

State of New York
Department of Correctional Services

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Albany, New York 12226

UNUSUAL INCIDENT REPORT
JANUARY – DECEMBER 2006



Eliot Spitzer
Governor



Brian Fischer
Commissioner

**UNUSUAL INCIDENT REPORT
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EXECUTIVE SUMMARY

- **Number and Rate of Unusual Incidents January - December 2006**

There were 5,040 unusual incidents during January-December 2006. The annual rate of unusual incidents was 79.6 incidents per thousand inmate population (see Table 2, p.6).

- **Rate of Unusual Incidents by Facility Security Level**

The rate of unusual incidents was highest in maximum security facilities with 123.5 incidents per thousand inmate population. Medium security prisons experienced a rate of 46.3; minimum security (including work release facilities) 100.3; minimum security Shock Incarceration facilities 53.6; and minimum security camps 39.6 (see Table 3.1, pp. 9-11).

- **Inmate Weapon Use**

Weapons were used by inmates in 29.2% of unusual incidents. Cutting or stabbing weapons were most often used during inmate assault on inmate and self-injury incidents. Use of body, such as fists or kicking, were most often used during inmate assault on staff incidents (see Table 4.2, p. 17).

- **Deaths**

There were 131 NYSDOCS inmate deaths reported in 2006, plus one inmate death at an Office of Mental Health (OMH) facility. The total included 8 suicides in NYSDOCS facilities. An additional 118 inmate deaths were due to natural causes (see Table 6, p. 20).

- **Inmate Assault on Staff**

There were 532 inmate assault on staff incidents in 2006. The annualized rate for maximum security prisons was 16.8 incidents per thousand inmate population; the rate for all facilities was 8.4 (see **Table 8.1, pp. 26-28**).

- **Inmate Assault on Inmate**

In 2006 there were 683 inmate assault on inmate incidents. The annualized rate for maximum security prisons was 18.5 incidents per thousand inmate population; the rate for all facilities was 10.8 (see **Table 8.3, pp. 33-35**).

- **Extent of Injury to Staff**

Most unusual incidents were resolved without injuries to staff. Of 15,661 staff members listed in unusual incidents, 95.7% did not incur an injury (see **Table 9.1, p. 40**).

- **Force Used to Resolve Incidents**

Department employees used force in 13.9% of unusual incidents in 2006. Force was used most often in inmate assault on staff incidents (see **Table 10.2, p. 42**).

INTRODUCTION

The Unusual Incident System maintains information on incidents that occur within the New York State Department of Correctional Services (NYSDOCS). Events that disrupt or affect facility operations are considered unusual incidents and are reported by telephone to the DOCS Communication Control Center located in Albany and entered into the computer system via terminals at the correctional facilities.

The reporting system is capable of recording information on more than one type of unusual incident that occurs as part of a series of events. In concert with other information maintained in electronic databases, the Department can examine characteristics of victims and perpetrators involved in assaultive behavior, as well as identify all inmates and staff listed in incidents.

Section One

FREQUENCY OF INCIDENTS

A total of 5,040 unusual incidents were reported in 2006. Table 1 shows the type and number of incidents that occurred each month during the year. The number of unusual incidents fluctuates from month to month, and while monthly variations may be important, trend analyses that extend over months may be more meaningful.

The most frequently reported categories of incidents were contraband (N=1,787), inmate assault on inmate (N=683), and inmate assault on staff (N=532). Together, the three categories accounted for 60% of reported incidents. The reader should note that the deaths reported in Table 1 combines 132 inmates (including one death while in OMH custody) and 7 staff (see also page 20).

Table 1 Unusual Incident Type by Month January - December 2006													
Incident Type	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Accident	29	19	36	23	53	39	37	46	46	51	36	31	446
Assault on Inmate	59	43	62	57	53	55	60	60	48	73	61	52	683
Assault on Staff	46	37	44	40	52	54	54	43	39	35	36	52	532
Assault on Other	1	1	0	3	0	0	0	1	0	1	0	3	10
Contraband	141	119	164	117	159	128	135	162	170	158	139	195	1787
Death	12	13	7	11	18	9	6	18	14	10	10	11	139
Disruptive Behavior	25	24	26	17	31	26	24	21	29	30	22	28	303
Utilities Disruption	3	3	0	1	2	2	1	4	1	2	1	1	21
Employee Misconduct	10	7	12	10	13	17	10	13	14	9	13	4	132
Escape	0	0	0	0	1	1	1	0	0	0	1	0	4
Attempted Escape	0	0	0	0	0	1	0	0	0	1	0	1	3
Fire	2	2	1	3	2	2	1	1	1	3	2	5	25
Hostage Situation	0	0	0	0	0	0	0	0	0	0	0	0	0
Inmate Disturbance	0	0	0	0	0	0	0	0	0	0	0	0	0
Mass Demonstration	0	0	0	0	0	0	0	0	0	0	0	0	0
Property Destruction	0	1	0	0	0	0	1	1	0	0	0	1	4
Property Lost/Stolen	11	5	7	5	11	5	7	11	9	13	6	11	101
Self-Injury	3	5	6	2	11	3	7	9	3	8	9	6	72
Sexual Misconduct	6	5	3	5	8	1	11	4	4	7	4	9	67
Suicide Attempt	3	3	6	3	3	7	2	10	6	3	4	4	54
Temporary Release	9	15	9	11	16	18	15	6	17	13	11	18	158
Employee Weapon Use	17	14	6	8	16	18	16	13	18	17	3	14	160
Employee Job Action	0	0	0	0	1	0	2	0	0	0	0	0	3
Other Incidents	36	26	16	26	37	25	24	25	29	38	27	27	336
Total	413	342	405	342	487	411	414	448	448	472	385	473	5,040

Section Two

ANNUALIZED RATE OF UNUSUAL INCIDENTS

The rate of unusual incidents is a ratio of the number of reported incidents to the inmate population. The rate measure used in this report is standardized per 1,000 inmates per year and allows for comparison between facilities and time periods. See Appendix A for an illustration of the rate calculation methodology.

Table 2 displays the frequency and rate of unusual incidents by category. In 2006, there were 79.6 incidents per 1,000 inmates. Unusual incident rates for correctional facilities are presented in Section 3; rates of assault on staff by inmates and rates of inmate on inmate assault are presented in Section 8. An additional method to examine unusual incidents is by inmate involvement. In 2006, there were 5,979 inmates listed in UI reports (see Section 5), a rate of 94.4 per 1,000 incarcerated inmates.

Table 2 Unusual Incident Frequency and Annual Rate January - December 2006		
Incident Type	Number of Incidents	Annual Rate
Accident	446	7.0
Assault on Inmate	683	10.8
Assault on Staff	532	8.4
Assault on Other	10	0.2
Contraband	1787	28.2
Death	139	2.2
Disruptive Behavior	303	4.8
Utilities Disruption	21	0.3
Employee Misconduct	132	2.1
Escape	4	0.1
Attempted Escape	3	0.0
Fire	25	0.4
Property Destruction	4	0.1
Property Lost/Stolen	101	1.6
Self-Injury	72	1.1
Sexual Misconduct	67	1.1
Suicide Attempt	54	0.9
Temporary Release	158	2.5
Employee Weapon Use	160	2.5
Employee Job Action	3	0.0
Other Incidents	336	5.3
Total	5,040	79.6

Average inmate population for 2006 was 63,318. Annual Rate is calculated per 1,000 inmates.

