1,000 inmates. Maximum security housing units alone reported 3,892 unusual incidents in 2013, a rate of 175.9 incidents per 1,000 inmates. Prisons that experienced the highest rates, excluding specialized housing units, include Shawangunk (255.4), Great Meadow (254.3), Wende (232.7), Auburn (209.9), and Attica (201.2).

In maximum security settings, both Residential Mental Health Units (RMHUs) and Behavioral Health Units (BHUs) are programs that include separate housing within a correctional facility. These programs are designed to address the corrections-based therapeutic treatment of inmate-patients currently diagnosed with a serious mental illness who, due to their behavior, would otherwise be serving a confinement sanction in a special housing unit (SHU) or separate keep lock unit. Although RMHUs and BHUs are not operated as disciplinary housing units, inmates in these units are subject to limitations on the quantity and type of property they are permitted to have in their cells and are afforded access to programs that are more restrictive than those afforded general population inmates. A Therapeutic Behavioral Unit (TBU) is located at Bedford Hills Correctional Facility and is the functional equivalent of the RMHU for female inmate-patients.

There are three RMHU units which are located at Attica, Five Points and Marcy correctional facilities. There were two Behavioral Health Units (BHU), one at Great Meadow Correctional Facility and one at Sullivan Correctional (the Sullivan BHU was closed on 7/1/2013). As noted above, the Therapeutic Behavioral Unit (TBU) located at Bedford Hills correctional facility is a program for female inmate-patients serving SHU sanctions who have a history of serious mental illness and/or poor custodial adjustment.

These residential mental health treatment units (RMHU, BHU, TBU) have an overall unusual incident rate of 1,082.9 incidents per thousand inmates. These specialized residential

mental health units have comparatively small inmate populations comprised of inmates who are Seriously Mentally Ill (SMI) and have a serious disciplinary history; consequently, the rates of unusual incidents are higher when compared with other maximum security general confinement inmates.

Regional Medical Units (RMU) are specialized medical health facilities for Maximum security chronically-ill inmates. These RMU's also have comparatively small inmate populations that house seriously ill inmates; therefore, the rates of unusual incidents (365.5) are higher when compared with other maximum security facilities.

The SHU200 facilities, specialized units that house inmates with lengthy special housing unit sanctions, had an overall UI rate of 116.3 incidents per thousand inmates.

Overall, maximum security correctional facilities housed 44.4% of the inmate population and accounted for 62.8% of unusual incidents in 2013.

## **MEDIUM SECURITY**

Medium security prisons reported 2,438 unusual incidents in 2013, a rate of 85.9 incidents per 1,000 inmates. While housing 52.8% of the inmate population, medium security facilities accounted for 35.3% of incidents.

Prisons that experienced the highest rates include Marcy (188.1), Washington (128.2), Wyoming (127.9), and Orleans (120.3). The rates for the two female correctional facilities, Albion, (58.0) and Taconic (51.9) were comparable to the overall rate for medium security facilities.

## **MINIMUM SECURITY**

There were 98 unusual incidents at minimum security facilities for a rate of 172.5 per 1,000 inmates. The minimum security category for 2013 includes Lincoln and Rochester work release facilities. There were a total of 42 unusual incidents at these work release facilities. The majority of unusual incidents at these work release facilities were temporary release abscondance (N=14 or 33%), temporary release arrests (N=9 or 21%), and contraband drug recovery (N=9 or 21%).

## **SHOCK INCARCERATION**

Three Shock Incarceration facilities reported 25 incidents in 2013, a rate of 26.3 per 1,000 inmates. Lakeview, the largest Shock Incarceration Program, reported 19 incidents, a rate of 30.8 incidents per 1,000 inmates.

## **CENTRAL OFFICE**

Five unusual incidents were reported by Central Office staff; including three accidents, one contraband, and one incident of lost or stolen property.

**TABLE 3.1  
NUMBER AND RATE OF UNUSUAL INCIDENTS BY SECURITY LEVEL  
JANUARY - DECEMBER 2013**

CORRECTIONAL FACILITY	AVERAGE INMATE POPULATION 2013	UNUSUAL INCIDENTS	RATE PER 1,000 INMATES
<b>MAXIMUM SECURITY</b>			
ATTICA	2,092	421	201.2
AUBURN	1,653	347	209.9
BEDFORD HILLS	784	117	149.2
CLINTON	2,778	476	171.3
COXSACKIE	879	91	103.5
DOWNSTATE	1,198	229	191.2
EASTERN	924	71	76.8
ELMIRA	1,744	319	182.9
FIVE POINTS	1,351	269	199.1
GREAT MEADOW	1,585	403	254.3
GREEN HAVEN	1,942	271	139.5
SHAWANGUNK	505	129	255.4
SING SING	1,558	236	151.5
SOUTHPORT	751	79	105.2
SULLIVAN	458	86	187.8
UPSTATE	1,130	163	144.2
WENDE	795	185	232.7
SUB-TOTAL	22,127	3,892	175.9
<b>SPECIAL HOUSING 200 BED</b>			
CAYUGA SHU200	153	17	111.1
COLLINS SHU200	155	21	135.5
FISHKILL SHU200	138	26	188.4
GOUVERNEUR SHU200	171	16	93.6
GREENE SHU200	177	18	101.7
LAKEVIEW SHU200	148	13	87.8
MID-STATE SHU200	162	21	129.6
ORLEANS SHU200	134	12	89.6
SUB-TOTAL	1,238	144	116.3
<b>RESIDENTIAL MENTAL HEALTH TREATMENT UNIT</b>			
ATTICA RMHU	8	5	625.0
BEDFORD HILLS TBU	8	7	875.0
FIVE POINTS RMHU	51	61	1196.1
GREAT MEADOW BHU	24	26	1083.3
MARCY RMHU	82	86	1048.8
SULLIVAN BHU*	8	11	1375.0
SUB-TOTAL	181	196	1082.9
<b>REGIONAL MEDICAL UNIT</b>			
BEDFORD RMU	15	0	0.0
COXSACKIE RMU	58	8	137.9
FISHKILL RMU	28	20	714.3
WALSH RMU	110	43	390.9
WENDE RMU	79	35	443.0
SUB-TOTAL	290	106	365.5
<b>TOTAL MAXIMUM SECURITY</b>	<b>23,836</b>	<b>4,338</b>	<b>182.0</b>

\*Closed effective 7/1/2013

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**TABLE 3.1  
NUMBER AND RATE OF UNUSUAL INCIDENTS BY SECURITY LEVEL  
JANUARY - DECEMBER 2013**

CORRECTIONAL FACILITY	AVERAGE INMATE POPULATION 2013	UNUSUAL INCIDENTS	RATE PER 1,000 INMATES
<b>MEDIUM SECURITY</b>			
ADIRONDACK	398	28	70.4
ALBION	1,035	60	58.0
ALTONA	475	21	44.2
BARE HILL	1,633	191	117.0
BAYVIEW	3	1	333.3
BUTLER	171	5	29.2
CAPE VINCENT	864	58	67.1
CAYUGA	826	46	55.7
CHATEAUGAY	197	4	20.3
COLLINS	895	62	69.3
FISHKILL	1,460	150	102.7
FRANKLIN	1,652	135	81.7
GOUVERNEUR	808	47	58.2
GOWANDA	1,486	111	74.7
GREENE	1,601	121	75.6
GROVELAND	1,079	121	112.1
HALE CREEK	311	2	6.4
HUDSON	381	11	28.9
LAKEVIEW	134	6	44.8
LIVINGSTON	813	66	81.2
MARCY	1,095	206	188.1
MIDSTATE	1,387	153	110.3
MOHAWK	1,299	98	75.4
MT. MCGREGOR	381	30	78.7
OGDENSBURG	378	33	87.3
ORLEANS	740	89	120.3
OTISVILLE	582	29	49.8
RIVERVIEW	809	45	55.6
TACONIC	347	18	51.9
ULSTER	811	67	82.6
WALLKILL	577	31	53.7
WASHINGTON	741	95	128.2
WATERTOWN	545	41	75.2
WOODBOURNE	820	47	57.3
WYOMING	1,642	210	127.9
<b>TOTAL MEDIUM SECURITY</b>	<b>28,376</b>	<b>2,438</b>	<b>85.9</b>

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<b>TABLE 3.1</b> <b>NUMBER AND RATE OF UNUSUAL INCIDENTS BY SECURITY LEVEL</b> <b>JANUARY - DECEMBER 2013</b>			
CORRECTIONAL FACILITY	AVERAGE INMATE POPULATION 2013	UNUSUAL INCIDENTS	RATE PER 1,000 INMATES
<b>SHOCK</b>			
MONTEREY SHOCK	145	3	20.7
MORIAH SHOCK	191	3	15.7
LAKEVIEW SHOCK	616	19	30.8
SUB-TOTAL	952	25	26.3
<b>MINIMUM SECURITY</b>			
BEACON	29	5	172.4
EDGECOMBE	27	10	370.4
LINCOLN	110	29	263.6
QUEENSBORO	345	41	118.8
ROCHESTER	57	13	228.1
SUB-TOTAL	568	98	172.5
<b>OTHER</b>			
CENTRAL OFFICE	0	5	N/A
SUB-TOTAL	0	5	N/A
<b>GRAND TOTAL</b>	<b>53,732</b>	<b>6,904</b>	<b>128.5</b>

**TABLE 3.2  
NUMBER OF UNUSUAL INCIDENTS BY SECURITY LEVEL  
JANUARY - DECEMBER 2013**

CORRECTIONAL FACILITY	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	TOTAL
<b>MAXIMUM SECURITY</b>													
ATTICA	39	26	25	34	31	33	31	42	48	33	34	45	<b>421</b>
AUBURN	37	33	31	29	25	27	40	18	28	28	23	28	<b>347</b>
BEDFORD HILLS	9	13	9	3	6	7	14	7	9	19	7	14	<b>117</b>
CLINTON	44	39	37	40	34	33	50	39	41	38	31	50	<b>476</b>
COXSACKIE	4	6	5	5	9	8	8	6	11	9	5	15	<b>91</b>
DOWNSTATE	14	15	23	11	30	15	20	27	16	25	17	16	<b>229</b>
EASTERN	6	2	1	6	3	5	10	4	14	4	6	10	<b>71</b>
ELMIRA	57	18	18	30	19	33	23	20	14	38	24	25	<b>319</b>
FIVE POINTS	31	21	16	18	32	20	25	33	16	18	14	25	<b>269</b>
GREAT MEADOW	36	37	29	26	38	31	56	46	35	29	23	17	<b>403</b>
GREEN HAVEN	19	21	26	15	27	26	18	32	25	18	13	31	<b>271</b>
SHAWANGUNK	6	3	2	7	8	13	10	15	14	20	16	15	<b>129</b>
SING SING	28	14	15	25	19	23	13	17	17	24	24	17	<b>236</b>
SOUTHPORT	7	4	11	4	7	5	4	8	8	9	9	3	<b>79</b>
SULLIVAN	5	3	13	8	7	8	10	6	7	9	6	4	<b>86</b>
UPSTATE	13	4	15	25	19	13	11	10	11	15	15	12	<b>163</b>
WENDE	19	17	16	16	12	19	14	22	9	18	7	16	<b>185</b>
SUB-TOTAL	374	276	292	302	326	319	357	352	323	354	274	343	<b>3,892</b>
<b>SPECIAL HOUSING 200 BED</b>													
CAYUGA SHU200	1	0	2	8	0	0	1	2	0	0	0	3	<b>17</b>
COLLINS SHU200	1	2	2	2	2	0	1	2	1	2	5	1	<b>21</b>
FISHKILL SHU200	7	2	0	1	2	4	2	3	2	0	1	2	<b>26</b>
GOVERNEUR SHU200	0	2	4	2	4	0	1	0	0	0	0	3	<b>16</b>
GREENE SHU200	0	1	2	0	1	4	3	0	0	0	5	2	<b>18</b>
LAKEVIEW SHU200	3	1	0	0	3	0	0	3	0	0	2	1	<b>13</b>
MID-STATE SHU200	4	2	1	1	0	0	0	5	2	0	6	0	<b>21</b>
ORLEANS SHU200	1	2	0	0	2	0	1	5	0	0	0	1	<b>12</b>
SUB-TOTAL	17	12	11	14	14	8	9	20	5	2	19	13	<b>144</b>
<b>RESIDENTIAL MENTAL HEALTH TREATMENT UNIT</b>													
ATTICARMHU	1	2	0	0	0	0	0	0	2	0	0	0	<b>5</b>
FIVE POINTS RMHU	4	6	1	5	10	10	1	5	5	2	6	6	<b>61</b>
MARCY RMHU	7	5	5	5	8	6	8	13	9	4	9	7	<b>86</b>
BEDFORD HILLS TBU	0	0	0	0	0	0	3	3	0	1	0	0	<b>7</b>
GREAT MEADOW BHU	0	1	2	4	8	0	3	4	0	0	4	0	<b>26</b>
SULLIVAN BHU	6	0	2	2	1	0	0	0	0	0	0	0	<b>11</b>
SUB-TOTAL	18	14	10	16	27	16	15	25	16	7	19	13	<b>196</b>
<b>REGIONAL MEDICAL UNIT</b>													
COXSACKIE RMU	0	3	0	1	0	0	1	1	1	0	0	1	<b>8</b>
FISHKILL RMU	3	1	1	2	7	0	1	1	1	0	3	0	<b>20</b>
WALSH RMU	2	3	1	7	6	3	5	4	3	3	1	5	<b>43</b>
WENDE RMU	4	6	3	2	1	0	1	3	5	3	2	5	<b>35</b>
SUB-TOTAL	9	13	5	12	14	3	8	9	10	6	6	11	<b>106</b>
<b>TOTAL MAXIMUM SECURITY</b>	<b>418</b>	<b>315</b>	<b>318</b>	<b>344</b>	<b>381</b>	<b>346</b>	<b>389</b>	<b>406</b>	<b>354</b>	<b>369</b>	<b>318</b>	<b>380</b>	<b>4,338</b>
Column Percent	66.3%	62.6%	63.3%	60.8%	64.8%	60.9%	65.7%	62.5%	61.7%	61.7%	59.6%	63.4%	62.8%

