State of New York
Department of Correctional Services

Building Number 2
Harriman Office Campus
Albany, New York 12226

UNUSUAL INCIDENT REPORT

JANUARY – DECEMBER 2008

David A. Paterson
Governor

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UNUSUAL INCIDENT REPORT

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EXECUTIVE SUMMARY

- **Number and Rate of Unusual Incidents January - December 2008**

  There were 6,058 unusual incidents during January-December 2008. The annual rate of unusual incidents was 98.1 incidents per thousand inmate population (**see Table 2, p.6**).

- **Rate of Unusual Incidents by Facility Security Level**

  The rate of unusual incidents was highest in maximum security facilities with 146.7 incidents per thousand inmate population. Medium security prisons experienced a rate of 62.1; minimum security (including work release facilities), 112.1; Shock Incarceration facilities, 37.2; and Camps, 49.9 (**see Table 3.1, pp. 9-11**).

- **Inmate Weapon Use**

  Weapons were used by inmates in 25.1% of unusual incidents. Cutting or stabbing weapons were most often used during inmate assault on inmate and self-injury incidents. Use of body, such as fists or kicking, was most often used during inmate assault on staff incidents (**see Table 4.2, p. 17**).

- **Deaths**

  There were 134 NYSDOCS inmate deaths reported in 2008. The total included 10 suicides and one homicide in NYSDOCS facilities. An additional 121 inmate deaths were due to natural causes (**see Table 6, p. 20**).

- **Inmate Assault on Staff**

  There were 580 inmate assault on staff incidents in 2008. The annualized rate for maximum security prisons was 17.5 incidents per thousand inmate population; the rate for all facilities was 9.4 (**see Table 8.1, pp. 26-28**).

- **Inmate Assault on Inmate**

  In 2008 there were 656 inmate assault on inmate incidents. The annualized rate for maximum security prisons was 16.4 incidents per thousand inmate population; the rate for all facilities was 10.6 (**see Table 8.3, pp. 33-35**).

- **Extent of Injury to Staff**

  Most unusual incidents were resolved without injuries to staff. Of 21,091 staff members listed in unusual incidents, 95.9% did not incur an injury (**see Table 9.1, p. 40**).

- **Force Used to Resolve Incidents**

  Department employees used force in 14.6% of unusual incidents in 2008. Force was used most often in inmate assault on staff incidents (**see Table 10.2, p. 42**).

INTRODUCTION

The Unusual Incident System maintains information on incidents that occur within the New York State Department of Correctional Services (NYSDOCS). Events that disrupt or affect facility operations are considered unusual incidents and are reported by telephone to the DOCS Communication Control Center located in Albany and entered into the computer system via terminals at the correctional facilities.

The reporting system is capable of recording information on more than one type of unusual incident that occurs as part of a series of events. In concert with other information maintained in electronic databases, the Department can examine characteristics of victims and perpetrators involved in assaultive behavior, as well as identify all inmates and staff listed in incidents.

Section One

FREQUENCY OF INCIDENTS

A total of 6,058 unusual incidents were reported in 2008. Table 1 shows the type and number of incidents that occurred each month during the year. The number of unusual incidents fluctuates from month to month, and while monthly variations may be important, trend analyses that extend over months may be more meaningful.

The most frequently reported categories of incidents were contraband (N=2,538), inmate assault on inmate (N=656), and inmate assault on staff (N=580). Together, the three categories accounted for 62% of reported incidents. The reader should note that the deaths reported in Table 1 combines 134 inmates and 8 staff (see also page 20).

Table 1 — Unusual Incident Type by Month — January - December 2008													
Incident Type	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Accident	37	30	38	47	50	52	42	61	44	28	34	36	499
Assault on Inmate	56	45	56	60	50	49	65	54	43	60	59	59	656
Assault on Staff	45	42	54	43	37	49	67	48	32	51	58	54	580
Assault on Other	0	1	1	0	0	0	0	1	0	2	0	1	6
Contraband	242	214	221	239	184	220	215	233	186	214	177	193	2538
Death	17	16	14	12	8	8	13	15	5	7	13	14	142
Disruptive Behavior	35	33	36	47	33	30	28	25	23	25	33	30	378
Utilities Disruption	4	5	2	0	1	4	2	1	1	2	1	5	28
Employee Misconduct	15	10	10	11	11	7	15	8	10	10	14	8	129
Escape	0	0	0	0	0	0	0	0	0	0	0	0	0
Attempted Escape	0	0	1	0	0	1	1	1	0	0	0	0	4
Fire	4	2	5	6	3	4	2	1	3	7	2	2	41
Hostage Situation	0	0	0	0	0	0	0	0	0	0	0	0	0
Inmate Disturbance	0	0	0	0	0	0	0	0	0	0	0	0	0
Mass Demonstration	0	0	0	0	0	0	0	0	0	0	0	0	0
Property Destruction	1	1	0	1	0	2	0	1	1	0	1	0	8
Property Lost/Stolen	14	7	11	15	10	13	9	7	16	12	8	18	140
Self-Injury	5	3	7	11	8	9	8	3	4	6	8	6	78
Sexual Misconduct	4	3	7	4	3	4	5	6	7	3	10	3	59
Suicide Attempt	8	2	7	8	8	6	8	9	7	13	7	9	92
Temporary Release	5	13	11	10	11	21	7	8	11	12	10	9	128
Employee Weapon Use	17	8	21	19	9	11	15	15	12	15	11	16	169
Employee Job Action	0	1	0	0	0	0	0	0	0	0	1	0	2
Other Incidents	46	35	46	52	36	23	29	27	16	21	33	17	381
Total	555	471	548	585	462	513	531	524	421	488	480	480	6058

Section Two

ANNUALIZED RATE OF UNUSUAL INCIDENTS

The rate of unusual incidents is a ratio of the number of reported incidents to the inmate population. The rate measure used in this report is standardized per 1,000 inmates per year and allows for comparison between facilities and time periods. See Appendix A for an illustration and an explanation of the rate calculation methodology.

Table 2 displays the frequency and rate of unusual incidents by category. In 2008, there were 98.1 incidents per 1,000 inmates. Unusual incident rates for correctional facilities are presented in Section 3; rates of assault on staff by inmates and rates of inmate on inmate assault are presented in Section 8. An additional method to examine unusual incidents is by inmate involvement. In 2008, there were 7,539 inmates listed in UI reports (see Section 5), a rate of 122.1 per 1,000 incarcerated inmates.

Table 2 Unusual Incident Frequency and Annual Rate January - December 2008		
Incident Type	Number of Incidents	Annual Rate
Accident	499	8.1
Assault on Inmate	656	10.6
Assault on Staff	580	9.4
Assault on Other	6	0.1
Contraband	2538	41.1
Death	142	2.3
Disruptive Behavior	378	6.1
Utilities Disruption	28	0.5
Employee Misconduct	129	2.1
Escape	0	0.0
Attempted Escape	4	0.1
Fire	41	0.7
Inmate Disturbance	0	0.0
Property Destruction	8	0.1
Property Lost/Stolen	140	2.3
Self-Injury	78	1.3
Sexual Misconduct	59	1.0
Suicide Attempt	92	1.5
Temporary Release	128	2.1
Employee Weapon Use	169	2.7
Employee Job Action	2	0.0
Other Incidents	381	6.2
Total	6058	98.1

Average inmate population for 2008 is 61,724. Annual Rate is calculated per 1,000 inmates.

Section Three

NUMBER AND RATE OF UNUSUAL INCIDENTS BY FACILITY

The number and rate of unusual incidents are presented in a series of statistical tables on pages 9-14. The number and rate of incidents by correctional facility and security level appear in Table 3.1, pages 9-11; the number of incidents per month by facility and facility security level appear in Table 3.2, pages 12-14.

MAXIMUM SECURITY

Maximum security facilities reported 3,756 unusual incidents in 2008, a rate of 146.7 incidents per 1,000 inmates. Prisons that experienced the highest rates include Great Meadow (215.2) and Wende (212.3). Regional Medical Units (RMU), specialized facilities for chronically ill inmates, reported high rates of unusual incidents because of the large number of deaths that occurred among a small inmate population. Bedford Hills Correctional Facility imprisons female inmates and accounted for 68 unusual incidents, a rate of 87.0. Bedford Hills RMU reported four unusual incidents for a rate of 200.0.

MEDIUM SECURITY

Medium security prisons reported 2,036 incidents in 2008, a rate of 62.1 incidents per 1,000 inmates. While housing 53% of the inmate population, medium security facilities accounted for only 34% of incidents. Included in the medium security level are ASACTC facilities (Alcohol and Substance Abuse Correctional Treatment Centers) which provide intensive counseling to inmates with histories of drug and alcohol abuse. A review of Table 3.1 reveals that most ASACTC facilities have a low rate of unusual incidents when compared to other medium security facilities.

Greene (118.3) and Washington (104.0) Correctional Facilities reported a relatively high rate of incidents. This is due in part to the large number and percentage of inmates aged 16-20 housed in these facilities. Historically, younger inmates have higher rates of involvement in unusual incidents. Bayview Correctional Facility, a prison for female inmates with a work release component, reported a rate of 121.1 incidents per 1,000 inmates and included a number of inmate absconders. Fishkill Correctional Facility with a reported rate of 84.6 inmates per 1,000, with a work release component also had a number of inmate absconders in 2008.

MINIMUM SECURITY

The minimum security category includes the following work release facilities: Buffalo, Edgecombe, Fulton, Lincoln, and Rochester. The rate of unusual incidents was 112.1 per thousand inmates in 2008. Beacon incarcerates female inmates.

SHOCK INCARCERATION

Five Shock Incarceration facilities, commonly referred to as boot camps, reported 41 incidents in 2008, a rate of 37.2 per 1,000 inmates. Lakeview Male Shock Incarceration Program reported 20 incidents, a rate of 40.5.

CAMPS

Minimum security camps recorded 35 unusual incidents or a rate of 49.9 incidents per 1,000 inmate population. Camp McGregor (89.2) recorded the highest rate of unusual incidents and Camp Gabriels (36.1) reported the lowest rate.

MISCELLANEOUS

Nine unusual incidents were reported by Central Office staff. Five accidents, three instances of lost property and one incident of employee misconduct were reported.