Section Three

NUMBER AND RATE OF UNUSUAL INCIDENTS BY FACILITY

The number and rate of unusual incidents are presented in a series of statistical tables and graphs on pages 8-13. The number and rate of incidents by correctional facility and security level appear in Table 3.1, pp.9-11; the number of incidents per month by facility and facility security level appear in Table 3.2, pp.12-14.

MAXIMUM SECURITY

Maximum security facilities reported 3,186 unusual incidents in 2006, a rate of 123.5 incidents per 1,000 inmates. Prisons that experienced the highest rates included Great Meadow (233.7) and Wende (221.4). Coxsackie Regional Medical Unit (RMU), Walsh RMU and Wende RMU, specialized facilities for chronically ill inmates, reported high rates of unusual incidents because of the large number of deaths that occurred among a small inmate population. Bedford Hills Correctional Facility imprisons female inmates and accounted for 79 unusual incidents, a rate of 95.4. Bedford Hills RMU reported two unusual incidents for a rate of 125.0.

MEDIUM SECURITY

Medium security prisons reported 1,614 incidents in 2006. While housing 55% of the inmate population, medium security facilities accounted for only 32% of incidents. The rate of incidents (46.3) in medium security facilities was about 60% of the rate for the system overall (79.6). Included in the medium security level are ASACTC facilities (Alcohol and Substance Abuse Correctional Treatment Centers) which provide intensive counseling to inmates with histories of drug and alcohol abuse. A review of Table 3.1 reveals that most ASACTC facilities have a low rate of unusual incidents when compared to other medium security facilities.

Greene (87.4) and Washington (88.9) Correctional Facilities reported a relatively high rate of incidents. This is due in part to the large number and percentage of inmates aged 16-20 housed in these facilities. Historically, younger inmates have higher rates of involvement in unusual incidents. Bayview Correctional Facility, a prison for female inmates with a work release component, reported a rate of 131.8 incidents per 1,000 inmates and included a number of inmate absconders.

MINIMUM SECURITY

The minimum security category includes the following work release facilities: Buffalo, Edgecombe, Fulton, Lincoln, and Rochester. The rate of unusual incidents was 100.3 per thousand inmates in 2006. Beacon incarcerates female inmates.

MINIMUM SHOCK INCARCERATION

Shock Incarceration facilities, commonly referred to as boot camps, reported 26 incidents in 2006, a rate of 53.6 per 1,000 inmates. Moriah Shock Incarceration Program reported twelve incidents, a rate of 66.3.

MINIMUM CAMPS

Minimum security camps recorded 26 unusual incidents or a rate of 39.6 incidents per 1,000 inmate population. Camp Pharsalia (71.9) recorded the highest rate due to large number of accidents and Camp McGregor (6.9) incidents reported the lowest rate.

MISCELLANEOUS

Twelve unusual incidents were reported by Central Office staff. Seven accidents, four instances of lost or stolen property, and one incident of employee weapon use were reported.

Table 3.1
 Number and Rate of Unusual Incidents by Security Level and Facility
 January - December 2006

Correctional Facility	Population 2006	Unusual Incidents	Rate per 1,000 Inmates
Maximum Security			
Attica	2,201	231	105.0
Auburn	1,774	322	181.5
Bedford Hills	828	79	95.4
Clinton	2,869	307	107.0
Coxsackie	981	72	73.4
Downstate	1,238	69	55.7
Eastern	1,181	49	41.5
Elmira	1,828	313	171.2
Five Points	1,428	138	96.6
Great Meadow	1,660	388	233.7
Green Haven	2,150	167	77.7
Shawangunk	543	36	66.3
Sing Sing	1,734	234	134.9
Southport	850	104	122.4
Sullivan	761	81	106.4
Upstate	1,202	226	188.0
Wende	858	190	221.4
Subtotal	24,086	3,006	124.8
Cayuga SHU200	168	11	65.5
Collins SHU200	161	5	31.1
Fishkill SHU200	163	7	42.9
Gouverneur SHU200	167	4	24.0
Greene SHU200	165	13	78.8
Lakeview SHU200	169	17	100.6
Marcy SHU200	164	10	61.0
Mid-State SHU200	166	7	42.2
Orleans SHU200	136	10	73.5
Subtotal	1,459	84	57.6
Bedford Hills RMU	16	2	125.0
Coxsackie RMU	58	32	551.7
Walsh RMU	110	37	336.4
Wende RMU	78	25	320.5
Subtotal	262	96	366.4
Total	25,807	3,186	123.5

(continued on the next page)

Table 3.1
Number and Rate of Unusual Incidents by Security Level and Facility
January - December 2006

Correctional Facility	Population 2006	Unusual Incidents	Rate per 1,000 Inmates
Medium Security			
Adirondack	558	23	41.2
Albion	1,164	34	29.2
Altona	478	18	37.7
Arthur Kill	891	65	73.0
Arthur Kill ASACTC	56	0	0.0
Bare Hill	1,719	68	39.6
Bayview	220	29	131.8
Butler	164	4	24.4
Cape Vincent	866	26	30.0
Cayuga	867	24	27.7
Chateaugay	221	0	0.0
Collins	964	57	59.1
Fishkill	1,439	90	62.5
Fishkill RMU	163	6	36.8
Franklin	1,715	49	28.6
Gouverneur	870	28	32.2
Gowanda	1,735	64	36.9
Greene	1,614	141	87.4
Groveland	1,236	53	42.9
Hale Creek ASACTC	428	6	14.0
Hudson	507	41	80.9
Lakeview Reception-F	3	0	0.0
Lakeview Reception-M	566	11	19.4
Lakeview Shock-F	82	3	36.6
Lakeview Shock-M	446	16	35.9
Livingston	870	38	43.7
Marcy	1,110	82	73.9
Mid-Orange	721	39	54.1
Mid-State	1,261	68	53.9
Mohawk	1,298	44	33.9
Mt. McGregor	534	21	39.3
Ogdensburg	597	10	16.8
Oneida	1,184	50	42.2
Orleans	868	65	74.9
Otisville	599	19	31.7
Riverview	875	21	24.0
Taconic	211	15	71.1
Taconic ASACTC	102	0	0.0
Ulster	849	25	29.4
Wallkill	599	14	23.4
Washington	1,057	94	88.9
Watertown	650	30	46.2
Woodbourne	789	33	41.8
Wyoming	1,607	88	54.8
Wyoming ASACTC	96	2	20.8
Total	34,849	1,614	46.3

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Table 3.1
Number and Rate of Unusual Incidents by Security Level and Facility
January - December 2006

