

State of New York
Department of Corrections
and
Community Supervision

Building Number 2
Harriman Office Campus
Albany, New York 12226

UNUSUAL INCIDENT REPORT

January – December 2011



Andrew M. Cuomo
Governor



Brian Fischer
Commissioner

**UNUSUAL INCIDENT REPORT
JANUARY - DECEMBER 2011**

TABLE OF CONTENTS

	<u>Page</u>
Executive Summary	2
 <u>Section:</u>	
Introduction	4
1. Frequency of Unusual Incidents in 2011	5
2. Annualized Rate of Unusual Incidents	6
3. Unusual Incidents by Correctional Facility	7
4. Weapon Use by Incarcerated Offenders.....	16
5. Offender Role in Unusual Incidents.....	19
6. Offender Deaths	21
7. Location of Unusual Incidents	22
8. Part I - Offender Assaults on Staff and on Offenders.....	26
9. Part II – Offender Assaults by Facility and Month of Incident.....	33
9. Injury to Staff in Unusual Incidents	40
10. Staff Use of Force in Unusual Incidents.....	42
 Appendix A: Rate Calculation Method.....	 44

EXECUTIVE SUMMARY

- **Number and Rate of Unusual Incidents, January - December 2011**

There were 5,979 unusual incidents during January-December 2011. The annual rate of unusual incidents was 107.0 incidents per thousand offender population (see Table 2, p.6).

- **Rate of Unusual Incidents by Facility Security Level**

The rate of unusual incidents was highest in maximum security facilities with 160.2 incidents per 1,000 offender population. Medium security prisons experienced a rate of 63.0; minimum security (including work release facilities and Camp Georgetown), 126.5; Shock Incarceration facilities, 27.8 (see Table 3.1, pp. 10-12).

- **Offender Weapon Use**

Offenders used weapons in 27% of unusual incidents. Cutting or stabbing weapons were most often used during offender assault on offender and offender self-injury incidents. Use of body, such as fists or kicking, was most often used during offender assault on staff incidents (see Table 4.2, p. 18).

- **Deaths**

There were 119 offender deaths under NYSDOCCS custody reported in 2011. These include deaths where NYSDOCCS retained legal custody, regardless of whether they were in the physical custody of another jurisdiction. The total included 11 suicides and 3 homicides in NYSDOCCS facilities. Ninety-five offender deaths were due to natural causes. Additionally, there were six deaths attributed to AIDS (see Table 6, p. 21).

- **Offender Assault on Staff**

There were 563 offender assault on staff incidents in 2011. The annualized rate within maximum security prisons was 17.8 incidents per thousand offender population; the rate for all facilities was 10.1 (see Table 8.1, pp. 27-29).

- **Offender Assault on Offender**

In 2011, there were 666 offender assault on offender incidents. The annualized rate within maximum security prisons was 17.8 incidents per thousand offender population; the rate for all facilities was 11.9 (see Table 8.3, pp. 34-36).

- **Extent of Injury to Staff**

Ninety-six percent (96%) of unusual incidents were resolved without injuries to staff. Of the 22,696 staff members listed in unusual incidents, 3% experienced minor injuries and less than 1% experienced moderate injuries. There were two serious injuries in 2011, (see Table 9.1, p. 41).

- **Staff Use of Force**

Department employees used force in 14% of unusual incidents in 2011. Force was used most often in offender assault on staff incidents (see Table 10.2, p. 43).

INTRODUCTION

The Unusual Incident System maintains information on incidents that occur within the New York State Department of Correctional Services and Community Supervision (NYSDOCCS). Events that disrupt or affect facility operations are considered unusual incidents and are reported by telephone to the NYSDOCCS Communication Control Center located in Albany and entered into the computer system via terminals at correctional facilities.

The reporting system is capable of recording information on more than one type of unusual incident that occurs as part of a series of events. In concert with other information maintained in electronic databases, the Department can examine characteristics of victims and perpetrators involved in assaultive behavior, as well as identify all offenders and staff listed in incidents.

Section One

FREQUENCY OF UNUSUAL INCIDENTS IN 2011

A total of 5,979 unusual incidents were reported in 2011. Table 1 shows the type and number of incidents that occurred each month during the year. The number of unusual incidents fluctuates from month to month, and while monthly variations may be important, trend analyses that extend over months may be more meaningful.

The most frequently reported categories of incidents were contraband (N=2,453), offender assault on offender (N=666), and offender assault on staff (N=563). Together, these three categories accounted for 62% of reported incidents. The reader should note that the deaths reported in Table 1 combine 119 offenders and 4 staff (also see Table 6).

**TABLE 1
UNUSUAL INCIDENT BY TYPE BY MONTH
JANUARY - DECEMBER 2011**

INCIDENT TYPE	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	TOTAL
ACCIDENT	46	30	34	39	40	41	44	53	26	36	40	33	462
ASSAULT ON OFFENDER	43	42	57	50	40	62	65	74	63	63	50	57	666
ASSAULT ON STAFF	55	37	61	47	44	46	47	55	53	52	34	32	563
ASSAULT ON OTHER	0	1	0	0	0	1	0	0	0	0	0	0	2
CONTRABAND	216	190	254	205	182	178	185	222	224	191	198	208	2,453
DEATH	11	6	6	13	17	12	9	10	8	8	13	10	123
DISRUPTIVE BEHAVIOR	26	21	30	28	34	27	27	42	30	25	38	37	365
UTILITIES DISRUPTION	1	1	3	3	0	0	0	3	0	0	1	1	13
EMPLOYEE	7	13	5	7	17	16	9	11	8	12	18	7	130
ESCAPE	0	0	0	1	0	1	1	0	0	0	0	1	4
ATTEMPTED ESCAPE	0	1	0	0	0	0	1	0	0	0	0	0	2
FIRE	1	1	1	4	1	3	3	2	4	0	4	6	30
MASS DEMONSTRATION	0	0	0	0	0	0	0	0	0	0	0	1	1
PROPERTY	1	2	1	2	0	2	2	0	0	0	1	3	14
PROPERTY LOST	7	8	6	7	8	8	6	18	4	5	3	3	83
SELF-INJURY	3	3	4	1	3	4	2	14	17	10	10	8	79
SEXUAL MISCONDUCT	1	7	4	5	3	6	7	7	9	8	3	2	62
SUICIDE ATTEMPT	17	11	12	8	14	11	14	8	6	10	9	13	133
TEMPORARY RELEASE	14	12	9	4	2	5	3	6	8	6	2	2	73
EMPLOYEE WEAPON	13	11	15	14	15	14	13	19	19	17	11	9	170
EMPLOYEE JOB ACTION	0	0	0	0	0	0	0	0	1	0	1	0	2
OTHER INCIDENTS	29	39	42	43	33	60	45	60	55	42	45	56	549
TOTAL	491	436	544	481	453	497	483	604	535	485	481	489	5,979

Section Two

ANNUALIZED RATE OF UNUSUAL INCIDENTS

The rate of unusual incidents is a ratio of the number of reported incidents to the offender population. The rate measure used in this report is standardized per 1,000 offenders per year and allows for comparison between facilities and time periods. See Appendix A for an illustration and an explanation of the rate calculation methodology.

Table 2 displays the frequency and rate of unusual incidents by category. In 2011, there were 107.0 incidents per 1,000 incarcerated offenders. Unusual incident rates for correctional facilities are presented in Section 3; rates of assaults by offenders on staff and on other offenders are presented in Section 8. An additional method to examine unusual incidents is by offender involvement. In 2011, there were 7,498 offenders listed in UI reports (see Section 5).

TABLE 2 UNUSUAL INCIDENT FREQUENCY AND ANNUAL RATE JANUARY - DECEMBER 2011		
INCIDENT TYPE	NUMBER OF INCIDENTS	ANNUAL RATE
ACCIDENT	462	8.3
ASSAULT ON OFFENDER	666	11.9
ASSAULT ON STAFF	563	10.1
ASSAULT ON OTHER	2	0.0
CONTRABAND	2,453	43.9
DEATH	123	2.2
DISRUPTIVE BEHAVIOR	365	6.5
UTILITIES DISRUPTION	13	0.2
EMPLOYEE MISCONDUCT	130	2.3
ESCAPE	4	0.1
ATTEMPTED ESCAPE	2	0.0
FIRE	30	0.5
MASS DEMONSTRATION	1	0.0
PROPERTY DESTRUCTION	14	0.3
PROPERTY LOST STOLEN	83	1.5
SELF-INJURY	79	1.4
SEXUAL MISCONDUCT	62	1.1
SUICIDE ATTEMPT	133	2.4
TEMPORARY RELEASE	73	1.3
EMPLOYEE WEAPON USE	170	3.0
EMPLOYEE JOB ACTION	2	0.0
OTHER INCIDENTS	549	9.8
TOTAL	5,979	107.0

Section Three

UNUSUAL INCIDENTS BY CORRECTIONAL FACILITY

The number and rate of incidents by correctional facility and security level appear in Table 3.1; the number of incidents per month by facility and facility security level appear in Table 3.2.

MAXIMUM SECURITY

There were 4,005 unusual incidents that occurred in maximum security and specialized maximum security units representing an overall rate of 160.2 per 1,000 incarcerated offenders. Maximum security housing units reported 3,604 unusual incidents in 2011, a rate of 156.0 incidents per 1,000 incarcerated offenders. Prisons that experienced the highest rates, excluding specialized housing units, include Wende (232.8), Auburn (227.8) and Downstate (219.1).

Located in maximum security settings, the Residential Mental Health Units (RMHUs) and Behavioral Health Units (BHUs) are programs that include a separate housing location within a correctional facility designed to address the corrections-based therapeutic treatment of inmate-patients currently diagnosed with a serious mental illness who, due to their behavior, would otherwise be serving a confinement sanction in a special housing unit (SHU) or separate keeplock unit. Although RMHUs and BHUs are not operated as disciplinary housing units, in light of the security concerns associated with the behaviors that resulted in their confinement and other sanctions, inmates on the unit are subject to limitations on the quantity and type of property they are permitted to have in their cells and are afforded access to programs that are more restrictive than those afforded general population inmates. A Therapeutic Behavioral Unit (TBU) is located at Bedford Hills correctional facility and is the functional equivalent of the BHU for female inmate-patients.

There are three RMHU units which are located at Attica, Five Points and Marcy correctional facilities. There are two Behavioral Health Units (BHU), one at Great Meadow Correctional Facility and one at Sullivan Correctional Facility. As noted above, the Therapeutic Behavioral Unit (TBU) located at Bedford Hills correctional facility is a program for female offender-patients serving SHU sanctions who have a history of serious mental illness and/or poor custodial adjustment.

These residential mental health units (RMHU, BHU, TBU) have an overall unusual incident rate of 887.9 incidents per thousand inmates. These specialized residential mental

health units have comparatively small offender populations comprised of offenders who are Seriously Mentally Ill (SMI) and have a serious disciplinary history; consequently, the rates of unusual incidents are higher when compared with other maximum security general confinement inmates.

Regional Medical Units (RMU) are specialized medical health facilities for Maximum security chronically-ill offenders. These specialized Regional Medical Units also have comparatively small offender populations that house seriously ill inmates; therefore, the rates of unusual incidents are (328.7) higher when compared with other maximum security facilities.

The SHU200 facilities, specialized units that house offenders with lengthy special housing unit sanctions, had an overall rate of 82.8 incidents per thousand inmates.

Overall, maximum security correctional facilities housed 45% of the offender population and accounted for 67% of unusual incidents in 2011.

MEDIUM SECURITY

Medium security prisons reported 1,817 incidents in 2011, a rate of 63.0 incidents per 1,000 incarcerated offenders. While housing 52% of the offender population, medium security facilities accounted for only 30% of incidents.

When compared with the overall rate for medium security facilities (63.0 incidents per 1,000 incarcerated offenders), the rate of unusual incidents at Washington correctional facility was higher (103.6). However, Washington correctional facility has a higher concentration of younger offenders (54% of offenders were age 16-24 on December 31, 2011 compared to only 18% who were age 16-24 at all medium security facilities) and younger offenders have higher rates of misconduct. The rates for the three female correctional facilities, Bayview (70.5), Taconic (57.8) and Albion (45.6) were comparable to the overall rate for medium security facilities. During this period, three medium security prison were closed, Arthur Kill, Mid-Orange and Oneida.

MINIMUM SECURITY

There were 123 unusual incidents at minimum security facilities for a rate of 126.5 per 1,000 incarcerated offenders. The minimum security category for 2011 includes the following work release facilities: Buffalo, Fulton, Lincoln, and Rochester. At these four work release facilities, the majority of unusual incidents (67% or 61 of 91) were instances of offenders absconding from temporary release or offenders arrested while on temporary release. Beacon

which incarcerates female offenders had a significantly lower rate. Fulton, Buffalo and Camp Georgetown closed in 2011, however, the annualized rate is based upon a twelve-month period.

SHOCK INCARCERATION

Five Shock Incarceration facilities reported 30 incidents in 2011, a rate of 27.8 per 1,000 incarcerated offenders. Lakeview Male, the largest Shock Incarceration Program, reported 18 incidents, a rate of 35.5 incidents per 1,000 incarcerated offenders. During this period the shock facility at Summit closed.

CENTRAL OFFICE

Four unusual incidents were reported by Central Office staff; including one accident, one fire and two incidents of lost property.