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**TABLE 3.2  
NUMBER OF UNUSUAL INCIDENTS BY SECURITY LEVEL  
JANUARY - DECEMBER 2013**

CORRECTIONAL FACILITY	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	TOTAL
<b>MEDIUM SECURITY</b>													
ADIRONDACK	4	1	2	3	1	1	3	2	1	2	2	6	28
ALBION	6	2	4	4	8	8	3	3	3	1	9	9	60
ALTONA	3	2	3	1	2	0	1	1	3	2	1	2	21
BARE HILL	14	14	24	20	21	20	15	16	7	10	15	15	191
BAYVIEW	1	0	0	0	0	0	0	0	0	0	0	0	1
BUTLER	0	0	0	0	0	1	1	0	0	1	1	0	4
CAPE VINCENT	5	9	6	3	2	1	3	7	5	9	4	4	58
CAYUGA	4	2	3	4	6	9	5	4	3	3	2	1	46
CHATEAUGAY	0	0	1	0	1	1	1	0	0	0	0	0	4
COLLINS	4	7	3	7	7	6	2	5	5	5	8	3	62
FISHKILL	14	11	7	17	12	10	17	16	11	15	8	12	150
FRANKLIN	7	12	4	14	9	13	7	14	15	11	15	14	135
GOUVERNEUR	3	2	7	10	6	0	4	2	1	1	4	7	47
GOWANDA	3	3	6	8	10	4	10	22	15	13	8	9	111
GREENE	11	8	7	11	18	10	6	16	14	4	7	9	121
GROVELAND	9	8	10	10	7	6	6	8	15	24	8	10	121
HALE CREEK	0	0	0	0	0	0	0	0	1	0	1	0	2
HUDSON	1	1	4	0	0	1	1	1	1	0	1	0	11
LAKEVIEW	1	2	0	2	0	0	0	1	0	0	0	0	6
LIVINGSTON	10	3	6	3	2	5	6	9	3	5	6	8	66
MARCY	16	24	13	21	12	14	21	19	13	22	20	11	206
MIDSTATE	17	25	10	9	13	8	10	11	15	13	13	9	153
MOHAWK	6	9	7	7	7	13	6	10	13	6	8	6	98
MT. MCGREGOR	3	2	5	4	0	1	3	2	0	5	2	3	30
OGDENSBURG	3	2	0	3	7	5	4	2	4	1	1	1	33
ORLEANS	6	4	6	10	5	13	3	12	4	8	7	11	89
OTISVILLE	5	5	2	1	0	5	3	0	1	2	0	5	29
RIVERVIEW	1	3	2	3	5	3	3	3	6	1	7	8	45
TACONIC	1	2	3	1	1	1	0	2	0	1	2	4	18
ULSTER	3	1	10	3	7	8	10	1	7	5	5	7	67
WALLKILL	1	1	1	1	7	5	3	2	3	1	3	3	31
WASHINGTON	4	5	11	9	11	6	7	8	10	10	6	8	95
WATERTOWN	2	3	3	2	2	3	4	4	2	4	6	6	41
WOODBOURNE	4	6	3	6	3	2	3	3	3	5	4	5	47
WYOMING	24	4	7	9	9	19	22	27	29	21	23	16	210
<b>TOTAL MEDIUM SECURITY</b>	<b>196</b>	<b>183</b>	<b>180</b>	<b>206</b>	<b>201</b>	<b>202</b>	<b>193</b>	<b>233</b>	<b>213</b>	<b>211</b>	<b>207</b>	<b>212</b>	<b>2,437</b>
Column Percent	31.1%	36.4%	35.9%	36.4%	34.2%	35.6%	32.6%	35.8%	37.1%	35.3%	38.8%	35.4%	35.3%
<b>SHOCK</b>													
BUTLER SHOCK	0	0	0	0	0	1	0	0	0	0	0	0	1
MONTEREY SHOCK	0	1	0	2	0	0	0	0	0	0	0	0	3
MORIAH SHOCK	0	0	0	0	1	0	0	0	1	0	1	0	3
LAKEVIEW SHOCK	2	0	1	4	2	3	2	0	2	1	1	1	19
SUB-TOTAL	2	1	1	6	3	4	2	0	3	1	2	1	26
Column Percent	0.3%	0.2%	0.2%	1.1%	0.5%	0.7%	0.3%	0.0%	0.5%	0.2%	0.4%	0.2%	0.4%
<b>MINIMUM SECURITY</b>													
BEACON	0	1	0	3	0	0	0	0	0	0	0	0	4
EDGECOMBE	0	0	2	2	1	1	1	0	2	1	0	0	10
LINCOLN	5	1	0	2	2	7	0	3	0	7	2	0	29
QUEENSBORO	2	1	1	2	0	7	6	6	2	7	4	3	41
ROCHESTER	4	0	0	1	0	0	1	2	0	2	1	2	13
CAMP BEACON	0	0	0	0	0	1	0	0	0	0	0	0	1
SUB-TOTAL	11	3	3	10	3	16	8	11	4	17	7	5	98
Column Percent	1.7%	0.6%	0.6%	1.8%	0.5%	2.8%	1.4%	1.7%	0.7%	2.8%	1.3%	0.8%	1.4%
<b>OTHER</b>													
CENTRAL OFFICE	3	1	0	0	0	0	0	0	0	0	0	1	5
SUB-TOTAL	3	1	0	0	0	0	0	0	0	0	0	1	5
Column Percent	0.5%	0.2%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.2%	0.1%
<b>GRAND TOTAL</b>	<b>630</b>	<b>503</b>	<b>502</b>	<b>566</b>	<b>588</b>	<b>568</b>	<b>592</b>	<b>650</b>	<b>574</b>	<b>598</b>	<b>534</b>	<b>599</b>	<b>6,904</b>
Column Percent	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%

## Section Four

### INMATE WEAPON USE

Tables 4.1 and 4.2 show the most dangerous weapons used by inmates in unusual incidents. The count of weapons includes only the most dangerous weapon used in an incident and is not a count of all weapons in an incident. For example, in an inmate assault on inmate unusual incident, when two inmates with shanks (prison-made knives) attack another inmate who defends himself with a broom handle, a shank would be counted as the most serious weapon used in the assault incident.

In 2013, there were no weapons used in 72% of unusual incidents. Of the remaining 28% of unusual incidents, the use of body (13%) is the largest category of weapon use, followed by cut or stab weapons (9%), and then garrotes (1%).

Smaller weapon use categories, each less than 2% of weapons used, included clubs (N=51), chair (N=12), human waste or body fluid (N=51), other weapons (N=107), and unknown (N=64), (see Table 4.1). Incidents involving the "other" weapon category includes items such as chemical or caustic agents, water or other fluids, and miscellaneous other weapons.

The Unusual Incident reporting system categorizes unknown weapons as "unrecovered cutting or stabbing weapon" if injuries were consistent with use of those types of weapons. Table 4.1 shows a large number of unknown weapons are present in incidents involving cut or stab weapons, such as unrecovered cutting weapons (N=345) and unrecovered stabbing weapons (N=32).

Inmate weapon use is concentrated within a few unusual incident categories including: inmate assault on inmate, inmate assault on staff, self-injury, disruptive behavior, suicide attempts and self-inflicted injury. Inmate assaults on other inmates usually involved cut/stab weapons (N=474 or 62%), (see Table 4.2).

In inmate assault on staff incidents, inmates most often used their body (N=528 or 82%) to hit, kick, or bite correctional staff. Inmates used body fluid or human waste in 5.0% of assaults on staff (N=32). Use of 'other' weapons in assaults on staff (N=50 or 8%) included items such as chemicals or caustic agents; water or other fluids thrown onto correction officers or other staff; and other weapons.

**TABLE 4.1  
INMATE WEAPON USE BY MONTH OF INCIDENT  
JANUARY - DECEMBER 2013**

TYPE OF WEAPON	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	TOTAL
<b>NO WEAPON USED</b>													
NO WEAPON USED	469	358	358	428	442	421	423	464	420	429	360	424	<b>4,996</b>
SUB-TOTAL	469	358	358	428	442	421	423	464	420	429	360	424	<b>4,996</b>
Column Pct.	74.4%	71.2%	71.3%	75.6%	75.2%	74.1%	71.5%	71.4%	73.2%	71.7%	67.4%	70.8%	<b>72.4%</b>
<b>USE OF BODY</b>													
FIST KICK BITE ETC	73	67	66	79	85	70	81	85	78	73	87	77	<b>921</b>
SUB-TOTAL	73	67	66	79	85	70	81	85	78	73	87	77	<b>921</b>
Column Pct.	11.6%	13.3%	13.1%	14.0%	14.5%	12.3%	13.7%	13.1%	13.6%	12.2%	16.3%	12.9%	<b>13.3%</b>
<b>CUT OR STAB INSTRUMENT</b>													
BROKEN GLASS	0	0	0	0	0	0	0	0	0	0	1	0	<b>1</b>
EATING UTENSIL	0	0	0	0	0	0	1	0	0	2	0	0	<b>3</b>
KNIFE-MANUFACTURED	1	0	0	0	0	0	0	0	0	0	0	0	<b>1</b>
PEN OR PENCIL	2	1	0	3	1	2	2	1	0	1	1	1	<b>15</b>
RAZOR BLADE	9	14	5	6	3	1	12	9	4	6	7	5	<b>81</b>
ICE PICK TYPE	4	1	1	0	3	4	4	4	1	6	1	3	<b>32</b>
SHANK	6	2	2	0	1	3	2	5	2	5	0	2	<b>30</b>
CAN LID	1	6	3	6	3	6	1	5	5	6	7	8	<b>57</b>
TOOTHBRUSH	0	0	0	0	1	0	0	0	1	1	0	1	<b>4</b>
UNRC. CUT. WEAPON	20	27	22	23	19	27	29	33	30	42	35	38	<b>345</b>
UNRC. STAB. WEAPON	4	1	4	3	0	1	2	5	1	6	1	4	<b>32</b>
SUB-TOTAL	47	52	37	41	31	44	53	62	44	75	53	62	<b>601</b>
Column Pct.	7.5%	10.3%	7.4%	7.2%	5.3%	7.7%	9.0%	9.5%	7.7%	12.5%	9.9%	10.4%	<b>8.7%</b>
<b>CLUBS</b>													
WEIGHTED CONTAINER	2	1	0	0	4	2	3	2	1	0	2	1	<b>18</b>
OTHER CLUB	0	0	0	0	0	0	0	1	2	0	0	0	<b>3</b>
WOODEN CLUB	2	1	2	0	2	4	2	2	3	1	4	1	<b>24</b>
KITCHEN TRAY	0	0	1	0	1	0	0	0	1	0	2	1	<b>6</b>
SUB-TOTAL	4	2	3	0	7	6	5	5	7	1	8	3	<b>51</b>
Column Pct.	0.6%	0.4%	0.6%	0.0%	1.2%	1.1%	0.8%	0.8%	1.2%	0.2%	1.5%	0.5%	<b>0.7%</b>
<b>METAL OBJECTS</b>													
CHAIR	1	1	0	2	0	0	2	1	1	2	1	1	<b>12</b>
SUB-TOTAL	1	1	0	2	0	0	2	1	1	2	1	1	<b>12</b>
Column Pct.	0.2%	0.2%	0.0%	0.4%	0.0%	0.0%	0.3%	0.2%	0.2%	0.3%	0.2%	0.2%	<b>0.2%</b>
<b>HUMAN WASTE/BODY FLUIDS</b>													
HUMAN WASTE	0	1	5	1	1	0	3	1	1	2	2	3	<b>20</b>
BODY FLUIDS	4	1	1	2	3	3	3	4	2	4	2	2	<b>31</b>
SUB-TOTAL	4	2	6	3	4	3	6	5	3	6	4	5	<b>51</b>
Column Pct.	0.6%	0.4%	1.2%	0.5%	0.7%	0.5%	1.0%	0.8%	0.5%	1.0%	0.7%	0.8%	<b>0.7%</b>
<b>GARROTTE</b>													
GARROTTE	10	6	8	2	7	10	7	17	8	5	10	11	<b>101</b>
SUB-TOTAL	10	6	8	2	7	10	7	17	8	5	10	11	<b>101</b>
Column Pct.	1.6%	1.2%	1.6%	0.4%	1.2%	1.8%	1.2%	2.6%	1.4%	0.8%	1.9%	1.8%	<b>1.5%</b>
<b>OTHER</b>													
COMBUST MATERIAL	0	1	3	0	0	0	0	0	0	0	0	0	<b>4</b>
WATER OR OTHER FLUIDS	6	2	2	3	4	5	4	3	3	0	2	3	<b>37</b>
MISC. OTHER	9	8	7	6	5	2	7	6	2	4	6	4	<b>66</b>
SUB-TOTAL	15	11	12	9	9	7	11	9	5	4	8	7	<b>107</b>
Column Pct.	2.4%	2.2%	2.4%	1.6%	1.5%	1.2%	1.9%	1.4%	0.9%	0.7%	1.5%	1.2%	<b>1.5%</b>
<b>UNKNOWN</b>													
UNKNOWN	7	4	12	2	3	7	4	2	8	3	3	9	<b>64</b>
SUB-TOTAL	7	4	12	2	3	7	4	2	8	3	3	9	<b>64</b>
Column Pct.	1.1%	0.8%	2.4%	0.4%	0.5%	1.2%	0.7%	0.3%	1.4%	0.5%	0.6%	1.5%	<b>0.9%</b>
<b>GRAND TOTAL</b>	<b>630</b>	<b>503</b>	<b>502</b>	<b>566</b>	<b>588</b>	<b>568</b>	<b>592</b>	<b>650</b>	<b>574</b>	<b>598</b>	<b>534</b>	<b>599</b>	<b>6,904</b>
Percent	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%