Correctional Facility	Population 2006	Unusual Incidents	Rate per 1,000 Inmates
Minimum Security			
Beacon	234	8	34.2
Buffalo	114	28	245.6
Butler Minimum	211	2	9.5
Edgecombe	152	45	296.1
Fulton	87	27	310.3
Lincoln	143	41	286.7
Lyon Mountain	152	5	32.9
Mid-State Minimum	163	0	0.0
Queensboro	405	9	22.2
Rochester	47	11	234.0
Summit	46	0	0.0
Total	1,754	176	100.3
Minimum Shock			
Monterey Shock	178	9	50.6
Moriah Shock	181	12	66.3
Summit Shock	126	5	39.7
Total	485	26	53.6
Minimum Camps			
Camp Gabriels	181	8	44.2
Camp Georgetown	177	6	33.9
Camp McGregor	145	1	6.9
Camp Pharsalia	153	11	71.9
Total	656	26	39.6
Other			
Central Office	0	12	NA
Total	0	12	NA
Grand Total	63,318	5,040	79.6

Table 3.2
Number and Rate of Unusual Incidents by Month by Security Level and Facility
January - December 2006

Correctional Facility	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Maximum Security													
Attica	17	19	11	19	26	20	11	18	16	19	21	34	231
Auburn	23	27	24	16	27	20	38	27	45	20	28	27	322
Bedford Hills	8	7	7	9	3	8	9	7	3	5	6	7	79
Clinton	29	25	36	18	30	19	16	26	18	37	27	26	307
Coxsackie	5	3	6	3	4	6	7	9	7	7	5	10	72
Downstate	2	4	11	3	4	6	2	4	6	12	4	11	69
Eastern	6	4	6	4	4	2	5	4	8	4	0	2	49
Elmira	23	13	29	21	27	24	32	36	33	25	25	25	313
Five Points	14	14	14	9	15	13	9	7	13	8	6	16	138
Great Meadow	50	30	30	24	46	30	25	26	30	28	30	39	388
Green Haven	13	9	22	10	11	11	16	14	16	17	10	18	167
Shawangunk	3	1	2	1	5	3	4	7	6	2	0	2	36
Sing Sing	26	17	13	16	19	21	14	20	21	34	11	22	234
Southport	16	1	6	7	12	13	14	11	9	7	3	5	104
Sullivan	4	9	5	3	8	11	7	2	9	7	6	10	81
Upstate	12	31	13	16	32	22	5	16	19	24	13	23	226
Wende	14	15	16	14	14	11	21	21	8	33	9	14	190
Subtotal	265	229	251	193	287	240	235	255	267	289	204	291	3006
Cayuga SHU200	0	0	1	3	0	3	0	2	1	0	0	1	11
Collins SHU200	0	0	1	1	0	0	1	0	0	1	1	0	5
Fishkill SHU200	2	2	0	0	0	1	0	0	2	0	0	0	7
Gouverneur SHU200	0	0	0	0	4	0	0	0	0	0	0	0	4
Greene SHU200	0	0	1	1	4	2	3	1	1	0	0	0	13
Lakeview SHU200	0	0	2	0	1	4	0	2	1	1	0	6	17
Marcy SHU200	2	2	0	0	0	0	0	0	0	5	0	1	10
Mid-State SHU200	0	1	1	0	0	3	0	0	2	0	0	0	7
Orleans SHU200	0	0	1	2	2	0	1	1	0	1	0	2	10
Subtotal	4	5	7	7	11	13	5	6	7	8	1	10	84
Bedford Hills RMU	0	0	1	0	0	0	0	0	0	0	0	1	2
Coxsackie RMU	1	4	2	2	2	3	2	3	2	3	1	7	32
Walsh RMU	6	1	4	3	5	0	5	5	1	3	3	1	37
Wende RMU	1	0	4	2	4	1	3	4	1	0	2	3	25
Subtotal	8	5	11	7	11	4	10	12	4	6	6	12	96
Total	277	239	269	207	309	257	250	273	278	303	211	313	3,186
Column Percent	67%	70%	66%	61%	63%	63%	60%	61%	62%	64%	55%	66%	63%

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Table 3.2 (continued)
Number and Rate of Unusual Incidents by Month by Security Level and Facility
January - December 2006

Correctional Facility	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Medium Security													
Adirondack	3	0	1	1	1	1	4	3	2	1	1	5	23
Albion	4	1	1	2	1	2	2	6	3	6	2	4	34
Altona	1	3	0	2	0	3	1	0	2	3	2	1	18
Arthur Kill	4	3	2	4	8	7	4	9	10	4	3	7	65
Arthur Kill ASACTC	0	0	0	0	0	0	0	0	0	0	0	0	0
Bare Hill	5	6	7	7	9	4	4	3	8	6	5	4	68
Bayview	2	3	2	1	4	2	1	2	4	4	2	2	29
Butler	0	0	1	2	0	0	0	0	0	0	1	0	4
Cape Vincent	3	1	3	0	3	4	1	3	2	4	1	1	26
Cayuga	1	2	2	1	0	3	2	5	0	3	4	1	24
Chateaugay	0	0	0	0	0	0	0	0	0	0	0	0	0
Collins	3	4	7	4	7	9	3	8	5	5	1	1	57
Fishkill	9	2	4	8	5	7	9	9	11	13	6	7	90
Fishkill RMU	0	1	1	1	0	0	0	0	2	1	0	0	6
Franklin	7	5	3	4	4	9	1	6	3	4	2	1	49
Gouverneur	1	0	3	4	1	1	3	3	0	5	4	3	28
Gowanda	4	1	6	7	2	6	6	7	6	6	8	5	64
Greene	8	7	11	16	15	9	17	10	8	9	16	15	141
Groveland	3	2	2	2	5	7	8	6	4	1	11	2	53
Hale Creek ASACTC	0	0	0	0	0	2	0	2	0	1	0	1	6
Hudson	2	1	3	3	8	4	4	2	4	5	4	1	41
Lakeview Reception-F	0	0	0	0	0	0	0	0	0	0	0	0	0
Lakeview Reception-M	1	0	0	2	0	3	1	2	1	1	0	0	11
Lakeview Shock-F	0	0	2	1	0	0	0	0	0	0	0	0	3
Lakeview Shock-M	1	1	1	4	3	0	4	0	0	1	0	1	16
Livingston	3	3	4	2	3	4	2	3	1	12	1	0	38
Marcy	1	6	9	5	7	5	9	3	9	8	11	9	82
Mid-Orange	2	1	4	0	8	3	3	4	5	3	3	3	39
Mid-State	1	1	3	5	8	5	6	7	8	8	9	7	68
Mohawk	3	6	5	0	4	4	6	1	5	3	5	2	44
Mt. McGregor	1	3	1	1	1	1	0	3	3	3	3	1	21
Ogdensburg	1	1	0	0	4	1	2	0	0	0	1	0	10
Oneida	6	1	5	2	3	3	3	6	6	1	5	9	50
Orleans	4	0	2	4	8	2	3	11	11	7	3	10	65
Otisville	1	1	3	3	3	1	3	1	0	1	0	2	19
Riverview	1	1	2	2	2	0	3	4	1	3	1	1	21
Taconic	1	0	0	3	1	1	2	4	1	0	1	1	15
Taconic ASACTC	0	0	0	0	0	0	0	0	0	0	0	0	0
Ulster	3	0	1	1	3	2	2	2	4	3	3	1	25
Walkkill	1	1	0	0	0	0	3	0	5	2	1	1	14
Washington	8	4	5	4	5	4	12	12	4	6	17	13	94
Watertown	5	2	1	1	5	4	1	3	1	3	4	0	30
Woodbourne	4	1	3	7	3	0	1	1	4	2	2	5	33
Wyoming	10	4	8	4	11	8	6	11	7	2	4	13	88
Wyoming ASACTC	0	1	0	0	0	0	1	0	0	0	0	0	2
Total	118	80	118	120	155	131	143	162	150	150	147	140	1,614
Column Percent	29%	23%	29%	35%	32%	32%	35%	36%	33%	32%	38%	30%	32%