TABLE 3.1 NUMBER AND RATE OF UNUSUAL INCIDENTS BY SECURITY LEVEL JANUARY - DECEMBER 2011			
CORRECTIONAL FACILITY	POPULATION 2011	UNUSUAL INCIDENTS	RATE PER 1,000 OFFENDERS
MAXIMUM SECURITY			
ATTICA	2,157	289	134.0
AUBURN	1,725	393	227.8
BEDFORD-F	742	90	121.3
CLINTON	2,790	439	157.3
COXSACKIE	963	109	113.2
DOWNSTATE	1,191	261	219.1
EASTERN	1,003	67	66.8
ELMIRA	1,788	248	138.7
FIVE POINTS	1,330	247	185.7
GREAT MEADOW	1,597	305	191.0
GREEN HAVEN	2,063	255	123.6
SHAWANGUNK	538	94	174.7
SING SING	1,732	246	142.0
SOUTHPORT	879	113	128.6
SULLIVAN	482	104	215.8
UPSTATE	1,290	151	117.1
WENDE	829	193	232.8
SUB-TOTAL	23,099	3,604	156.0
SPECIAL HOUSING 200 BED			
CAYUGA SHU200	182	16	87.9
COLLINS SHU200	172	22	127.9
FISHKILL SHU200	172	16	93.0
GOUVERNEUR SHU200	181	3	16.6
GREEN SHU200	172	14	81.4
LAKEVIEW SHU200	185	11	59.5
MID-STATE SHU200	174	15	86.2
ORLEANS SHU200	163	19	116.6
SUB-TOTAL	1,401	116	82.8
REGIONAL MEDICAL UNIT (RMU)			
BEDFORD RMU	14	0	0.0
COXSACKIE RMU	58	25	431.0
FISHKILL RMU	28	9	321.4
WALSH RMU-MALE	111	39	351.4
WENDE RMU	78	22	282.1
SUB-TOTAL	289	95	328.7
RESIDENTIAL MENTAL HEALTH UNIT (RMHU)			
^a ATTICA RMHU	8	2	250.0
BEDFORD TBU	13	1	76.9
^a FIVE POINTS RMHU	44	40	909.1
GREAT MEADOW BHU	30	30	1000.0
MARCY RMHU	81	95	1172.8
SULLIVAN BHU	38	22	578.9
SUB-TOTAL	214	190	887.9
TOTAL MAXIMUM SECURITY	25,003	4,005	160.2

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**TABLE 3.1
NUMBER AND RATE OF UNUSUAL INCIDENTS BY SECURITY LEVEL
JANUARY - DECEMBER 2011**

CORRECTIONAL FACILITY	POPULATION 2011	UNUSUAL INCIDENTS	RATE PER 1,000 OFFENDERS
MEDIUM SECURITY			
ADIRONDACK	409	30	73.3
ALBION-F	833	38	45.6
ALTONA	437	16	36.6
^b ARTHUR KILL	504	36	71.4
^b ARTHUR KILL ASACTC	40	2	50.0
BARE HILL	1,630	136	83.4
BAYVIEW-F	156	11	70.5
BUTLER ASACTC	195	10	51.3
CAPE VINCENT	808	54	66.8
CAYUGA	823	27	32.8
CHATEAUGAY	221	5	22.6
COLLINS	797	61	76.5
FISHKILL	1,469	140	95.3
FRANKLIN	1,635	112	68.5
GOUVERNEUR	764	37	48.4
GOWANDA	1,526	75	49.1
GREENE	1,572	96	61.1
GROVELAND-M	982	87	88.6
HALE CREEK	283	13	45.9
HUDSON	368	32	87.0
LAKEVIEW-M RECEP	157	6	38.2
LAKEVIEW-F RECEP	4	0	0.0
LIVINGSTON	766	30	39.2
MARCY	991	90	90.8
^c MID-ORANGE	329	7	21.3
MID-STATE	1,313	86	65.5
MOHAWK	1,110	47	42.3
MT.MCGREGOR	416	24	57.7
OGDENSBURG	363	10	27.5
^c ONEIDA	555	32	57.7
ORLEANS	786	70	89.1
OTISVILLE	483	26	53.8
RIVERVIEW	817	32	39.2
TACONIC-F	294	17	57.8
ULSTER	784	51	65.1
WALLKILL	527	12	22.8
WASHINGTON	753	78	103.6
WATERTOWN	534	41	76.8
WOODBOURNE	785	15	19.1
WYOMING	1,614	125	77.4
TOTAL MEDIUM SECURITY	28,833	1,817	63.0

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TABLE 3.1 NUMBER AND RATE OF UNUSUAL INCIDENTS BY SECURITY LEVEL JANUARY - DECEMBER 2011			
CORRECTIONAL FACILITY	POPULATION 2011	UNUSUAL INCIDENTS	RATE PER 1,000 OFFENDERS
SHOCK			
MONTEREY SHOCK	213	6	28.2
MORIAH SHOCK	191	3	15.7
^c SUMMIT SHOCK-M	77	2	26.0
LAKEVIEW-M SHOCK	507	18	35.5
LAKEVIEW-F SHOCK	91	1	11.0
SUB-TOTAL	1,079	30	27.8
MINIMUM SECURITY			
BEACON	135	3	22.2
^c BUFFALO	59	9	152.5
EDGECOMBE	18	4	222.2
^c FULTON	60	18	300.0
LINCOLN	161	42	260.9
QUEENSBORO	394	20	50.8
ROCHESTER	67	22	328.4
^c CAMP GEORGETOWN	78	5	64.1
SUB-TOTAL	972	123	126.5
OTHER			
CENTRAL OFFICE	0	4	N/A
SUB-TOTAL	0	4	N/A
GRAND TOTAL	55,887	5,979	107.0

^aAttica RMHU and Five Points RMHU opened in July 2011; due to small population base, rates are based on the six month period these units were open.

^bArthur Kill closed in October 2011, however the rate is based on a twelve-month period.

^cMid-Orange, Oneida, Fulton, Buffalo, Summit Shock and Camp Georgetown closed in August 2011, however the rate is based on a twelve-month period.

**TABLE 3.2
NUMBER OF UNUSUAL INCIDENTS BY SECURITY LEVEL AND FACILITY
JANUARY - DECEMBER 2011**

CORRECTIONAL FACILITY	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	TOTAL
MAXIMUM SECURITY													
ATTICA	30	18	27	17	16	26	24	36	35	26	21	13	289
AUBURN	32	36	32	22	28	26	35	31	44	37	35	35	393
BEDFORD-F	4	1	5	6	9	17	1	13	8	6	10	10	90
CLINTON	30	36	64	39	29	33	36	52	27	35	25	33	439
COXSACKIE	15	5	8	10	9	7	9	15	7	6	9	9	109
DOWNSTATE	16	26	27	8	14	31	22	28	16	28	19	26	261
EASTERN	11	1	6	7	6	5	3	5	3	9	5	6	67
ELMIRA	23	18	14	16	23	20	27	22	19	16	28	22	248
FIVE POINTS	32	13	41	27	12	21	16	20	17	11	18	19	247
GREAT MEADOW	17	14	33	27	30	18	18	33	32	21	25	37	305
GREEN HAVEN	25	33	23	12	31	21	16	16	21	23	22	12	255
SHAWANGUNK	6	6	4	3	10	7	11	13	10	10	6	8	94
SING SING	15	24	14	18	15	16	15	29	29	23	29	19	246
SOUTHPORT	8	3	10	13	5	17	8	8	15	10	5	11	113
SULLIVAN	11	6	3	3	11	7	10	11	12	10	6	14	104
UPSTATE	16	17	10	21	5	10	9	13	18	12	7	13	151
WENDE	7	17	24	15	18	19	13	16	19	20	6	19	193
SUB-TOTAL	298	274	345	264	271	301	273	361	332	303	276	306	3,604
SPECIAL HOUSING 200 BED													
CAYUGA SHU200	1	3	1	1	1	2	2	0	1	0	4	0	16
COLLINS SHU200	0	2	3	0	0	3	3	6	4	0	0	1	22
FISHKILL SHU200	1	1	1	0	2	1	1	4	1	1	2	1	16
GOUVERNEUR SHU200	0	0	1	0	0	0	0	0	0	0	1	1	3
GREEN SHU200	1	2	2	1	0	0	4	0	1	1	2	0	14
LAKEVIEW SHU200	2	0	0	0	3	0	1	1	0	0	2	2	11
MID-STATE SHU200	0	0	3	2	2	1	1	1	1	0	3	1	15
ORLEANS SHU200	5	0	0	2	0	2	2	1	5	0	1	1	19
SUB-TOTAL	10	8	11	6	8	9	14	13	13	2	15	7	116
REGIONAL MEDICAL UNIT (RMU)													
BEDFORD RMU	0	0	0	0	0	0	0	0	0	0	0	0	0
COXSACKIE RMU	1	2	4	2	5	3	0	3	1	1	0	3	25
FISHKILL RMU	0	1	0	0	2	0	2	0	1	0	1	2	9
WALSH RMU-MALE	2	4	4	4	3	3	6	7	0	0	4	2	39
WENDE RMU	1	3	0	1	4	0	1	6	2	2	1	1	22
SUB-TOTAL	4	10	8	7	14	6	9	16	4	3	6	8	95
RESIDENTIAL MENTAL HEALTH UNIT (RMHU)													
^a ATTICA RMHU	0	0	0	0	0	0	0	0	2	0	0	0	2
BEDFORD TBU	0	0	0	0	0	1	0	0	0	0	0	0	1
^a FIVE POINTS RMHU	0	0	0	0	0	0	3	9	5	9	10	4	40
GREAT MEADOW BHU	2	0	0	3	1	1	3	6	5	5	4	0	30
MARCY RMHU	3	2	12	12	8	11	9	8	9	3	14	4	95
SULLIVAN BHU	1	3	4	3	0	2	2	1	4	0	2	0	22
SUB-TOTAL	6	5	16	18	9	15	17	24	25	17	30	8	190
TOTAL MAXIMUM SECURITY	318	297	380	295	302	331	313	414	374	325	327	329	4,005
Column Percent	64.8%	68.1%	69.9%	61.3%	66.7%	66.6%	64.8%	68.5%	69.9%	67.0%	68.0%	67.3%	67.0%

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TABLE 3.2
NUMBER OF UNUSUAL INCIDENTS BY SECURITY LEVEL AND FACILITY
JANUARY - DECEMBER 2011

CORRECTIONAL FACILITY	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	TOTAL
MEDIUM SECURITY													
ADIRONDACK	2	2	4	4	2	3	0	3	1	3	5	1	30
ALBION-F	6	0	3	3	2	4	4	6	5	1	0	4	38
ALTONA	1	2	0	3	0	1	2	2	4	0	1	0	16
^b ARTHUR KILL	4	5	4	6	8	2	3	1	2	1	0	0	36
^b ARTHUR KILL ASACTC	0	0	0	2	0	0	0	0	0	0	0	0	2
BARE HILL	11	6	8	14	14	9	11	14	17	10	6	16	136
BAYVIEW-F	0	2	1	0	0	1	0	4	2	1	0	0	11
BUTLER ASACTC	1	0	1	2	0	0	0	1	0	3	1	1	10
CAPE VINCENT	2	2	5	5	3	7	8	3	3	6	8	2	54
CAYUGA	3	2	2	4	1	7	2	1	2	2	0	1	27
CHATEAUGAY	0	0	1	0	1	0	1	1	0	0	0	1	5
COLLINS	9	2	2	5	2	6	10	6	5	2	8	4	61
FISHKILL	16	7	7	12	6	9	16	22	9	12	9	15	140
FRANKLIN	9	9	21	7	4	11	2	10	10	10	12	7	112
GOUVERNEUR	1	5	3	3	3	1	1	6	4	2	3	5	37
GOWANDA	6	10	7	4	4	11	3	7	4	6	4	9	75
GREENE	2	5	9	14	8	8	10	5	10	7	12	6	96
GROVELAND-M	4	2	9	9	2	10	12	6	14	12	4	3	87
HALE CREEK	3	0	0	0	2	0	1	1	2	4	0	0	13
HUDSON	0	2	3	8	1	4	4	3	2	1	3	1	32
LAKEVIEW-M RECEP	2	1	0	1	1	0	0	0	1	0	0	0	6
LIVINGSTON	1	2	1	2	4	0	2	3	7	3	3	2	30
MARCY	6	7	3	12	7	8	4	12	10	8	7	6	90
^c MID-ORANGE	1	0	3	1	1	0	1	0	0	0	0	0	7
MID-STATE	5	8	5	5	14	12	10	5	4	3	6	9	86
MOHAWK	6	3	1	3	6	2	8	3	2	5	5	3	47
MT.MCGREGOR	2	3	1	2	0	2	1	3	3	2	1	4	24
OGDENSBURG	0	0	0	0	0	3	1	2	1	0	2	1	10
^c ONEIDA	9	2	4	3	9	2	1	2	0	0	0	0	32
ORLEANS	4	3	2	3	8	9	6	7	6	10	6	6	70
OTISVILLE	6	1	1	0	2	1	3	1	2	4	5	0	26
RIVERVIEW	4	2	3	3	2	3	0	7	2	3	2	1	32
TACONIC-F	0	1	4	0	1	0	0	0	1	1	4	5	17
ULSTER	7	4	6	5	5	2	8	5	5	2	2	0	51
WALLKILL	0	2	4	0	1	0	0	1	1	1	1	1	12
WASHINGTON	9	5	5	6	1	5	2	5	4	10	15	11	78
WATERTOWN	2	3	5	3	4	4	4	5	2	4	3	2	41
WOODBOURNE	0	3	0	3	3	0	1	4	0	1	0	0	15
WYOMING	10	5	11	15	13	5	12	14	3	11	10	16	125
TOTAL MEDIUM SECURITY	154	118	149	172	145	152	154	181	150	151	148	143	1,817
Column Percent	31.4%	27.1%	27.4%	35.8%	32.0%	30.6%	31.9%	30.0%	28.0%	31.1%	30.8%	29.2%	30.4%