**TABLE 4.2  
INCIDENT CATEGORY BY INMATE WEAPON USE  
JANUARY-DECEMBER 2013**

INCIDENT CATEGORY	NO WEAPON USED	USE OF BODY	CUT/STAB WEAPON	CLUBS	METAL OBJECT	HUMAN WASTE/ BODY FLUID	GARROTTE	OTHER	UNKNOWN	Total
ACCIDENT	569	2	0	0	0	0	0	1	0	<b>572</b>
Row Pct.	99.5%	0.3%	0.0%	0.0%	0.0%	0.0%	0.0%	0.2%	0.0%	<b>100.0%</b>
ASSAULT ON INMATE	46	109	474	32	10	2	0	33	61	<b>767</b>
Row Pct.	6.0%	14.2%	61.8%	4.2%	1.3%	0.3%	0.0%	4.3%	8.0%	<b>100.0%</b>
ASSAULT ON STAFF	19	528	5	10	1	32	0	50	0	<b>645</b>
Row Pct.	2.9%	81.9%	0.8%	1.6%	0.2%	5.0%	0.0%	7.8%	0.0%	<b>100.0%</b>
ASSAULT ON OTHER	1	5	1	0	0	0	0	0	0	<b>7</b>
Row Pct.	14.3%	71.4%	14.3%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	<b>100.0%</b>
CONTRABAND	2,922	9	23	4	0	0	0	0	0	<b>2,958</b>
Row Pct.	98.8%	0.3%	0.8%	0.1%	0.0%	0.0%	0.0%	0.0%	0.0%	<b>100.0%</b>
DEATH	132	1	0	0	0	0	12	0	0	<b>145</b>
Row Pct.	91.0%	0.7%	0.0%	0.0%	0.0%	0.0%	8.3%	0.0%	0.0%	<b>100.0%</b>
DISRUPTIVE BEHAVIOR	252	253	6	5	1	1	0	2	1	<b>521</b>
Row Pct.	48.4%	48.6%	1.2%	1.0%	0.2%	0.2%	0.0%	0.4%	0.2%	<b>100.0%</b>
UTILITIES DISRUPTION	3	0	0	0	0	0	0	1	0	<b>4</b>
Row Pct.	75.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	25.0%	0.0%	<b>100.0%</b>
EMPLOYEE MISCONDUCT	120	0	0	0	0	0	0	0	0	<b>120</b>
Row Pct.	100.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	<b>100.0%</b>
ESCAPE	1	0	0	0	0	0	0	0	0	<b>1</b>
Row Pct.	100.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	<b>100.0%</b>
ATTEMPTED ESCAPE	1	0	0	0	0	0	0	0	0	<b>1</b>
Row Pct.	100.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	<b>100.0%</b>
FIRE	31	0	0	0	0	0	0	3	0	<b>34</b>
Row Pct.	91.2%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	8.8%	0.0%	<b>100.0%</b>
PROPERTY DESTRUCTION	3	0	0	0	0	0	0	0	0	<b>3</b>
Row Pct.	100.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	<b>100.0%</b>
PROPERTY LOST STOLEN	75	0	0	0	0	0	0	0	0	<b>75</b>
Row Pct.	100.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	<b>100.0%</b>
SELF-INJURY	11	4	54	0	0	0	0	6	0	<b>75</b>
Row Pct.	14.7%	5.3%	72.0%	0.0%	0.0%	0.0%	0.0%	8.0%	0.0%	<b>100.0%</b>
SEXUAL MISCONDUCT	49	1	0	0	0	0	0	0	1	<b>51</b>
Row Pct.	96.1%	2.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	2.0%	<b>100.0%</b>
SUICIDE ATTEMPT	25	0	36	0	0	0	89	10	1	<b>161</b>
Row Pct.	15.5%	0.0%	22.4%	0.0%	0.0%	0.0%	55.3%	6.2%	0.6%	<b>100.0%</b>
TEMPORARY RELEASE	29	0	0	0	0	0	0	0	0	<b>29</b>
Row Pct.	100.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	<b>100.0%</b>
EMPLOYEE WEAPON USE	197	6	0	0	0	0	0	0	0	<b>203</b>
Row Pct.	97.0%	3.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	<b>100.0%</b>
OTHER INCIDENTS	510	3	2	0	0	16	0	1	0	<b>532</b>
Row Pct.	95.9%	0.6%	0.4%	0.0%	0.0%	3.0%	0.0%	0.2%	0.0%	<b>100.0%</b>
<b>GRAND TOTAL</b>	<b>4,996</b>	<b>921</b>	<b>601</b>	<b>51</b>	<b>12</b>	<b>51</b>	<b>101</b>	<b>107</b>	<b>64</b>	<b>6,904</b>
<b>Percent</b>	<b>72.4%</b>	<b>13.3%</b>	<b>8.7%</b>	<b>0.7%</b>	<b>0.2%</b>	<b>0.7%</b>	<b>1.5%</b>	<b>1.5%</b>	<b>0.9%</b>	<b>100.0%</b>

## Section Five

### INMATE ROLE IN UNUSUAL INCIDENTS

Table 5.1 shows the role of inmates according to type of unusual incident. Inmate roles are divided into five categories: perpetrator, participant, suspect, victim, or witness. "Perpetrator" refers to the inmate who is responsible for the unusual incident (i.e., the individual who assaulted another person, started a fire, possessed contraband, or escaped from a facility). The "participant" role describes an inmate who is involved in an unusual incident but in a secondary capacity when compared to the perpetrator. An example would be an inmate who engages in a work stoppage but only after instigation by other inmates. "Suspect" refers to an inmate who is suspected of involvement in an incident but whose role will be determined after additional investigation. "Victim" refers to an inmate who has suffered an injury or loss due to the actions of other inmates, i.e., someone who has been assaulted or suffered smoke inhalation from a fire. The "witness/bystander" role describes an inmate who observed an unusual incident, or may have been present during an unusual incident but was not actively involved in the incident.

Table 5.2 shows the distribution of inmate roles by month for calendar year 2013.

A total of 8,764 inmates were involved in unusual incidents in 2013. Specifically, there were 5,965 (68%) perpetrators; 205 (2%) participants; 1,454 (17%) victims; 349 (4%) suspects; and 791 (9%) witnesses or bystanders. It should be noted that reporting system procedures enable an inmate's involvement to be counted several times depending upon the number of unusual incident reports filed as a result of a series of events. For example, if an inmate uses a knife in an assault of another inmate, the event may be reported in two categories - one for the assault incident, another for the inmate's possession of a weapon (contraband). While both unusual incidents are part of the same situation, the inmate would be listed as a perpetrator twice.

**TABLE 5.1  
UNUSUAL INCIDENT TYPE BY INMATE ROLE  
JANUARY - DECEMBER 2013**

INCIDENT TYPE	INMATE ROLE IN INCIDENT										TOTAL	
	PERPETRATOR		PARTICIPANT		SUSPECT		VICTIM		WITNESS or BYSTANDER			
	#	Col. %	#	Col. %	#	Col. %	#	Col. %	#	Col. %	#	Col. %
ACCIDENT	15	0.3%	29	14.1%	3	0.9%	437	30.1%	51	6.4%	<b>535</b>	<b>6.1%</b>
ASSAULT ON INMATE	667	11.2%	48	23.4%	32	9.2%	728	50.1%	49	6.2%	<b>1,524</b>	<b>17.4%</b>
ASSAULT ON STAFF	647	10.8%	16	7.8%	1	0.3%	1	0.1%	31	3.9%	<b>696</b>	<b>7.9%</b>
ASSAULT ON OTHER	7	0.1%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	<b>7</b>	<b>0.1%</b>
CONTRABAND	2,720	45.6%	34	16.6%	274	78.5%	13	0.9%	206	26.0%	<b>3,247</b>	<b>37.0%</b>
DEATH	3	0.1%	3	1.5%	0	0.0%	141	9.7%	32	4.0%	<b>179</b>	<b>2.0%</b>
DISRUPTIVE BEHAVIOR	1,091	18.3%	40	19.5%	4	1.1%	5	0.3%	15	1.9%	<b>1,155</b>	<b>13.2%</b>
DISRUPTION OF FACILITY	1	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	<b>1</b>	<b>0.0%</b>
EMPLOYEE MISCONDUCT	1	0.0%	1	0.5%	0	0.0%	0	0.0%	1	0.1%	<b>3</b>	<b>0.0%</b>
ESCAPE	1	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	<b>1</b>	<b>0.0%</b>
ATTEMPTED ESCAPE	1	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	<b>1</b>	<b>0.0%</b>
FIRE	21	0.4%	3	1.5%	0	0.0%	47	3.2%	60	7.6%	<b>131</b>	<b>1.5%</b>
PROPERTY LOST OR STOLEN	3	0.1%	0	0.0%	31	8.9%	0	0.0%	23	2.9%	<b>57</b>	<b>0.7%</b>
SELF-INFLICTED INJURY	73	1.2%	1	0.5%	0	0.0%	1	0.1%	6	0.8%	<b>81</b>	<b>0.9%</b>
SEXUAL MISCONDUCT	71	1.2%	13	6.3%	0	0.0%	4	0.3%	1	0.1%	<b>89</b>	<b>1.0%</b>
SUICIDE ATTEMPT	142	2.4%	2	1.0%	0	0.0%	17	1.2%	13	1.6%	<b>174</b>	<b>2.0%</b>
TEMP RELEASE RELATED	28	0.5%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	<b>28</b>	<b>0.3%</b>
STAFF USE OF WEAPONS	309	5.2%	4	2.0%	0	0.0%	3	0.2%	3	0.4%	<b>319</b>	<b>3.6%</b>
OTHER INCIDENTS	164	2.7%	11	5.4%	4	1.1%	57	3.9%	300	37.9%	<b>536</b>	<b>6.1%</b>
<b>TOTAL</b>	<b>5,965</b>	<b>100.0%</b>	<b>205</b>	<b>100.0%</b>	<b>349</b>	<b>100.0%</b>	<b>1,454</b>	<b>100.0%</b>	<b>791</b>	<b>100.0%</b>	<b>8,764</b>	<b>100.0%</b>

**TABLE 5.2  
INMATE ROLE BY MONTH OF INCIDENT  
JANUARY - DECEMBER 2013**

ROLE	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	TOTAL
PERPETRATOR	512	415	411	520	509	465	515	591	507	522	497	501	<b>5,965</b>
Column Pct.	67.9%	67.8%	64.1%	70.1%	69.1%	65.5%	67.1%	70.9%	66.7%	69.0%	70.6%	67.2%	<b>68.1%</b>
PARTICIPANT	18	4	17	11	18	18	23	15	23	17	16	25	<b>205</b>
Column Pct.	2.4%	0.7%	2.7%	1.5%	2.4%	2.5%	3.0%	1.8%	3.0%	2.2%	2.3%	3.4%	<b>2.3%</b>
SUSPECT	30	40	24	18	27	40	38	32	18	32	25	25	<b>349</b>
Column Pct.	4.0%	6.5%	3.7%	2.4%	3.7%	5.6%	5.0%	3.8%	2.4%	4.2%	3.6%	3.4%	<b>4.0%</b>
VICTIM	113	97	136	116	101	105	129	136	138	137	106	140	<b>1,454</b>
Column Pct.	15.0%	15.8%	21.2%	15.6%	13.7%	14.8%	16.8%	16.3%	18.2%	18.1%	15.1%	18.8%	<b>16.6%</b>
WITNESS/BYSTANDER	81	56	53	77	82	82	62	60	74	49	60	55	<b>791</b>
Column Pct.	10.7%	9.2%	8.3%	10.4%	11.1%	11.5%	8.1%	7.2%	9.7%	6.5%	8.5%	7.4%	<b>9.0%</b>
<b>TOTAL</b>	<b>754</b>	<b>612</b>	<b>641</b>	<b>742</b>	<b>737</b>	<b>710</b>	<b>767</b>	<b>834</b>	<b>760</b>	<b>757</b>	<b>704</b>	<b>746</b>	<b>8,764</b>
Column Pct.	<b>100.0%</b>												

## Section Six

### INMATE DEATHS

Cause of inmate death for the 142 inmates who died in the legal custody of DOCCS is shown in Table 6. The total differs from other statistical tables in this report because Table 6 reflects all inmate deaths in the **legal** custody of DOCCS and inmates given permission to leave DOCCS facilities for work release, day reporting, or furlough. The table does not include deaths of 2 correctional staff or the death of 1 offender not in DOCCS legal custody.