Table 3.1 Number and Rate of Unusual Incidents by Security Level and Facility January - December 2008			
Correctional Facility	Population 2008	Unusual Incidents	Rate per 1,000 Inmates
Maximum Security			
Attica	2182	337	154.4
Auburn	1737	332	191.1
Bedford Hills	782	68	87.0
Clinton	2871	399	139.0
Coxsackie	982	65	66.2
Downstate	1184	116	98.0
Eastern	1182	93	78.7
Elmira	1780	284	159.6
Five Points	1383	234	169.2
Great Meadow	1654	356	215.2
Green Haven	2065	199	96.4
Shawangunk	538	37	68.8
Sing Sing	1767	277	156.8
Southport	889	103	115.9
Sullivan	758	138	182.1
Upstate	1291	207	160.3
Wende	843	179	212.3
Subtotal	23888	3424	143.3
Cayuga SHU200	184	20	108.7
Collins SHU200	181	14	77.3
Fishkill SHU200	187	22	117.6
Gouverneur SHU200	186	11	59.1
Greene SHU200	184	12	65.2
Lakeview SHU200	191	25	130.9
Mid-State SHU200	177	16	90.4
Orleans SHU200	150	17	113.3
Subtotal	1440	137	95.1
Bedford Hills RMU	20	4	200.0
Coxsackie RMU	29	23	793.1
Fishkill RMU	29	14	482.8
Walsh RMU	110	43	390.9
Wende RMU	79	111	1405.1
Subtotal	267	195	730.3
Total	25595	3756	146.7

Table 3.1 Number and Rate of Unusual Incidents by Security Level and Facility January - December 2008			
Correctional Facility	Population 2008	Unusual Incidents	Rate per 1,000 Inmates
Medium Security			
Adirondack	510	29	56.9
Albion	1055	63	59.7
Altona	464	18	38.8
Arthur Kill	898	81	90.2
Arthur Kill ASACTC	58	0	0.0
Bare Hill	1689	137	81.1
Bayview	190	23	121.1
Butler	218	5	22.9
Cape Vincent	856	49	57.2
Cayuga	843	40	47.4
Chateaugay	205	2	9.8
Collins	903	42	46.5
Fishkill	1466	124	84.6
Franklin	1700	82	48.2
Gouverneur	848	44	51.9
Gowanda	1713	57	33.3
Greene	1598	189	118.3
Groveland	1181	55	46.6
Hale Creek	460	8	17.4
Hudson	466	44	94.4
Lakeview Reception-F	3	0	0.0
Lakeview Reception-M	195	5	25.6
Livingston	853	56	65.7
Marcy	1104	76	68.8
Mid-Orange	707	30	42.4
Mid-State	1266	110	86.9
Mohawk	1243	61	49.1
Mt. McGregor	490	31	63.3
Ogdensburg	540	15	27.8
Oneida	1135	74	65.2
Orleans	850	64	75.3
Otisville	581	16	27.5
Riverview	854	48	56.2
Taconic	218	16	73.4
Taconic ASACTC	122	0	0.0
Ulster	627	43	68.6
Wallkill	595	32	53.8
Washington	1010	105	104.0
Watertown	609	31	50.9
Woodbourne	790	24	30.4
Wyoming	1540	107	69.5
Wyoming ASACTC	133	0	0.0
Total	32786	2036	62.1

Table 3.1
Number and Rate of Unusual Incidents by Security Level and Facility
January - December 2008

Correctional Facility	Population 2008	Unusual Incidents	Rate per 1,000 Inmates
Shock Incarceration			
Lakeview Shock-F	93	3	32.3
Lakeview Shock-M	494	20	40.5
Monterey Shock	211	8	37.9
Moriah Shock	182	5	27.5
Summit Shock	122	5	41.0
Total	1102	41	37.2
Minimum Security			
Beacon	240	8	33.3
Buffalo	128	17	132.8
Butler Minimum	232	7	30.2
Edgecombe	126	19	150.8
Fulton	74	39	527.0
Lincoln	151	57	377.5
Lyon Mountain	155	9	58.1
Queensboro	412	11	26.7
Rochester	51	13	254.9
Summit	45	1	22.2
Total	1614	181	112.1
Camps			
Camp Gabriels	194	7	36.1
Camp Georgetown	189	8	42.3
Camp McGregor	157	14	89.2
Camp Pharsalia	161	6	37.3
Total	701	35	49.9
Other			
Central Office	0	9	0.0
Total	0	9	0.0
Grand Total	61724	6058	98.1

Correctional Facility	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Maximum Security													
Attica	41	23	45	30	27	22	30	35	23	22	27	12	337
Auburn	28	35	26	31	24	41	18	16	27	28	30	28	332
Bedford Hills	6	8	4	5	3	3	4	11	7	9	3	5	68
Clinton	43	36	22	28	27	26	56	33	22	36	36	34	399
Coxsackie	2	5	7	10	9	5	2	5	2	3	10	5	65
Downstate	6	13	15	13	8	6	16	6	6	10	10	7	116
Eastern	14	5	13	9	4	4	13	7	4	9	3	8	93
Elmira	26	16	31	43	19	13	19	16	21	26	24	30	284
Five Points	21	11	20	20	22	30	20	22	18	16	16	18	234
Great Meadow	40	22	37	38	24	19	35	36	16	25	31	33	356
Green Haven	16	15	20	20	15	19	20	21	8	14	9	22	199
Shawangunk	2	5	5	3	1	0	11	2	1	2	4	1	37
Sing Sing	23	26	24	22	23	22	22	22	18	21	32	22	277
Southport	5	12	9	11	6	8	8	10	12	3	10	9	103
Sullivan	5	13	14	11	11	13	10	10	16	13	9	13	138
Upstate	16	12	32	25	12	14	14	14	10	24	18	16	207
Wende	10	12	18	17	19	17	13	13	21	13	10	16	179
Subtotal	304	269	342	336	254	262	311	279	232	274	282	279	3424
Cayuga SHU200	3	1	0	5	0	2	1	2	1	0	2	3	20
Collins SHU200	1	2	1	1	1	1	0	2	1	1	1	2	14
Fishkill SHU200	1	1	1	0	7	1	2	1	3	2	3	0	22
Gouverneur SHU200	1	0	1	0	1	4	0	0	1	1	2	0	11
Greene SHU200	0	0	0	1	2	2	2	2	2	1	0	0	12
Lakeview SHU200	1	2	3	5	3	0	0	1	3	3	1	3	25
Mid-State SHU200	3	1	0	2	1	1	1	2	1	3	0	1	16
Orleans SHU200	1	0	0	2	3	0	3	2	0	2	2	2	17
Subtotal	11	7	6	16	18	11	9	12	12	13	11	11	137
Bedford Hills RMU	1	0	1	0	0	0	0	0	0	1	1	0	4
Coxsackie RMU	2	3	2	1	2	3	0	1	1	3	1	4	23
Fishkill RMU	2	2	0	1	0	1	1	1	2	0	2	2	14
Walsh RMU	7	2	4	2	4	1	8	4	2	0	5	4	43
Wende RMU	20	21	4	33	23	1	0	3	0	2	3	1	111
Subtotal	32	28	11	37	29	6	9	9	5	6	12	11	195
Total	347	304	359	389	301	279	329	300	249	293	305	301	3756
Column Percent	63%	65%	66%	66%	65%	54%	62%	57%	59%	60%	64%	63%	62%

Table 3.2
Number and Rate of Unusual Incidents by Security Level and Facility
January - December 2008

Correctional Facility	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Medium Security													
Adirondack	1	1	5	2	0	5	3	1	4	4	1	2	29
Albion	8	5	5	5	7	8	4	5	2	6	3	5	63
Altona	4	1	3	0	1	0	3	0	1	0	1	4	18
Arthur Kill	6	5	6	2	8	10	6	6	10	8	10	4	81
Arthur Kill ASACTC	0	0	0	0	0	0	0	0	0	0	0	0	0
Bare Hill	18	5	12	13	1	15	19	22	6	10	8	8	137
Bayview	2	1	1	6	1	4	0	0	2	1	3	2	23
Butler	3	0	0	0	0	2	0	0	0	0	0	0	5
Cape Vincent	0	1	3	5	3	4	10	6	5	3	5	4	49
Cayuga	5	2	1	8	2	5	0	3	2	7	4	1	40
Chateaugay	0	0	0	0	0	0	1	0	0	0	1	0	2
Collins	1	2	4	7	5	4	1	5	1	3	7	2	42
Fishkill	15	11	10	14	11	9	10	8	9	8	8	11	124
Franklin	2	5	8	7	2	6	6	8	6	16	10	6	82
Gouverneur	3	3	4	2	2	3	2	3	3	4	8	7	44
Gowanda	4	4	5	4	4	6	6	7	5	4	8	0	57
Greene	23	19	13	17	15	4	21	13	21	10	11	22	189
Groveland	4	9	5	4	3	3	3	3	5	9	3	4	55
Hale Creek ASACTC	0	0	2	1	0	0	0	2	2	0	1	0	8
Hudson	2	3	3	2	4	10	2	3	5	4	2	4	44
Lakeview Reception-F	0	0	0	0	0	0	0	0	0	0	0	0	0
Lakeview Reception-M	0	2	2	1	0	0	0	0	0	0	0	0	5
Livingston	0	4	8	4	2	7	5	7	2	11	2	4	56
Marcy	11	3	3	6	4	6	5	6	6	12	8	6	76
Mid-Orange	6	5	3	0	2	2	3	4	1	3	1	0	30
Mid-State	7	2	11	7	12	11	18	11	5	11	3	12	110
Mohawk	3	6	7	6	7	2	5	8	4	2	5	6	61
Mt. McGregor	3	3	3	2	0	5	5	1	1	6	1	1	31
Ogdensburg	2	4	2	0	1	1	0	1	1	0	2	1	15
Oneida	13	5	7	8	2	5	6	11	5	3	4	5	74
Orleans	4	4	10	5	2	7	8	7	6	4	3	4	64
Otisville	0	1	0	1	5	5	0	0	1	0	2	1	16
Riverview	0	3	2	3	10	9	4	6	3	2	4	2	48
Taconic	2	1	1	1	1	2	2	3	0	2	1	0	16
Taconic ASACTC	0	0	0	0	0	0	0	0	0	0	0	0	0
Ulster	4	4	2	6	0	6	1	8	4	2	4	2	43
Wallkill	2	1	3	4	2	3	2	3	1	4	3	4	32
Washington	12	9	6	7	5	9	9	14	11	4	9	10	105
Watertown	3	3	3	1	0	6	1	4	1	3	4	2	31
Woodbourne	4	2	2	1	0	2	3	1	0	3	0	6	24
Wyoming	5	6	7	9	13	15	9	13	6	5	11	8	107
Wyoming ASACTC	0	0	0	0	0	0	0	0	0	0	0	0	0
Total	182	145	172	171	137	201	183	203	147	174	161	160	2036
Column Percent	33%	31%	31%	29%	30%	39%	34%	39%	35%	36%	34%	33%	34%

Table 3.2 (continued)
Number and Rate of Unusual Incidents by Security Level and Facility
January - December 2008