(continued on the next page)

**TABLE 3.2
NUMBER OF UNUSUAL INCIDENTS BY SECURITY LEVEL AND FACILITY
JANUARY - DECEMBER 2011**

CORRECTIONAL FACILITY	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	TOTAL
SHOCK													
MONTEREY SHOCK	0	0	0	1	0	0	1	0	0	0	1	3	6
MORIAH SHOCK	0	0	1	0	0	0	0	0	1	1	0	0	3
^c SUMMIT SHOCK-M	1	0	1	0	0	0	0	0	0	0	0	0	2
LAKEVIEW-M SHOCK	2	1	1	4	1	5	1	1	0	1	0	1	18
LAKEVIEW-F SHOCK	0	0	0	0	0	0	0	0	0	0	1	0	1
SUB-TOTAL	3	1	3	5	1	5	2	1	1	2	2	4	30
Column Percent	0.6%	0.2%	0.6%	1.0%	0.2%	1.0%	0.4%	0.2%	0.2%	0.4%	0.4%	0.8%	0.5%
MINIMUM SECURITY													
BEACON	0	0	0	0	0	0	0	3	0	0	0	0	3
^c BUFFALO	1	4	2	1	0	1	0	0	0	0	0	0	9
EDGECOMBE	0	0	1	0	0	1	0	0	0	0	0	2	4
^c FULTON	4	4	4	2	0	2	2	0	0	0	0	0	18
LINCOLN	9	5	1	2	1	2	5	2	6	4	3	2	42
QUEENSBORO	1	2	1	2	2	2	5	0	1	2	0	2	20
ROCHESTER	1	2	2	0	2	1	0	2	3	1	1	7	22
^c CAMP GEORGETOWN	0	3	0	0	0	0	2	0	0	0	0	0	5
SUB-TOTAL	16	20	11	7	5	9	14	7	10	7	4	13	123
Column Percent	3.3%	4.6%	2.0%	1.5%	1.1%	1.8%	2.9%	1.2%	1.9%	1.4%	0.8%	2.7%	2.1%
OTHER													
CENTRAL OFFICE	0	0	1	2	0	0	0	1	0	0	0	0	4
SUB-TOTAL	0	0	1	2	0	0	0	1	0	0	0	0	4
Column Percent	0	0.0%	0.2%	0.4%	0.0%	0.0%	0.0%	0.2%	0.0%	0.0%	0.0%	0.0%	0.1%
GRAND TOTAL	491	436	544	481	453	497	483	604	535	485	481	489	5,979
Column Percent	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%

^a Attica RMHU and Five Points RMHU opened in July 2011.

^b Arthur Kill closed in October 2011.

^c Mid-Orange, Oneida, Fulton, Buffalo, Summit Shock and Camp Georgetown closed in August 2011.

Section Four

WEAPON USE BY INCARCERATED OFFENDERS

Tables 4.1 and 4.2 show the most dangerous weapons used by offenders in unusual incidents. The count of weapons includes only the most dangerous weapon used in an incident and is not a count of all weapons in an incident. For example, in an offender assault on offender unusual incident, when two offenders with shanks (prison-made knives) attack another offender who defends himself with a broom handle, a shank would be counted as the most serious weapon used in the assault incident.

In 2011, there were no weapons were used in 73% of unusual incidents. Of the remaining 27% of unusual incidents, the use of body (12%) is the largest category of weapon use, followed by cut or stab weapons (8%), other weapons (5%), clubs (1%) and metal objects (less than 1%), (see Table 4.1). Incidents involving the "other" weapon category includes items such as garrote, human waste, and unspecified or unknown weapons.

The Unusual Incident reporting system categorizes unknown weapons as "unrecovered cutting or stabbing weapon" if injuries were consistent with use of those types of weapons. Table 4.1 shows a large number of unknown weapons are present in incidents involving cut or stab weapons, such as unrecovered cutting weapons (N=283) and unrecovered stabbing weapons (N=33).

Offender weapon use is concentrated within a few unusual incident categories including: offender assault on offender, offender assault on staff, self-injury, and disruptive behavior. Offender assaults on other offenders usually involved cut/stab weapons (N=416 or 62%), see Table 4.2). In offender assault on staff incidents, offenders most often used their body (N=458 or 81%) to hit, kick, or bite correctional staff. Use of 'other' weapons in assaults on staff (N=83 or 15%) included offenders throwing human waste and/or other fluids, such as water, juice or hot coffee, onto correction officers or other staff.

**TABLE 4.1
OFFENDER USE OF WEAPONS BY MONTH OF INCIDENT
JANUARY - DECEMBER 2011**

TYPE OF WEAPON	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	TOTAL
NO WEAPON USED													
NO WEAPON USED	365	333	401	365	335	358	340	441	378	340	363	363	4,382
SUB-TOTAL	365	333	401	365	335	358	340	441	378	340	363	363	4,382
Column Pct.	74.3%	76.4%	73.7%	75.9%	74.0%	72.0%	70.4%	73.0%	70.7%	70.1%	75.5%	74.2%	73.3%
USE OF BODY													
FIST KICK BITE ETC	60	46	79	60	57	65	69	69	74	70	52	45	746
SUB-TOTAL	60	46	79	60	57	65	69	69	74	70	52	45	746
Column Pct.	12.2%	10.6%	14.5%	12.5%	12.6%	13.1%	14.3%	11.4%	13.8%	14.4%	10.8%	9.2%	12.5%
CUT OR STAB WEAPON													
BROKEN GLASS	0	0	0	0	0	1	0	0	1	0	0	0	2
EATING UTENSIL	0	0	1	0	0	0	0	0	0	0	0	0	1
PEN OR PENCIL	1	1	1	0	1	3	3	4	1	0	0	0	15
RAZOR BLADE	4	4	7	1	7	5	6	8	7	4	12	3	68
ICE PICK TYPE	2	1	3	1	0	3	1	0	2	3	1	3	20
SHANK	3	3	3	3	0	1	4	6	5	4	2	7	41
CAN LID	3	0	3	2	2	5	5	5	6	2	2	4	39
TOOTHBRUSH	0	0	0	1	2	0	1	0	1	0	0	0	5
UNRECOVERED CUTTING WEAPON	20	21	21	24	14	20	20	34	25	31	29	24	283
UNRECOVERED STABBING WEAPON	3	4	2	1	2	2	2	2	7	2	4	2	33
SUB-TOTAL	36	34	41	33	28	40	42	59	55	46	50	43	507
Column Pct.	7.3%	7.8%	7.5%	6.9%	6.2%	8.0%	8.7%	9.8%	10.3%	9.5%	10.4%	8.8%	8.5%
CLUB													
WEIGHTED CONTAINER	3	0	2	0	5	1	0	5	1	3	0	1	21
OTHER CLUB	0	1	0	0	1	0	2	0	0	0	0	1	5
WOODEN CLUB	0	1	1	1	2	5	0	5	1	2	1	2	21
KITCHEN TRAY	0	0	0	1	2	1	0	2	0	1	0	0	7
SUB-TOTAL	3	2	3	2	10	7	2	12	2	6	1	4	54
Column Pct.	0.6%	0.5%	0.6%	0.4%	2.2%	1.4%	0.4%	2.0%	0.4%	1.2%	0.2%	0.8%	0.9%
METAL OBJECT													
CHAIR	1	2	1	3	2	1	2	0	0	1	1	0	14
METAL PIPE	0	0	1	0	0	0	0	0	0	0	0	0	1
SUB-TOTAL	1	2	2	3	2	1	2	0	0	1	1	0	15
Column Pct.	0.2%	0.5%	0.4%	0.6%	0.4%	0.2%	0.4%	0.0%	0.0%	0.2%	0.2%	0.0%	0.3%
OTHER WEAPON													
COMBUST MATERIAL	1	0	0	0	0	0	0	0	0	0	0	0	1
GARROTTE	13	11	5	7	12	11	12	5	8	8	6	10	108
HUMAN WASTE	1	1	0	2	0	3	2	3	3	1	1	3	20
WATER OR OTHER FLUIDS	4	2	2	1	2	3	4	4	3	2	2	7	36
BODY FLUIDS	1	0	0	2	1	0	0	2	1	2	1	1	11
NOT SPECIFIED	1	2	3	0	3	5	4	2	4	4	3	7	38
MISC. OTHER	5	3	8	6	3	4	6	7	7	5	1	6	61
SUB-TOTAL	26	19	18	18	21	26	28	23	26	22	14	34	275
Column Pct.	5.3%	4.4%	3.3%	3.7%	4.6%	5.2%	5.8%	3.8%	4.9%	4.5%	2.9%	7.0%	4.6%
GRAND TOTAL	491	436	544	481	453	497	483	604	535	485	481	489	5,979
Percent	100.0%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%

TABLE 4.2
INCIDENT CATEGORY BY OFFENDER WEAPON USE
JANUARY - DECEMBER 2011

INCIDENT CATEGORY	NO WEAPON	USE OF BODY	CUT/STAB WEAPON	CLUB	METAL OBJECT	OTHER WEAPON	TOTAL
ACCIDENT	462	0	0	0	0	0	462
Row Pct.	100.0%	0.0%	0.0%	0.0%	0.0%	0.0%	100.0%
ASSAULT ON OFFENDER	17	113	416	41	14	65	666
Row Pct.	2.6%	17.0%	62.5%	6.2%	2.1%	9.8%	100.0%
ASSAULT ON STAFF	6	458	5	10	1	83	563
Row Pct.	1.1%	81.3%	0.9%	1.8%	0.2%	14.7%	100.0%
ASSAULT ON OTHER	0	2	0	0	0	0	2
Row Pct.	0.0%	100.0%	0.0%	0.0%	0.0%	0.0%	100.0%
CONTRABAND	2,438	2	10	3	0	0	2,453
Row Pct.	99.4%	0.1%	0.4%	0.1%	0.0%	0.0%	100.0%
DEATH	111	1	0	0	0	11	123
Row Pct.	90.2%	0.8%	0.0%	0.0%	0.0%	8.9%	100.0%
DISRUPTIVE BEHAVIOR	196	164	1	0	0	4	365
Row Pct.	53.7%	44.9%	0.3%	0.0%	0.0%	1.1%	100.0%
UTILITIES DISRUPTION	13	0	0	0	0	0	13
Row Pct.	100.0%	0.0%	0.0%	0.0%	0.0%	0.0%	100.0%
EMPLOYEE MISCONDUCT	130	0	0	0	0	0	130
Row Pct.	100.0%	0.0%	0.0%	0.0%	0.0%	0.0%	100.0%
ESCAPE	4	0	0	0	0	0	4
Row Pct.	100.0%	0.0%	0.0%	0.0%	0.0%	0.0%	100.0%
ATTEMPTED ESCAPE	2	0	0	0	0	0	2
Row Pct.	100.0%	0.0%	0.0%	0.0%	0.0%	0.0%	100.0%
FIRE	30	0	0	0	0	0	30
Row Pct.	100.0%	0.0%	0.0%	0.0%	0.0%	0.0%	100.0%
MASS DEMONSTRATION	1	0	0	0	0	0	1
Row Pct.	100.0%	0.0%	0.0%	0.0%	0.0%	0.0%	100.0%
PROPERTY DESTRUCTION	13	0	0	0	0	1	14
Row Pct.	92.9%	0.0%	0.0%	0.0%	0.0%	7.1%	100.0%
PROPERTY LOST STOLEN	83	0	0	0	0	0	83
Row Pct.	100.0%	0.0%	0.0%	0.0%	0.0%	0.0%	100.0%
SELF-INJURY	9	1	63	0	0	6	79
Row Pct.	11.4%	1.3%	79.7%	0.0%	0.0%	7.6%	100.0%
SEXUAL MISCONDUCT	62	0	0	0	0	0	62
Row Pct.	100.0%	0.0%	0.0%	0.0%	0.0%	0.0%	100.0%
SUICIDE ATTEMPT	23	0	11	0	0	99	133
Row Pct.	17.3%	0.0%	8.3%	0.0%	0.0%	74.4%	100.0%
TEMPORARY RELEASE	72	0	0	0	0	1	73
Row Pct.	98.6%	0.0%	0.0%	0.0%	0.0%	1.4%	100.0%
EMPLOYEE WEAPON USE	166	3	1	0	0	0	170
Row Pct.	97.6%	1.8%	0.6%	0.0%	0.0%	0.0%	100.0%
EMPLOYEE JOB ACTION	2	0	0	0	0	0	2
Row Pct.	100.0%	0.0%	0.0%	0.0%	0.0%	0.0%	100.0%
OTHER INCIDENTS	542	2	0	0	0	5	549
Row Pct.	98.7%	0.4%	0.0%	0.0%	0.0%	0.9%	100.0%
GRAND TOTAL	4,382	746	507	54	15	275	5,979
Percent	73.3%	12.5%	8.5%	0.9%	0.3%	4.6%	100.0%

Section Five

OFFENDER ROLE IN UNUSUAL INCIDENTS

Table 5.1 shows the role of offenders according to type of unusual incident. Offender roles are divided into five categories: perpetrator, participant, suspect, victim, or witness. "Perpetrator" refers to the offender who is responsible for the unusual incident (i.e., the individual who assaulted another person, started a fire, possessed contraband, or escaped from a facility). The "participant" role describes an offender who is involved in an unusual incident but in a secondary capacity when compared to the perpetrator. An example would be an offender who engages in a work stoppage but only after instigation by other offenders. "Suspect" refers to an offender who is suspected of involvement in an incident but whose role will be determined after additional investigation. "Victim" refers to an offender who has suffered an injury or loss due to the actions of other offenders, i.e., someone who has been assaulted or suffered smoke inhalation from a fire. The "witness/bystander" role describes an offender who observed an unusual incident, or may have been present during an unusual incident but was not actively involved in the incident.