An unusual incident report is recorded immediately upon discovery of a death, but the reporting officer may not know the cause of death. Further investigation by DOCCS Health Services' staff and local medical authorities is required to determine the final official cause of death. Table 6 reflects information known at the time this report was written.

The initial cause for 81% or 115 inmate deaths was reported as natural causes. There were also 13 deaths due to suicide (9%), four due to drug overdose (3%), two due to AIDS (1%), one homicide (0.7%), and two accidental deaths (1%).

<b>TABLE 6 INMATE DEATHS: MONTH BY CAUSE OF DEATH JANUARY-DECEMBER 2013</b>									
MONTH OF DEATH	CAUSE OF DEATH								TOTAL
	NATURAL CAUSES	AIDS	SUICIDE	HOMICIDE	DRUG OVERDOSE	ACCIDENT	OTHER	CAUSE PENDING	
January	13	0	1	0	0	0	1	0	15
February	10	0	1	0	0	0	0	0	11
March	11	0	2	0	0	0	0	0	13
April	14	0	0	0	0	0	0	0	14
May	6	1	1	0	0	0	0	0	8
June	4	1	3	0	0	0	0	0	8
July	15	0	0	0	0	0	0	0	15
August	9	0	1	0	0	0	1	0	11
September	13	0	0	0	2	2	0	2	19
October	8	0	1	0	0	0	0	1	10
November	3	0	1	0	0	0	0	0	4
December	9	0	2	1	2	0	0	0	14
<b>TOTAL</b>	<b>115</b>	<b>2</b>	<b>13</b>	<b>1</b>	<b>4</b>	<b>2</b>	<b>2</b>	<b>3</b>	<b>142</b>
<b>Row Percent</b>	<b>81.0%</b>	<b>1.4%</b>	<b>9.2%</b>	<b>0.7%</b>	<b>2.8%</b>	<b>1.4%</b>	<b>1.4%</b>	<b>2.1%</b>	<b>100.0%</b>

## Section Seven

### LOCATION OF UNUSUAL INCIDENTS

The location of unusual incidents and the incident type are shown in Table 7.1. Locations are categorized into thirteen primary areas. The largest proportion of incidents (N=2,356 or 34%) occurred in housing unit locations which include general confinement housing, single and double cells, galleries, day room areas, showers, and bathroom areas. The second most common incident area is the prison yard (N=770 or 11%). The general confinement prison yard is an open area inside the facility perimeter that inmates use for recreation and exercise. Unusual incidents occurring in yard areas most often occur in general confinement yard locations, as opposed to Special Housing and Keeplock yard areas. The Special Housing Unit (SHU) and Keeplock Yard areas are restricted to single occupant use which significantly reduces the frequency of unusual incident behavior, as shown in table 7.2. Following housing units and yard areas, unusual incidents are likely to occur on walkways or in corridors (N=583 or 8%).

The incident types most often occurring in the housing areas were contraband (N=1,291), inmate assaults on inmate (N=267), inmate assaults on staff (N=236), and disruptive behaviors (N=211). Incidents occurring in the yard most often include inmate assaults on inmate (N=269), contraband (N=231), and accidents (N=155).

The fewest reported incidents occurred in the assembly or gym (N=172 or 3%), mess hall (N=135 or 2%), and shop or classroom areas (N=96 or 1%). Most unusual incidents occurred within the facility (N=6,528 or 95%). Unusual incidents occurring outside of the facility (N=376 or 5%) were made up by those in unspecified areas, at outside hospital, or in the parking lot or outside perimeter areas.

**TABLE 7.1  
UNUSUAL INCIDENT TYPE BY LOCATION  
JANUARY - DECEMBER 2013**

INCIDENT TYPE	INCIDENT LOCATION													Total	
	HOUSING UNIT	SPECIAL HOUSING	MENTAL HEALTH UNIT*	HOSPITAL	MESS HALL	YARD	SHOP OR CLASS	ASSEMBLY OR GYM	WALKWAY OR CORRIDOR	VISIT PROCESS	ADMIN AREAS	OUTSIDE FACILITY	OTHER	#	%
	ACCIDENT	105	10	5	25	15	155	15	104	39	2	10	61	26	572
ASSAULT ON INMATE	267	8	5	4	29	269	10	7	142	2	2	1	21	767	11.1%
ASSAULT ON STAFF	236	77	85	42	20	12	11	10	83	11	15	12	31	645	9.3%
ASSAULT ON OTHER	0	0	0	1	0	0	0	0	0	4	0	1	1	7	0.1%
CONTRABAND	1,291	248	44	140	44	231	46	40	263	229	171	22	189	2,958	42.8%
DEATH	27	5	1	29	0	0	0	0	0	0	0	82	1	145	2.1%
DISRUPTIVE BEHAVIOR	211	104	66	12	13	59	4	5	28	1	0	5	13	521	7.5%
DISRUPTION OF FACILITY	2	0	0	0	0	0	0	0	0	0	0	1	1	4	0.1%
EMPLOYEE MISCONDUCT	2	0	0	5	0	0	1	0	0	1	3	105	3	120	1.7%
ESCAPE	0	0	0	0	0	0	0	0	0	0	0	1	0	1	0.0%
ATTEMPTED ESCAPE	0	0	0	0	0	0	0	0	0	0	0	1	0	1	0.0%
FIRE	22	3	5	0	0	0	0	0	0	1	0	2	1	34	0.5%
PROPERTY DESTROYED	0	0	0	1	0	0	0	0	0	0	0	2	0	3	0.0%
PROPERTY LOST OR STOLEN	6	0	1	14	4	0	2	0	1	2	6	21	18	75	1.1%
SELF-INFLICTED INJURY	31	14	22	4	0	0	0	0	0	0	0	2	2	75	1.1%
SEXUAL MISCONDUCT	24	0	3	1	1	1	2	3	1	13	0	0	2	51	0.7%
SUICIDE ATTEMPT	43	58	48	8	0	0	0	1	0	0	0	2	1	161	2.3%
TEMP RELEASE RELATED	0	0	0	0	0	0	0	0	0	0	0	29	0	29	0.4%
STAFF USE OF WEAPONS	42	48	21	4	8	42	1	1	19	0	2	8	7	203	2.9%
OTHER INCIDENTS	47	29	22	62	1	1	4	1	7	218	95	18	27	532	7.7%
<b>TOTAL</b>	<b>2,356</b>	<b>604</b>	<b>328</b>	<b>352</b>	<b>135</b>	<b>770</b>	<b>96</b>	<b>172</b>	<b>583</b>	<b>484</b>	<b>304</b>	<b>376</b>	<b>344</b>	<b>6,904</b>	<b>100.0%</b>
<b>Row Percent</b>	<b>34.1%</b>	<b>8.7%</b>	<b>4.8%</b>	<b>5.1%</b>	<b>2.0%</b>	<b>11.2%</b>	<b>1.4%</b>	<b>2.5%</b>	<b>8.4%</b>	<b>7.0%</b>	<b>4.4%</b>	<b>5.4%</b>	<b>5.0%</b>	<b>100.0%</b>	

\* Mental Health Unit includes: Residential Mental Health Unit (RMHU), Behavioral Health Unit (BHU), Therapeutic Behavioral Unit (TBU), Intermediate Care Program (ICP) and OMH Residential Crisis Treatment Program Units (RCTP, also refers to OMH Satellite Units) see Appendix C for a brief description of these units.

**TABLE 7.2  
UNUSUAL INCIDENTS: JANUARY - DECEMBER 2013  
INCIDENT LOCATION BY MONTH OF INCIDENT**

INCIDENT LOCATION	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	TOTAL
<b>HOUSING UNIT</b>													
CELL	64	51	71	71	52	55	57	64	62	73	81	57	<b>758</b>
DORMITORY	57	60	33	50	44	48	67	63	56	74	58	49	<b>659</b>
GALLERY	34	11	16	21	16	27	17	23	11	28	22	19	<b>245</b>
SHOWER	3	8	4	3	4	9	2	5	5	7	5	13	<b>68</b>
TOILET AREA	17	7	22	9	8	9	8	9	9	9	12	6	<b>125</b>
TV OR DAY ROOM AREA	10	5	9	17	13	6	12	12	17	16	8	8	<b>133</b>
CELL BLOCK	28	10	15	18	20	19	22	26	23	22	7	23	<b>233</b>
DOUBLE-CELL	7	6	9	7	8	6	2	6	6	2	9	10	<b>78</b>
SLOP SINK	2	0	0	1	0	0	1	0	0	1	4	0	<b>9</b>
LONG TERM KEEPLOCK CELL	8	2	5	4	4	4	3	3	5	2	2	5	<b>47</b>
NON-SHU PROTECTIVE CUSTODY	0	1	0	0	0	0	0	0	0	0	0	0	<b>1</b>
SUB-TOTAL	230	161	184	201	169	183	191	211	194	234	208	190	<b>2,356</b>
Column Pct.	36.5%	32.0%	36.7%	35.5%	28.7%	32.2%	32.3%	32.5%	33.8%	39.1%	39.0%	31.7%	<b>34.1%</b>
<b>SPECIAL HOUSING UNIT</b>													
SHU SHOWER	0	1	0	0	0	0	0	0	0	0	0	0	<b>1</b>
SHU-DISCIPLINE	3	1	1	3	6	2	3	2	2	1	2	0	<b>26</b>
SHU- INVOL. PROTECT.	0	0	0	0	0	0	0	0	0	0	3	0	<b>3</b>
SHU- PROTECTIVE CUSTODY	0	0	0	0	0	0	0	0	0	1	1	1	<b>3</b>
CELL BLOCK	0	0	0	0	0	0	0	1	0	0	0	0	<b>1</b>
SHU-YARD	0	1	0	0	0	1	0	0	0	1	1	1	<b>5</b>
SHU FRISK ROOM	5	10	3	4	5	4	3	3	7	6	5	4	<b>59</b>
SHU OTHER	8	3	4	1	5	5	2	3	9	3	4	7	<b>54</b>
SHU	47	33	37	34	37	41	25	43	36	32	48	39	<b>452</b>
SUB-TOTAL	63	49	45	42	53	53	33	52	54	44	64	52	<b>604</b>
Column Pct.	10.0%	9.7%	9.0%	7.4%	9.0%	9.3%	5.6%	8.0%	9.4%	7.4%	12.0%	8.7%	<b>8.7%</b>
<b>MENTAL HEALTH UNITS</b>													
GALLERY	2	1	0	2	4	2	0	5	1	2	3	2	<b>24</b>
MENTAL HEALTH UNIT	19	13	16	12	28	19	19	27	14	13	14	17	<b>211</b>
SHOWER	6	0	2	0	1	0	0	0	0	0	0	0	<b>9</b>
TOILET AREA	0	0	0	0	0	0	0	0	0	0	1	0	<b>1</b>
TV ROOM DAY AREA	0	0	0	0	1	0	0	1	0	0	0	0	<b>2</b>
CELL BLOCK	0	0	0	2	0	0	3	0	0	0	1	0	<b>6</b>
MHU-OBS CELL*	2	11	3	4	3	1	21	11	3	7	1	6	<b>73</b>
MHU-OBS DORM*	1	0	0	0	0	0	0	0	0	0	0	0	<b>1</b>
BHU/RMHU**	0	0	0	0	0	0	0	1	0	0	0	0	<b>1</b>
SUB-TOTAL	30	25	21	20	37	22	43	45	18	22	20	25	<b>328</b>
Column Pct.	4.8%	5.0%	4.2%	3.5%	6.3%	3.9%	7.3%	6.9%	3.1%	3.7%	3.7%	4.2%	<b>4.8%</b>
<b>HOSPITAL</b>													
INFIRMARY-HOSPITAL	27	37	27	19	44	25	27	26	32	25	28	35	<b>352</b>
SUB-TOTAL	27	37	27	19	44	25	27	26	32	25	28	35	<b>352</b>
Column Pct.	4.3%	7.4%	5.4%	3.4%	7.5%	4.4%	4.6%	4.0%	5.6%	4.2%	5.2%	5.8%	<b>5.1%</b>
<b>MESS HALL</b>													
KITCHEN	3	4	1	2	3	5	2	1	4	3	2	7	<b>37</b>
MESS HALL/IN LINE	16	5	10	7	3	8	9	11	4	12	3	10	<b>98</b>
SUB-TOTAL	19	9	11	9	6	13	11	12	8	15	5	17	<b>135</b>
Column Pct.	3.0%	1.8%	2.2%	1.6%	1.0%	2.3%	1.9%	1.8%	1.4%	2.5%	0.9%	2.8%	<b>2.0%</b>
<b>YARD</b>													
YARD	30	49	48	61	65	64	105	89	78	80	40	60	<b>769</b>
KEEPLOCK YARD	0	0	0	1	0	0	0	0	0	0	0	0	<b>1</b>
SUB-TOTAL	30	49	48	62	65	64	105	89	78	80	40	60	<b>770</b>
Column Pct.	4.8%	9.7%	9.6%	11.0%	11.1%	11.3%	17.7%	13.7%	13.6%	13.4%	7.5%	10.0%	<b>11.2%</b>

\*MHU-OBS = OMH Residential Crisis Treatment Program Cell/Dorm. Also, referred to as OMH Satellite Unit.