Correctional Facility	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Shock Incarceration													
Lakeview Shock-F	2	0	0	0	0	0	0	0	0	0	1	0	3
Lakeview Shock-M	2	1	0	1	3	2	3	1	1	1	0	5	20
Monterey Shock	0	0	2	0	1	1	1	3	0	0	0	0	8
Moriah Shock	0	0	1	2	0	0	1	0	0	0	0	1	5
Summit Shock	0	0	1	0	0	0	0	0	1	0	0	3	5
Total	4	1	4	3	4	3	5	4	2	1	1	9	41
Column Percent	0.7%	0.2%	0.7%	0.5%	0.9%	0.6%	0.9%	0.8%	0.5%	0.2%	0.2%	1.9%	0.7%
Minimum Security													
Beacon	1	1	0	0	1	2	0	1	1	1	0	0	8
Buffalo	1	3	1	1	0	4	0	1	1	1	4	0	17
Butler Minimum	0	2	0	0	1	3	1	0	0	0	0	0	7
Edgecombe	2	4	1	1	2	2	3	2	0	1	1	0	19
Fulton	2	2	0	4	4	5	2	7	6	3	0	4	39
Lincoln	1	4	6	6	6	7	4	3	5	10	4	1	57
Lyon Mountain	1	1	1	5	1	0	0	0	0	0	0	0	9
Queensboro	3	1	0	1	1	2	0	0	2	0	1	0	11
Rochester	0	1	0	2	1	1	1	1	2	0	2	2	13
Summit	0	0	0	0	0	1	0	0	0	0	0	0	1
Total	11	19	9	20	17	27	11	15	17	16	12	7	181
Column Percent	2.0%	4.0%	1.6%	3.4%	3.7%	5.3%	2.1%	2.9%	4.0%	3.3%	2.5%	1.5%	3.0%
Camps													
Camp Gabriels	0	0	3	0	0	0	1	0	1	1	1	0	7
Camp Georgetown	2	0	0	1	0	1	0	1	1	1	0	1	8
Camp McGregor	4	1	1	1	1	1	1	0	2	1	0	1	14
Camp Pharsalia	2	0	0	0	0	1	0	1	1	1	0	0	6
Total	8	1	4	2	1	3	2	2	5	4	1	2	35
Column Percent	1.4%	0.2%	0.7%	0.3%	0.2%	0.6%	0.4%	0.4%	1.2%	0.8%	0.2%	0.4%	0.6%
Other													
Central Office	3	1	0	0	2	0	1	0	1	0	0	1	9
Total	3	1	0	0	2	0	1	0	1	0	0	1	9
Column Percent	0.5%	0.2%	0.0%	0.0%	0.4%	0.0%	0.2%	0.0%	0.2%	0.0%	0.0%	0.2%	0.1%
Grand Total	555	471	548	585	462	513	531	524	421	488	480	480	6058
Column Percent	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%

Section Four

INMATE WEAPON USE

Tables 4.1 (p. 16) and 4.2 (p. 17) show the most dangerous weapons used in unusual incidents. The count of weapons includes only the most dangerous weapon used in an incident and is not a count of all weapons in an incident. For example, in an inmate assault on inmate unusual incident, when two inmates with shanks (prison-made knives) attack another inmate who defends himself with a broom handle, a shank would be counted as the most serious weapon used in the assault incident.

In 2008, inmates used weapons in 25.1% of all unusual incidents. Table 4.1 shows that 4.1% of incidents involved the 'other' weapon category and included items such as garrotes, human waste, and unspecified or unknown weapons. A large number of unknown weapons are present in inmate assault on inmate incidents. In the majority of those cases, the weapon was not found by correctional staff but the injuries sustained by inmate victims were consistent with the type of injuries inflicted by cutting/stabbing weapons. The Unusual Incident reporting system categorizes "unknown" weapons as "unrecovered cutting or stabbing weapon" if injuries were consistent with use of those weapons.

Inmate weapon use is concentrated within a few unusual incident categories: inmate assault on inmate, inmate assault on staff, self-injury, and disruptive behavior (see Table 4.2). Inmate attacks on other inmates usually involved cut/stab weapons (65.5%). In inmate attacks on staff, inmates most often used their body (N=456, 78.6%) to hit, kick, or bite correctional staff (see table 4.2). Use of 'other' weapons in attacks on staff (N=88, 15.2%) included inmates throwing human waste and/or other fluids, such as water, juice or hot coffee, onto correction officers or other staff.

Table 4.1 Use of Weapons by Month of Incident January - December 2008													
Type of Weapon	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
No Weapon Used													
No Weapon Total	431	375	408	458	351	393	377	401	330	355	332	329	4540
Col. Pct.	77.7%	79.6%	74.5%	78.3%	76.0%	76.6%	71.0%	76.5%	78.4%	72.7%	69.2%	68.5%	74.9%
Use of Body													
Fist, Kick, etc. Total	50	43	71	50	39	55	73	54	36	54	71	61	657
Col. Pct.	9.0%	9.1%	13.0%	8.5%	8.4%	10.7%	13.7%	10.3%	8.6%	11.1%	14.8%	12.7%	10.8%
Cut or Stab Weapon													
Broken Glass	2	0	0	0	0	0	1	1	2	0	0	1	7
Eating Utensil	0	0	0	1	0	0	0	0	0	0	0	0	1
Knife-Manufactured	0	0	0	0	0	0	0	0	0	0	0	0	0
Pen or Pencil	1	1	1	2	2	3	2	1	1	2	3	3	22
Razor Blade	6	3	9	12	10	9	4	6	4	2	5	6	76
Ice Pick Type	0	1	1	2	1	1	1	1	1	1	0	1	11
Shank	1	5	2	3	5	7	7	2	2	2	9	5	50
Can Lid	6	3	3	5	5	3	6	3	1	2	6	2	45
Toothbrush	0	0	0	0	0	1	1	0	0	1	0	0	3
Unrc. Cutting Weapon	23	20	22	24	24	17	29	19	18	29	23	30	278
Unrc. Stab. Weapon	7	2	2	3	3	0	2	6	8	5	7	3	48
Total	46	35	40	52	50	41	53	39	37	44	53	51	541
Col. Pct	8.3%	7.4%	7.3%	8.9%	10.8%	8.0%	10.0%	7.4%	8.8%	9.0%	11.0%	10.6%	8.9%
Club													
Weighted Container	4	4	3	1	1	2	1	1	1	4	2	0	24
Other Club	0	0	0	1	0	0	2	0	0	0	0	0	3
Wooden Club	0	1	1	3	3	2	0	4	0	4	1	3	22
Kitchen Tray	0	0	1	1	0	0	1	1	0	0	2	0	6
Total	4	5	5	6	4	4	4	6	1	8	5	3	55
Col. Pct.	0.7%	1.1%	0.9%	1.0%	0.9%	0.8%	0.8%	1.1%	0.2%	1.6%	1.0%	0.6%	0.9%
Metal Object													
Chair	4	1	1	1	1	2	1	0	1	1	1	3	17
Metal Pipe	0	0	0	0	0	0	0	0	0	0	0	1	1
Total	4	1	1	1	1	2	1	0	1	1	1	4	18
Col. Pct.	0.7%	0.2%	0.2%	0.2%	0.2%	0.4%	0.2%	0.0%	0.2%	0.2%	0.2%	0.8%	0.3%
Other Weapon													
Combustible Material	0	0	0	1	0	0	0	0	0	1	0	0	2
Garrotte	4	0	5	7	3	3	8	5	8	10	4	9	66
Human Waste	2	0	3	0	2	1	3	3	0	1	0	0	15
Water or Other Fluid	5	4	0	0	1	0	1	5	3	6	6	6	37
Body Fluids	2	4	4	1	1	2	1	3	1	1	1	0	21
Not Specified	3	1	1	4	4	3	6	4	1	3	0	6	36
Other	4	3	10	5	6	9	4	4	3	4	7	11	70
Total	20	12	23	18	17	18	23	24	16	26	18	32	247
Col. Pct.	3.6%	2.5%	4.2%	3.1%	3.7%	3.5%	4.3%	4.6%	3.8%	5.3%	3.8%	6.7%	4.1%
Grand Total	555	471	548	585	462	513	531	524	421	488	480	480	6058
Percent	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%

Table 4.2 Incident Category by Inmate Weapon Use January - December 2008						
Incident Category	No Weapon Used	Use of Body	Cut/Stab Weapon	Club	Metal Object	Other Weapon	Total
Accident	499	0	0	0	0	0	499
Row Pct.	100.0%	0.0%	0.0%	0.0%	0.0%	0.0%	100.0%
Assault on Inmate	31	81	430	36	14	64	656
Row Pct.	4.7%	12.3%	65.5%	5.5%	2.1%	9.8%	100.0%
Assault on Staff	20	456	5	9	2	88	580
Row Pct.	3.4%	78.6%	0.9%	1.6%	0.3%	15.2%	100.0%
Assault on Other	1	5	0	0	0	0	6
Row Pct.	16.7%	83.3%	0.0%	0.0%	0.0%	0.0%	100.0%
Contraband	2489	3	34	8	1	3	2538
Row Pct.	98.1%	0.1%	1.3%	0.3%	0.0%	0.1%	100.0%
Death	133	0	0	0	0	9	142
Row Pct.	93.7%	0.0%	0.0%	0.0%	0.0%	6.3%	100.0%
Disruptive Behavior	261	101	8	2	1	5	378
Row Pct.	69.0%	26.7%	2.1%	0.5%	0.3%	1.3%	100.0%
Utilities Disruption	27	0	1	0	0	0	28
Row Pct.	96.4%	0.0%	3.6%	0.0%	0.0%	0.0%	100.0%
Employee Misconduct	129	0	0	0	0	0	129
Row Pct.	100.0%	0.0%	0.0%	0.0%	0.0%	0.0%	100.0%
Attempted Escape	4	0	0	0	0	0	4
Row Pct.	100.0%	0.0%	0.0%	0.0%	0.0%	0.0%	100.0%
Fire	39	0	0	0	0	2	41
Row Pct.	95.1%	0.0%	0.0%	0.0%	0.0%	4.9%	100.0%
Property Destruction	8	0	0	0	0	0	8
Row Pct.	100.0%	0.0%	0.0%	0.0%	0.0%	0.0%	100.0%
Property Lost or Stolen	140	0	0	0	0	0	140
Row Pct.	100.0%	0.0%	0.0%	0.0%	0.0%	0.0%	100.0%
Self-Injury	14	0	58	0	0	6	78
Row Pct.	17.9%	0.0%	74.4%	0.0%	0.0%	7.7%	100.0%
Sexual Misconduct	58	1	0	0	0	0	59
Row Pct.	98.3%	1.7%	0.0%	0.0%	0.0%	0.0%	100.0%
Suicide Attempt	26	1	4	0	0	61	92
Row Pct.	28.3%	1.1%	4.3%	0.0%	0.0%	66.3%	100.0%
Temporary Release	128	0	0	0	0	0	128
Row Pct.	100.0%	0.0%	0.0%	0.0%	0.0%	0.0%	100.0%
Employee Weapon Use	162	6	1	0	0	0	169
Row Pct.	95.9%	3.6%	0.6%	0.0%	0.0%	0.0%	100.0%
Employee Job Action	2	0	0	0	0	0	2
Row Pct.	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
Other Incidents	369	3	0	0	0	9	381
Row Pct.	96.9%	0.8%	0.0%	0.0%	0.0%	2.4%	100.0%
Grand Total	4540	657	541	55	18	247	6058
Percent	74.9%	10.8%	8.9%	0.9%	0.3%	4.1%	100.0%

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INMATE ROLE IN INCIDENT

Table 5.1 (p. 19) shows the role of inmates according to type of unusual incident. Inmate roles are divided into five categories: perpetrator, participant, suspect, victim, or witness. "Perpetrator" refers to the inmate who is responsible for the unusual incident (i.e., the individual who assaulted another person, started a fire, possessed contraband, or escaped from a facility). The "participant" role describes an inmate who is involved in an unusual incident but in a secondary capacity when compared to the perpetrator. An example would be an inmate who engages in a work stoppage but only after instigation by other inmates. "Suspect" refers to an inmate who is suspected of involvement in an incident but whose role will be determined after additional investigation. "Victim" refers to an inmate who has suffered an injury or loss due to the actions of other inmates, i.e., someone who has been assaulted or suffered smoke inhalation from a fire. The "witness/bystander" role describes an inmate who observed an unusual incident, or may have been present during an unusual incident but was not actively involved in the incident.