Table 5.2 shows the distribution of offender roles by month for calendar year 2011.

A total of 7,498 offenders were involved in unusual incidents in 2011. Specifically, there were 5,028 (67%) perpetrators; 192 (3%) participants; 1,234 (16%) victims; 267 (4%) suspects; and 777 (10%) witnesses or bystanders. It should be noted that reporting system procedures enable an offender's involvement to be counted several times depending upon the number of unusual incident reports filed as a result of a series of events. For example, if an offender uses a knife in an assault of another offender, the event may be reported in two categories - one for the assault incident, another for the offender's possession of a weapon (contraband). While both unusual incidents are part of the same situation, the offender would be listed as a perpetrator twice.

TABLE 5.1
UNUSUAL INCIDENT BY OFFENDER ROLE
JANUARY - DECEMBER 2011

INCIDENT TYPE	ROLE IN INCIDENT											
	PERPETRATOR		PARTICIPANT		SUSPECT		VICTIM		WITNESS or BYSTANDER		TOTAL	
	No.	Col. %	No.	Col. %	No.	Col. %	No.	Col. %	No.	Col. %	No.	Col. %
ACCIDENT	1	0.0%	25	13.0%	0	0.0%	369	29.9%	40	5.1%	435	5.8%
ASSAULT ON	640	12.7%	35	18.2%	27	10.1%	659	53.4%	38	4.9%	1,399	18.7%
ASSAULT ON STAFF	564	11.2%	15	7.8%	2	0.7%	1	0.1%	20	2.6%	602	8.0%
ASSAULT ON OTHER	2	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	2	0.0%
CONTRABAND	2,278	45.3%	49	25.5%	204	76.4%	6	0.5%	206	26.5%	2,743	36.6%
DEATH	3	0.1%	2	1.0%	0	0.0%	118	9.6%	28	3.6%	151	2.0%
DISRUPTIVE	796	15.8%	22	11.5%	0	0.0%	4	0.3%	8	1.0%	830	11.1%
UTILITIES DISRUPTION	1	0.0%	0	0.0%	1	0.4%	6	0.5%	1	0.1%	9	0.1%
EMPLOYEE	0	0.0%	2	1.0%	0	0.0%	4	0.3%	2	0.3%	8	0.1%
ESCAPE	4	0.1%	0	0.0%	0	0.0%	0	0.0%	2	0.3%	6	0.1%
ATTEMPTED ESCAPE	2	0.0%	0	0.0%	0	0.0%	0	0.0%	1	0.1%	3	0.0%
FIRE	11	0.2%	1	0.5%	3	1.1%	12	1.0%	8	1.0%	35	0.5%
MASS	12	0.2%	25	13.0%	0	0.0%	0	0.0%	0	0.0%	37	0.5%
PROPERTY	10	0.2%	0	0.0%	0	0.0%	3	0.2%	0	0.0%	13	0.2%
PROPERTY LOST	2	0.0%	2	1.0%	24	9.0%	0	0.0%	21	2.7%	49	0.7%
SELF-INJURY	79	1.6%	0	0.0%	0	0.0%	0	0.0%	4	0.5%	83	1.1%
SEXUAL MISCONDUCT	87	1.7%	0	0.0%	0	0.0%	6	0.5%	4	0.5%	97	1.3%
SUICIDE ATTEMPT	132	2.6%	0	0.0%	0	0.0%	0	0.0%	17	2.2%	149	2.0%
TEMPORARY	71	1.4%	0	0.0%	0	0.0%	1	0.1%	0	0.0%	72	1.0%
EMPLOYEE WEAPON	209	4.2%	2	1.0%	0	0.0%	0	0.0%	9	1.2%	220	2.9%
OTHER INCIDENTS	124	2.5%	12	6.3%	6	2.2%	45	3.6%	368	47.4%	555	7.4%
TOTAL	5,028	100.0%	192	100.0%	267	100.0%	1,234	100.0%	777	100.0%	7,498	100.0%

TABLE 5.2
OFFENDER ROLE BY MONTH OF INCIDENT
JANUARY - DECEMBER 2011

ROLE	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	TOTAL
PERPETRATOR	386	341	469	390	366	365	390	548	498	411	393	471	5,028
Column Pct.	69.8%	70.7%	70.1%	66.7%	66.7%	60.4%	64.7%	68.2%	68.4%	64.5%	66.2%	68.2%	67.1%
PARTICIPANT	14	7	14	15	16	10	11	15	29	10	13	38	192
Column Pct.	2.5%	1.5%	2.1%	2.6%	2.9%	1.7%	1.8%	1.9%	4.0%	1.6%	2.2%	5.5%	2.6%
SUSPECT	24	12	22	25	10	23	21	31	24	42	17	16	267
Column Pct.	4.3%	2.5%	3.3%	4.3%	1.8%	3.8%	3.5%	3.9%	3.3%	6.6%	2.9%	2.3%	3.6%
VICTIM	89	72	87	98	102	118	114	141	102	113	103	95	1,234
Column Pct.	16.1%	14.9%	13.0%	16.8%	18.6%	19.5%	18.9%	17.6%	14.0%	17.7%	17.3%	13.7%	16.5%
BYSTANDER	40	50	77	57	55	88	67	68	75	61	68	71	777
Column Pct.	7.2%	10.4%	11.5%	9.7%	10.0%	14.6%	11.1%	8.5%	10.3%	9.6%	11.4%	10.3%	10.4%
TOTAL	553	482	669	585	549	604	603	803	728	637	594	691	7,498
Column Pct.	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%

Section Six

OFFENDER DEATHS

The number and cause of offender deaths are shown in Table 6. The total differs from other statistical tables in this report because Table 6 reflects only the deaths of offenders who were in NYSDOCCS' physical custody, offenders transferred to other criminal justice agencies (but still in legal custody of NYSDOCCS) and offenders given permission to leave DOCCS facilities for work release, day reporting, or furlough. The table does not include deaths of correctional staff.

An unusual incident report is recorded immediately upon discovery of a death, but the reporting officer may not know the cause of death. Further investigation by NYSDOCCS Health Services' staff and local medical authorities is required to determine the final official cause of death. Table 6 reflects information known at the time this report was written. Although not reported here, there were four unusual incidents in 2011 that reported staff deaths. None of these deaths were due to offender actions. Table 6 also includes one offender who was housed at Walsh Regional Medical Unit and was in the jurisdiction of the NYS Office of Mental Health but in NYDOCCS custody pending determination by the courts for possible civil commitment.

The initial cause for 81% or 96 offender deaths was reported as natural causes. There were also eleven deaths due to suicide (9%), six deaths due to AIDS (5%), three homicide deaths (2%) and one each for drug overdose, accident, and other known causes.

TABLE 6 OFFENDER DEATHS: MONTH BY INITIAL CAUSE OF DEATH JANUARY - DECEMBER 2011									
CAUSE OF DEATH									
MONTH OF DEATH	NATURAL CAUSES	AIDS	SUICIDE	HOMICIDE	DRUG OVERDOSE	ACCIDENT	OTHER KNOWN CAUSES	CAUSE PENDING	TOTAL
JANUARY	7	1	1	0	0	0	0	0	9
FEBRUARY	5	0	1	0	0	0	0	0	6
MARCH	5	1	0	0	0	0	0	0	6
APRIL	11	0	1	0	0	0	0	0	12
MAY	12	1	1	2	0	0	1	0	17
JUNE	9	1	1	0	0	1	0	0	12
JULY	8	0	0	1	0	0	0	0	9
AUGUST	7	1	1	0	1	0	0	0	10
SEPTEMBER	5	0	3	0	0	0	0	0	8
OCTOBER	7	0	1	0	0	0	0	0	8
NOVEMBER	11	1	0	0	0	0	0	0	12
DECEMBER	9	0	1	0	0	0	0	0	10
TOTAL	96	6	11	3	1	1	1	0	119
Row Percent	80.7%	5.0%	9.2%	2.5%	0.8%	0.8%	0.8%	0.0%	100.0%

Section Seven

LOCATION OF UNUSUAL INCIDENTS

The location of unusual incidents and the incident type are shown in Table 7.1. Locations are categorized into eleven primary areas. Most incidents (N=2,322 or 39%) occurred in housing units which include general confinement housing as well as shower and bathroom areas. An additional 578 incidents (10%) were reported in the prison yard, which is an open area inside the facility perimeter that offenders use for recreation and exercise. There were 448 (8%) incidents in hospital mental health units and 252 incidents (4%) reported in Special Housing Unit (S.H.U.) areas that house offenders for disciplinary segregation and protective custody.

The incident types most often occurring in the housing areas were contraband (N=1,196), assaults on staff (N=271), offender assaults on offenders (N=240), and disruptive behavior (N=177).

Table 7.2 presents incident location by month of incident for calendar year 2011.

The fewest reported incidents occurred in areas of social interaction within the facility, (excluding yard areas), such as television/day rooms (N=91 or 2%), shop or class (N=107 or 2%), gymnasiums (N=112 or 2%), in visiting rooms (N=112 or 2%), and mess halls (N=126 or 2%). One quarter of unusual incidents (N=1,505) occurred inside the facilities, but in areas that did not fall into one of the nine coded areas, such as the administration building or in corridors between buildings or housing units.

**TABLE 7.1
UNUSUAL INCIDENT TYPE BY LOCATION
JANUARY - DECEMBER 2011**

INCIDENT LOCATION												
INCIDENT TYPE	HOUSING UNIT	MESS HALL	YARD	TV/DAY ROOM	SPECIAL HOUSING	HOSPITAL M.H.U.	SHOP OR CLASS	GYM	VISITING ROOM	OUTSIDE PRISON	OTHER	TOTAL
ACCIDENT	93	13	122	8	3	21	20	58	2	28	94	462
ASSAULT ON OFFENDER	240	34	202	28	4	11	12	21	1	3	110	666
ASSAULT ON STAFF	271	17	10	12	33	73	20	3	10	7	107	563
ASSAULT ON OTHER	0	0	0	0	0	0	0	0	1	1	0	2
CONTRABAND	1,196	33	161	25	118	121	37	19	54	25	664	2,453
DEATH	29	0	2	0	0	39	1	0	0	50	2	123
DISRUPTIVE BEHAVIOR	177	14	47	16	28	40	3	4	1	1	34	365
UTILITIES DISRUPTION	0	1	0	0	0	0	1	0	0	2	9	13
EMPLOYEE MISCONDUCT	5	0	0	0	0	0	0	0	0	93	32	130
ESCAPE	0	0	0	0	0	0	0	0	0	2	2	4
ATTEMPTED ESCAPE	0	0	0	0	0	0	0	0	0	2	0	2
FIRE	19	0	0	0	0	2	2	0	0	1	6	30
OFFENDER DISTURBANCE	0	0	0	0	0	0	0	0	0	0	1	1
MASS DEMONSTRATION	6	0	0	0	0	5	0	0	0	2	1	14
PROPERTY LOST/STOLEN	0	0	0	1	1	15	8	0	1	18	39	83
SELF-INJURY	50	0	0	0	10	17	0	0	0	1	1	79
SEXUAL MISCONDUCT	21	0	4	1	0	2	1	2	22	0	9	62
SUICIDE ATTEMPT	93	0	2	0	22	15	0	0	0	1	0	133
TEMPORARY RELEASE	0	0	0	0	0	0	0	0	0	73	0	73
EMPLOYEE WEAPON USE	65	8	25	0	15	22	1	3	1	1	29	170
EMPLOYEE JOB ACTION	0	0	0	0	0	0	0	0	0	0	2	2
OTHER INCIDENTS	57	6	3	0	18	65	1	2	19	15	363	549
TOTAL	2,322	126	578	91	252	448	107	112	112	326	1,505	5,979
Row Percent	38.8%	2.1%	9.7%	1.5%	4.2%	7.5%	1.8%	1.9%	1.9%	5.5%	25.2%	100.0%