\*\*BHU/RMHU includes: Residential Mental Health Unit (RMHU), Behavioral Health Unit (BHU), and Therapeutic Behavioral Health Unit (TBU).

(continued on the next page)

**TABLE 7.2  
UNUSUAL INCIDENTS: JANUARY - DECEMBER 2013  
INCIDENT LOCATION BY MONTH OF INCIDENT**

INCIDENT LOCATION	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	TOTAL
SHOP OR CLASSROOM													
CLASSROOM/SCHOOL	11	1	4	2	8	5	0	2	2	8	5	7	55
SHOP-INDUSTRIES	2	2	0	3	2	0	3	1	2	0	0	1	16
SHOP-MAINTENANCE	1	0	0	0	1	0	1	0	0	1	0	2	6
SHOP-VOCATION ED	1	3	3	2	1	2	0	1	0	2	1	3	19
SUB-TOTAL	15	6	7	7	12	7	4	4	4	11	6	13	96
Column Pct.	2.4%	1.2%	1.4%	1.2%	2.0%	1.2%	0.7%	0.6%	0.7%	1.8%	1.1%	2.2%	1.4%
ASSEMBLY OR GYM													
AUDITORIUM	2	0	0	2	0	0	1	0	0	1	0	0	6
GYMNASIUM	23	17	19	13	4	13	9	13	7	11	14	23	166
SUB-TOTAL	25	17	19	15	4	13	10	13	7	12	14	23	172
Column Pct.	4.0%	3.4%	3.8%	2.7%	0.7%	2.3%	1.7%	2.0%	1.2%	2.0%	2.6%	3.8%	2.5%
WALKWAY OR CORRIDOR													
CORRIDOR	22	22	14	30	31	13	19	28	29	30	14	26	278
STAIRWAY	11	2	6	4	9	11	6	8	8	3	9	4	81
WALKWAY	15	13	17	15	20	12	17	30	25	15	18	27	224
SUB-TOTAL	48	37	37	49	60	36	42	66	62	48	41	57	583
Column Pct.	7.6%	7.4%	7.4%	8.7%	10.2%	6.3%	7.1%	10.2%	10.8%	8.0%	7.7%	9.5%	8.4%
VISIT ROOM/PROCESS													
PACKAGE ROOM	11	16	1	7	9	14	4	9	15	8	9	4	107
VISITING ROOM	12	15	7	19	10	16	10	5	15	8	4	12	133
VISITOR PROCESS	12	13	14	13	24	31	15	25	31	26	19	21	244
SUB-TOTAL	35	44	22	39	43	61	29	39	61	42	32	37	484
Column Pct.	5.6%	8.7%	4.4%	6.9%	7.3%	10.7%	4.9%	6.0%	10.6%	7.0%	6.0%	6.2%	7.0%
ADMINISTRATION AREAS													
ADMIN BUILDING	30	18	22	30	15	25	20	17	14	7	12	16	226
FRONT GATE	1	6	6	1	3	4	4	3	0	0	2	5	35
LOBBY	4	2	2	8	4	3	0	6	4	1	6	3	43
SUB-TOTAL	35	26	30	39	22	32	24	26	18	8	20	24	304
Column Pct.	5.6%	5.2%	6.0%	6.9%	3.7%	5.6%	4.1%	4.0%	3.1%	1.3%	3.7%	4.0%	4.4%
OUTSIDE FACILITY													
FARM	0	0	0	0	1	0	1	0	0	1	0	0	3
IN TRANSIT	0	3	2	0	2	0	1	0	1	0	1	2	12
OUTSIDE-COURT	2	0	0	0	0	0	0	0	0	0	2	0	4
OUTSIDE-HOSPITAL	10	7	12	16	7	8	13	11	14	5	5	9	117
OUTSIDE-TEMP REL	4	0	2	3	2	4	1	4	1	6	3	0	30
OUTSIDE UNSPECIFY	20	12	13	11	19	17	15	11	9	9	18	17	171
PARKING LOT/PERIMETER	2	2	1	4	2	2	5	3	7	3	4	4	39
SUB-TOTAL	38	24	30	34	33	31	36	29	32	24	33	32	376
Column Pct.	6.0%	4.8%	6.0%	6.0%	5.6%	5.5%	6.1%	4.5%	5.6%	4.0%	6.2%	5.3%	5.4%
OTHER													
DISCIPLINARY OFF.	2	0	0	1	0	1	2	4	0	0	0	3	13
INMATE DRAFT AREAS	7	4	5	8	7	7	2	3	1	4	7	4	59
REAR GATE	0	1	0	0	1	0	0	3	0	1	0	2	8
INTERVIEW ROOM	0	0	3	5	8	2	2	4	0	3	1	3	31
NOT SPECIFIED	0	0	0	0	1	0	0	0	0	0	0	0	1
OTHER - INSIDE FACILITY	26	14	13	16	23	18	31	24	5	25	15	22	232
SUB-TOTAL	35	19	21	30	40	28	37	38	6	33	23	34	344
Column Pct.	5.6%	3.8%	4.2%	5.3%	6.8%	4.9%	6.3%	5.8%	1.0%	5.5%	4.3%	5.7%	5.0%
<b>GRAND TOTAL</b>	<b>630</b>	<b>503</b>	<b>502</b>	<b>566</b>	<b>588</b>	<b>568</b>	<b>592</b>	<b>650</b>	<b>574</b>	<b>598</b>	<b>534</b>	<b>599</b>	<b>6,904</b>
Column Pct.	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%

## Section Eight - Part I

### INMATE ASSAULTS ON STAFF AND ON INMATES

In 2013, there were a total of 645 assaults on staff. The highest number of these assaults (78%) occurred in maximum security facilities with a reported 504 incidents for a rate of 21.1 incidents per thousand inmates of population. Both the number and rate of assaults on staff at maximum security prisons were considerably higher than medium and minimum security facilities. Medium security facilities experienced 132 staff assault incidents (or 20% of the total) at a rate of 4.7 per thousand inmates of population. Minimum security facilities reported six assault incidents and a rate of 10.6 per thousand inmates of population; all six of these assaults took place at Queensboro. There were three assaults on staff at Shock Incarceration facilities.

Table 8.1 displays the number and rate of staff assaults for 2013. The table provides information on the average daily population of each facility during the year, the number of assaults, and the annualized rate of inmate assault on staff incidents per 1,000 inmates. The annualized rate allows for standardized comparisons between security levels and facilities. A complete explanation of rate calculation is presented in Appendix A, page 42.

TABLE 8.1 NUMBER AND RATE OF INMATE ASSAULTS ON STAFF UNUSUAL INCIDENTS BY SECURITY LEVEL AND FACILITY JANUARY - DECEMBER 2013			
CORRECTIONAL FACILITY	AVERAGE INMATE POPULATION 2013	ASSAULTS ON STAFF	RATE PER 1,000 INMATES
<b>MAXIMUM SECURITY</b>			
ATTICA	2,092	54	25.8
AUBURN	1,653	31	18.8
BEDFORD HILLS	784	16	20.4
CLINTON	2,778	28	10.1
COXSACKIE	879	31	35.3
DOWNSTATE	1,198	32	26.7
EASTERN	924	3	3.2
ELMIRA	1,744	25	14.3
FIVE POINTS	1,351	19	14.1
GREAT MEADOW	1,585	53	33.4
GREEN HAVEN	1,942	27	13.9
SHAWANGUNK	505	8	15.8
SING SING	1,558	25	16.0
SOUTHPORT	751	7	9.3
SULLIVAN	458	13	28.4
UPSTATE	1,130	15	13.3
WENDE	795	31	39.0
SUB-TOTAL	22,127	418	18.9
<b>SPECIAL HOUSING 200 BED</b>			
CAYUGA SHU200	153	4	26.1
COLLINS SHU200	155	3	19.4
FISHKILL SHU200	138	6	43.5
GOUVERNEUR SHU200	171	1	5.8
GREENE SHU200	177	2	11.3
LAKEVIEW SHU200	148	1	6.8
MID-STATE SHU200	162	2	12.3
ORLEANS SHU200	134	2	14.9
SUB-TOTAL	1,238	21	17.0
<b>RESIDENTIAL MENTAL HEALTH TREATMENT UNIT</b>			
ATTICA RMHU	8	2	250.0
BEDFORD HILLS TBU	8	1	125.0
FIVE POINTS RMHU	51	18	352.9
GREAT MEADOW BHU	24	10	416.7
MARCY RMHU	82	21	256.1
SULLIVAN BHU	8	2	250.0
SUB-TOTAL	181	54	298.3
<b>REGIONAL MEDICAL UNIT</b>			
BEDFORD RMU	15	0	0.0
COXSACKIE RMU	58	1	17.2
FISHKILL RMU	28	1	35.7
WALSH RMU	110	8	72.7
WENDE RMU	79	1	12.7
SUB-TOTAL	290	11	37.9
<b>TOTAL MAXIMUM SECURITY</b>	<b>23,836</b>	<b>504</b>	<b>21.1</b>

(continued on next page)

<b>TABLE 8.1</b> <b>NUMBER AND RATE OF INMATE ASSAULTS ON STAFF UNUSUAL INCIDENTS</b> <b>BY SECURITY LEVEL AND FACILITY</b> <b>JANUARY - DECEMBER 2013</b>			
CORRECTIONAL FACILITY	AVERAGE INMATE POPULATION 2013	ASSAULTS ON STAFF	RATE PER 1,000 INMATES
<b>MEDIUM SECURITY</b>			
ADIRONDACK	398	4	10.1
ALBION	1,035	5	4.8
ALTONA	475	1	2.1
BARE HILL	1,633	6	3.7
BAYVIEW	3	0	0.0
BUTLER	171	1	5.8
CAPE VINCENT	864	1	1.2
CAYUGA	826	1	1.2
CHATEAUGAY	197	1	5.1
COLLINS	895	1	1.1
FISHKILL	1,460	14	9.6
FRANKLIN	1,652	15	9.1
GOUVERNEUR	808	4	5.0
GOWANDA	1,486	5	3.4
GREENE	1,601	11	6.9
GROVELAND	1,079	6	5.6
HALE CREEK	311	0	0.0
HUDSON	381	0	0.0
LAKEVIEW	134	3	22.4
LIVINGSTON	813	0	0.0
MARCY	1,095	5	4.6
MIDSTATE	1,387	12	8.7
MOHAWK	1,299	6	4.6
MT. MCGREGOR	381	4	10.5
OGDENSBURG	378	1	2.6
ORLEANS	740	5	6.8
OTISVILLE	582	2	3.4
RIVERVIEW	809	1	1.2
TACONIC	347	0	0.0
ULSTER	811	4	4.9
WALLKILL	577	1	1.7
WASHINGTON	741	4	5.4
WATERTOWN	545	0	0.0
WOODBOURNE	820	1	1.2
WYOMING	1,642	7	4.3
<b>TOTAL MEDIUM SECURITY</b>	<b>28,376</b>	<b>132</b>	<b>4.7</b>

(continued on next page)

<b>TABLE 8.1</b> <b>NUMBER AND RATE OF INMATE ASSAULTS ON STAFF UNUSUAL INCIDENTS</b> <b>BY SECURITY LEVEL AND FACILITY</b> <b>JANUARY - DECEMBER 2013</b>			
CORRECTIONAL FACILITY	AVERAGE INMATE POPULATION 2013	ASSAULTS ON STAFF	RATE PER 1,000 INMATES
<b>SHOCK</b>			
MONTEREY SHOCK	145	1	6.9
MORIAH SHOCK	191	1	5.2
LAKEVIEW SHOCK	616	1	1.6
SUB-TOTAL	952	3	3.2
<b>MINIMUM SECURITY</b>			
BEACON	29	0	0.0
EDGECOMBE	27	0	0.0
LINCOLN	110	0	0.0
QUEENSBORO	345	6	17.4
ROCHESTER	57	0	0.0
SUB-TOTAL	568	6	10.6
<b>OTHER</b>			
CENTRAL OFFICE	0	0	N/A
SUB-TOTAL	0	0	N/A
<b>GRAND TOTAL</b>	<b>53,732</b>	<b>645</b>	<b>12.0</b>