A total of 7,539 inmates were involved in unusual incidents in 2008. Specifically, there were 4,838 inmate perpetrators; 224 inmate participants; 1,235 inmate victims; 366 suspects; and 876 witnesses or bystanders. It should be noted that reporting system procedures enable an inmate's involvement to be counted several times depending upon the number of unusual incident reports filed as a result of a series of events. For example, if an inmate uses a knife in an assault of another inmate, the event may be reported in two categories - one for the assault incident, another for the inmate's possession of a weapon (contraband). While both unusual incidents are part of the same situation, the inmate would be listed as a perpetrator twice.

Table 5.2 (p. 19) shows the distribution of inmate roles by month for calendar year 2008.

Table 5.1
Unusual Incident Type by Inmate Role
January - December 2008

	Inmate Role in Incident											
	Perpetrator		Participant		Suspect		Victim		Witness or Bystander		Total	
Incident Type	Number	Col. Pct.	Number	Col. Pct.	Number	Col. Pct.	Number	Col. Pct.	Number	Col. Pct.	Number	Col. Pct.
Accident	13	0.3%	12	5.4%	0	0.0%	372	30.1%	96	11.0%	493	6.5%
Assault on Inmate	650	13.4%	51	22.8%	34	9.3%	607	49.1%	30	3.4%	1372	18.2%
Assault on Staff	584	12.1%	15	6.7%	0	0.0%	0	0.0%	22	2.5%	621	8.2%
Assault on Other	6	0.1%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	6	0.1%
Contraband	2249	46.5%	39	17.4%	218	59.6%	4	0.3%	261	29.8%	2771	36.8%
Death	2	0.0%	2	0.9%	0	0.0%	133	10.8%	37	4.2%	174	2.3%
Disruptive Behavior	632	13.1%	54	24.1%	35	9.6%	5	0.4%	18	2.1%	744	9.9%
Utilities Disruption	1	0.0%	0	0.0%	5	1.4%	16	1.3%	1	0.0%	23	0.3%
Employee Misconduct	1	0.0%	0	0.0%	0	0.0%	10	0.8%	0	0.0%	11	0.1%
Escape	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.1%	0	0.0%
Attempted Escape	4	0.1%	0	0.0%	0	0.0%	0	0.0%	1	9.2%	5	0.1%
Fire	11	0.2%	3	1.3%	1	0.3%	16	1.3%	81	0.0%	112	1.5%
Inmate Disturbance	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Property Destruction	4	0.1%	0	0.0%	0	0.0%	0	0.0%	0	17.0%	4	0.1%
Property Lost/Stolen	2	0.0%	2	0.9%	47	12.8%	0	0.0%	149	0.8%	200	2.7%
Self-Injury	77	1.6%	0	0.0%	0	0.0%	1	0.1%	7	0.3%	85	1.1%
Sexual Misconduct	72	1.5%	10	4.5%	0	0.0%	14	1.1%	3	1.0%	99	1.3%
Suicide Attempt	87	1.8%	1	0.4%	0	0.0%	4	0.3%	9	0.0%	101	1.3%
Temporary Release	125	2.6%	0	0.0%	0	0.0%	1	0.1%	0	0.7%	126	1.7%
Employee Weapon Use	184	3.8%	9	4.0%	0	0.0%	0	0.0%	6	17.7%	199	2.6%
Other Incidents	134	2.8%	26	11.6%	26	7.1%	52	4.2%	155	17.7%	393	5.2%
Total	4838	100%	224	100%	366	100%	1235	100%	876	100%	7539	100%

Table 5.2
Inmate Role by Month of Incident
January - December 2008

Inmate Role	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Perpetrator	433	368	414	461	373	430	459	401	332	382	392	393	4838
Col. Pct.	66.2%	68.0%	61.0%	65.4%	60.8%	64.2%	66.9%	61.0%	63.7%	63.3%	63.1%	66.7%	64.2%
Participant	21	9	18	22	9	17	8	57	18	23	12	10	224
Col. Pct.	3.2%	1.7%	2.7%	3.1%	1.5%	2.5%	1.2%	8.7%	3.5%	3.8%	1.9%	1.7%	3.0%
Suspect	25	26	39	26	37	31	26	29	25	38	39	25	366
Col. Pct.	3.8%	4.8%	5.7%	3.7%	6.0%	4.6%	3.8%	4.4%	4.8%	6.3%	6.3%	4.2%	4.9%
Victim	101	82	108	108	94	101	130	113	92	79	115	112	1235
Col. Pct.	15.4%	15.2%	15.9%	15.3%	15.3%	15.1%	19.0%	17.2%	17.7%	13.1%	18.5%	19.0%	16.4%
Bystander	74	56	100	88	100	91	63	57	54	81	63	49	876
Col. Pct.	11.3%	10.4%	14.7%	12.5%	16.3%	13.6%	9.2%	8.7%	10.4%	13.4%	10.1%	8.3%	11.6%
Total	654	541	679	705	613	670	686	657	521	603	621	589	7539
Col. Pct.	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%

Section Six

INMATE DEATHS

The number and cause of inmate deaths are shown in Table 6. The total differs from other statistical tables in this report because Table 6 reflects only the deaths of inmates who were in the Department's physical custody, transferred to other criminal justice agencies (but still in legal custody of NY DOCS), or given permission to leave DOCS facilities for work release, day reporting, or furlough. The table does not include deaths of correctional staff.

An unusual incident report is made immediately upon discovery of an inmate death, but the reporting officer may not know the cause of death. Further investigation by Health Services' staff and local medical authorities is required to determine the final official cause of death. Table 6 reflects information known at the time this report was written. In addition to inmate deaths shown in Table 6, eight staff deaths were reported as unusual incidents. None of the staff deaths were due to inmate actions.

Table 6 Inmate Deaths: Month by Cause of Death January - December 2008						
Cause of Death						
Month of Death	Homicide	Suicide	Natural Causes	Accident	Other Known Causes	Total
January	0	2	14	0	0	16
February	1	0	14	0	0	15
March	0	2	11	0	0	13
April	0	1	10	0	0	11
May	0	0	6	0	0	6
June	0	0	8	0	0	8
July	0	2	10	0	0	12
August	0	0	12	1	1	14
September	0	1	4	0	0	5
October	0	0	7	0	0	7
November	0	1	12	0	0	13
December	0	1	13	0	0	14
Total	1	10	121	1	1	134
Row Pct.	0.7%	7.5%	90.3%	0.7%	0.7%	100%

Section Seven

LOCATION OF UNUSUAL INCIDENTS

The location of unusual incidents and the incident type are shown in Table 7.1, p. 22. Locations are categorized into eleven primary areas. Most incidents (N=2,453, 40.5%) occurred in housing units which include general confinement housing as well as shower and toilet areas. An additional 518 incidents (8.6%) were reported in the prison yard which is an open area inside the facility perimeter that inmates use for recreation and exercise. Special Housing Units (S.H.U.) house inmates in disciplinary segregation or for protection from other inmates and were the location of 334 incidents (5.5%).

The incident types most often occurring in the housing areas were inmate assault on staff (N=284), contraband (N=1,340), and inmate assault on inmate (N=259). The fewest incidents were reported in visiting rooms (N=110, 1.8%), TV/Day Rooms (N=103, 1.7%), gymnasiums (N=104, 1.7%), and mess halls (N=86, 1.4%). Table 7.2 presents incident location by month of incident for calendar year 2008.

	Table 7.1											
	Unusual Incident Type by Location											
	January - December 2008											
Location												
Incident Type	Housing Unit	Mess Hall	Yard	TV/Day Room	Special Housing	Hospital M.H.U.	Shop or Class	Gym	Visiting Room	Outside Prison	Other	Total
Accident	91	16	135	8	2	13	25	54	2	47	106	499
Assault on Inmate	259	12	177	30	3	21	11	15	3	0	125	656
Assault on Staff	284	16	9	14	51	66	20	4	12	5	99	580
Assault on Other	0	0	0	0	0	1	0	0	4	1	0	6
Contraband	1340	19	143	38	124	83	33	14	51	18	675	2538
Death	14	0	1	0	5	54	0	1	0	66	1	142
Disruptive Behavior	163	13	25	11	57	70	9	4	2	2	22	378
Utilities Disruption	6	1	1	0	0	0	0	0	0	3	17	28
Employee Misconduct	0	0	0	1	0	2	0	0	0	90	36	129
Escape	0	0	0	0	0	0	0	0	0	0	0	0
Attempted Escape	0	0	0	0	1	0	0	0	0	2	1	4
Fire	26	2	1	0	0	0	0	0	0	1	11	41
Inmate Disturbance	0	0	0	0	0	0	0	0	0	0	0	0
Property Destruction	3	0	1	0	1	0	0	0	0	0	3	8
Property Lost/Stolen	4	0	0	0	1	25	14	1	0	29	66	140
Self-Injury	59	0	1	0	11	6	0	0	0	0	1	78
Sexual Misconduct	18	1	3	0	1	3	1	3	20	0	9	59
Suicide Attempt	61	0	0	0	24	6	0	0	0	0	1	92
Temporary Release	0	0	0	0	0	0	0	0	0	127	1	128
Employee Weapon Use	70	6	19	1	36	12	5	4	1	1	14	169
Employee Job Action	0	0	0	0	0	0	0	0	0	0	2	2
Other Incidents	55	0	2	0	17	103	1	4	15	20	164	381
Total	2453	86	518	103	334	465	119	104	110	412	1354	6058
Row Pct.	40.5%	1.4%	8.6%	1.7%	5.5%	7.7%	2.0%	1.7%	1.8%	6.8%	22.4%	100%

Incident Location	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Table 7.2 **Unusual Incident Location by Month** **January - December 2008**													

Incident Location	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Housing Unit													
Cell	86	84	81	88	68	97	76	71	67	91	80	78	967
Dormitory	56	42	57	50	47	40	55	59	37	53	45	41	582
Gallery	45	21	35	27	24	11	36	29	33	20	29	27	337
Shower	3	2	1	6	8	9	1	6	0	2	4	8	50
Toilet Area	14	9	8	9	14	14	15	7	16	1	6	11	124
Cell Block	15	18	30	42	15	16	24	21	12	26	16	13	248
Double-Cell	9	7	5	6	14	6	9	11	13	17	15	19	131
Slop Sink	1	1	1	0	0	4	2	2	0	0	2	1	14
Total	229	184	218	228	190	197	218	206	178	210	197	198	2453
Column Pct.	41.3%	39.1%	39.8%	39.0%	41.1%	38.4%	41.1%	39.3%	42.3%	43.0%	41.0%	41.3%	40.5%
Mess Hall													
Kitchen	1	3	1	2	3	6	2	2	1	0	3	5	29
Mess Hall / In Line	4	5	2	5	1	3	9	5	7	3	8	5	57
Total	5	8	3	7	4	9	11	7	8	3	11	10	86
Column Pct.	0.9%	1.7%	0.5%	1.2%	0.9%	1.8%	2.1%	1.3%	1.9%	0.6%	2.3%	2.1%	1.4%
Yard													
Yard	29	21	20	53	44	61	65	67	52	35	40	27	514
Keeplock Yard	0	0	0	0	1	0	2	0	0	0	0	1	4
Total	29	21	20	53	45	61	67	67	52	35	40	28	518
Column Pct.	5.2%	4.5%	3.6%	9.1%	9.7%	11.9%	12.6%	12.8%	12.4%	7.2%	8.3%	5.8%	8.6%
TV Room / Day Area													
TV Room / Day Area	9	18	16	5	3	15	7	5	5	8	4	8	103
Total	9	18	16	5	3	15	7	5	5	8	4	8	103
Column Pct.	1.6%	3.8%	2.9%	0.9%	0.6%	2.9%	1.3%	1.0%	1.2%	1.6%	0.8%	1.7%	1.7%
Special Housing													
SHU-Discipline	35	17	60	31	18	15	18	37	17	17	18	18	301
SHU-Invol. Protection	0	0	3	0	0	0	1	0	0	0	0	0	4
SHU-Vol. Protection	0	0	0	0	1	2	0	0	0	0	0	4	7
SHU-Yard	0	0	0	0	2	1	3	0	0	0	1	0	7
SHU-Frisk Area	0	0	0	1	1	3	0	2	0	0	1	0	8
SHU-Other	0	1	0	0	2	0	0	0	0	1	1	2	7
Total	35	18	63	32	24	21	22	39	17	18	21	24	334
Column Pct.	6.3%	3.8%	11.5%	5.5%	5.2%	4.1%	4.1%	7.4%	4.0%	3.7%	4.4%	5.0%	5.5%
Hospital													
Infirmary / Hospital	46	43	21	66	41	27	22	27	19	26	30	27	395
Mental Health Unit	6	8	3	4	6	7	6	9	3	6	4	8	70
Total	52	51	24	70	47	34	28	36	22	32	34	35	465
Column Pct.	9.4%	10.8%	4.4%	12.0%	10.2%	6.6%	5.3%	6.9%	5.2%	6.6%	7.1%	7.3%	7.7%