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Table 3.2 (continued)
 Number and Rate of Unusual Incidents by Month by Security Level and Facility
 January - December 2006

Correctional Facility	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Minimum Security													
Beacon	0	0	0	0	1	0	2	0	0	2	3	0	8
Buffalo	1	4	1	1	1	3	3	0	3	2	3	6	28
Butler Minimum	1	0	0	0	0	0	1	0	0	0	0	0	2
Edgecombe	4	6	2	4	3	5	3	2	3	5	3	5	45
Fulton	1	1	4	0	5	1	3	2	3	1	4	2	27
Lincoln	2	3	1	6	2	6	4	3	2	5	5	2	41
Lyon Mountain	1	0	0	0	0	1	0	0	2	1	0	0	5
Mid-State Minimum	0	0	0	0	0	0	0	0	0	0	0	0	0
Queensboro	1	1	0	0	2	0	1	1	1	1	1	0	9
Rochester	0	1	0	1	2	3	1	0	2	0	0	1	11
Summit	0	0	0	0	0	0	0	0	0	0	0	0	0
Total	11	16	8	12	16	19	18	8	16	17	19	16	176
Column Percent	2.7%	4.7%	2.0%	3.5%	3.3%	4.6%	4.3%	1.8%	3.6%	3.6%	4.9%	3.4%	3.5%
Minimum Shock													
Monterey Shock	0	1	0	0	1	1	0	1	0	0	2	3	9
Moriah Shock	2	0	2	0	1	2	0	0	1	0	3	1	12
Summit Shock	0	0	1	0	2	0	1	1	0	0	0	0	5
Total	2	1	3	0	4	3	1	2	1	0	5	4	26
Column Percent	0.5%	0.3%	0.7%	0.0%	0.8%	0.7%	0.2%	0.4%	0.2%	0.0%	1.3%	0.8%	0.5%
Minimum Camps													
Camp Gabriels	1	2	1	0	2	0	0	1	0	0	1	0	8
Camp Georgetown	0	0	1	3	0	1	0	0	0	1	0	0	6
Camp McGregor	0	0	0	0	0	0	1	0	0	0	0	0	1
Camp Pharsalia	2	3	2	0	0	0	1	0	1	0	2	0	11
Total	3	5	4	3	2	1	2	1	1	1	3	0	26
Column Percent	0.7%	1.5%	1.0%	0.9%	0.4%	0.2%	0.5%	0.2%	0.2%	0.2%	0.8%	0.0%	0.5%
Other													
Central Office	2	1	3	0	1	0	0	2	2	1	0	0	12
Total	2	1	3	0	1	0	0	2	2	1	0	0	12
Column Percent	0.5%	0.3%	0.7%	0.0%	0.2%	0.0%	0.0%	0.4%	0.4%	0.2%	0.0%	0.0%	0.2%
Grand Total	413	342	405	342	487	411	414	448	448	472	385	473	5,040
Column Percent	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%

Section Four

INMATE WEAPON USE

Tables 4.1 (p. 16) and 4.2 (p. 17) show the most dangerous weapons used in unusual incidents. The count of weapons includes only the most dangerous weapon used in an incident and is not a count of all weapons in an incident. For example, in an inmate assault on inmate unusual incident, when two inmates with shanks (prison-made knives) attack another inmate who defends himself with a broom handle, a shank would be counted as the most serious weapon used in the assault incident.

In 2006, inmates used weapons in 29.2% of all unusual incidents. Table 4.1 shows that 4.6% of incidents involved the 'other' weapon category and included items such as garrotes, human waste, and unspecified or unknown weapons. A large number of unknown weapons are present in inmate assault on inmate incidents. In the majority of those cases, the weapon was not found by correctional staff but the injuries sustained by inmate victims were consistent with the type of injuries inflicted by cutting/stabbing weapons. The Unusual Incident reporting system categorizes "unknown" weapons as "unrecovered cutting or stabbing weapon" if injuries were consistent with use of those weapons.

Inmate weapon use is concentrated within a few unusual incident categories: inmate assault on inmate, inmate assault on staff, self-injury, and disruptive behavior. Inmate attacks on other inmates usually involved cut/stab weapons (63.0%). In inmate attacks on staff, inmates most often used their body (N=418, 78.6%) to hit, kick, or bite correctional staff (see table 4.2). Use of 'other' weapons in attacks on staff (N=91, 17.1%) included inmates throwing human waste and/or other fluids, such as water, juice or hot coffee, onto correction officers.