**TABLE 8.2  
NUMBER OF ASSAULTS ON STAFF BY SECURITY LEVEL AND FACILITY  
JANUARY - DECEMBER 2013**

CORRECTIONAL FACILITY	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	TOTAL
<b>MAXIMUM SECURITY</b>													
ATTICA	3	3	4	3	4	5	2	5	9	7	4	5	54
AUBURN	4	3	7	1	1	1	4	2	2	2	2	2	31
BEDFORD HILLS	1	0	0	0	1	1	2	3	2	3	1	2	16
CLINTON	1	3	1	4	1	3	2	3	4	1	4	1	28
COXSACKIE	2	2	2	1	3	1	1	1	4	4	2	8	31
DOWNSTATE	2	2	2	3	3	0	2	4	5	4	3	2	32
EASTERN	0	1	0	1	0	0	0	0	0	0	1	0	3
ELMIRA	2	1	1	3	1	5	3	0	0	4	3	2	25
FIVE POINTS	2	2	0	1	1	2	3	2	2	1	1	2	19
GREAT MEADOW	7	5	2	4	6	6	9	5	6	1	0	2	53
GREEN HAVEN	4	3	3	1	0	0	0	5	4	1	0	6	27
SHAWANGUNK	0	0	0	0	0	1	0	1	1	3	2	0	8
SING SING	3	1	3	3	5	2	1	1	0	2	2	2	25
SOUTHPORT	0	0	1	0	1	3	0	0	0	1	1	0	7
SULLIVAN	1	1	4	1	0	2	1	3	0	0	0	0	13
UPSTATE	1	0	1	4	1	1	2	0	2	2	1	0	15
WENDE	3	1	2	2	3	2	3	2	3	2	3	5	31
SUB-TOTAL	36	28	33	32	31	35	35	37	44	38	30	39	418
Column Pct.	61.0%	60.9%	78.6%	61.5%	50.8%	67.3%	67.3%	68.5%	69.8%	74.5%	53.6%	68.4%	64.8%
<b>SPECIAL HOUSING 200 BED</b>													
CAYUGA SHU200	1	0	1	1	0	0	1	0	0	0	0	0	4
COLLINS SHU200	0	0	0	0	1	0	0	0	1	0	1	0	3
FISHKILL SHU200	1	0	0	0	2	0	0	1	0	0	0	2	6
GOVERNEUR SHU200	0	0	0	1	0	0	0	0	0	0	0	0	1
GREENE SHU200	0	0	0	0	0	0	1	0	0	0	1	0	2
LAKEVIEW SHU200	0	0	0	0	0	0	0	0	0	0	0	1	1
MID-STATE SHU200	0	0	0	0	0	0	0	1	0	0	1	0	2
ORLEANS SHU200	0	1	0	0	0	0	0	1	0	0	0	0	2
SUB-TOTAL	2	1	1	2	3	0	2	3	1	0	3	3	21
Column Pct.	3.4%	2.2%	2.4%	3.8%	4.9%	0.0%	3.8%	5.6%	1.6%	0.0%	5.4%	5.3%	3.3%
<b>RESIDENTIAL MENTAL HEALTH TREATMENT UNIT</b>													
ATTICA RMHU	1	1	0	0	0	0	0	0	0	0	0	0	2
FIVE POINTS RMHU	1	0	0	1	4	3	0	1	1	2	3	2	18
MARCY RMHU	3	1	2	3	4	1	1	1	1	1	2	1	21
BEDFORD HILLS TBU	0	0	0	0	0	0	1	0	0	0	0	0	1
GREAT MEADOW BHU	0	1	1	1	3	0	1	1	0	0	2	0	10
SULLIVAN BHU	1	0	0	1	0	0	0	0	0	0	0	0	2
SUB-TOTAL	6	3	3	6	11	4	3	3	2	3	7	3	54
Column Pct.	10.2%	6.5%	7.1%	11.5%	18.0%	7.7%	5.8%	5.6%	3.2%	5.9%	12.5%	5.3%	8.4%
<b>REGIONAL MEDICAL UNIT</b>													
COXSACKIE RMU	0	0	0	0	0	0	0	0	0	0	0	1	1
FISHKILL RMU	0	0	0	0	1	0	0	0	0	0	0	0	1
WALSH RMU	1	1	0	1	2	0	1	0	0	1	0	1	8
WENDE RMU	1	0	0	0	0	0	0	0	0	0	0	0	1
SUB-TOTAL	2	1	0	1	3	0	1	0	0	1	0	2	11
Column Pct.	3.4%	2.2%	0.0%	1.9%	4.9%	0.0%	1.9%	0.0%	0.0%	2.0%	0.0%	3.5%	1.7%
TOTAL MAXIMUM SECURITY Column Percent	46	33	37	41	48	39	41	43	47	42	40	47	504

(continued on next page)

**TABLE 8.2  
NUMBER OF ASSAULTS ON STAFF BY SECURITY LEVEL AND FACILITY  
JANUARY - DECEMBER 2013**

CORRECTIONAL FACILITY	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	TOTAL
<b>MEDIUM SECURITY</b>													
ADIRONDACK	1	0	0	0	0	0	0	0	0	0	1	2	4
ALBION	0	0	0	0	2	0	0	0	1	0	1	1	5
ALTONA	0	0	0	0	1	0	0	0	0	0	0	0	1
BARE HILL	0	0	1	1	1	2	0	0	0	0	1	0	6
BAYVIEW	0	0	0	0	0	0	0	0	0	0	0	0	0
BUTLER	0	0	0	0	0	1	0	0	0	0	0	0	1
CAPE VINCENT	0	0	0	0	0	0	0	0	0	0	0	1	1
CAYUGA	0	0	0	0	0	0	0	0	0	1	0	0	1
CHATEAUGAY	0	0	0	0	0	0	1	0	0	0	0	0	1
COLLINS	0	0	0	0	0	0	0	1	0	0	0	0	1
FISHKILL	1	2	0	0	2	2	2	2	0	1	1	1	14
FRANKLIN	3	2	0	0	1	0	1	1	5	0	1	1	15
GOUVERNEUR	0	0	0	0	0	0	1	0	1	0	2	0	4
GOWANDA	0	0	0	2	1	0	0	1	0	0	1	0	5
GREENE	1	2	0	0	1	2	0	1	2	1	1	0	11
GROVELAND	2	0	1	0	0	0	1	1	1	0	0	0	6
HALE CREEK	0	0	0	0	0	0	0	0	0	0	0	0	0
HUDSON	0	0	0	0	0	0	0	0	0	0	0	0	0
LAKEVIEW	0	1	0	2	0	0	0	0	0	0	0	0	3
LIVINGSTON	0	0	0	0	0	0	0	0	0	0	0	0	0
MARCY	1	1	0	0	0	0	0	0	0	2	0	1	5
MIDSTATE	1	1	2	1	1	1	0	0	2	1	2	0	12
MOHAWK	1	0	0	0	1	1	0	0	2	0	1	0	6
MT. MCGREGOR	0	0	1	1	0	0	0	2	0	0	0	0	4
OGDENSBURG	0	1	0	0	0	0	0	0	0	0	0	0	1
ORLEANS	1	0	0	0	0	0	1	0	0	0	1	2	5
OTISVILLE	0	0	0	0	0	0	2	0	0	0	0	0	2
RIVERVIEW	0	0	0	0	0	0	0	0	0	1	0	0	1
TACONIC	0	0	0	0	0	0	0	0	0	0	0	0	0
ULSTER	0	0	0	0	0	0	1	1	0	0	1	1	4
WALLKILL	0	0	0	1	0	0	0	0	0	0	0	0	1
WASHINGTON	1	0	0	1	1	0	0	0	1	0	0	0	4
WATERTOWN	0	0	0	0	0	0	0	0	0	0	0	0	0
WOODBOURNE	0	1	0	0	0	0	0	0	0	0	0	0	1
WYOMING	0	1	0	0	0	3	1	0	1	0	1	0	7
<b>TOTAL MEDIUM SECURITY</b>	<b>13</b>	<b>12</b>	<b>5</b>	<b>9</b>	<b>12</b>	<b>12</b>	<b>11</b>	<b>10</b>	<b>16</b>	<b>7</b>	<b>15</b>	<b>10</b>	<b>132</b>
Column Percent	22.0%	26.1%	11.9%	17.3%	19.7%	23.1%	21.2%	18.5%	25.4%	13.7%	26.8%	17.5%	20.5%
<b>SHOCK</b>													
MONTEREY SHOCK	0	1	0	0	0	0	0	0	0	0	0	0	1
MORIAH SHOCK	0	0	0	0	1	0	0	0	0	0	0	0	1
LAKEVIEW SHOCK	0	0	0	1	0	0	0	0	0	0	0	0	1
SUB-TOTAL	0	1	0	1	1	0	0	0	0	0	0	0	3
Column Pct.	0.0%	2.2%	0.0%	1.9%	1.6%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.5%
<b>MINIMUM SECURITY</b>													
BEACON	0	0	0	0	0	0	0	0	0	0	0	0	0
EDGECOMBE	0	0	0	0	0	0	0	0	0	0	0	0	0
LINCOLN	0	0	0	0	0	0	0	0	0	0	0	0	0
QUEENSBORO	0	0	0	1	0	1	0	1	0	2	1	0	6
ROCHESTER	0	0	0	0	0	0	0	0	0	0	0	0	0
SUB-TOTAL	0	0	0	1	0	1	0	1	0	2	1	0	6
Column Pct.	0.0%	0.0%	0.0%	1.9%	0.0%	1.9%	0.0%	1.9%	0.0%	3.9%	1.8%	0.0%	0.9%
<b>GRAND TOTAL</b>	<b>59</b>	<b>46</b>	<b>42</b>	<b>52</b>	<b>61</b>	<b>52</b>	<b>52</b>	<b>54</b>	<b>63</b>	<b>51</b>	<b>56</b>	<b>57</b>	<b>645</b>
Column Percent	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%

## Section Eight - Part II

### INMATE ASSAULTS BY FACILITY AND MONTH OF INCIDENT

In calendar year 2013, there were 767 inmate assault on inmate incidents. For 2013, 62% (N=476) of these incidents occurred at maximum security institutions, including maximum security SHU200 and Regional Medical Units (RMU) and Residential Mental Health Units (RMHU); thirty-five percent (37% or 287) occurred at medium security prisons and less than 1% (N=4) at minimum security or Shock Incarceration facilities (see Table 8.4).

A review of unusual incidents by security level of facility reveals that maximum security housing units had the highest rate of inmate assault on inmate incidents (20.0) (see Table 8.3). Medium security facilities experienced a rate of 10.1 incidents per 1,000 inmate population.

<b>TABLE 8.3</b> <b>NUMBER AND RATE OF INMATE ASSAULTS ON INMATE UNUSUAL INCIDENTS</b> <b>BY SECURITY LEVEL AND FACILITY</b> <b>JANUARY - DECEMBER 2013</b>			
CORRECTIONAL FACILITY	AVERAGE INMATE POPULATION 2013	ASSAULTS ON INMATES	RATE PER 1,000 INMATES
<b>MAXIMUM SECURITY</b>			
ATTICA	2,092	43	20.6
AUBURN	1,653	58	35.1
BEDFORD HILLS	784	12	15.3
CLINTON	2,778	97	34.9
COXSACKIE	879	3	3.4
DOWNSTATE	1,198	12	10.0
EASTERN	924	3	3.2
ELMIRA	1,744	49	28.1
FIVE POINTS	1,351	31	22.9
GREAT MEADOW	1,585	65	41.0
GREEN HAVEN	1,942	40	20.6
SHAWANGUNK	505	2	4.0
SING SING	1,558	18	11.6
SOUTHPORT	751	0	0.0
SULLIVAN	458	8	17.5
UPSTATE	1,130	1	0.9
WENDE	795	21	26.4
SUB-TOTAL	22,127	463	20.9
<b>SPECIAL HOUSING 200 BED</b>			
CAYUGA SHU200	153	0	0.0
COLLINS SHU200	155	0	0.0
FISHKILL SHU200	138	2	14.5
GOUVERNEUR SHU200	171	1	5.8
GREENE SHU200	177	1	5.6
LAKEVIEW SHU200	148	1	6.8
MID-STATE SHU200	162	1	6.2
ORLEANS SHU200	134	0	0.0
SUB-TOTAL	1,238	6	4.8
<b>RESIDENTIAL MENTAL HEALTH TREATMENT UNIT</b>			
ATTICA RMHU	8	0	0.0
BEDFORD HILLS TBU	8	0	0.0
FIVE POINTS RMHU	51	1	19.6
GREAT MEADOW BHU	24	0	0.0
MARCY RMHU	82	3	36.6
SULLIVAN BHU	8	2	250.0
SUB-TOTAL	181	6	33.1
<b>REGIONAL MEDICAL UNIT</b>			
BEDFORD RMU	15	0	0.0
COXSACKIE RMU	58	0	0.0
FISHKILL RMU	28	1	35.7
WALSH RMU	110	0	0.0
WENDE RMU	79	0	0.0
SUB-TOTAL	290	1	3.4
<b>TOTAL MAXIMUM SECURITY</b>	<b>23,836</b>	<b>476</b>	<b>20.0</b>

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**TABLE 8.3  
NUMBER AND RATE OF INMATE ASSAULTS ON INMATE UNUSUAL INCIDENTS  
BY SECURITY LEVEL AND FACILITY  
JANUARY - DECEMBER 2013**