Incident Location	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Shop or Classroom													
Classroom	3	2	7	3	3	4	4	2	1	6	6	4	45
Farm	0	2	1	0	2	2	0	3	1	0	1	1	13
Shop-Industries	2	0	1	0	3	1	9	2	4	2	2	3	29
Shop-Maintenance	0	0	1	2	2	1	0	3	1	1	1	1	13
Shop-Vocational	4	1	2	1	0	1	2	0	3	3	1	1	19
Total	9	5	12	6	10	9	15	10	10	12	11	10	119
Column Pct.	1.6%	1.1%	2.2%	1.0%	2.2%	1.8%	2.8%	1.9%	2.4%	2.5%	2.3%	2.1%	2.0%
Gymnasium													
Auditorium	2	0	0	3	1	0	1	1	0	0	1	0	9
Gymnasium	11	9	13	7	4	2	11	4	7	3	8	16	95
Total	13	9	13	10	5	2	12	5	7	3	9	16	104
Column Pct.	2.3%	1.9%	2.4%	1.7%	1.1%	0.4%	2.3%	1.0%	1.7%	0.6%	1.9%	3.3%	1.7%
Visiting Room													
Visiting Room	10	9	10	13	4	4	9	8	6	4	20	13	110
Total	10	9	10	13	4	4	9	8	6	4	20	13	110
Column Pct.	1.8%	1.9%	1.8%	2.2%	0.9%	0.8%	1.7%	1.5%	1.4%	0.8%	4.2%	2.7%	1.8%
Outside Prison													
In-Transit	0	1	1	0	0	3	0	1	0	0	3	1	10
Outside-Court	1	0	1	0	2	2	0	1	0	2	0	0	9
Outside-Hospital	6	13	5	4	5	8	6	10	2	7	10	9	85
Outside-Temp. Rel.	4	13	11	9	10	20	7	11	13	10	11	10	129
Outside-Unspecified	19	10	16	10	16	9	21	14	17	18	15	14	179
Total	30	37	34	23	33	42	34	37	32	37	39	34	412
Column Pct.	5.4%	7.9%	6.2%	3.9%	7.1%	8.2%	6.4%	7.1%	7.6%	7.6%	8.1%	7.1%	6.8%
Other Location													
Admin. Building	25	22	37	30	17	25	13	29	13	19	14	16	260
Corridor	17	21	18	23	18	19	16	18	23	18	17	17	225
Disciplinary Office	1	0	0	3	4	2	1	0	0	2	5	1	19
Front Gate	3	6	3	2	0	2	1	6	2	4	1	2	32
Inmate Reception	7	8	1	4	2	3	4	6	6	5	2	12	60
Lobby	10	2	5	3	2	0	2	1	2	0	0	5	32
Package Room	2	5	3	7	2	9	4	3	2	8	9	2	56
Rear Gate	0	1	1	0	1	1	5	0	0	2	1	0	12
Stairway	4	8	7	5	5	4	3	3	3	4	2	2	50
Walkway	21	10	16	10	8	16	15	14	4	19	15	17	165
Visitor Process	14	2	10	15	8	6	5	6	2	12	6	1	87
Parking / Perimeter	9	8	3	5	2	3	6	8	6	4	5	6	65
Not Specified	3	3	0	9	4	4	2	2	2	4	4	2	39
Other	18	15	31	22	24	25	31	8	19	25	13	21	252
Total	134	111	135	138	97	119	108	104	84	126	94	104	1354
Column Pct.	24.1%	23.6%	24.6%	23.6%	21.0%	23.2%	20.3%	19.8%	20.0%	25.8%	19.6%	21.7%	22.4%
Grand Total	555	471	548	585	462	513	531	524	421	488	480	480	6058
Column Pct.	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%

Table 7.2 (continued)
Unusual Incident Location by Month
January - December 2008

Section Eight

INMATE ASSAULT ON STAFF BY FACILITY AND MONTH OF INCIDENT

Table 8.1 (pp. 26-28) displays the number and rate of inmate assault on staff unusual incidents for 2008. The table provides information on the average daily population of each facility during the year, the number of assaults, and the annualized rate of inmate assault on staff incidents per thousand inmates of population. The annualized rate of inmate assault on staff incidents allows for standardized comparisons between security levels and facilities. A complete explanation of rate calculation is presented in Appendix A, page 43.

The highest number of assaults occurred in maximum security facilities which reported 447 incidents or a rate of 17.5 incidents per 1000 inmates of population. Both the number and rate of assaults on staff at maximum security prisons were considerably higher than medium and minimum security facilities. Medium security facilities experienced 122 inmate assault on staff incidents and all types of other facilities reported a total of 11 assault on staff incidents. The annual rate of assault on staff incidents was 3.7 at medium prisons; 3.4 at minimum security facilities, which included work release facilities; and 3.6 at Shock Incarceration Facilities. There was one inmate assault on staff incident at minimum security camps in 2008.

The total number of assault on staff incidents by facility by month is shown in Table 8.2 (pp. 29-31). Approximately 77% of the total number of assaults occurred in maximum security prisons; medium security facilities experienced 21% and other facilities (including Shock Incarceration facilities and Camps) accounted for 2% of the total number of staff assaults.

Table 8.1 Number and Rate of Assaults on Staff by Security Level and Facility January - December 2008			
Correctional Facility	Population 2008	Assaults on Staff	Rate per 1,000 Inmates
Maximum Security			
Attica	2182	57	26.1
Auburn	1737	25	14.4
Bedford Hills	782	7	9.0
Clinton	2871	37	12.9
Coxsackie	982	14	14.3
Downstate	1184	17	14.4
Eastern	1182	0	0.0
Elmira	1780	19	10.7
Five Points	1383	15	10.8
Great Meadow	1654	75	45.3
Green Haven	2065	25	12.1
Shawangunk	538	2	3.7
Sing Sing	1767	33	18.7
Southport	889	19	21.4
Sullivan	758	27	35.6
Upstate	1291	18	13.9
Wende	843	26	30.8
Subtotal	23888	416	17.4
Cayuga SHU200	184	4	21.7
Collins SHU200	181	3	16.6
Fishkill SHU200	187	2	10.7
Gouverneur SHU200	186	0	0.0
Greene SHU200	184	1	5.4
Lakeview SHU200	191	4	20.9
Mid-State SHU200	177	3	16.9
Orleans SHU200	150	2	13.3
Subtotal	1440	19	13.2
Bedford Hills RMU	20	0	0.0
Coxsackie RMU	29	3	103.4
Fishkill RMU	29	2	69.0
Walsh RMU	110	4	36.4
Wende RMU	79	3	38.0
Subtotal	267	12	44.9
Total	25595	447	17.5

Table 8.1 Number and Rate of Assaults on Staff by Security Level and Facility January - December 2008			
Correctional Facility	Population 2008	Assaults on Staff	Rate per 1,000 Inmates
Medium Security			
Adirondack	510	4	7.8
Albion	1055	9	8.5
Altona	464	3	6.5
Arthur Kill	898	13	14.5
Arthur Kill ASACTC	58	0	0.0
Bare Hill	1689	7	4.1
Bayview	190	1	5.3
Butler	218	1	4.6
Cape Vincent	856	2	2.3
Cayuga	843	1	1.2
Chateaugay	205	1	4.9
Collins	903	1	1.1
Fishkill	1466	14	9.5
Franklin	1700	7	4.1
Gouverneur	848	3	3.5
Gowanda	1713	5	2.9
Greene	1598	11	6.9
Groveland	1181	2	1.7
Hale Creek	460	1	2.2
Hudson	466	0	0.0
Lakeview Reception-F	3	0	0.0
Lakeview Reception-M	195	2	10.3
Livingston	853	4	4.7
Marcy	1104	1	0.9
Mid-Orange	707	1	1.4
Mid-State	1266	6	4.7
Mohawk	1243	0	0.0
Mt. McGregor	490	1	2.0
Ogdensburg	540	1	1.9
Oneida	1135	2	1.8
Orleans	850	1	1.2
Otisville	581	0	0.0
Riverview	854	2	2.3
Taconic	218	2	9.2
Taconic ASACTC	122	0	0.0
Ulster	627	2	3.2
Wallkill	595	1	1.7
Washington	1010	3	3.0
Watertown	609	2	3.3
Woodbourne	790	0	0.0
Wyoming	1540	5	3.2
Wyoming ASACTC	133	0	0.0
Total	32786	122	3.7

	Table 8.1 Number and Rate of Assaults on Staff by Security Level and Facility January - December 2008		
Correctional Facility	Population 2008	Assaults on Staff	Rate per 1,000 Inmates
Shock Incarceration			
Lakeview Shock-F	93	0	0.0
Lakeview Shock-M	494	2	4.0
Monterey Shock	211	1	4.7
Moriah Shock	182	1	5.5
Summit Shock	122	0	0.0
Total	1102	4	3.6
Minimum Security			
Beacon	240	1	4.2
Buffalo	128	0	0.0
Butler Minimum	232	0	0.0
Edgecombe	126	1	7.9
Fulton	74	0	0.0
Lincoln	151	0	0.0
Lyon Mountain	155	0	0.0
Mid-State Minimum	168	0	0.0
Queensboro	412	3	7.3
Rochester	51	0	0.0
Summit	45	1	22.2
Total	1782	6	3.4
Camps			
Camp Gabriels	194	0	0.0
Camp Georgetown	189	0	0.0
Camp McGregor	157	1	6.4
Camp Pharsalia	161	0	0.0
Total	701	1	1.4
Other			
Central Office	0	0	0.0
Total	0	0	0.0
Grand Total	61724	580	9.4

Correctional Facility	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Table 8.2 Number and Rate of Assaults on Staff by Security Level and Facility January - December 2008													