**TABLE 7.2
UNUSUAL INCIDENT LOCATION BY MONTH
JANUARY - DECEMBER 2011**

INCIDENT LOCATION	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	TOTAL
HOUSING UNIT													
CELL	78	90	97	66	74	75	60	115	92	67	86	100	1,000
DORMITORY	36	36	40	53	33	54	52	51	42	32	36	58	523
GALLERY	26	22	28	24	18	27	30	34	20	26	25	17	297
SHOWER	5	5	3	3	7	6	3	5	7	10	9	9	72
TOILET AREA	6	4	5	5	6	4	7	12	12	10	10	3	84
CELL BLOCK	27	12	38	18	15	14	19	12	31	21	13	16	236
DOUBLE-CELL	13	11	10	8	6	6	9	2	6	9	8	7	95
SLOP SINK	0	4	1	1	1	0	2	0	2	1	0	3	15
SUB-TOTAL	191	184	222	178	160	186	182	231	212	176	187	213	2,322
Column Pct.	38.9%	42.2%	40.8%	37.0%	35.3%	37.4%	37.7%	38.2%	39.6%	36.3%	38.9%	43.6%	38.8%
MESSHALL													
KITCHEN	2	3	4	6	9	7	0	2	4	1	1	5	44
MESS HALL/IN LINE	10	4	7	5	6	9	11	9	6	3	5	7	82
SUB-TOTAL	12	7	11	11	15	16	11	11	10	4	6	12	126
Column Pct.	2.4%	1.6%	2.0%	2.3%	3.3%	3.2%	2.3%	1.8%	1.9%	.8%	1.2%	2.5%	2.1%
YARD													
YARD	24	27	36	46	47	48	62	77	55	60	47	47	576
KEEPLock YARD	0	0	0	0	0	0	0	1	0	0	0	1	2
SUB-TOTAL	24	27	36	46	47	48	62	78	55	60	47	48	578
Column Pct.	4.9%	6.2%	6.6%	9.6%	10.4%	9.7%	12.8%	12.9%	10.3%	12.4%	9.8%	9.8%	9.7%
TV/DAY ROOM													
TV ROOM DAY AREA	7	5	4	6	12	6	10	11	7	10	7	6	91
SUB-TOTAL	7	5	4	6	12	6	10	11	7	10	7	6	91
Column Pct.	1.4%	1.1%	.7%	1.2%	2.6%	1.2%	2.1%	1.8%	1.3%	2.1%	1.5%	1.2%	1.5%
SPECIAL HOUSING													
SHU-DISCIPLINE	16	14	11	12	9	10	16	15	19	15	15	8	160
SHU-INVOL PROTECT	0	0	0	0	0	0	0	0	1	0	0	0	1
SHU-VOL PROTECT	2	0	0	0	1	0	0	1	2	0	0	0	6
SHU-YARD	0	0	0	0	0	1	0	0	0	0	0	0	1
SHU FRISK AREA	5	2	1	3	1	1	1	4	3	2	4	7	34
SHU OTHER	2	1	1	10	4	7	5	6	4	1	5	4	50
SUB-TOTAL	25	17	13	25	15	19	22	26	29	18	24	19	252
Column Pct.	5.1%	3.9%	2.4%	5.2%	3.3%	3.8%	4.6%	4.3%	5.4%	3.7%	5.0%	3.9%	4.2%
HOSPITAL M.H.U.													
INFIRMARY-HOSPITAL	20	23	34	22	39	15	19	31	37	24	16	17	297
MENTAL HEALTH UNIT	2	5	13	11	12	9	9	8	12	9	16	2	108
*MHU-OBS CELL	3	4	2	1	1	6	1	3	3	11	2	5	42
+MHU-OBS DORM	0	1	0	0	0	0	0	0	0	0	0	0	1
SUB-TOTAL	25	33	49	34	52	30	29	42	52	44	34	24	448
Column Pct.	5.1%	7.6%	9.0%	7.1%	11.5%	6.0%	6.0%	7.0%	9.7%	9.1%	7.1%	4.9%	7.5%

(continued on the next page)

* MHU-OBS CELL = Mental Health Observation Cell
+ MHU-OBS DORM = Mental Health Observation DORM

**TABLE 7.2
UNUSUAL INCIDENT LOCATION BY MONTH
JANUARY - DECEMBER 2011**

INCIDENT LOCATION	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	TOTAL
SHOP or CLASS													
CLASSROOM/SCHOOL	3	4	7	9	2	6	7	5	5	3	3	8	62
FARM	0	0	0	0	0	0	0	0	0	0	0	1	1
SHOP-INDUSTRIES	0	0	2	0	1	0	1	3	0	2	2	0	11
SHOP-MAINTENANCE	1	0	5	3	1	1	1	2	0	1	1	0	16
SHOP-VOCATION ED	1	3	2	2	2	2	0	1	2	2	0	0	17
SUB-TOTAL	5	7	16	14	6	9	9	11	7	8	6	9	107
Column Pct.	1.0%	1.6%	2.9%	2.9%	1.3%	1.8%	1.9%	1.8%	1.3%	1.6%	1.2%	1.8%	1.8%
GYM													
AUDITORIUM	0	0	0	0	0	0	1	1	2	0	0	0	4
GYMNASIUM	20	9	9	9	4	4	3	15	7	10	9	9	108
SUB-TOTAL	20	9	9	9	4	4	4	16	9	10	9	9	112
Column Pct.	4.1%	2.1%	1.7%	1.9%	.9%	.8%	.8%	2.6%	1.7%	2.1%	1.9%	1.8%	1.9%
VISITING ROOM													
VISITING ROOM	6	8	10	9	9	14	11	4	7	15	10	9	112
SUB-TOTAL	6	8	10	9	9	14	11	4	7	15	10	9	112
Column Pct.	1.2%	1.8%	1.8%	1.9%	2.0%	2.8%	2.3%	.7%	1.3%	3.1%	2.1%	1.8%	1.9%
OUTSIDE FACILITY													
IN TRANSIT	2	1	0	0	1	3	1	1	0	0	1	0	10
OUTSIDE-COURT	1	1	1	0	0	0	0	0	0	0	0	0	3
OUTSIDE-HOSPITAL	4	5	9	10	6	10	7	7	11	4	5	7	85
OUTSIDE-TEMP REL	14	11	8	4	2	5	5	6	10	6	2	2	75
OUTSIDE UNSPECIFY	10	13	10	9	18	15	12	14	6	14	19	13	153
SUB-TOTAL	31	31	28	23	27	33	25	28	27	24	27	22	326
Column Pct.	6.3%	7.1%	5.1%	4.8%	6.0%	6.6%	5.2%	4.6%	5.0%	4.9%	5.6%	4.5%	5.5%
OTHER													
ADMIN BUILDING	20	17	24	21	10	20	14	10	16	13	18	18	201
CORRIDOR	33	15	23	19	29	16	21	20	19	20	21	12	248
DISCIPLINARY OFF.	0	2	3	4	2	1	1	1	2	1	0	0	17
FRONT GATE	7	6	23	6	3	11	13	9	13	13	7	10	121
DRAFT AREAS	3	5	3	1	2	3	3	5	2	5	6	0	38
LOBBY	2	1	5	7	6	6	3	2	2	7	7	9	57
PACKAGE ROOM	5	4	10	12	5	6	11	6	3	2	3	6	73
REAR GATE	1	3	0	2	0	2	0	0	0	0	0	0	8
STAIRWAY	1	5	7	5	2	6	7	5	3	6	8	2	57
WALKWAY	16	11	18	14	7	10	9	13	4	13	12	18	145
VISITOR PROCESS	7	17	4	7	15	20	13	25	20	13	15	14	170
PARKING/PERIMETER	8	3	3	3	3	6	3	0	4	3	4	6	46
INTERVIEW ROOM	4	0	4	2	1	5	1	4	6	1	7	0	35
NOT SPECIFIED	1	2	2	2	2	5	1	5	0	4	3	1	28
OTHER	37	17	17	21	19	15	18	41	26	15	13	22	261
SUB-TOTAL	145	108	146	126	106	132	118	146	120	116	124	118	1,505
Column Pct.	29.5%	24.8%	26.8%	26.2%	23.4%	26.6%	24.4%	24.2%	22.4%	23.9%	25.8%	24.1%	25.2%
GRAND TOTAL	491	436	544	481	453	497	483	604	535	485	481	489	5,979
Column Pct.	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%

Section Eight - Part I

OFFENDER ASSAULTS ON STAFF AND ON OFFENDERS

The total number of assault on staff incidents by facility and month is shown in Table 8.2. Seventy-nine percent (79%) of assaults on staff occurred in maximum security prisons; medium security facilities experienced 20%; other facilities (including Shock Incarceration facilities, minimum security facilities, and Camps) accounted for less than 2% of the total number of staff assaults.

Table 8.1 displays the number and rate of staff assaults for 2011. The table provides information on the average daily population of each facility during the year, the number of assaults, and the annualized rate of offender assault on staff incidents per 1,000 offenders. The annualized rate allows for standardized comparisons between security levels and facilities. A complete explanation of rate calculation is presented in Appendix A, page 44.

In 2011, there were a total of 563 assaults on staff. The highest number of these assaults occurred in maximum security facilities with a reported 445 incidents for a rate of 17.8 incidents per thousand inmates of population. Both the number and rate of assaults on staff at maximum security prisons were considerably higher than medium and minimum security facilities. Medium security facilities experienced 111 staff assault incidents and a rate of 3.8 per thousand inmates of population. Minimum security facilities reported a total of 5 assault incidents and a rate of 5.1 per thousand inmates of population and Shock Incarceration Facilities reported 2 incidents (at a rate of 1.9 per 1,000 offenders).

TABLE 8.1
NUMBER AND RATE OF ASSAULTS ON STAFF BY SECURITY LEVEL AND FACILITY
JANUARY - DECEMBER 2011

CORRECTIONAL FACILITY	POPULATION 2011	ASSAULTS ON STAFF	RATE PER 1,000 OFFENDERS
MAXIMUM SECURITY			
ATTICA	2,157	43	19.9
AUBURN	1,725	24	13.9
BEDFORD-F	742	15	20.2
CLINTON	2,790	30	10.8
COXSACKIE	963	29	30.1
DOWNSTATE	1,191	20	16.8
EASTERN	1,003	1	1.0
ELMIRA	1,788	21	11.7
FIVE POINTS	1,330	24	18.0
GREAT MEADOW	1,597	35	21.9
GREEN HAVEN	2,063	36	17.5
SHAWANGUNK	538	5	9.3
SING SING	1,732	15	8.7
SOUTHPORT	879	22	25.0
SULLIVAN	482	11	22.8
UPSTATE	1,290	22	17.1
WENDE	829	29	35.0
SUB-TOTAL	23,099	382	16.5
SPECIAL HOUSING 200 BED			
CAYUGA SHU200	182	0	0.0
COLLINS SHU200	172	2	11.6
FISHKILL SHU200	172	1	5.8
GOUVERNEUR SHU200	181	0	0.0
GREEN SHU200	172	4	23.3
LAKEVIEW SHU200	185	1	5.4
MID-STATE SHU200	174	4	23.0
ORLEANS SHU200	163	1	6.1
SUB-TOTAL	1,401	13	9.3
REGIONAL MEDICAL UNIT			
BEDFORD RMU	14	0	0.0
COXSACKIE RMU	58	1	17.2
FISHKILL RMU	28	0	0.0
WALSH RMU-MALE	111	3	27.0
WENDE RMU	78	3	38.5
SUB-TOTAL	289	7	24.2
RESIDENTIAL MENTAL HEALTH UNIT (RMHU)			
^a ATTICA RMHU	8	0	0.0
BEDFORD TBU	13	0	0.0
^a FIVE POINTS RMHU	44	5	113.6
GREAT MEADOW BHU	30	13	433.3
MARCY RMHU	81	20	246.9
SULLIVAN BHU	38	5	131.6
SUB-TOTAL	214	43	200.9
TOTAL MAXIMUM SECURITY	25,003	445	17.8

(continued on the next page)

TABLE 8.1
NUMBER AND RATE OF ASSAULTS ON STAFF BY SECURITY LEVEL AND FACILITY
JANUARY - DECEMBER 2011

CORRECTIONAL FACILITY	POPULATION 2011	ASSAULTS ON STAFF	RATE PER 1,000 OFFENDERS
MEDIUM SECURITY			
ADIRONDACK	409	2	4.9
ALBION-F	833	2	2.4
ALTONA	437	0	0.0
^b ARTHUR KILL	504	3	6.0
^b ARTHUR KILL ASACTC	40	0	0.0
BARE HILL	1,630	5	3.1
BAYVIEW-F	156	2	12.8
BUTLER ASACTC	195	1	5.1
CAPE VINCENT	808	1	1.2
CAYUGA	823	1	1.2
CHATEAUGAY	221	0	0.0
COLLINS	797	0	0.0
FISHKILL	1,469	12	8.2
FRANKLIN	1,635	11	6.7
GOUVERNEUR	764	1	1.3
GOWANDA	1,526	5	3.3
GREENE	1,572	10	6.4
GROVELAND-M	982	4	4.1
HALE CREEK	283	0	0.0
HUDSON	368	1	2.7
LAKEVIEW-M RECEP	157	1	6.4
LAKEVIEW-F RECEP	4	0	0.0
LIVINGSTON	766	1	1.3
MARCY	991	7	7.1
^c MID-ORANGE	329	0	0.0
MID-STATE	1,313	10	7.6
MOHAWK	1,110	2	1.8
MT.MCGREGOR	416	2	4.8
OGDENSBURG	363	0	0.0
^c ONEIDA	555	2	3.6
ORLEANS	786	5	6.4
OTISVILLE	483	0	0.0
RIVERVIEW	817	2	2.4
TACONIC-F	294	2	6.8
ULSTER	784	0	0.0
WALLKILL	527	2	3.8
WASHINGTON	753	10	13.3
WATERTOWN	534	2	3.7
WOODBOURNE	785	1	1.3
WYOMING	1,614	1	0.6
TOTAL MEDIUM SECURITY	28,833	111	3.8

(continued on the next page)

TABLE 8.1
NUMBER AND RATE OF ASSAULTS ON STAFF BY SECURITY LEVEL AND FACILITY
JANUARY - DECEMBER 2011

CORRECTIONAL FACILITY	POPULATION 2011	ASSAULTS ON STAFF	RATE PER 1,000 OFFENDERS
SHOCK			
MONTEREY SHOCK	213	0	0.0
MORIAH SHOCK	191	0	0.0
^c SUMMIT SHOCK-M	77	0	0.0
LAKEVIEW-M SHOCK	507	2	3.9
LAKEVIEW-F SHOCK	91	0	0.0
SUB-TOTAL	1,079	2	1.9
MINIMUM SECURITY			
BEACON	135	1	7.4
^c BUFFALO	59	0	0.0
EDGECOMBE	18	0	0.0
^c FULTON	60	0	0.0
LINCOLN	161	1	6.2
QUEENSBORO	394	2	5.1
ROCHESTER	67	0	0.0
^c CAMP GEORGETOWN	78	1	12.8
SUB-TOTAL	972	5	5.1
OTHER			
CENTRAL OFFICE	0	0	N/A
SUB-TOTAL	0	0	N/A
GRAND TOTAL	55,887	563	10.1

^a Attica RMHU and Five Points RMHU opened in July 2011, however rates are based on a twelve-month period. See page 7 for a brief description of RMHU units.