Table 4.1
Use of Weapons by Month of Incident
January - December 2006

Type of Weapon	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
No Weapon Used													
No Weapon Total	288	248	270	227	360	281	280	322	341	342	265	344	3,568
Col. Pct.	69.7%	72.5%	66.7%	66.4%	73.9%	68.4%	67.6%	71.9%	76.1%	72.5%	68.8%	72.7%	70.8%
Use of Body													
Fist, Kick, etc. Total	50	43	64	51	50	64	64	48	46	56	55	62	653
Col. Pct.	12.1%	12.6%	15.8%	14.9%	10.3%	15.6%	15.5%	10.7%	10.3%	11.9%	14.3%	13.1%	13.0%
Cut or Stab Weapon													
Broken Glass	0	0	1	0	1	1	0	0	0	0	0	1	4
Eating Utensil	0	0	0	0	0	0	0	0	0	0	1	0	1
Knife-Manufactured	0	0	0	0	1	0	0	0	0	1	0	0	2
Pen or Pencil	0	0	1	5	1	0	0	1	1	1	1	2	13
Razor Blade	9	4	7	4	5	9	7	7	4	8	3	4	71
Ice Pick Type	1	0	0	0	2	0	0	1	0	1	0	0	5
Shank	8	7	7	9	11	8	8	8	11	10	10	5	102
Can Lid	0	0	0	0	0	0	0	0	0	0	0	1	1
Unrc. Cutting Weapon	20	16	30	21	24	16	29	22	16	31	23	19	267
Unrc. Stab. Weapon	4	3	5	3	3	4	4	3	3	6	3	7	48
Total	42	30	51	42	48	38	48	42	35	58	41	39	514
Col. Pct	10.2%	8.8%	12.6%	12.3%	9.9%	9.2%	11.6%	9.4%	7.8%	12.3%	10.6%	8.2%	10.2%
Club													
Weighted Container	5	2	0	1	0	3	0	5	2	2	1	0	21
Other Club	0	0	1	1	1	0	0	1	1	2	1	0	8
Wooden Club	3	1	1	4	1	2	3	3	0	2	0	4	24
Kitchen Tray	0	1	0	1	4	1	1	2	1	0	1	0	12
Total	8	4	2	7	6	6	4	11	4	6	3	4	65
Col. Pct.	1.9%	1.2%	0.5%	2.0%	1.2%	1.5%	1.0%	2.5%	0.9%	1.3%	0.8%	0.8%	1.3%
Metal Object													
Chair	0	1	1	1	1	1	2	1	0	0	0	0	8
Metal Pipe	0	0	0	0	0	0	0	0	0	1	0	0	1
Total	0	1	1	1	1	1	2	1	0	1	0	0	9
Col. Pct.	0.0%	0.3%	0.2%	0.3%	0.2%	0.2%	0.5%	0.2%	0.0%	0.2%	0.0%	0.0%	0.2%
Other Weapon													
Combustible Material	0	1	0	0	0	0	0	0	0	0	0	0	1
Garrotte	2	1	5	3	3	3	2	6	7	0	3	5	40
Human Waste	3	5	2	2	5	2	2	0	1	1	0	3	26
Water or Other Fluid	4	4	2	2	5	1	4	6	2	0	1	4	35
Body Fluids	4	2	1	0	3	2	3	2	1	1	2	3	24
Not Specified	4	1	6	4	3	5	2	6	6	3	10	3	53
Other	8	2	1	3	3	8	3	4	5	4	5	6	52
Total	25	16	17	14	22	21	16	24	22	9	21	24	231
Col. Pct.	6.1%	4.7%	4.2%	4.1%	4.5%	5.1%	3.9%	5.4%	4.9%	1.9%	5.5%	5.1%	4.6%
Grand Total	413	342	405	342	487	411	414	448	448	472	385	473	5,040
Percent	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%

Table 4.2
Incident Category by Inmate Weapon Use
January - December 2006

Incident Category	No Weapon Used	Use of Body	Cut/Stab Weapon	Club	Metal Object	Other Weapon	Total
Accident Row Pct.	446 100.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	446 100.0%
Assault on Inmate Row Pct.	14 2.0%	100 14.6%	430 63.0%	50 7.3%	9 1.3%	80 11.7%	683 100.0%
Assault on Staff Row Pct.	7 1.3%	418 78.6%	4 0.8%	12 2.3%	0 0.0%	91 17.1%	532 100.0%
Assault on Other Row Pct.	0 0.0%	9 90.0%	0 0.0%	0 0.0%	0 0.0%	1 10.0%	10 100.0%
Contraband Row Pct.	1,767 98.9%	0 0.0%	18 1.0%	1 0.1%	0 0.0%	1 0.1%	1,787 100.0%
Death Row Pct.	132 95.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	7 5.0%	139 100.0%
Disruptive Behavior Row Pct.	174 57.4%	121 39.9%	3 1.0%	2 0.7%	0 0.0%	3 1.0%	303 100.0%
Utilities Disruption Row Pct.	21 100.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	21 100.0%
Employee Misconduct Row Pct.	132 100.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	132 100.0%
Escape Row Pct.	4 100.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	4 100.0%
Attempted Escape Row Pct.	3 100.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	3 100.0%
Fire Row Pct.	25 100.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	25 100.0%
Property Destruction Row Pct.	4 100.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	4 100.0%
Property Lost or Stolen Row Pct.	101 100.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	101 100.0%
Self-Injury Row Pct.	13 18.1%	5 6.9%	51 70.8%	0 0.0%	0 0.0%	3 4.2%	72 100.0%
Sexual Misconduct Row Pct.	67 100.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	67 100.0%
Suicide Attempt Row Pct.	10 18.5%	0 0.0%	7 13.0%	0 0.0%	0 0.0%	37 68.5%	54 100.0%
Temporary Release Row Pct.	156 98.7%	0 0.0%	1 0.6%	0 0.0%	0 0.0%	1 0.6%	158 100.0%
Employee Weapon Use Row Pct.	160 100.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	160 100.0%
Employee Job Action Row Pct.	3 100.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	3 100.0%
Other Incidents Row Pct.	329 97.9%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	7 2.1%	336 100.0%
Grand Total Percent	3,568 70.8%	653 13.0%	514 10.2%	65 1.3%	9 0.2%	231 4.6%	5,040 100.0%

Section Five

INMATE ROLE IN INCIDENT

Table 5.1 (p. 18) shows the role of inmates according to type of unusual incident. Inmate roles are divided into five categories: perpetrator, participant, suspect, victim, or witness. "Perpetrator" refers to the inmate who is responsible for the unusual incident (i.e., the individual who assaulted another person, started a fire, possessed contraband, or escaped from a facility). The "participant" role describes an inmate who is involved in an unusual incident but in a secondary capacity when compared to the perpetrator. An example would be an inmate who engages in a work stoppage but only after instigation by other inmates. "Suspect" refers to an inmate who is suspected of involvement in an incident but whose role will be determined after additional investigation. "Victim" refers to an inmate who has suffered an injury or loss due to the actions of other inmates, i.e., someone who has been assaulted or suffered smoke inhalation from a fire. The "witness/bystander" role describes an inmate who observed an unusual incident, or may have been present during an unusual incident but was not actively involved in the incident.

A total of 5,979 inmates were involved in unusual incidents in 2006. Specifically, there were 3,915 inmate perpetrators; 153 inmate participants; 1,218 inmate victims; 267 suspects; and 426 witnesses or bystanders. It should be noted that reporting system procedures enable an inmate's involvement to be counted several times depending upon the number of unusual incident reports filed as a result of a series of events. For example, if an inmate uses a knife in an assault of another inmate, the event may be reported in two categories - one for the assault incident, another for the inmate's possession of a weapon (contraband). While both unusual incidents are part of the same situation, the inmate would be listed as a perpetrator twice.

Table 5.2 (p. 18) shows the distribution of inmate roles by month for calendar year 2006.