Correctional Facility	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Maximum Security													
Attica	7	8	5	3	5	4	4	5	3	4	7	2	57
Auburn	1	1	4	2	1	3	2	1	3	0	4	3	25
Bedford Hills	2	0	0	0	0	1	0	3	0	1	0	0	7
Clinton	3	1	3	3	1	4	10	5	0	3	0	4	37
Coxsackie	0	1	1	1	4	0	1	0	1	1	2	2	14
Downstate	0	2	1	0	1	1	2	1	2	2	4	1	17
Eastern	0	0	0	0	0	0	0	0	0	0	0	0	0
Elmira	2	1	2	1	0	0	3	1	0	2	3	4	19
Five Points	2	1	1	1	2	1	2	1	0	0	3	1	15
Great Meadow	7	7	6	6	3	5	8	2	6	8	10	7	75
Green Haven	4	1	2	1	0	3	4	4	1	2	0	3	25
Shawangunk	1	0	1	0	0	0	0	0	0	0	0	0	2
Sing Sing	0	3	6	2	0	2	2	2	1	6	2	7	33
Southport	1	1	1	2	2	1	4	3	2	1	1	0	19
Sullivan	1	0	3	0	1	4	1	4	2	5	4	2	27
Upstate	3	1	3	1	1	0	3	0	0	2	2	2	18
Wende	1	2	1	3	6	3	3	2	1	1	0	3	26
Subtotal	35	30	40	26	27	32	49	34	22	38	42	41	416
Cayuga SHU200	0	0	0	2	0	0	1	0	0	0	0	1	4
Collins SHU200	0	0	1	0	0	0	0	1	0	0	0	1	3
Fishkill SHU200	0	0	0	0	1	0	0	0	0	1	0	0	2
Gouverneur SHU200	0	0	0	0	0	0	0	0	0	0	0	0	0
Greene SHU200	0	0	0	0	0	0	0	0	1	0	0	0	1
Lakeview SHU200	1	0	1	0	0	0	0	0	0	1	1	0	4
Mid-State SHU200	2	0	0	0	0	0	0	1	0	0	0	0	3
Orleans SHU200	0	0	0	0	1	0	0	0	0	1	0	0	2
Subtotal	3	0	2	2	2	0	1	2	1	3	1	2	19
Bedford Hills RMU	0	0	0	0	0	0	0	0	0	0	0	0	0
Coxsackie RMU	0	0	0	0	1	1	0	0	0	0	0	1	3
Fishkill RMU	0	0	0	0	0	1	0	1	0	0	0	0	2
Walsh RMU	0	0	0	1	1	0	1	0	0	0	1	0	4
Wende RMU	0	1	0	1	0	1	0	0	0	0	0	0	3
Subtotal	0	1	0	2	2	3	1	1	0	0	1	1	12
Total	38	31	42	30	31	35	51	37	23	41	44	44	447
Column Percent	84%	74%	78%	70%	84%	71%	76%	77%	72%	80%	76%	81%	77%

Table 8.2   (continued)
Number and Rate of Assaults on Staff by Security Level and Facility
January - December 2008

Correctional Facility	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Medium Security													
Adirondack	0	0	2	0	0	0	1	0	0	0	1	0	4
Albion	0	1	0	0	2	2	1	0	0	1	1	1	9
Altona	1	0	0	0	0	0	0	0	1	0	1	0	3
Arthur Kill	1	1	0	1	0	0	2	0	1	1	5	1	13
Arthur Kill ASACTC	0	0	0	0	0	0	0	0	0	0	0	0	0
Bare Hill	0	0	0	0	0	1	3	2	0	0	1	0	7
Bayview	0	0	0	0	0	1	0	0	0	0	0	0	1
Butler	0	0	0	0	0	1	0	0	0	0	0	0	1
Cape Vincent	0	0	0	1	0	0	0	0	0	1	0	0	2
Cayuga	0	0	0	0	0	0	0	0	0	0	1	0	1
Chateaugay	0	0	0	0	0	0	0	0	0	0	1	0	1
Collins	0	0	1	0	0	0	0	0	0	0	0	0	1
Fishkill	1	0	2	1	1	1	2	1	0	2	1	2	14
Franklin	0	0	1	1	0	2	0	0	0	1	0	2	7
Gouverneur	0	0	0	0	0	0	0	0	0	1	0	2	3
Gowanda	0	1	0	1	0	0	2	1	0	0	0	0	5
Greene	1	2	1	2	0	0	1	1	2	0	0	1	11
Groveland	0	0	1	0	0	0	0	0	0	1	0	0	2
Hale Creek ASACTC	0	0	0	0	0	0	0	0	0	0	1	0	1
Hudson	0	0	0	0	0	0	0	0	0	0	0	0	0
Lakeview Reception-F	0	0	0	0	0	0	0	0	0	0	0	0	0
Lakeview Reception-M	0	0	1	1	0	0	0	0	0	0	0	0	2
Livingston	0	0	1	1	0	1	0	0	1	0	0	0	4
Marcy	0	0	0	0	0	0	0	0	1	0	0	0	1
Mid-Orange	1	0	0	0	0	0	0	0	0	0	0	0	1
Mid-State	0	1	0	1	0	0	1	2	0	1	0	0	6
Mohawk	0	0	0	0	0	0	0	0	0	0	0	0	0
Mt. McGregor	0	0	0	0	0	0	0	0	0	1	0	0	1
Ogdensburg	0	0	0	0	0	1	0	0	0	0	0	0	1
Oneida	0	0	1	0	0	0	0	1	0	0	0	0	2
Orleans	0	0	0	0	0	0	0	0	1	0	0	0	1
Otisville	0	0	0	0	0	0	0	0	0	0	0	0	0
Riverview	0	0	0	0	0	0	1	0	1	0	0	0	2
Taconic	0	1	0	1	0	0	0	0	0	0	0	0	2
Taconic ASACTC	0	0	0	0	0	0	0	0	0	0	0	0	0
Ulster	0	1	0	0	0	0	1	0	0	0	0	0	2
Wallkill	0	0	0	0	0	0	0	1	0	0	0	0	1
Washington	0	0	0	0	0	0	1	1	0	0	0	1	3
Watertown	1	0	0	0	0	0	0	0	0	0	1	0	2
Woodbourne	0	0	0	0	0	0	0	0	0	0	0	0	0
Wyoming	0	0	1	1	0	2	0	1	0	0	0	0	5
Wyoming ASACTC	0	0	0	0	0	0	0	0	0	0	0	0	0
Total	6	8	12	12	3	12	16	11	8	10	14	10	122
Column Percent	13%	19%	22%	28%	8%	24%	24%	23%	25%	20%	24%	19%	21%

Table 8.2 (continued)
Number and Rate of Assaults on Staff by Security Level and Facility
January - December 2008

Correctional Facility	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Shock Incarceration													
Lakeview Shock-F	0	0	0	0	0	0	0	0	0	0	0	0	0
Lakeview Shock-M	0	1	0	0	1	0	0	0	0	0	0	0	2
Monterey Shock	0	0	0	0	1	0	0	0	0	0	0	0	1
Moriah Shock	0	0	0	1	0	0	0	0	0	0	0	0	1
Summit Shock	0	0	0	0	0	0	0	0	0	0	0	0	0
Total	0	1	0	1	2	0	0	0	0	0	0	0	4
Column Percent	0.0%	2.4%	0.0%	2.3%	5.4%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.7%
Minimum Security													
Beacon	0	0	0	0	0	1	0	0	0	0	0	0	1
Buffalo	0	0	0	0	0	0	0	0	0	0	0	0	0
Butler Minimum	0	0	0	0	0	0	0	0	0	0	0	0	0
Edgecombe	0	0	0	0	1	0	0	0	0	0	0	0	1
Fulton	0	0	0	0	0	0	0	0	0	0	0	0	0
Lincoln	0	0	0	0	0	0	0	0	0	0	0	0	0
Lyon Mountain	0	0	0	0	0	0	0	0	0	0	0	0	0
Queensboro	1	1	0	0	0	0	0	0	1	0	0	0	3
Rochester	0	0	0	0	0	0	0	0	0	0	0	0	0
Summit	0	0	0	0	0	1	0	0	0	0	0	0	1
Total	1	1	0	0	1	2	0	0	1	0	0	0	6
Column Percent	2%	2%	0%	0%	3%	4%	0%	0%	3%	0%	0%	0%	1.0%
Camps													
Camp Gabriels	0	0	0	0	0	0	0	0	0	0	0	0	0
Camp Georgetown	0	0	0	0	0	0	0	0	0	0	0	0	0
Camp McGregor	0	1	0	0	0	0	0	0	0	0	0	0	1
Camp Pharsalia	0	0	0	0	0	0	0	0	0	0	0	0	0
Total	0	1	0	0	0	0	0	0	0	0	0	0	1
Column Percent	0%	2%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0.2%
Other													
Central Office	0	0	0	0	0	0	0	0	0	0	0	0	0
Total	0	0	0	0	0	0	0	0	0	0	0	0	0
Column Percent	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
Grand Total	45	42	54	43	37	49	67	48	32	51	58	54	580
Column Percent	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%

INMATE ASSAULT ON INMATE UNUSUAL INCIDENTS

A review of unusual incidents by security level of facility reveals that maximum security prisons had the highest rate of inmate assault on inmate incidents (16.4) (see Table 8.3). Medium security facilities experienced a rate of 6.9 incidents per 1000 inmate population.

The annualized rate of inmate assault on inmate incidents allows for standardized comparisons between security levels and facilities. For example, the use of an annualized rate allows the reader to observe that Great Meadow Correctional Facility, a maximum security prison with 27 inmate assault on inmate incidents and an average daily population of 1,654, has a similar assault rate (16.3) as Shawangunk Correctional Facility (16.7) with 9 inmate assault on inmate incidents and a population of 538.

Table 8.3 (pages 33-35) displays the average population of each facility, the total number of inmate assault on inmate incidents, and the annualized rate of incidents per thousand inmates. Table 8.4 (pages 36-38) presents data on the number of inmate assault on inmate incidents in individual facilities by month. For the 2008 time period, 64% (N=421) of inmate assault on inmate incidents occurred at maximum security institutions, 34% (N=225) at medium security prisons, and 2% (N=10) at other facilities.