^b Arthur Kill closed in October 2011, however the rate is based on a twelve-month period.

^c Mid-Orange, Oneida, Fulton, Buffalo, Summit Shock and Camp Georgetown closed in August 2011, however the rate is based on an twelve-month period.

**TABLE 8.2
NUMBER OF ASSAULTS ON STAFF BY SECURITY LEVEL AND FACILITY
JANUARY - DECEMBER 2011**

CORRECTIONAL FACILITY	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	TOTAL
MAXIMUM SECURITY													
ATTICA	7	5	5	4	4	4	4	2	3	2	2	1	43
AUBURN	4	1	0	1	4	4	2	3	1	1	1	2	24
BEDFORD-F	0	0	0	1	3	2	0	2	2	2	1	2	15
CLINTON	4	2	10	2	0	2	2	3	2	3	0	0	30
COXSACKIE	2	2	2	3	2	0	3	5	2	2	5	1	29
DOWNSTATE	1	0	3	1	2	1	2	3	1	3	1	2	20
EASTERN	0	0	1	0	0	0	0	0	0	0	0	0	1
ELMIRA	2	3	1	2	3	0	1	3	0	2	1	3	21
FIVE POINTS	3	2	4	3	2	3	1	2	1	2	1	0	24
GREAT MEADOW	4	1	6	2	5	2	2	2	3	4	4	0	35
GREEN HAVEN	2	5	1	3	3	3	3	3	6	5	1	1	36
SHAWANGUNK	3	1	0	0	0	0	1	0	0	0	0	0	5
SING SING	2	0	1	1	1	0	1	2	4	2	1	0	15
SOUTHPORT	0	0	1	1	0	6	3	1	4	3	0	3	22
SULLIVAN	1	2	1	0	1	0	1	0	0	2	1	2	11
UPSTATE	3	1	1	2	0	1	3	3	5	1	0	2	22
WENDE	3	0	3	0	4	6	0	2	3	5	1	2	29
SUB-TOTAL	41	25	40	26	34	34	29	36	37	39	20	21	382
SPECIAL HOUSING 200 BED													
COLLINS SHU200	0	0	0	0	0	0	1	0	1	0	0	0	2
FISHKILL SHU200	1	0	0	0	0	0	0	0	0	0	0	0	1
GREEN SHU200	0	1	1	0	0	0	1	0	0	1	0	0	4
LAKEVIEW SHU200	0	0	0	0	0	0	0	1	0	0	0	0	1
MID-STATE SHU200	0	0	1	0	0	1	0	0	1	0	0	1	4
ORLEANS SHU200	1	0	0	0	0	0	0	0	0	0	0	0	1
SUB-TOTAL	2	1	2	0	0	1	2	1	2	1	0	1	13
REGIONAL MEDICAL UNIT													
COXSACKIE RMU	0	0	1	0	0	0	0	0	0	0	0	0	1
WALSH RMU-MALE	0	1	0	0	1	0	1	0	0	0	0	0	3
WENDE RMU	0	0	0	1	0	0	0	2	0	0	0	0	3
SUB-TOTAL	0	1	1	1	1	0	1	2	0	0	0	0	7
RESIDENTIAL MENTAL HEALTH UNIT (RMHU)													
^a ATTICA RMHU	0	0	0	0	0	0	0	0	0	0	0	0	0
BEDFORD TBU	0	0	0	0	0	0	0	0	0	0	0	0	0
^a FIVE POINTS RMHU	0	0	0	0	0	0	0	1	1	1	1	1	5
GREAT MEADOW BHU	2	0	0	2	0	1	1	3	1	1	2	0	13
MARCY RMHU	0	0	5	3	1	0	0	4	2	0	3	2	20
SULLIVAN BHU	0	0	1	0	0	0	1	1	1	0	1	0	5
SUB-TOTAL	2	0	6	5	1	1	2	8	4	1	6	2	43
TOTAL MAXIMUM SECURITY	45	27	49	32	36	36	34	47	43	41	26	24	445
Column Percent	81.8%	73.0%	80.3%	68.1%	81.8%	78.3%	72.3%	87.0%	82.7%	80.4%	78.8%	77.4%	79.0%

(continued on the next page)

**TABLE 8.2
NUMBER OF ASSAULTS ON STAFF BY SECURITY LEVEL AND FACILITY
JANUARY - DECEMBER 2011**

CORRECTIONAL FACILITY	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	TOTAL
MEDIUM SECURITY													
ADIRONDACK	0	0	2	0	0	0	0	0	0	0	0	0	2
ALBION-F	0	0	0	0	0	0	0	0	1	0	0	1	2
^b ARTHUR KILL	1	0	0	1	1	0	0	0	0	0	0	0	3
BARE HILL	0	0	0	0	1	0	1	1	1	0	1	0	5
BAYVIEW-F	0	0	0	0	0	1	0	0	1	0	0	0	2
BUTLER ASACTC	0	0	1	0	0	0	0	0	0	0	0	0	1
CAPE VINCENT	0	0	0	1	0	0	0	0	0	0	0	0	1
CAYUGA	0	0	0	0	0	0	0	1	0	0	0	0	1
FISHKILL	4	1	0	2	1	0	0	1	0	2	0	1	12
FRANKLIN	1	2	2	0	1	2	0	0	1	0	1	1	11
GOUVERNEUR	0	1	0	0	0	0	0	0	0	0	0	0	1
GOWANDA	1	1	1	0	0	0	1	0	0	0	0	1	5
GREENE	0	0	1	2	1	2	1	0	1	0	2	0	10
GROVELAND-M	0	1	0	0	1	0	1	0	0	1	0	0	4
HUDSON	0	0	0	0	0	1	0	0	0	0	0	0	1
LAKEVIEW-M RECEP	0	0	0	1	0	0	0	0	0	0	0	0	1
LIVINGSTON	0	0	0	1	0	0	0	0	0	0	0	0	1
MARCY	0	0	1	0	0	1	1	2	2	0	0	0	7
MID-STATE	1	1	0	1	1	2	2	0	1	1	0	0	10
MOHAWK	0	0	0	0	1	0	1	0	0	0	0	0	2
MT. MCGREGOR	0	0	0	0	0	0	0	0	0	1	0	1	2
^c ONEIDA	0	0	1	0	0	0	1	0	0	0	0	0	2
ORLEANS	0	0	0	1	0	0	2	0	0	1	1	0	5
RIVERVIEW	0	0	0	1	0	0	0	0	0	0	1	0	2
TACONIC-F	0	0	1	0	0	0	0	0	0	0	0	1	2
WALLKILL	0	0	2	0	0	0	0	0	0	0	0	0	2
WASHINGTON	1	2	0	2	0	0	0	0	1	3	0	1	10
WATERTOWN	0	0	0	0	0	0	0	1	0	0	1	0	2
WOODBOURNE	0	0	0	1	0	0	0	0	0	0	0	0	1
WYOMING	1	0	0	0	0	0	0	0	0	0	0	0	1
TOTAL MEDIUM SECURITY	10	9	12	14	8	9	11	6	9	9	7	7	111
Column Percent	18.2%	24.3%	19.7%	29.8%	18.2%	19.6%	23.4%	11.1%	17.3%	17.6%	21.2%	22.6%	19.7%

(continued on the next page)

**TABLE 8.2
NUMBER OF ASSAULTS ON STAFF BY SECURITY LEVEL AND FACILITY
JANUARY - DECEMBER 2011**

CORRECTIONAL FACILITY	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	TOTAL
SHOCK													
LAKEVIEW-M SHOCK	0	0	0	1	0	0	0	0	0	1	0	0	2
SUB-TOTAL	0	0	0	1	0	0	0	0	0	1	0	0	2
Column Percent	0.0%	0.0%	0.0%	2.1%	0.0%	0.0%	0.0%	0.0%	0.0%	2.0%	0.0%	0.0%	0.4%
MINIMUM SECURITY													
BEACON	0	0	0	0	0	0	0	1	0	0	0	0	1
^c BUFFALO	0	0	0	0	0	0	0	0	0	0	0	0	0
EDGECOMBE	0	0	0	0	0	0	0	0	0	0	0	0	0
^c FULTON	0	0	0	0	0	0	0	0	0	0	0	0	0
LINCOLN	0	0	0	0	0	0	1	0	0	0	0	0	1
QUEENSBORO	0	0	0	0	0	1	1	0	0	0	0	0	2
ROCHESTER	0	0	0	0	0	0	0	0	0	0	0	0	0
^c CAMP GEORGETOWN	0	1	0	0	0	0	0	0	0	0	0	0	1
SUB-TOTAL	0	1	0	0	0	1	2	1	0	0	0	0	5
Column Percent	0.0%	2.7%	0.0%	0.0%	0.0%	2.2%	4.3%	1.9%	0.0%	0.0%	0.0%	0.0%	0.9%
GRAND TOTAL	55	37	61	47	44	46	47	54	52	51	33	31	563
Column Percent	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%

^a Attica RMHU and Five Points RMHU opened in July 2011.

^b Arthur Kill closed in October 2011.

^c Mid-Orange, Oneida, Fulton, Buffalo, Summit Shock and Camp Georgetown closed in August 2011.

Section Eight - Part II

OFFENDER ASSAULTS BY FACILITY AND MONTH OF INCIDENT

Table 8.4 presents data on the number of offender assault on offender incidents in correctional facilities by month of incident.

In calendar year 2011, there were 666 assault on offender incidents. For 2011, 67% (N=445) of offender assault on offender incidents occurred at maximum security institutions, including maximum security SHU200 and Regional Medical Units (RMU); 33% (N=220) at medium security prisons; and less than 1% (N=1) at minimum security or Shock Incarceration facilities, (see Table 8.4).

Table 8.3 displays the average population of each facility, the total number offender assault on offender incidents, and the annualized rate of incidents per 1,000 offenders.

The annualized rate of offender assault on offender incidents allows for standardized comparisons between security levels and facilities. For example, the use of an annualized rate allows the reader to observe that Attica Correctional Facility, a maximum security prison with 42 offender assault on offender incidents and an average daily population of 2,157, has a similar assault rate (19.5) as Walsh Regional medical Unit (18.0) with 2 offender assault on offender incidents and an average population of 111.

A review of unusual incidents by security level of facility reveals that maximum security housing units had the highest rate of offender assault on offender incidents (17.8) (see Table 8.3). Medium security facilities experienced a rate of 7.6 incidents per 1,000 offender population.