CORRECTIONAL FACILITY	AVERAGE INMATE POPULATION 2013	ASSAULTS ON INMATES	RATE PER 1,000 INMATES
<b>MEDIUM SECURITY</b>			
ADIRONDACK	398	0	0.0
ALBION	1,035	4	3.9
ALTONA	475	2	4.2
BARE HILL	1,633	51	31.2
BAYVIEW	3	0	0.0
BUTLER	171	1	5.8
CAPE VINCENT	864	5	5.8
CAYUGA	826	4	4.8
CHATEAUGAY	197	0	0.0
COLLINS	895	11	12.3
FISHKILL	1,460	5	3.4
FRANKLIN	1,652	5	3.0
GOUVERNEUR	808	4	5.0
GOWANDA	1,486	10	6.7
GREENE	1,601	7	4.4
GROVELAND	1,079	13	12.0
HALE CREEK	311	0	0.0
HUDSON	381	0	0.0
LAKEVIEW	134	0	0.0
LIVINGSTON	813	10	12.3
MARCY	1,095	41	37.4
MIDSTATE	1,387	20	14.4
MOHAWK	1,299	11	8.5
MT. MCGREGOR	381	1	2.6
OGDENSBURG	378	1	2.6
ORLEANS	740	8	10.8
OTISVILLE	582	1	1.7
RIVERVIEW	809	5	6.2
TACONIC	347	1	2.9
ULSTER	811	6	7.4
WALLKILL	577	3	5.2
WASHINGTON	741	8	10.8
WATERTOWN	545	3	5.5
WOODBOURNE	820	2	2.4
WYOMING	1,642	44	26.8
SUB-TOTAL	28,376	287	10.1

(continued on next page)

**TABLE 8.3  
NUMBER AND RATE OF INMATE ASSAULTS ON INMATE UNUSUAL INCIDENTS  
BY SECURITY LEVEL AND FACILITY  
JANUARY - DECEMBER 2013**

CORRECTIONAL FACILITY	AVERAGE INMATE POPULATION 2013	ASSAULTS ON INMATES	RATE PER 1,000 INMATES
<b>SHOCK</b>			
MONTEREY SHOCK	145	0	0.0
MORIAH SHOCK	191	0	0.0
LAKEVIEW SHOCK	616	1	1.6
SUB-TOTAL	952	1	1.1
<b>MINIMUM SECURITY</b>			
BEACON	29	0	0.0
EDGECOMBE	27	1	37.0
LINCOLN	110	0	0.0
QUEENSBORO	345	2	5.8
ROCHESTER	57	0	0.0
SUB-TOTAL	568	3	5.3
<b>OTHER</b>			
CENTRAL OFFICE	0	0	N/A
SUB-TOTAL	0	0	N/A
<b>GRAND TOTAL</b>	<b>53,732</b>	<b>767</b>	<b>14.3</b>

**TABLE 8.4  
NUMBER OF INMATE ASSAULTS BY SECURITY LEVEL AND FACILITY  
JANUARY - DECEMBER 2013**

CORRECTIONAL FACILITY	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	TOTAL
<b>MAXIMUM SECURITY</b>													
ATTICA	6	1	0	3	3	4	2	5	3	5	4	7	<b>43</b>
AUBURN	3	4	2	5	1	6	10	6	5	6	5	5	<b>58</b>
BEDFORD HILLS	1	2	1	0	0	2	3	0	0	0	1	2	<b>12</b>
CLINTON	7	12	8	4	6	2	11	9	7	12	6	13	<b>97</b>
COXSACKIE	0	0	0	0	0	0	1	0	1	0	0	1	<b>3</b>
DOWNSTATE	2	2	0	1	1	1	1	0	0	0	3	1	<b>12</b>
EASTERN	1	0	0	0	0	0	0	1	1	0	0	0	<b>3</b>
ELMIRA	5	2	1	5	4	4	1	3	6	6	8	4	<b>49</b>
FIVE POINTS	6	0	1	3	2	1	1	7	2	5	1	2	<b>31</b>
GREAT MEADOW	4	8	6	2	3	1	8	7	8	6	5	7	<b>65</b>
GREEN HAVEN	2	3	4	0	8	2	4	4	3	5	1	4	<b>40</b>
SHAWANGUNK	0	0	0	0	0	0	0	0	1	0	1	0	<b>2</b>
SING SING	1	1	1	2	1	2	2	1	0	3	3	1	<b>18</b>
SOUTHPORT	0	0	0	0	0	0	0	0	0	0	0	0	<b>0</b>
SULLIVAN	0	1	2	0	0	1	1	0	1	0	2	0	<b>8</b>
UPSTATE	0	0	0	1	0	0	0	0	0	0	0	0	<b>1</b>
WENDE	1	1	2	3	0	5	2	2	0	3	0	2	<b>21</b>
SUB-TOTAL	39	37	28	29	29	31	47	45	38	51	40	49	<b>463</b>
Column Pct.	66.1%	68.5%	46.7%	56.9%	64.4%	57.4%	66.2%	62.5%	55.1%	62.2%	54.8%	63.6%	<b>60.4%</b>
<b>SPECIAL HOUSING 200 BED</b>													
CAYUGA SHU200	0	0	0	0	0	0	0	0	0	0	0	0	<b>0</b>
COLLINS SHU200	0	0	0	0	0	0	0	0	0	0	0	0	<b>0</b>
FISHKILL SHU200	0	0	0	1	0	1	0	0	0	0	0	0	<b>2</b>
GOUVERNEUR SHU200	0	0	1	0	0	0	0	0	0	0	0	0	<b>1</b>
GREENE SHU200	0	0	1	0	0	0	0	0	0	0	0	0	<b>1</b>
LAKEVIEW SHU200	0	0	0	0	0	0	0	1	0	0	0	0	<b>1</b>
MID-STATE SHU200	0	0	1	0	0	0	0	0	0	0	0	0	<b>1</b>
ORLEANS SHU200	0	0	0	0	0	0	0	0	0	0	0	0	<b>0</b>
SUB-TOTAL	0	0	3	1	0	1	0	1	0	0	0	0	<b>6</b>
Column Pct.	0.0%	0.0%	5.0%	2.0%	0.0%	1.9%	0.0%	1.4%	0.0%	0.0%	0.0%	0.0%	<b>0.8%</b>
<b>RESIDENTIAL MENTAL HEALTH TREATMENT UNIT</b>													
ATTICA RMHU	0	0	0	0	0	0	0	0	0	0	0	0	<b>0</b>
BEDFORD HILLS TBU	0	0	0	0	0	0	0	0	0	0	0	0	<b>0</b>
FIVE POINTS RMHU	0	0	0	0	0	0	0	0	0	0	0	1	<b>1</b>
GREAT MEADOW BHU	0	0	0	0	0	0	0	0	0	0	0	0	<b>0</b>
MARCY RMHU	0	0	0	0	0	0	1	0	1	0	1	0	<b>3</b>
SULLIVAN BHU	0	0	0	1	1	0	0	0	0	0	0	0	<b>2</b>
SUB-TOTAL	0	0	0	1	1	0	1	0	1	0	1	1	<b>6</b>
Column Pct.	0.0%	0.0%	0.0%	2.0%	2.2%	0.0%	1.4%	0.0%	1.4%	0.0%	1.4%	1.3%	<b>0.8%</b>
<b>REGIONAL MEDICAL UNIT</b>													
COXSACKIE RMU	0	0	0	0	0	0	0	0	0	0	0	0	<b>0</b>
FISHKILL RMU	0	0	0	0	0	0	0	0	1	0	0	0	<b>0</b>
WALSH RMU	0	0	0	0	0	0	0	0	0	0	0	0	<b>0</b>
WENDE RMU	0	0	0	0	0	0	0	0	0	0	0	0	<b>0</b>
SUB-TOTAL	0	0	0	0	0	0	0	0	1	0	0	0	<b>1</b>
Column Pct.	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	1.4%	0.0%	0.0%	0.0%	<b>0.1%</b>
TOTAL MAXIMUM SECURITY	39	37	31	31	30	32	48	46	40	51	41	50	<b>476</b>
Column Percent	66.1%	68.5%	51.7%	60.8%	66.7%	59.3%	67.6%	63.9%	58.0%	62.2%	56.2%	64.9%	<b>62.1%</b>

(continued on next page)

**TABLE 8.4  
NUMBER OF INMATE ASSAULTS BY SECURITY LEVEL AND FACILITY  
JANUARY - DECEMBER 2013**

CORRECTIONAL FACILITY	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	TOTAL
<b>MEDIUM SECURITY</b>													
ADIRONDACK	0	0	0	0	0	0	0	0	0	0	0	0	0
ALBION	0	0	2	0	1	0	0	0	0	0	1	0	4
ALTONA	0	0	1	0	0	0	0	0	0	0	0	1	2
BARE HILL	3	5	10	3	5	4	4	3	3	2	4	5	51
BAYVIEW	0	0	0	0	0	0	0	0	0	0	0	0	0
BUTLER	0	0	0	0	0	0	0	0	0	1	0	0	1
CAPE VINCENT	0	0	0	1	0	0	0	0	0	2	1	1	5
CAYUGA	1	0	0	0	1	0	1	0	0	0	1	0	4
CHATEAUGAY	0	0	0	0	0	0	0	0	0	0	0	0	0
COLLINS	0	1	1	2	1	1	1	1	0	1	2	0	11
FISHKILL	2	0	0	1	0	1	0	0	0	1	0	0	5
FRANKLIN	0	0	0	2	0	0	1	0	1	0	0	1	5
GOVERNEUR	1	0	0	0	1	0	0	1	0	0	1	0	4
GOWANDA	1	1	0	1	0	0	1	1	0	2	1	2	10
GREENE	1	1	1	1	0	0	0	0	2	0	0	1	7
GROVELAND	0	1	2	0	1	1	0	0	1	3	4	0	13
HALE CREEK	0	0	0	0	0	0	0	0	0	0	0	0	0
HUDSON	0	0	0	0	0	0	0	0	0	0	0	0	0
LAKEVIEW	0	0	0	0	0	0	0	0	0	0	0	0	0
LIVINGSTON	0	0	1	0	0	0	2	4	0	1	1	1	10
MARCY	3	4	3	4	0	1	4	4	3	5	6	4	41
MIDSTATE	0	2	1	2	0	3	2	1	3	4	1	1	20
MOHAWK	1	1	2	1	1	1	1	0	3	0	0	0	11
MT. MCGREGOR	0	0	0	0	0	0	0	0	0	1	0	0	1
OGDENSBURG	0	0	0	0	0	0	0	0	1	0	0	0	1
ORLEANS	0	1	0	0	0	1	0	2	0	1	2	1	8
OTISVILLE	1	0	0	0	0	0	0	0	0	0	0	0	1
RIVERVIEW	0	0	0	0	0	1	1	0	2	0	1	0	5
TACONIC	0	0	0	0	0	0	0	1	0	0	0	0	1
ULSTER	0	0	1	0	1	2	0	0	1	1	0	0	6
WALKILL	0	0	1	0	0	0	0	0	1	0	1	0	3
WASHINGTON	0	0	1	0	1	1	0	0	1	1	1	2	8
WATERTOWN	1	0	0	0	0	0	0	0	0	1	0	1	3
WOODBOURNE	0	0	1	1	0	0	0	0	0	0	0	0	2
WYOMING	4	0	0	1	2	4	4	8	7	4	4	6	44
<b>TOTAL MEDIUM SECURITY</b>	<b>19</b>	<b>17</b>	<b>28</b>	<b>20</b>	<b>15</b>	<b>21</b>	<b>22</b>	<b>26</b>	<b>29</b>	<b>31</b>	<b>32</b>	<b>27</b>	<b>287</b>
Column Percent	32.2%	31.5%	46.7%	39.2%	33.3%	38.9%	31.0%	36.1%	42.0%	37.8%	43.8%	35.1%	37.4%
<b>SHOCK</b>													
LAKEVIEW SHOCK	1	0	0	0	0	0	0	0	0	0	0	0	1
MONTEREY SHOCK	0	0	0	0	0	0	0	0	0	0	0	0	0
MORIAH SHOCK	0	0	0	0	0	0	0	0	0	0	0	0	0
SUB-TOTAL	1	0	0	0	0	0	0	0	0	0	0	0	1
Column Pct.	1.7%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.1%
<b>MINIMUM SECURITY</b>													
BEACON	0	0	0	0	0	0	0	0	0	0	0	0	0
EDGECOMBE	0	0	1	0	0	0	0	0	0	0	0	0	1
LINCOLN	0	0	0	0	0	0	0	0	0	0	0	0	0
QUEENSBORO	0	0	0	0	0	1	1	0	0	0	0	0	2
ROCHESTER	0	0	0	0	0	0	0	0	0	0	0	0	0
SUB-TOTAL	0	0	1	0	0	1	1	0	0	0	0	0	3
Column Pct.	0.0%	0.0%	1.7%	0.0%	0.0%	1.9%	1.4%	0.0%	0.0%	0.0%	0.0%	0.0%	0.4%
<b>GRAND TOTAL</b>	<b>59</b>	<b>54</b>	<b>60</b>	<b>51</b>	<b>45</b>	<b>54</b>	<b>71</b>	<b>72</b>	<b>69</b>	<b>82</b>	<b>73</b>	<b>77</b>	<b>767</b>
Column Percent	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%

## Section Nine

### INJURY TO STAFF IN UNUSUAL INCIDENTS

The Unusual Incident reporting system uses a ranking procedure for injuries sustained by staff members and is based on the reported seriousness of injury and/or by degree of treatment for these injuries. "No injury" indicates that the staff member or medical staff reported that no injury was sustained. "Minor injury" refers to cases where the victim received a comparatively minor injury, which may have been treated at the facility or treated at the facility with a recommendation for the staff person to consult their own physician. "Moderate injury" refers to injuries of a more serious nature that generally require treatment at an outside hospital or treatment by own physician. Moderate injuries are not judged to be life threatening. "Serious injury" refers to injury that is considered to be life threatening (or which results in death). These injuries require immediate treatment at an outside hospital.