Table 5.1
Unusual Incident Type by Inmate Role
January - December 2006

Inmate Role in Incident												
Incident Type	Perpetrator		Participant		Suspect		Victim		Witness or Bystander		Total	
	Number	Col. Pct.	Number	Col. Pct.	Number	Col. Pct.	Number	Col. Pct.	Number	Col. Pct.	Number	Col. Pct.
Accident	3	0.1%	24	15.7%	0	0.0%	346	28.4%	55	12.9%	428	7.2%
Assault on Inmate	624	15.9%	36	23.5%	56	21.0%	661	54.3%	30	7.0%	1,407	23.5%
Assault on Staff	537	13.7%	13	8.5%	2	0.7%	0	0.0%	28	6.6%	580	9.7%
Assault on Other	10	0.3%	0	0.0%	0	0.0%	0	0.0%	1	0.2%	11	0.2%
Contraband	1,645	42.0%	19	12.4%	143	53.6%	1	0.1%	94	22.1%	1,902	31.8%
Death	0	0.0%	0	0.0%	0	0.0%	132	10.8%	16	3.8%	148	2.5%
Disruptive Behavior	601	15.4%	15	9.8%	0	0.0%	5	0.4%	5	1.2%	626	10.5%
Utilities Disruption	1	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	1	0.0%
Employee Misconduct	1	0.0%	2	1.3%	1	0.4%	2	0.2%	0	0.0%	6	0.1%
Escape	4	0.1%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	4	0.1%
Attempted Escape	4	0.1%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	4	0.1%
Fire	5	0.1%	0	0.0%	1	0.4%	10	0.8%	9	2.1%	25	0.4%
Property Destruction	1	0.0%	1	0.7%	45	16.9%	0	0.0%	9	2.1%	56	0.9%
Property Lost/Stolen	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%	0	0.0%
Self-Injury	69	1.8%	0	0.0%	0	0.0%	3	0.2%	6	1.4%	78	1.3%
Sexual Misconduct	93	2.4%	3	2.0%	0	0.0%	11	0.9%	5	1.2%	112	1.9%
Suicide Attempt	49	1.3%	1	0.7%	0	0.0%	5	0.4%	9	2.1%	64	1.1%
Employee Weapon Use	178	4.5%	8	5.2%	0	0.0%	3	0.2%	5	1.2%	194	3.2%
Other Incidents	90	2.3%	31	20.3%	19	7.1%	39	3.2%	154	36.2%	333	5.6%
Total	3,915	100%	153	100%	267	100%	1218	100%	426	100%	5,979	100%

Table 5.2
Inmate Role by Month of Incident
January - December 2006

Inmate Role	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Perpetrator	318	272	367	244	341	331	342	334	336	344	309	377	3,915
Col. Pct.	63.7%	69.2%	71.3%	60.5%	60.0%	75.7%	67.3%	66.9%	66.5%	59.9%	64.0%	68.7%	65.5%
Participant	24	8	9	11	17	13	17	7	20	10	10	7	153
Col. Pct.	4.8%	2.0%	1.7%	2.7%	3.0%	3.0%	3.3%	1.4%	4.0%	1.7%	2.1%	1.3%	2.6%
Suspect	21	20	14	19	35	7	16	14	19	60	14	28	267
Col. Pct.	4.2%	5.1%	2.7%	4.7%	6.2%	1.6%	3.1%	2.8%	3.8%	10.5%	2.9%	5.1%	4.5%
Victim	104	70	97	92	123	94	100	115	106	113	106	98	1,218
Col. Pct.	20.8%	17.8%	18.8%	22.8%	21.7%	21.5%	19.7%	23.0%	21.0%	19.7%	21.9%	17.9%	20.4%
Witness / Bystander	32	23	28	37	52	38	33	29	24	47	44	39	426
Col. Pct.	6.4%	5.9%	5.4%	9.2%	9.2%	8.7%	6.5%	5.8%	4.8%	8.2%	9.1%	7.1%	7.1%
Total	499	393	515	403	568	437	508	499	505	574	483	549	5,979
Col. Pct.	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%

Section Six

INMATE DEATHS

The number and cause of inmate deaths are shown in Table 6. The total differs from other statistical tables in this report because Table 6 reflects only the deaths of inmates who were in the Department's physical custody, had been transferred to other criminal justice agencies, or had been given permission to leave DOCS facilities for work release, day reporting, or furlough. The table does not include deaths of correctional staff.

An unusual incident report is made immediately upon discovery of an inmate death, but the reporting officer may not know the cause of death. Further investigation by Health Services' staff and local medical authorities is required to determine the final official cause of death. Table 6 reflects information known at the time this report was written. The reader should note that the deaths reported in Table 6 do not include one inmate who died while in custody of the Office of Mental Health. In addition to inmate deaths shown in Table 6, seven staff deaths were reported as unusual incidents. However, none of the staff deaths were due to inmate actions.

Table 6 Inmate Deaths: Month by Cause of Death January - December 2006				
Cause of Death				
Month of Death	Suicide	Natural Causes	Other Known Causes	Total
January	1	11	0	12
February	0	12	0	12
March	0	6	0	6
April	2	9	0	11
May	1	12	1	14
June	0	8	1	9
July	0	6	0	6
August	0	15	3	18
September	2	12	0	14
October	0	9	0	9
November	1	8	0	9
December	1	10	0	11
Total	8	118	5	131
Row Pct.	6.1%	90.1%	3.8%	100%

Section Seven

LOCATION OF UNUSUAL INCIDENTS

The location of unusual incidents and the incident type are shown in Table 7.1, p. 22. Locations are categorized into eleven primary areas. Most incidents (N=1,806, 35.8%) occurred in housing units which include general confinement housing as well as shower and toilet areas. An additional 559 incidents (11.1%) were reported in the prison yard which is an open area inside the facility perimeter that inmates use for recreation and exercise. Special Housing Units (S.H.U.) house inmates in disciplinary segregation or for protection from other inmates and were the location of 392 incidents (7.8%).

The incident types most often occurring in the housing areas were inmate assault on staff (N=219), contraband (N=881), and inmate assault on inmate (N=247). The fewest incidents were reported in gymnasiums (N=82, 1.6%), TV/Day Rooms (N=77, 1.5%), shops and classrooms (N=114, 2.3%), and mess halls (N=125, 2.5%). Table 7.2 presents incident location by month of incident for calendar year 2006.