**TABLE 8.3
NUMBER AND RATE OF OFFENDER ASSAULTS BY SECURITY LEVEL AND FACILITY
JANUARY - DECEMBER 2011**

CORRECTIONAL FACILITY	POPULATION 2011	ASSAULTS ON OFFENDERS	RATE PER 1,000 OFFENDERS
MAXIMUM SECURITY			
ATTICA	2,157	42	19.5
AUBURN	1,725	56	32.5
BEDFORD-F	742	7	9.4
CLINTON	2,790	83	29.7
COXSACKIE	963	14	14.5
DOWNSTATE	1,191	9	7.6
EASTERN	1,003	2	2.0
ELMIRA	1,788	57	31.9
FIVE POINTS	1,330	19	14.3
GREAT MEADOW	1,597	48	30.1
GREEN HAVEN	2,063	20	9.7
SHAWANGUNK	538	5	9.3
SING SING	1,732	28	16.2
SOUTHPORT	879	1	1.1
SULLIVAN	482	6	12.4
UPSTATE	1,290	3	2.3
WENDE	829	22	26.5
SUB-TOTAL	23,099	422	18.3
SPECIAL HOUSING 200 BED			
CAYUGA SHU200	182	1	5.5
COLLINS SHU200	172	0	0.0
FISHKILL SHU200	172	2	11.6
GOUVERNEUR SHU200	181	1	5.5
GREEN SHU200	172	0	0.0
LAKEVIEW SHU200	185	0	0.0
MID-STATE SHU200	174	0	0.0
ORLEANS SHU200	163	2	12.3
SUB-TOTAL	1,401	6	4.3
REGIONAL MEDICAL UNIT			
BEDFORD RMU	14	0	0.0
COXSACKIE RMU	58	3	51.7
FISHKILL RMU	28	0	0.0
WALSH RMU-MALE	111	2	18.0
WENDE RMU	78	0	0.0
SUB-TOTAL	289	5	17.3
RESIDENTIAL MENTAL HEALTH UNIT (RMHU)			
^a ATTICA RMHU	8	0	0.0
BEDFORD TBU	13	0	0.0
^a FIVE POINTS RMHU	44	0	0.0
GREAT MEADOW BHU	30	0	0.0
MARCY RMHU	81	4	49.4
SULLIVAN BHU	38	8	210.5
SUB-TOTAL	214	12	56.1
TOTAL MAXIMUM SECURITY	25,003	445	17.8

(continued on the next page)

TABLE 8.3
NUMBER AND RATE OF OFFENDER ASSAULTS BY SECURITY LEVEL AND FACILITY
JANUARY - DECEMBER 2011

CORRECTIONAL FACILITY	POPULATION 2011	ASSAULTS ON OFFENDERS	RATE PER 1,000 OFFENDERS
MEDIUM SECURITY			
ADIRONDACK	409	2	4.9
ALBION-F	833	1	1.2
ALTONA	437	3	6.9
^b ARTHUR KILL	504	3	6.0
^b ARTHUR KILL ASACTC	40	0	0.0
BARE HILL	1,630	43	26.4
BAYVIEW-F	156	0	0.0
BUTLER ASACTC	195	0	0.0
CAPE VINCENT	808	4	5.0
CAYUGA	823	4	4.9
CHATEAUGAY	221	0	0.0
COLLINS	797	10	12.5
FISHKILL	1,469	9	6.1
FRANKLIN	1,635	13	8.0
GOUVERNEUR	764	3	3.9
GOWANDA	1,526	6	3.9
GREENE	1,572	8	5.1
GROVELAND-M	982	14	14.3
HALE CREEK	283	1	3.5
HUDSON	368	0	0.0
LAKEVIEW-M RECEP	157	0	0.0
LAKEVIEW-F RECEP	4	0	0.0
LIVINGSTON	766	6	7.8
MARCY	991	17	17.2
^c MID-ORANGE	329	0	0.0
MID-STATE	1,313	14	10.7
MOHAWK	1,110	6	5.4
MT.MCGREGOR	416	2	4.8
OGDENSBURG	363	2	5.5
^c ONEIDA	555	1	1.8
ORLEANS	786	13	16.5
OTISVILLE	483	4	8.3
RIVERVIEW	817	5	6.1
TACONIC-F	294	1	3.4
ULSTER	784	4	5.1
WALKKILL	527	2	3.8
WASHINGTON	753	8	10.6
WATERTOWN	534	1	1.9
WOODBOURNE	785	2	2.5
WYOMING	1,614	8	5.0
TOTAL MEDIUM SECURITY	28,833	220	7.6

(continued on the next page)

**TABLE 8.3
NUMBER AND RATE OF OFFENDER ASSAULTS BY SECURITY LEVEL AND FACILITY
JANUARY - DECEMBER 2011**

CORRECTIONAL FACILITY	POPULATION 2011	ASSAULTS ON OFFENDERS	RATE PER 1,000 OFFENDERS
SHOCK			
MONTEREY SHOCK	213	0	0.0
MORIAH SHOCK	191	0	0.0
^c SUMMIT SHOCK-M	77	0	0.0
LAKEVIEW-M SHOCK	507	0	0.0
LAKEVIEW-F SHOCK	91	0	0.0
SUB-TOTAL	1,079	0	0.0
MINIMUM SECURITY			
BEACON	135	0	0.0
^c BUFFALO	59	0	0.0
EDGECOMBE	18	0	0.0
^c FULTON	60	0	0.0
LINCOLN	161	0	0.0
QUEENSBORO	394	1	2.5
ROCHESTER	67	0	0.0
^c CAMP GEORGETOWN	78	0	0.0
SUB-TOTAL	972	1	1.0
OTHER			
CENTRAL OFFICE	0	0	N/A
SUB-TOTAL	0	0	N/A
GRAND TOTAL	55,887	666	11.9

^a Attica RMHU and Five Points RMHU opened in July 2011, however rates are based on a twelve-month period. See page 7 for a brief description of RMHU units.

^b Arthur Kill closed in October 2011, however the rate is based on a twelve-month period.

^c Mid-Orange, Oneida, Fulton, Buffalo, Summit Shock and Camp Georgetown closed in August 2011, however the rate is based on an twelve-month period.

TABLE 8.4
NUMBER OF OFFENDER ASSAULTS BY SECURITY LEVEL AND FACILITY
JANUARY - DECEMBER 2011

CORRECTIONAL FACILITY	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	TOTAL
MAXIMUM SECURITY													
ATTICA	1	3	4	3	0	1	6	4	6	5	4	5	42
AUBURN	4	6	2	5	4	3	8	1	5	10	4	4	56
BEDFORD-F	0	0	1	0	0	1	0	1	2	2	0	0	7
CLINTON	5	7	11	5	5	6	11	12	5	5	6	5	83
COXSACKIE	4	0	1	1	1	1	0	1	0	2	0	3	14
DOWNSTATE	0	0	1	0	1	0	0	4	0	1	0	2	9
EASTERN	1	0	0	0	0	0	0	0	1	0	0	0	2
ELMIRA	6	2	5	2	6	7	6	3	6	7	4	3	57
FIVE POINTS	2	1	5	4	0	1	0	3	0	1	2	0	19
GREAT MEADOW	3	3	5	4	3	4	3	6	3	3	3	8	48
GREEN HAVEN	1	3	0	1	1	0	0	0	3	4	6	1	20
SHAWANGUNK	0	1	0	0	0	0	0	0	1	1	0	2	5
SING SING	0	3	1	2	1	4	3	4	5	1	2	2	28
SOUTHPORT	0	0	0	0	0	1	0	0	0	0	0	0	1
SULLIVAN	0	0	1	0	1	1	1	1	1	0	0	0	6
UPSTATE	0	1	0	1	0	0	0	0	0	1	0	0	3
WENDE	0	1	4	0	1	1	2	4	1	3	3	2	22
SUB-TOTAL	27	31	41	28	24	31	40	44	39	46	34	37	422
SPECIAL HOUSING 200 BED													
CAYUGA SHU200	0	0	0	0	1	0	0	0	0	0	0	0	1
COLLINS SHU200	0	0	0	0	0	0	0	0	0	0	0	0	0
FISHKILL SHU200	0	0	0	0	0	0	0	1	0	0	1	0	2
GOUVERNEUR SHU200	0	0	1	0	0	0	0	0	0	0	0	0	1
GREEN SHU200	0	0	0	0	0	0	0	0	0	0	0	0	0
LAKEVIEW SHU200	0	0	0	0	0	0	0	0	0	0	0	0	0
MID-STATE SHU200													0
ORLEANS SHU200	1	0	0	0	0	0	0	0	1	0	0	0	2
SUB-TOTAL	1	0	1	0	1	0	0	1	1	0	1	0	6
REGIONAL MEDICAL UNIT													
BEDFORD RMU	0	0	0	0	0	0	0	0	0	0	0	0	0
COXSACKIE RMU	0	1	1	0	0	0	0	1	0	0	0	0	3
FISHKILL RMU	0	0	0	0	0	0	0	0	0	0	0	0	0
WALSH RMU-MALE	0	0	1	0	0	0	0	1	0	0	0	0	2
WENDE RMU	0	0	0	0	0	0	0	0	0	0	0	0	0
SUB-TOTAL	0	1	2	0	0	0	0	2	0	0	0	0	5
RESIDENTIAL MENTAL HEALTH UNIT (RMHU)													
^a ATTICA RMHU	0	0	0	0	0	0	0	0	0	0	0	0	0
BEDFORD TBU	0	0	0	0	0	0	0	0	0	0	0	0	0
^a FIVE POINTS RMHU	0	0	0	0	0	0	0	0	0	0	0	0	0
GREAT MEADOW BHU	0	0	0	0	0	0	0	0	0	0	0	0	0
MARCY RMHU	0	0	1	0	1	0	1	0	0	1	0	0	4
SULLIVAN BHU	0	0	0	2	0	1	1	0	3	0	1	0	8
SUB-TOTAL	0	0	1	2	1	1	2	0	3	1	1	0	12
TOTAL MAXIMUM SECURITY	28	32	45	30	26	32	42	47	43	47	36	37	445
Column Percent	65%	76%	79%	60%	65%	52%	65%	64%	68%	75%	72%	65%	67%

(continued on the next page)

TABLE 8.4
NUMBER OF OFFENDER ASSAULTS BY SECURITY LEVEL AND FACILITY
JANUARY - DECEMBER 2011

CORRECTIONAL FACILITY	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	TOTAL
MEDIUM SECURITY													
ADIRONDACK	0	0	0	0	0	1	0	1	0	0	0	0	2
ALBION-F	0	0	0	1	0	0	0	0	0	0	0	0	1
ALTONA	0	0	0	1	0	0	0	0	1	0	1	0	3
^a ARTHUR KILL	0	0	0	1	0	1	1	0	0	0	0	0	3
^a ARTHUR KILL ASACTC	0	0	0	0	0	0	0	0	0	0	0	0	0
BARE HILL	4	4	1	5	3	5	3	3	4	3	2	6	43
BAYVIEW-F	0	0	0	0	0	0	0	0	0	0	0	0	0
BUTLER ASACTC	0	0	0	0	0	0	0	0	0	0	0	0	0
CAPE VINCENT	1	1	0	0	0	0	0	1	0	0	1	0	4
CAYUGA	0	0	1	1	0	2	0	0	0	0	0	0	4
CHATEAUGAY	0	0	0	0	0	0	0	0	0	0	0	0	0
COLLINS	1	0	0	0	0	3	4	0	0	0	1	1	10
FISHKILL	0	0	1	2	0	0	2	1	1	0	1	1	9
FRANKLIN	1	0	3	1	0	1	0	1	2	2	1	1	13
GOUVERNEUR	0	1	0	0	0	0	0	0	0	1	1	0	3
GOWANDA	1	0	1	0	1	0	0	2	0	0	1	0	6
GREENE	0	0	1	1	0	2	0	2	0	1	0	1	8
GROVELAND-M	0	0	1	0	0	2	4	0	2	3	1	1	14
HALE CREEK	0	0	0	0	0	0	0	0	1	0	0	0	1
HUDSON	0	0	0	0	0	0	0	0	0	0	0	0	0
LAKEVIEW-M RECEP	0	0	0	0	0	0	0	0	0	0	0	0	0
LIVINGSTON	1	0	0	0	0	0	0	1	3	1	0	0	6
MARCY	0	0	0	3	1	2	0	7	1	0	1	2	17
^b MID-ORANGE	0	0	0	0	0	0	0	0	0	0	0	0	0
MID-STATE	2	2	1	1	1	3	0	1	0	0	1	2	14
MOHAWK	0	0	0	1	1	0	2	0	0	1	0	1	6
MT.MCGREGOR	0	0	0	0	0	0	0	1	0	0	1	0	2
OGDENSBURG	0	0	0	0	0	2	0	0	0	0	0	0	2
^b ONEIDA	0	0	0	0	1	0	0	0	0	0	0	0	1
ORLEANS	0	1	0	0	1	3	2	0	2	1	1	2	13
OTISVILLE	3	0	0	0	0	0	0	0	1	0	0	0	4
RIVERVIEW	1	0	0	0	1	1	0	1	0	1	0	0	5
TACONIC-F	0	0	0	0	0	0	0	0	1	0	0	0	1
TACONIC ASACTC	0	0	0	0	0	0	0	0	0	0	0	0	0
ULSTER	0	0	0	0	2	1	0	0	1	0	0	0	4
WALKKILL	0	0	0	0	1	0	0	0	0	1	0	0	2
WASHINGTON	0	0	2	1	0	1	1	2	0	0	0	1	8
WATERTOWN	0	0	0	0	0	0	0	0	0	1	0	0	1
WOODBOURNE	0	0	0	1	0	0	0	1	0	0	0	0	2
WYOMING	0	0	0	0	1	0	4	2	0	0	0	1	8
WYOMING ASACTC	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL MEDIUM SECURITY	15	9	12	20	14	30	23	27	20	16	14	20	220
Column Percent	35%	21%	21%	40%	35%	48%	35%	36%	32%	25%	28%	35%	33%

(continued on the next page)

TABLE 8.4
NUMBER OF OFFENDER ASSAULTS BY SECURITY LEVEL AND FACILITY
JANUARY - DECEMBER 2011

CORRECTIONAL FACILITY	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	TOTAL
SHOCK INCARCERATION													
MONTEREY SHOCK	0	0	0	0	0	0	0	0	0	0	0	0	0
MORIAH SHOCK	0	0	0	0	0	0	0	0	0	0	0	0	0
^b SUMMIT SHOCK-M	0	0	0	0	0	0	0	0	0	0	0	0	0
LAKEVIEW-M SHOCK	0	0	0	0	0	0	0	0	0	0	0	0	0
LAKEVIEW-F SHOCK	0	0	0	0	0	0	0	0	0	0	0	0	0
SUB-TOTAL	0	0	0	0	0	0	0	0	0	0	0	0	0
Column Percent	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
MINIMUM SECURITY													
BEACON	0	0	0	0	0	0	0	0	0	0	0	0	0
^b BUFFALO	0	0	0	0	0	0	0	0	0	0	0	0	0
EDGECOMBE	0	0	0	0	0	0	0	0	0	0	0	0	0
^b FULTON	0	0	0	0	0	0	0	0	0	0	0	0	0
LINCOLN	0	0	0	0	0	0	0	0	0	0	0	0	0
QUEENSBORO	0	1	0	0	0	0	0	0	0	0	0	0	1
ROCHESTER	0	0	0	0	0	0	0	0	0	0	0	0	0
^b CAMP GEORGETOWN	0	0	0	0	0	0	0	0	0	0	0	0	0
SUB-TOTAL	0	1	0	0	0	0	0	0	0	0	0	0	1
Column Percent	0.0%	2.4%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.2%
OTHER													
CENTRAL OFFICE	0	0	0	0	0	0	0	0	0	0	0	0	0
SUB-TOTAL	0	0	0	0	0	0	0	0	0	0	0	0	0
Column Percent	0	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
GRAND TOTAL	43	42	57	50	40	62	65	74	63	63	50	57	666
Column Percent	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%

^a Arthur Kill closed in October 2011.