Table 9.1 presents information on the 26,286 staff members who were involved in unusual incidents according to unusual incident category and degree of staff injury sustained in resolving the incident.

Table 9.2 presents information on staff injuries sustained each month. Among the staff participants in unusual incidents 25,199 (96%) reported no injuries; 946 (4%) reported minor injuries, 140 (0.5%) reported moderate injuries and one staff member sustained serious injuries. As expected, inmate assaults on staff produced the most staff injuries in 2013. In the 645 inmate assault on staff unusual incidents recorded in 2013 involving 2,869 staff members, 75% of staff (N=2,150) did not report any type of injury; 23.4% of staff (N=672) sustained minor injuries; 2% of staff (N=46) sustained moderate injuries; and one staff member reported serious injuries (see table 9.1).

TABLE 9.1 UNUSUAL INCIDENTS BY DEGREE OF STAFF INJURY JANUARY-DECEMBER 2013										
INCIDENT TYPE	EXTENT OF EMPLOYEE INJURY								TOTAL	
	NO INJURY		MINOR		MODERATE		SERIOUS			
	#	Row %	#	Row %	#	Row %	#	Row %	#	Row %
ACCIDENT	1,874	96.1%	6	0.3%	70	3.6%	0	0.0%	1,950	100.0%
ASSAULT ON INMATE	2,358	98.6%	31	1.3%	2	0.1%	0	0.0%	2,391	100.0%
ASSAULT ON STAFF	2,150	74.9%	672	23.4%	46	1.6%	1	0.0%	2,869	100.0%
ASSAULT ON OTHER	31	96.9%	1	3.1%	0	0.0%	0	0.0%	32	100.0%
CONTRABAND	9,338	99.6%	35	0.4%	1	0.0%	0	0.0%	9,374	100.0%
DEATH	1,375	99.8%	3	0.2%	0	0.0%	0	0.0%	1,378	100.0%
DISRUPTIVE BEHAVIOR	2,570	96.0%	95	3.6%	11	0.4%	0	0.0%	2,676	100.0%
DISRUPTION OF FACILITY	24	100.0%	0	0.0%	0	0.0%	0	0.0%	24	100.0%
EMPLOYEE MISCONDUCT	532	100.0%	0	0.0%	0	0.0%	0	0.0%	532	100.0%
ESCAPE	7	100.0%	0	0.0%	0	0.0%	0	0.0%	7	100.0%
ATTEMPTED ESCAPE	3	100.0%	0	0.0%	0	0.0%	0	0.0%	3	100.0%
FIRE	215	73.1%	79	26.9%	0	0.0%	0	0.0%	294	100.0%
PROPERTY DESTROYED	11	100.0%	0	0.0%	0	0.0%	0	0.0%	11	100.0%
PROPERTY LOST OR STOLEN	308	100.0%	0	0.0%	0	0.0%	0	0.0%	308	100.0%
SELF-INFLICTED INJURY	278	100.0%	0	0.0%	0	0.0%	0	0.0%	278	100.0%
SEXUAL MISCONDUCT	204	100.0%	0	0.0%	0	0.0%	0	0.0%	204	100.0%
SUICIDE ATTEMPT	824	99.5%	4	0.5%	0	0.0%	0	0.0%	828	100.0%
TEMP RELEASE RELATED	68	100.0%	0	0.0%	0	0.0%	0	0.0%	68	100.0%
STAFF USE OF WEAPONS	575	99.5%	2	0.3%	1	0.2%	0	0.0%	578	100.0%
OTHER INCIDENTS	2,454	98.9%	18	0.7%	9	0.4%	0	0.0%	2,481	100.0%
<b>TOTAL</b>	<b>25,199</b>	<b>95.9%</b>	<b>946</b>	<b>3.6%</b>	<b>140</b>	<b>0.5%</b>	<b>1</b>	<b>0.0%</b>	<b>26,286</b>	<b>100.0%</b>

TABLE 9.2 STAFF INJURY BY MONTH OF INCIDENT JANUARY - DECEMBER 2013													
DEG. OF INJURY	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	TOTAL
NO INJURY	2,328	1,823	1,873	1,999	2,310	2,128	2,194	2,327	2,116	1,981	1,934	2,186	25,199
Column Pct.	96.0%	96.3%	96.2%	96.2%	95.3%	96.4%	95.8%	96.4%	94.8%	96.3%	95.7%	95.2%	95.9%
MINOR	80	61	66	65	99	61	83	73	107	70	81	100	946
Column Pct.	3.3%	3.2%	3.4%	3.1%	4.1%	2.8%	3.6%	3.0%	4.8%	3.4%	4.0%	4.4%	3.6%
MODERATE	17	10	8	13	14	19	13	14	8	7	6	11	140
Column Pct.	0.7%	0.5%	0.4%	0.6%	0.6%	0.9%	0.6%	0.6%	0.4%	0.3%	0.3%	0.5%	0.5%
SERIOUS	0	0	0	0	0	0	0	1	0	0	0	0	1
Column Pct.	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
<b>TOTAL</b>	<b>2,425</b>	<b>1,894</b>	<b>1,947</b>	<b>2,077</b>	<b>2,423</b>	<b>2,208</b>	<b>2,290</b>	<b>2,415</b>	<b>2,231</b>	<b>2,058</b>	<b>2,021</b>	<b>2,297</b>	<b>26,286</b>
Column Pct.	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%

## Section Ten

### STAFF USE OF FORCE IN UNUSUAL INCIDENTS

During 2013, 85% of the unusual incidents involved no use of force by staff to resolve the incident, (see Table 10.1).

In the Unusual Incident Reporting System, use of force by correctional staff is classified into eight categories. Table 10.1 shows the most serious type of staff force utilized in each unusual incident. During the year, 7% of incidents involved use of body holds, 1% involved use of handcuffs to restrain inmates, 2% involved the use of a strike (use of hands or arms by staff to resist assaultive behavior or gain control of an inmate), while the use of baton occurred in 2% of unusual incidents.

<b>TABLE 10.1</b>													
<b>STAFF USE OF FORCE BY MONTH OF INCIDENT</b>													
<b>JANUARY - DECEMBER 2013</b>													
TYPE OF FORCE	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	TOTAL
NO FORCE USED	540	428	437	489	485	488	510	559	484	518	448	507	<b>5,893</b>
Column Pct.	86.0%	87.0%	86.0%	82.0%	86.0%	86.0%	86.0%	84.0%	87.0%	84.0%	85.0%	84.6%	<b>85.4%</b>
BODY HOLD	49	41	34	47	45	40	44	41	37	39	41	47	<b>505</b>
Column Pct.	7.8%	8.2%	6.8%	8.3%	7.7%	7.0%	7.4%	6.3%	6.4%	6.5%	7.7%	7.8%	<b>7.3%</b>
MECHANIC RESTRAINT	8	1	4	5	14	12	9	9	10	7	7	10	<b>96</b>
Column Pct.	1.3%	0.2%	0.8%	0.9%	2.4%	2.1%	1.5%	1.4%	1.7%	1.2%	1.3%	1.7%	<b>1.4%</b>
STRIKE	13	16	10	6	13	7	5	13	17	15	13	10	<b>138</b>
Column Pct.	2.1%	3.2%	2.0%	1.1%	2.2%	1.2%	0.8%	2.0%	3.0%	2.5%	2.4%	1.7%	<b>2.0%</b>
SHIELD	5	4	4	3	3	7	5	8	6	2	6	4	<b>57</b>
Column Pct.	0.8%	0.8%	0.8%	0.5%	0.5%	1.2%	0.8%	1.2%	1.0%	0.3%	1.1%	0.7%	<b>0.8%</b>
BATON	10	8	11	10	9	10	13	10	10	9	8	17	<b>125</b>
Column Pct.	1.6%	1.6%	2.2%	1.8%	1.5%	1.8%	2.2%	1.5%	1.7%	1.5%	1.5%	2.8%	<b>1.8%</b>
GAS	4	1	1	6	11	4	5	8	7	6	8	4	<b>65</b>
Column Pct.	0.6%	0.2%	0.2%	1.1%	1.9%	0.7%	0.8%	1.2%	1.2%	1.0%	1.5%	0.7%	<b>0.9%</b>
FIREARM	0	0	0	0	0	0	0	0	1	0	0	0	<b>1</b>
Column Pct.	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.2%	0.0%	0.0%	0.0%	<b>0.0%</b>
OTHER	1	4	1	0	8	0	1	2	2	2	3	0	<b>24</b>
Column Pct.	0.2%	0.8%	0.2%	0.0%	1.4%	0.0%	0.2%	0.3%	0.3%	0.3%	0.6%	0.0%	<b>0.3%</b>
<b>TOTAL</b>	<b>630</b>	<b>503</b>	<b>502</b>	<b>566</b>	<b>588</b>	<b>568</b>	<b>592</b>	<b>650</b>	<b>574</b>	<b>598</b>	<b>534</b>	<b>599</b>	<b>6,904</b>
Column Pct.	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%

## STAFF USE OF FORCE BY INCIDENT TYPE

Table 10.2 presents information on the type of force used by staff during specific types of unusual incidents. Staff use of force of any type was concentrated in assaults on staff (N=518 or 80% of assault on staff incidents), disruptive behavior (N=256 or 49% of disruptive behavior incidents) and inmate on inmate assaults (N=76 or 10% of inmate assault on inmate incidents).

<b>TABLE 10.2</b>										
<b>UNUSUAL INCIDENT TYPE BY STAFF USE OF FORCE</b>										
<b>JANUARY - DECEMBER 2013</b>										
INCIDENT TYPE	TYPE OF FORCE									TOTAL
	NO FORCE USED	BODY HOLD	MECHANIC RESTRAINT	SHIELD	BATON	GAS	FIREARM	STRIKE	OTHER	
ACCIDENT	572	0	0	0	0	0	0	0	0	572
ASSAULT ON INMATE	691	50	6	0	16	1	0	2	1	767
ASSAULT ON STAFF	127	279	67	7	43	0	0	122	0	645
ASSAULT ON OTHER	5	1	0	0	0	0	0	1	0	7
CONTRABAND	2884	63	6	1	0	0	0	4	0	2,958
DEATH	145	0	0	0	0	0	0	0	0	145
DISRUPTIVE BEHAVIOR	265	84	11	42	51	58	1	6	3	521
UTILITIES DISRUPTION	4	0	0	0	0	0	0	0	0	4
EMPLOYEE MISCONDUCT	119	0	0	0	0	0	0	1	0	120
ESCAPE	1	0	0	0	0	0	0	0	0	1
ATTEMPTED ESCAPE	0	1	0	0	0	0	0	0	0	1
FIRE	32	1	0	1	0	0	0	0	0	34
PROPERTY DESTRUCTION	3	0	0	0	0	0	0	0	0	3
PROPERTY LOST STOLEN	75	0	0	0	0	0	0	0	0	75
SELF-INJURY	73	1	0	1	0	0	0	0	0	75
SEXUAL MISCONDUCT	51	0	0	0	0	0	0	0	0	51
SUICIDE ATTEMPT	149	5	2	5	0	0	0	0	0	161
TEMPORARY RELEASE	29	0	0	0	0	0	0	0	0	29
EMPLOYEE WEAPON USE	182	0	0	0	15	6	0	0	0	203
OTHER INCIDENTS	486	20	4	0	0	0	0	2	20	532
<b>TOTAL</b>	<b>5,893</b>	<b>505</b>	<b>96</b>	<b>57</b>	<b>125</b>	<b>65</b>	<b>1</b>	<b>138</b>	<b>24</b>	<b>6,904</b>
<b>Column Percent</b>	<b>85.4%</b>	<b>7.3%</b>	<b>1.4%</b>	<b>0.8%</b>	<b>1.8%</b>	<b>0.9%</b>	<b>0.0%</b>	<b>2.0%</b>	<b>0.3%</b>	<b>100.0%</b>

## APPENDIX A

### RATE CALCULATION METHOD

Annualized rates are calculated as the number of unusual incidents per 1,000 inmate population per year. For example, the 6,904 incidents which were reported during January-December 2013 are divided by the average inmate population for 2013 (N=53,732), and multiplied by 1,000 to yield 128.5 incidents per thousand inmates. The same method is used to calculate the rate of unusual incidents at particular correctional facilities; (the population base is the average inmate population at the facility; see Table 3.1) and to calculate the rate of specific types of unusual incidents (where the numerator is the total incidents in each incident category and the population base is either the average of the total population or the average of the population of a specific facility).

As an example of comparing between different rates, Table 8.3 shows that Green Haven Correctional Facility, a maximum security prison with 40 inmate assault on inmate incidents and an average daily population of 1,942, has a similar assault rate (20.6) as Five Points Residential Mental Health Unit (19.6) with 1 inmate assault on inmate incident and an average population of 51.

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**December 2014**