Table 7.1
Unusual Incident Type by Location
January - December 2006

Incident Type	Location											Total
	Housing Unit	Mess Hall	Yard	TV/Day Room	Special Housing	Hospital M.H.U.	Shop or Class	Gym	Visiting Room	Outside Prison	Other	
Accident	92	9	135	7	3	11	20	41	1	65	62	446
Assault on Inmate	247	42	233	29	14	8	19	13	2	4	72	683
Assault on Staff	219	18	11	12	104	52	17	6	7	9	77	532
Assault on Other	1	0	0	0	0	1	2	0	4	2	0	10
Contraband	881	28	133	13	89	76	33	13	66	19	436	1,787
Death	9	0	3	0	2	47	0	0	0	75	3	139
Disruptive Behavior	121	11	27	10	67	34	3	4	2	3	21	303
Utilities Disruption	2	0	0	0	0	1	1	0	0	3	14	21
Employee Misconduct	1	3	2	0	1	3	3	0	1	59	59	132
Escape	0	0	0	0	0	0	1	0	0	1	2	4
Attempted Escape	1	0	0	0	0	0	0	0	0	1	1	3
Fire	14	2	0	2	0	0	3	0	0	1	3	25
Property Destruction	0	0	0	0	0	1	0	0	0	2	1	4
Property Lost/Stolen	7	1	0	0	4	19	7	0	0	27	36	101
Self-Injury	47	0	0	1	12	9	0	0	0	1	2	72
Sexual Misconduct	29	2	3	1	1	3	3	4	16	2	3	67
Suicide Attempt	31	0	1	0	17	4	0	0	0	1	0	54
Temporary Release	0	0	0	0	0	0	0	0	0	157	1	158
Employee Weapon Use	67	6	7	0	51	10	1	0	0	4	14	160
Employee Job Action	0	0	0	0	0	0	0	0	0	0	3	3
Other Incidents	37	3	4	2	27	54	1	1	32	21	154	336
Total	1,806	125	559	77	392	333	114	82	131	457	964	5,040
Row Pct.	35.8%	2.5%	11.1%	1.5%	7.8%	6.6%	2.3%	1.6%	2.6%	9.1%	19.1%	100%

Table 7.2
Unusual Incident Location by Month
January - December 2006

Incident Location	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Housing Unit													
Cell	46	41	51	37	49	46	32	51	59	45	35	69	561
Dormitory	27	32	34	32	41	39	50	50	39	39	50	51	484
Gallery	44	31	26	16	21	25	28	26	25	26	18	33	319
Shower	4	2	4	5	6	2	4	5	1	3	5	6	47
Toilet Area	4	4	4	8	5	5	6	4	3	9	6	12	70
Cell Block	7	11	13	15	25	20	16	15	15	15	10	20	182
Double-Cell	8	10	9	8	25	19	11	9	11	9	8	15	142
Slop Sink	0	0	0	0	0	0	0	0	0	0	0	1	1
Total	140	131	141	121	172	156	147	160	153	146	132	207	1,806
Column Pct.	34.2%	38.3%	34.8%	35.4%	35.3%	38.0%	35.5%	35.7%	34.2%	30.9%	34.3%	43.8%	35.8%
Mess Hall													
Kitchen	5	5	2	4	4	1	3	4	4	0	2	4	38
Mess Hall / In Line	4	7	6	8	13	6	6	6	10	6	10	5	87
Total	9	12	8	12	17	7	9	10	14	6	12	9	125
Column Pct.	2.2%	3.5%	2.0%	3.5%	3.5%	1.7%	2.2%	2.2%	3.1%	1.3%	3.1%	1.9%	2.5%
Yard													
Yard	33	14	52	29	54	47	71	56	57	61	52	28	554
Keyplock Yard	0	0	0	0	0	2	0	0	2	0	0	1	5
Total	33	14	52	29	54	49	71	56	59	61	52	29	559
Column Pct.	8.1%	4.1%	12.8%	8.5%	11.1%	11.9%	17.1%	12.5%	13.2%	12.9%	13.5%	6.1%	11.1%
TV Room / Day Area													
TV Room / Day Area	2	6	10	7	7	9	3	12	5	4	5	7	77
Total	2	6	10	7	7	9	3	12	5	4	5	7	77
Column Pct.	0.5%	1.8%	2.5%	2.0%	1.4%	2.2%	0.7%	2.7%	1.1%	0.8%	1.3%	1.5%	1.5%
Special Housing													
SHU-Discipline	34	44	19	22	35	42	34	38	23	31	20	33	375
SHU-Invol. Protection	0	0	0	1	1	1	1	1	0	0	0	0	5
SHU-Vol. Protection	1	0	0	0	3	1	0	1	0	1	2	1	10
SHU-Yard	0	0	0	0	0	1	0	0	0	0	1	0	2
Total	31	44	19	23	39	45	35	40	23	32	23	34	392
Column Pct.	7.6%	12.9%	4.7%	6.7%	8.0%	10.9%	8.5%	8.9%	5.1%	6.8%	6.0%	7.2%	7.8%
Hospital													
Infirmery / Hospital	24	14	18	18	17	16	15	31	21	22	30	33	259
Mental Health Unit	4	6	7	4	7	1	9	4	7	15	4	6	74
Total	28	20	25	22	24	17	24	35	28	37	34	39	333
Column Pct.	6.8%	5.8%	6.2%	6.4%	4.9%	4.1%	5.8%	7.8%	6.3%	7.8%	8.8%	8.2%	6.6%

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Table 7.2 (continued)
Unusual Incident Location by Month
January - December 2005

Incident Location	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Shop or Classroom													
Classroom	5	3	6	7	6	3	3	4	8	3	6	3	57
Farm	0	1	0	2	0	2	1	1	1	1	0	1	10
Shop-Industries	2	1	1	1	2	1	0	1	0	2	2	4	17
Shop-Maintenance	2	0	0	0	0	1	0	2	3	1	0	2	11
Shop-Vocational	3	1	4	0	0	0	2	1	3	1	3	1	19
Total	12	6	11	10	8	7	6	9	15	8	11	11	114
Column Pct.	2.9%	1.8%	2.7%	2.9%	1.6%	1.7%	1.4%	2.0%	3.3%	1.7%	2.9%	2.3%	2.3%
Gymnasium													
Auditorium	0	0	0	0	0	0	0	2	0	0	1	0	3
Gymnasium	9	6	9	2	8	8	3	7	2	7	7	11	79
Total	9	6	9	2	8	8	3	9	2	7	8	11	82
Column Pct.	2.2%	1.8%	2.2%	0.6%	1.6%	1.9%	0.7%	2.0%	0.4%	1.5%	2.1%	2.3%	1.6%
Visiting Room													
Visiting Room	11	12	5	15	11	7	14	15	13	9	7	12	131
Total	11	12	5	15	11	7	14	15	13	9	7	12	131
Column Pct.	2.7%	3.5%	1.2%	4.4%	2.3%	1.7%	3.4%	3.3%	2.9%	1.9%	1.8%	2.5%	2.6%
Outside Prison													
In-Transit	1	1	1	1	1	1	4	0	0	1	0	2	13
Outside-Court	0	0	0	0	1	2	0	0	0	0	0	0	3
Outside-Hospital	9	8	8	5	10	4	4	13	18	8	7	9	103
Outside-Temp. Rel.	9	15	8	11	18	18	14	6	17	13	11	19	159
Outside-Unspecified	16	17	14	13	25	12	11	13	18	22	14	4	179
Total	35	41	31	30	55	37	33	32	53	44	32	34	457
Column Pct.	8.6%	12.0%	7.7%	8.8%	11.3%	9.0%	8.0%	7.1%	11.8%	9.3%	8.3%	7.2%	9.1%
Other Location													
Admin. Building	38	11	22	18	24	22	27	11	12	32	20	21	258
Corridor	25	16	27	8	7	11	16	19	25	27	13	23	217
Disciplinary Office	0	0	4	2	2	2	1	1	0	0	0	2	14
Front Gate	4	2	2	3	5	5	3	3	1	5	4	3	40
Inmate Reception	2	4	5	4	6	2	4	1	2	5	2	1	38
Lobby	5	4	5	5	3	1	1	1	0	2	1	0	28
Package Room	1	0	2	4	3	4	3	6	5	6	3	3	40
Rear Gate	0	1	0	0	5	2	1	0	4	0	1	2	16
Stairway	3	2	6	7	7	6	1	5	4	6	4	3	54
Walkway	0	0	0	0	0	0	0	0	0	0	0	1	1
Visitor Process	0	0	0	0	0	0	0	0	0	0	2	2	4
Parking / Perimeter	0	0	0	0	0	0	0	0	0	0	0	1	1
Not Specified	0	1	3	1	1	1	1	1	1	1	1	4	16
Other	21	9	18	19	29	13	11	22	29	34	18	14	237
Total	99	50	94	71	92	69	69	70	83	118	69	80	964
Column Pct.	24.2%	14.6%	23.2%	20.8%	18.9%	16.8%	16.7%	15.6%	18.5%	25.0%	17.9%	16.9%	19.1%
Grand Total	409	342	405	342	487	411	414	448	448	472	385	473	5,040
Column Pct.	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%