	Table 8.3		
	Number and Rate of Assaults on Inmate by Security Level and Facility		
	January - December 2008		
Correctional Facility	Population 2008	Assaults on Inmate	Rate per 1,000 Inmates
Maximum Security			
Attica	2182	32	14.7
Auburn	1737	43	24.8
Bedford Hills	782	6	7.7
Clinton	2871	65	22.6
Coxsackie	982	3	3.1
Downstate	1184	6	5.1
Eastern	1182	5	4.2
Elmira	1780	67	37.6
Five Points	1383	28	20.2
Great Meadow	1654	27	16.3
Green Haven	2065	21	10.2
Shawangunk	538	9	16.7
Sing Sing	1767	46	26.0
Southport	889	1	1.1
Sullivan	758	8	10.6
Upstate	1291	7	5.4
Wende	843	23	27.3
Subtotal	23888	397	16.6
Cayuga SHU200	184	3	16.3
Collins SHU200	181	1	5.5
Fishkill SHU200	187	0	0.0
Gouverneur SHU200	186	2	10.8
Greene SHU200	184	2	10.9
Lakeview SHU200	191	3	15.7
Mid-State SHU200	177	1	5.6
Orleans SHU200	150	4	26.7
Subtotal	1440	16	11.1
Bedford Hills RMU	20	0	0.0
Coxsackie RMU	29	1	34.5
Fishkill RMU	29	1	34.5
Walsh RMU	110	3	27.3
Wende RMU	79	3	38.0
Subtotal	267	8	30.0
Total	25595	421	16.4

Table 8.3
Number and Rate of Assaults on Inmate by Security Level and Facility
January - December 2008

Correctional Facility	Population 2008	Assaults on Inmate	Rate per 1,000 Inmates
Medium Security			
Adirondack	510	1	2.0
Albion	1055	4	3.8
Altona	464	1	2.2
Arthur Kill	898	2	2.2
Arthur Kill ASACTC	58	0	0.0
Bare Hill	1689	25	14.8
Bayview	190	0	0.0
Butler	218	0	0.0
Cape Vincent	856	7	8.2
Cayuga	843	5	5.9
Chateaugay	205	0	0.0
Collins	903	4	4.4
Fishkill	1466	4	2.7
Franklin	1700	7	4.1
Gouverneur	848	4	4.7
Gowanda	1713	10	5.8
Greene	1598	33	20.7
Groveland	1181	5	4.2
Hale Creek	460	0	0.0
Hudson	466	2	4.3
Lakeview Reception-F	3	0	0.0
Lakeview Reception-M	195	0	0.0
Livingston	853	9	10.6
Marcy	1104	5	4.5
Mid-Orange	707	2	2.8
Mid-State	1266	14	11.1
Mohawk	1243	8	6.4
Mt. McGregor	490	3	6.1
Ogdensburg	540	2	3.7
Oneida	1135	3	2.6
Orleans	850	13	15.3
Otisville	581	1	1.7
Riverview	854	7	8.2
Taconic	218	0	0.0
Taconic ASACTC	122	0	0.0
Ulster	627	3	4.8
Wallkill	595	4	6.7
Washington	1010	14	13.9
Watertown	609	4	6.6
Woodbourne	790	3	3.8
Wyoming	1540	16	10.4
Wyoming ASACTC	133	0	0.0
Total	32786	225	6.9

Table 8.3 Number and Rate of Assaults on Inmate by Security Level and Facility January - December 2008			
Correctional Facility	Population 2008	Assaults on Inmate	Rate per 1,000 Inmates
Shock Incarceration			
Lakeview Shock-F	93	0	0.0
Lakeview Shock-M	494	1	2.0
Monterey Shock	211	0	0.0
Moriah Shock	182	1	5.5
Summit Shock	122	0	0.0
Total	1102	2	1.8
Minimum Security			
Beacon	240	1	4.2
Buffalo	128	0	0.0
Butler Minimum	232	1	4.3
Edgecombe	126	0	0.0
Fulton	74	0	0.0
Lincoln	151	0	0.0
Lyon Mountain	155	1	6.5
Mid-State Minimum	168	0	0.0
Queensboro	412	3	7.3
Rochester	51	0	0.0
Summit	45	0	0.0
Total	1782	6	3.4
Camps			
Camp Gabriels	194	0	0.0
Camp Georgetown	189	1	5.3
Camp McGregor	157	1	6.4
Camp Pharsalia	161	0	0.0
Total	701	2	2.9
Other			
Central Office	0	0	0.0
Total	0	0	0.0
Grand Total	61724	656	10.6

Table 8.4 **Number and Rate of Assaults on Inmates by Security Level and Facility** **January - December 2008**													
Correctional Facility	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Maximum Security													
Attica	4	3	5	3	4	3	4	4	0	0	2	0	32
Auburn	3	3	2	6	4	2	2	1	4	6	5	5	43
Bedford Hills	1	1	2	0	0	1	0	0	1	0	0	0	6
Clinton	4	5	3	7	3	4	5	4	5	8	7	10	65
Coxsackie	0	0	0	0	0	0	0	2	0	0	0	1	3
Downstate	0	0	2	1	0	0	1	2	0	0	0	0	6
Eastern	1	0	1	1	0	0	0	1	0	1	0	0	5
Elmira	9	5	5	9	3	2	2	3	5	6	8	10	67
Five Points	1	1	3	2	5	2	5	3	3	1	1	1	28
Great Meadow	3	2	2	3	2	0	5	4	0	2	2	2	27
Green Haven	1	1	2	1	3	1	1	3	2	2	2	2	21
Shawangunk	0	2	1	0	0	0	3	1	0	1	1	0	9
Sing Sing	3	2	3	3	6	4	8	3	2	3	7	2	46
Southport	0	0	0	0	0	0	0	0	0	0	0	1	1
Sullivan	2	1	1	0	1	0	2	0	0	0	0	1	8
Upstate	0	1	0	0	1	0	0	0	1	1	3	0	7
Wende	3	1	3	2	1	3	1	1	4	1	3	0	23
Subtotal	35	28	35	38	33	22	39	32	27	32	41	35	397
Cayuga SHU200	0	0	0	0	0	0	0	0	1	0	1	1	3
Collins SHU200	0	0	0	1	0	0	0	0	0	0	0	0	1
Fishkill SHU200	0	0	0	0	0	0	0	0	0	0	0	0	0
Gouverneur SHU200	0	0	0	0	1	1	0	0	0	0	0	0	2
Greene SHU200	0	0	0	0	0	1	0	0	1	0	0	0	2
Lakeview SHU200	0	1	0	1	0	0	0	0	0	1	0	0	3
Mid-State SHU200	0	0	0	0	0	0	0	0	0	0	0	1	1
Orleans SHU200	1	0	0	1	1	0	0	0	0	0	1	0	4
Subtotal	1	1	0	3	2	2	0	0	2	1	2	2	16
Bedford Hills RMU	0	0	0	0	0	0	0	0	0	0	0	0	0
Coxsackie RMU	0	1	0	0	0	0	0	0	0	0	0	0	1
Fishkill RMU	0	1	0	0	0	0	0	0	0	0	0	0	1
Walsh RMU	0	0	2	0	0	0	0	1	0	0	0	0	3
Wende RMU	0	0	0	0	1	0	0	1	0	0	1	0	3
Subtotal	0	2	2	0	1	0	0	2	0	0	1	0	8
Total	36	31	37	41	36	24	39	34	29	33	44	37	421
Column Percent	64%	69%	66%	68%	72%	49%	60%	63%	67%	55%	75%	63%	64%

Table 8.4   (continued)

Number and Rate of Assaults on Inmates by Security Level and Facility
January - December 2008

Correctional Facility	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Medium Security													
Adirondack	0	0	0	0	0	0	0	1	0	0	0	0	1
Albion	1	0	1	0	0	0	0	0	1	0	1	0	4
Altona	0	0	1	0	0	0	0	0	0	0	0	0	1
Arthur Kill	0	0	1	0	0	0	0	0	0	1	0	0	2
Arthur Kill ASACTC	0	0	0	0	0	0	0	0	0	0	0	0	0
Bare Hill	4	1	1	3	0	1	3	4	1	2	2	3	25
Bayview	0	0	0	0	0	0	0	0	0	0	0	0	0
Butler	0	0	0	0	0	0	0	0	0	0	0	0	0
Cape Vincent	0	0	1	1	1	1	1	1	1	0	0	0	7
Cayuga	1	1	0	1	0	0	0	1	0	1	0	0	5
Chateaugay	0	0	0	0	0	0	0	0	0	0	0	0	0
Collins	0	0	1	1	0	0	0	1	0	0	0	1	4
Fishkill	0	0	0	0	1	1	0	1	0	1	0	0	4
Franklin	0	0	1	1	0	1	0	0	1	2	1	0	7
Gouverneur	1	1	0	0	0	0	1	0	0	0	0	1	4
Gowanda	0	0	1	1	1	1	0	2	0	3	1	0	10
Greene	5	3	2	3	1	0	3	2	5	2	1	6	33
Groveland	1	1	0	0	0	0	0	0	1	1	1	0	5
Hale Creek ASACTC	0	0	0	0	0	0	0	0	0	0	0	0	0
Hudson	0	0	0	0	0	0	1	0	1	0	0	0	2
Lakeview Reception-F	0	0	0	0	0	0	0	0	0	0	0	0	0
Lakeview Reception-M	0	0	0	0	0	0	0	0	0	0	0	0	0
Livingston	0	0	1	0	0	3	1	2	0	2	0	0	9
Marcy	2	0	0	0	0	0	1	0	0	0	1	1	5
Mid-Orange	1	0	1	0	0	0	0	0	0	0	0	0	2
Mid-State	1	0	1	1	1	1	4	0	0	3	0	2	14
Mohawk	0	0	0	1	5	0	1	0	0	0	1	0	8
Mt. McGregor	0	0	0	0	0	1	1	0	0	1	0	0	3
Ogdensburg	0	1	0	0	0	0	0	0	0	0	1	0	2
Oneida	1	0	0	0	0	0	1	1	0	0	0	0	3
Orleans	0	0	2	0	1	4	1	0	0	1	3	1	13
Otisville	0	0	0	0	1	0	0	0	0	0	0	0	1
Riverview	0	1	0	0	1	2	1	0	0	1	0	1	7
Taconic	0	0	0	0	0	0	0	0	0	0	0	0	0
Taconic ASACTC	0	0	0	0	0	0	0	0	0	0	0	0	0
Ulster	0	1	0	0	0	1	0	1	0	0	0	0	3
Wallkill	0	0	1	0	0	1	1	0	0	0	0	1	4
Washington	0	2	1	3	0	1	2	0	1	2	0	2	14
Watertown	0	0	0	0	0	1	1	0	1	0	1	0	4
Woodbourne	0	1	0	0	0	0	0	0	0	1	0	1	3
Wyoming	1	1	2	0	1	3	1	3	0	3	1	0	16
Wyoming ASACTC	0	0	0	0	0	0	0	0	0	0	0	0	0
Total	19	14	19	16	14	23	25	20	13	27	15	20	225
Column Percent	34%	31%	34%	27%	28%	47%	38%	37%	30%	45%	25%	34%	34%

Table 8.4 (continued)
Number and Rate of Assaults on Inmates by Security Level and Facility
January - December 2008

Correctional Facility	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Shock Incarceration													
Lakeview Shock-F	0	0	0	0	0	0	0	0	0	0	0	0	0
Lakeview Shock-M	0	0	0	0	0	0	0	0	0	0	0	1	1
Monterey Shock	0	0	0	0	0	0	0	0	0	0	0	0	0
Moriah Shock	0	0	0	0	0	0	0	0	0	0	0	1	1
Summit Shock	0	0	0	0	0	0	0	0	0	0	0	0	0
Total	0	0	0	0	0	0	0	0	0	0	0	2	2
Column Percent	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	3.4%	0.3%
Minimum Security													
Beacon	0	0	0	0	0	0	0	0	1	0	0	0	1
Buffalo	0	0	0	0	0	0	0	0	0	0	0	0	0
Butler Minimum	0	0	0	0	0	0	1	0	0	0	0	0	1
Edgecombe	0	0	0	0	0	0	0	0	0	0	0	0	0
Fulton	0	0	0	0	0	0	0	0	0	0	0	0	0
Lincoln	0	0	0	0	0	0	0	0	0	0	0	0	0
Lyon Mountain	0	0	0	1	0	0	0	0	0	0	0	0	1
Queensboro	0	0	0	1	0	2	0	0	0	0	0	0	3
Rochester	0	0	0	0	0	0	0	0	0	0	0	0	0
Summit	0	0	0	0	0	0	0	0	0	0	0	0	0
Total	0	0	0	2	0	2	1	0	1	0	0	0	6
Column Percent	0%	0%	0%	3%	0%	4%	2%	0%	2%	0%	0%	0%	0.9%
Camps													
Camp Gabriels	0	0	0	0	0	0	0	0	0	0	0	0	0
Camp Georgetown	0	0	0	1	0	0	0	0	0	0	0	0	1
Camp McGregor	1	0	0	0	0	0	0	0	0	0	0	0	1
Camp Pharsalia	0	0	0	0	0	0	0	0	0	0	0	0	0
Total	1	0	0	1	0	0	0	0	0	0	0	0	2
Column Percent	2%	0%	0%	2%	0%	0%	0%	0%	0%	0%	0%	0%	0.3%
Other													
Central Office	0	0	0	0	0	0	0	0	0	0	0	0	0
Total	0	0	0	0	0	0	0	0	0	0	0	0	0
Column Percent	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
Grand Total	56	45	56	60	50	49	65	54	43	60	59	59	656
Column Percent	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%

Section Nine

INJURY TO STAFF

The Unusual Incident reporting system uses a ranking procedure for injuries sustained by staff members and is based on perceived seriousness of injury and/or by degree of treatment. "No injury" indicates that the staff member or medical staff reported that no injury was sustained. "Minor injury" refers to cases where the victim received a comparatively minor injury which may have been treated at the facility or treated at the facility with a recommendation for the staff person to consult their own physician. "Moderate injury" refers to injuries of a more serious nature that generally require treatment at an outside hospital or treatment by own physician. Moderate injuries are not judged to be life threatening. "Serious injury" refers to injury that is considered to be life threatening (or which results in death). These injuries require treatment at an outside hospital.