^b Mid-Orange, Oneida, Fulton, Buffalo, Summit Shock and Camp Georgetown closed in August 2011.

Section Nine

INJURY TO STAFF IN UNUSUAL INCIDENTS

The Unusual Incident reporting system uses a ranking procedure for injuries sustained by staff members and is based on seriousness of injury and/or by degree of treatment. "No injury" indicates that the staff member or medical staff reported that no injury was sustained. "Minor injury" refers to cases where the victim received a comparatively minor injury, which may have been treated at the facility or treated at the facility with a recommendation for the staff person to consult their own physician. "Moderate injury" refers to injuries of a more serious nature that generally require treatment at an outside hospital or treatment by own physician. Moderate injuries are not judged to be life threatening. "Serious injury" refers to injury that is considered to be life threatening (or which results in death). These injuries require treatment at an outside hospital.

Table 9.1 presents information on the 22,696 staff who participated in unusual incidents by category as well as the number of staff who sustained injuries in unusual incidents. Among the staff participants in unusual incidents 21,815 (96%) reported no injuries; 752 (3%) reported minor injuries, 127 (1%) reported moderate injuries and 2 reported serious injuries. As expected, offender assaults on staff produced the most staff injuries in 2011. In the 563 unusual incidents recorded in 2011 involving 2,574 staff members, 76% of staff (N=1,968) did not report any type of injury; the remaining 24% of staff (N=606) sustained minor or moderate injuries (see table 9.1). There were no serious staff injuries as a result of offender assault on staff incidents.

Table 9.2 presents information on staff injuries sustained each month.

**TABLE 9.1
UNUSUAL INCIDENT BY DEGREE OF STAFF INJURY
JANUARY - DECEMBER 2011**

EXTENT OF EMPLOYEE INJURY										
INCIDENT TYPE	NO INJURY		MINOR		MODERATE		SERIOUS		TOTAL	
	No.	Row %	No.	Row %	No.	Row %	No.	Row %	No.	Row %
ACCIDENT	1,499	95.6%	2	.1%	66	4.2%	1	.1%	1,568	100.0%
ASSAULT ON OFFENDER	2,061	98.8%	23	1.1%	1	.0%	0	.0%	2,085	100.0%
ASSAULT ON STAFF	1,968	76.5%	569	22.1%	37	1.4%	0	.0%	2,574	100.0%
ASSAULT ON OTHER	8	100.0%	0	.0%	0	.0%	0	.0%	8	100.0%
CONTRABAND	7,874	99.7%	20	.3%	0	.0%	0	.0%	7,894	100.0%
DEATH	1,103	100.0%	0	.0%	0	.0%	0	.0%	1,103	100.0%
DISRUPTIVE BEHAVIOR	1,919	95.9%	66	3.3%	16	.8%	0	.0%	2,001	100.0%
UTILITIES DISRUPTION	73	100.0%	0	.0%	0	.0%	0	.0%	73	100.0%
EMPLOYEE MISCONDUCT	564	99.8%	1	.2%	0	.0%	0	.0%	565	100.0%
ESCAPE	37	100.0%	0	.0%	0	.0%	0	.0%	37	100.0%
ATTEMPTED ESCAPE	10	100.0%	0	.0%	0	.0%	0	.0%	10	100.0%
FIRE	165	79.7%	38	18.4%	4	1.9%	0	.0%	207	100.0%
MASS DEMONSTRATION	6	100.0%	0	.0%	0	.0%	0	.0%	6	100.0%
PROPERTY DESTRUCTION	40	100.0%	0	.0%	0	.0%	0	.0%	40	100.0%
PROPERTY LOST/STOLEN	341	100.0%	0	.0%	0	.0%	0	.0%	341	100.0%
SELF-INJURY	286	99.7%	1	.3%	0	.0%	0	.0%	287	100.0%
SEXUAL MISCONDUCT	273	100.0%	0	.0%	0	.0%	0	.0%	273	100.0%
SUICIDE ATTEMPT	656	99.4%	4	.6%	0	.0%	0	.0%	660	100.0%
TEMPORARY RELEASE	114	100.0%	0	.0%	0	.0%	0	.0%	114	100.0%
EMPLOYEE WEAPON USE	442	98.4%	7	1.6%	0	.0%	0	.0%	449	100.0%
EMPLOYEE JOB ACTION	3	100.0%	0	.0%	0	.0%	0	.0%	3	100.0%
OTHER INCIDENTS	2,373	99.0%	21	.9%	3	.1%	1	.0%	2,398	100.0%
TOTAL	21,815	96.1%	752	3.3%	127	.6%	2	.0%	22,696	100.0%

**TABLE 9.2
STAFF INJURY BY MONTH OF INCIDENT
JANUARY - DECEMBER 2011**

DEGREE OF	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	TOTAL
NO INJURY	1,795	1,564	1,845	1,818	1,772	1,864	1,732	2,154	1,876	1,675	1,869	1,851	21,815
Column Pct.	95.0%	96.8%	94.8%	95.2%	96.9%	96.2%	96.1%	96.4%	96.8%	95.4%	96.0%	97.8%	96.1%
MINOR	78	41	81	80	49	65	57	65	56	77	67	36	752
Column Pct.	4.1%	2.5%	4.2%	4.2%	2.7%	3.4%	3.2%	2.9%	2.9%	4.4%	3.4%	1.9%	3.3%
MODERATE	16	11	21	12	7	7	13	15	6	3	11	5	127
Column Pct.	.8%	.7%	1.1%	.6%	.4%	.4%	.7%	.7%	.3%	.2%	.6%	.3%	.6%
SERIOUS	1	0	0	0	0	1	0	0	0	0	0	0	2
Column Pct.	.1%	.0%	.0%	.0%	.0%	.1%	.0%	.0%	.0%	.0%	.0%	.0%	.0%
TOTAL	1,890	1,616	1,947	1,910	1,828	1,937	1,802	2,234	1,938	1,755	1,947	1,892	22,696
Column Pct.	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%

Section Ten

STAFF USE OF FORCE IN UNUSUAL INCIDENTS

During 2011, 86% of the unusual incidents involved no use of force by staff to resolve an incident, (see Table 10.1).

In the Unusual Incident Reporting System, use of force by correctional staff is classified into eight categories. Table 10.1 shows the most serious type of staff force utilized in each unusual incident. During the year, 8% of incidents involved use of body holds and 2% involved use of handcuffs to restrain offenders, while the use of baton also occurred in 2% of unusual incidents.

STAFF USE OF FORCE BY INCIDENT TYPE

Table 10.2 presents information on the type of force used by staff during specific types of unusual incidents. Staff use of force was concentrated in assaults on staff (N=436 or 77% of incidents), disruptive behavior (N=203 or 56% of incidents) and offender on offender assaults (N=81 or 12% of incidents).

	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	TOTAL
NO FORCE USED	418	385	455	415	383	430	415	515	458	417	421	432	5,144
Column Pct.	85.1%	88.3%	83.6%	86.3%	84.5%	86.5%	85.9%	85.3%	85.6%	86.0%	87.5%	88.3%	86.0%
BODY HOLD	51	36	53	38	46	41	35	47	35	33	34	28	477
Column Pct.	10.4%	8.3%	9.7%	7.9%	10.2%	8.2%	7.2%	7.8%	6.5%	6.8%	7.1%	5.7%	8.0%
MECHANIC RESTRAINT	5	1	16	5	8	9	13	9	8	8	4	9	95
Column Pct.	1.0%	0.2%	2.9%	1.0%	1.8%	1.8%	2.7%	1.5%	1.5%	1.6%	0.8%	1.8%	1.6%
SHIELD	5	2	3	3	0	1	2	5	3	4	6	3	37
Column Pct.	1.0%	0.5%	0.6%	0.6%	0.0%	0.2%	0.4%	0.8%	0.6%	0.8%	1.2%	0.6%	0.6%
BATON	9	9	11	6	11	9	9	11	10	12	6	7	110
Column Pct.	1.8%	2.1%	2.0%	1.2%	2.4%	1.8%	1.9%	1.8%	1.9%	2.5%	1.2%	1.4%	1.8%
GAS	3	2	4	8	2	4	4	6	8	4	4	2	51
Column Pct.	0.6%	0.5%	0.7%	1.7%	0.4%	0.8%	0.8%	1.0%	1.5%	0.8%	0.8%	0.4%	0.9%
FIREARM	0	0	0	0	0	0	0	1	0	0	0	0	1
Column Pct.	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.2%	0.0%	0.0%	0.0%	0.0%	0.0%
STRIKE	0	0	0	5	3	3	5	8	12	7	5	5	53
Column Pct.	0.0%	0.0%	0.0%	1.0%	0.7%	0.6%	1.0%	1.3%	2.2%	1.4%	1.0%	1.0%	0.9%
OTHER	0	1	2	1	0	0	0	2	1	0	1	3	11
Column Pct.	0.0%	0.2%	0.4%	0.2%	0.0%	0.0%	0.0%	0.3%	0.2%	0.0%	0.2%	0.6%	0.2%
TOTAL	491	436	544	481	453	497	483	604	535	485	481	489	5,979
Column Pct.	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%

**TABLE 10.2
UNUSUAL INCIDENT BY STAFF USE OF FORCE
(INCLUDING NO FORCE USED)**

JANUARY - DECEMBER 2011

INCIDENT TYPE	TYPE OF FORCE									
	NO FORCE USED	BODY HOLD	HAND CUFF	SHIELD	BATON	CHEMICAL AGENT	FIREARM	STRIKE	OTHER	TOTAL
ACCIDENT	462	0	0	0	0	0	0	0	0	462
ASSAULT ON OFFENDER	585	59	9	0	12	0	0	0	1	666
ASSAULT ON STAFF	127	278	64	0	46	0	0	44	4	563
ASSAULT ON OTHER	2	0	0	0	0	0	0	0	0	2
CONTRABAND	2387	52	8	0	3	0	0	3	0	2,453
DEATH	123	0	0	0	0	0	0	0	0	123
DISRUPTIVE BEHAVIOR	162	56	8	35	45	51	1	5	2	365
UTILITIES DISRUPTION	13	0	0	0	0	0	0	0	0	13
EMPLOYEE MISCONDUCT	130	0	0	0	0	0	0	0	0	130
ESCAPE	2	1	1	0	0	0	0	0	0	4
ATTEMPTED ESCAPE	2	0	0	0	0	0	0	0	0	2
FIRE	30	0	0	0	0	0	0	0	0	30
MASS DEMONSTRATION	1	0	0	0	0	0	0	0	0	1
PROPERTY DESTRUCTION	14	0	0	0	0	0	0	0	0	14
PROPERTY LOST STOLEN	83	0	0	0	0	0	0	0	0	83
SELF-INJURY	77	1	1	0	0	0	0	0	0	79
SEXUAL MISCONDUCT	62	0	0	0	0	0	0	0	0	62
SUICIDE ATTEMPT	126	6	0	1	0	0	0	0	0	133
TEMPORARY RELEASE	73	0	0	0	0	0	0	0	0	73
EMPLOYEE WEAPON USE	166	0	0	0	4	0	0	0	0	170
EMPLOYEE JOB ACTION	2	0	0	0	0	0	0	0	0	2
OTHER INCIDENTS	515	24	4	1	0	0	0	1	4	549
TOTAL	5,144	477	95	37	110	51	1	53	11	5,979
COLUMN PERCENT	86.0%	8.0%	1.6%	0.6%	1.8%	0.9%	0.0%	0.9%	0.2%	100.0%

APPENDIX A
RATE CALCULATION METHOD

Annualized rates are calculated as the number of unusual incidents per 1,000 offender population per year. For example, the 5,979 incidents which were reported during January-December 2011 are divided by the average offender population (N=55,887), and multiplied by 1,000 to yield 107 incidents per thousand offenders. The same method is used to calculate the rate of unusual incidents at particular correctional facilities; (the population base is the average offender population at the facility; see Table 3.1) and to calculate the rate of specific types of unusual incidents (where the numerator is the total incidents in each incident category and the population base is either the average of the total population or the average of the population of a facility).

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March 2013