Table 9.1 (p. 40) presents information on the number of staff who sustained injuries in unusual incidents. Staff injuries are reported according to the degree of seriousness. The inmate assault on staff category produced the most staff injuries in 2008. Assault on staff incidents resulted in no injuries to 1,763 staff (75.9%), minor injuries to 535 staff (23.0%), and moderate injuries to 26 staff (1.1%). There were no serious staff injuries as a result of inmate assault on staff incidents.

Table 9.2 (p. 40) presents information on staff injuries sustained each month. In 2008, 4.1% of staff involved in unusual incidents incurred an injury of some degree.

	Table 9.1									
	Unusual Incident Type by Degree of Staff Injury									
	January - December 2008									

	Degree of Injury									
Incident Type	No Injury		Minor		Moderate		Serious		Total	
	Number	Row Pct.	Number	Row Pct.	Number	Row Pct.	Number	Row Pct.	Number	Row Pct.
Accident	1480	94.7%	19	1.2%	62	4.0%	2	0.1%	1563	100.0%
Assault on Inmate	1862	98.2%	33	1.7%	2	0.1%	0	0.0%	1897	100.0%
Assault on Staff	1763	75.9%	535	23.0%	26	1.1%	0	0.0%	2324	100.0%
Assault on Other	28	93.3%	2	6.7%	0	0.0%	0	0.0%	30	100.0%
Contraband	7398	99.5%	35	0.5%	0	0.0%	0	0.0%	7433	100.0%
Death	1091	100.0%	0	0.0%	0	0.0%	0	0.0%	1091	100.0%
Disruptive Behavior	2136	96.7%	64	2.9%	10	0.5%	0	0.0%	2210	100.0%
Utilities Disruption	120	100.0%	0	0.0%	0	0.0%	0	0.0%	120	100.0%
Employee Misconduct	450	99.1%	3	0.7%	1	0.2%	0	0.0%	454	100.0%
Attempted Escape	16	100.0%	0	0.0%	0	0.0%	0	0.0%	16	100.0%
Fire	238	94.1%	12	4.7%	3	1.2%	0	0.0%	253	100.0%
Property Destruction	24	100.0%	0	0.0%	0	0.0%	0	0.0%	24	100.0%
Property Lost/Stolen	498	100.0%	0	0.0%	0	0.0%	0	0.0%	498	100.0%
Self-Injury	275	99.6%	1	0.4%	0	0.0%	0	0.0%	276	100.0%
Sexual Misconduct	216	99.5%	1	0.5%	0	0.0%	0	0.0%	217	100.0%
Suicide Attempt	394	97.0%	9	2.2%	3	0.7%	0	0.0%	406	100.0%
Temporary Release	78	100.0%	0	0.0%	0	0.0%	0	0.0%	78	100.0%
Employee Weapon Use	469	98.5%	7	1.5%	0	0.0%	0	0.0%	476	100.0%
Employee Job Action	7	100.0%	0	0.0%	0	0.0%	0	0.0%	7	100.0%
Other Incidents	1690	98.4%	20	1.2%	5	0.3%	3	0.2%	1718	100.0%
Total	20233	95.9%	741	3.5%	112	0.5%	5	0.0%	21091	100.0%

	Table 9.2												
	Staff Injury by Month of Incident												
	January - December 2008												

Degree of Injury	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
No Injury	1929	1713	1785	2231	1534	1598	1716	1717	1300	1578	1544	1588	20233
Col. Pct.	96.2%	96.8%	94.8%	97.0%	96.1%	95.6%	95.3%	96.2%	96.3%	95.7%	96.3%	94.6%	95.9%
Minor	61	47	82	58	49	65	75	61	41	67	52	83	741
Col. Pct.	3.0%	2.7%	4.4%	2.5%	3.1%	3.9%	4.2%	3.4%	3.0%	4.1%	3.2%	4.9%	3.5%
Moderate	14	9	14	11	13	9	10	6	8	4	7	7	112
Col. Pct.	0.7%	0.5%	0.7%	0.5%	0.8%	0.5%	0.6%	0.3%	0.6%	0.2%	0.4%	0.4%	0.5%
Serious	1	1	1	0	0	0	0	1	1	0	0	0	5
Col. Pct.	0.0%	0.1%	0.1%	0.0%	0.0%	0.0%	0.0%	0.1%	0.1%	0.0%	0.0%	0.0%	0.0%
Total	2005	1770	1882	2300	1596	1672	1801	1785	1350	1649	1603	1678	21091
Col. Pct.	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%

Section Ten

USE OF FORCE BY MONTH OF INCIDENT

During 2008, 14.6% of unusual incidents entailed staff use of force to resolve the incident. The term 'use of force' describes the type of force used by correctional staff and is divided into seven categories.

A review by month shows a consistent pattern of between 83% and 89% of unusual incidents were resolved without staff use of force (see Table 10.1). An additional 6% to 12% of incidents involved use of body holds or handcuffs to restrain inmates. Table 10.1 (p.42) shows the most serious type of staff force utilized in each unusual incident. An examination reveals that the use of baton occurred in 1.6% of unusual incidents.

STAFF USE OF FORCE BY INCIDENT TYPE

Table 10.2 (p.42) presents information on the type of force used during specific types of unusual incidents. Staff use of force was concentrated in the unusual incident categories of inmate assault on inmate, inmate assault on staff, contraband, and disruptive behavior.

Table 10.1 Staff Use of Force by Month January - December 2008													
Type of Force	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
No Force Used	481	398	463	492	400	440	441	450	375	419	412	404	5175
Col. Pct.	86.7%	84.5%	84.5%	84.1%	86.6%	85.8%	83.1%	85.9%	89.1%	85.9%	85.8%	84.2%	85.4%
Body Hold	35	38	49	35	34	38	52	40	21	37	41	43	463
Col. Pct.	6.3%	8.1%	8.9%	6.0%	7.4%	7.4%	9.8%	7.6%	5.0%	7.6%	8.5%	9.0%	7.6%
Handcuff	21	20	10	32	15	20	18	14	11	12	14	15	202
Col. Pct.	3.8%	4.2%	1.8%	5.5%	3.2%	3.9%	3.4%	2.7%	2.6%	2.5%	2.9%	3.1%	3.3%
Shield	0	4	2	4	2	4	4	3	4	4	3	1	35
Col. Pct.	0.0%	0.8%	0.4%	0.7%	0.4%	0.8%	0.8%	0.6%	1.0%	0.8%	0.6%	0.2%	0.6%
Baton	12	3	7	11	5	4	11	8	6	10	5	14	96
Col. Pct.	2.2%	0.6%	1.3%	1.9%	1.1%	0.8%	2.1%	1.5%	1.4%	2.0%	1.0%	2.9%	1.6%
Chemical Agent	5	5	14	8	3	7	3	8	4	5	3	2	67
Col. Pct.	0.9%	1.1%	2.6%	1.4%	0.6%	1.4%	0.6%	1.5%	1.0%	1.0%	0.6%	0.4%	1.1%
Firearm	0	0	0	0	2	0	0	0	0	0	0	0	2
Col. Pct.	0.0%	0.0%	0.0%	0.0%	0.4%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
Other	1	3	3	3	1	0	2	1	0	1	2	1	18
Col. Pct.	0.2%	0.6%	0.5%	0.5%	0.2%	0.0%	0.4%	0.2%	0.0%	0.2%	0.4%	0.2%	0.3%
Total	555	471	548	585	462	513	531	524	421	488	480	480	6058
Col. Pct.	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%

Table 10.2 Unusual Incident Category by Staff Use of Force January - December 2008								
				Type of Force				
Incident Category	No Force Used	Body Hold	Handcuff	Shield	Baton	Chemical Agent	Firearm	Other	Total
Accident	499	0	0	0	0	0	0	0	499
Assault on Inmate	594	42	9	1	10	0	0	0	656
Assault on Staff	146	288	104	3	33	0	0	6	580
Assault on Other	5	0	1	0	0	0	0	0	6
Contraband	2459	58	19	1	1	0	0	0	2538
Death	142	0	0	0	0	0	0	0	142
Disruptive Behavior	154	56	58	30	25	52	1	2	378
Utilities Disruption	28	0	0	0	0	0	0	0	28
Employee Misconduct	128	0	0	0	0	0	0	1	129
Attempted Escape	3	0	1	0	0	0	0	0	4
Fire	40	1	0	0	0	0	0	0	41
Property Destruction	8	0	0	0	0	0	0	0	8
Property Lost/Stolen	140	0	0	0	0	0	0	0	140
Self-Injury	74	2	1	0	1	0	0	0	78
Sexual Misconduct	57	1	1	0	0	0	0	0	59
Suicide Attempt	88	2	2	0	0	0	0	0	92
Temporary Release	128	0	0	0	0	0	0	0	128
Employee Weapon Use	127	0	1	0	26	14	1	0	169
Employee Job Action	2	0	0	0	0	0	0	0	2
Other Incidents	353	13	5	0	0	1	0	9	381
Total	5175	463	202	35	96	67	2	18	6058
Row Pct.	85.4%	7.6%	3.3%	0.6%	1.6%	1.1%	0.0%	0.3%	100%

APPENDIX A

RATE CALCULATION METHOD

Annualized rates are calculated as the number of unusual incidents per 1,000 inmate population per year. For example, the 6,058 incidents which were reported during January-December 2008 are divided by the average population (N=61,724), and multiplied by 1,000 to yield 98.1 incidents per thousand inmates. The same method is used to calculate the rate of unusual incidents at particular correctional facilities (the population base is the average population at the facility; see Table 3.1) and to calculate the rate of specific types of unusual incidents (where the numerator is the total incidents in each incident category and the population base is either the average of the total population or the average of the population of a facility).

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