Section Eight

INMATE ASSAULT ON STAFF BY FACILITY AND MONTH OF INCIDENT

Table 8.1 (pp. 26-28) displays the number and rate of inmate assault on staff unusual incidents for 2006. The table provides information on the average daily population of each facility during the year, the number of assaults, and the annualized rate of inmate assault on staff incidents per thousand inmates of population. The annualized rate of inmate assault on staff incidents allows for standardized comparisons between security levels and facilities. A complete explanation of rate calculation is presented in Appendix A, page 43.

The highest number of assaults occurred in maximum security facilities which reported 434 incidents or a rate of 16.8 incidents per 1000 inmates of population. Both the number and rate of assaults on staff at maximum security prisons were considerably higher than medium and minimum security facilities. Medium security facilities experienced 94 inmate assault on staff incidents and all types of minimum security facilities reported a total of 4 assault on staff incidents. The annual rate of assault on staff incidents was 2.7 at medium prisons; 1.1 at minimum security facilities, which included work release facilities; and 4.1 at minimum security Shock Incarceration Facilities. There were no inmate assault on staff incidents at minimum security camps in 2006.

The total number of assault on staff incidents by facility by month is shown in Table 8.2 (pp. 29-31). Approximately 82% of the total number of assaults occurred in maximum security prisons; medium security facilities experienced 18% and minimum security facilities (including minimum Shock Incarceration facilities and minimum camps) accounted for less than 1% of the total number of staff assaults.

Table 8.1
Inmate Assault on Staff Incidents by Security Level and Facility
January - December 2006

Correctional Facility	Population 2006	Unusual Incidents	Rate per 1,000 Inmates
Maximum Security			
Attica	2,201	39	17.7
Auburn	1,774	42	23.7
Bedford Hills	828	22	26.6
Clinton	2,869	27	9.4
Coxsackie	981	21	21.4
Downstate	1,238	5	4.0
Eastern	1,181	1	0.8
Elmira	1,828	25	13.7
Five Points	1,428	9	6.3
Great Meadow	1,660	50	30.1
Green Haven	2,150	24	11.2
Shawangunk	543	2	3.7
Sing Sing	1,734	33	19.0
Southport	850	24	28.2
Sullivan	761	26	34.2
Upstate	1,202	37	30.8
Wende	858	26	30.3
Subtotal	24,086	413	17.1
Cayuga SHU200	168	1	6.0
Collins SHU200	161	2	12.4
Fishkill SHU200	163	0	0.0
Gouverneur SHU200	167	0	0.0
Greene SHU200	165	8	48.5
Lakeview SHU200	169	1	5.9
Marcy SHU200	164	1	6.1
Mid-State SHU200	166	0	0.0
Orleans SHU200	136	1	7.4
Subtotal	1,459	14	9.6
Bedford Hills RMU	16	1	62.5
Coxsackie RMU	58	2	34.5
Walsh RMU	110	3	27.3
Wende RMU	78	1	12.8
Subtotal	262	7	26.7
Total	25,807	434	16.8

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Table 8.1 (continued)
 Inmate Assault on Staff Incidents by Security Level and Facility
 January - December 2006

Correctional Facility	Population 2006	Unusual Incidents	Rate per 1,000 Inmates
Medium Security			
Adirondack	558	3	5.4
Albion	1,164	4	3.4
Altona	478	0	0.0
Arthur Kill	891	5	5.6
Arthur Kill ASACTC	56	0	0.0
Bare Hill	1,719	5	2.9
Bayview	220	0	0.0
Butler	164	0	0.0
Cape Vincent	866	1	1.2
Cayuga	867	0	0.0
Chateaugay	221	0	0.0
Collins	964	2	2.1
Fishkill	1,439	7	4.9
Fishkill RMJ	163	0	0.0
Franklin	1,715	4	2.3
Gouverneur	870	2	2.3
Gowanda	1,735	2	1.2
Greene	1,614	11	6.8
Groveland	1,236	3	2.4
Hale Creek ASACTC	428	0	0.0
Hudson	507	1	2.0
Lakeview Reception-F	3	0	0.0
Lakeview Reception-M	566	3	5.3
Lakeview Shock-F	82	0	0.0
Lakeview Shock-M	446	1	2.2
Livingston	870	1	1.1
Marcy	1,110	2	1.8
Mid-Orange	721	0	0.0
Mid-State	1,261	4	3.2
Mohawk	1,298	0	0.0
Mt. McGregor	534	0	0.0
Ogdensburg	597	1	1.7
Oneida	1,184	4	3.4
Orleans	868	3	3.5
Otisville	599	0	0.0
Riverview	875	3	3.4
Taconic	211	5	23.7
Taconic ASACTC	102	0	0.0
Ulster	849	3	3.5
Walkkill	599	1	1.7
Washington	1,057	7	6.6
Watertown	650	0	0.0
Woodbourne	789	0	0.0
Wyoming	1,607	6	3.7
Wyoming ASACTC	96	0	0.0
Total	34,849	94	2.7

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Table 8.1 (continued)
 Inmate Assault on Staff Incidents by Security Level and Facility
 January - December 2006

Correctional Facility	Population 2006	Unusual Incidents	Rate per 1,000 Inmates
Minimum Security			
Beacon	234	0	0.0
Buffalo	114	0	0.0
Butler Minimum	211	1	4.7
Edgecombe	152	0	0.0
Fulton	87	0	0.0
Lincoln	143	1	7.0
Lyon Mountain	152	0	0.0
Mid-State Minimum	163	0	0.0
Queensboro	405	0	0.0
Rochester	47	0	0.0
Summit	46	0	0.0
Total	1,754	2	1.1
Minimum Shock			
Monterey Shock	178	0	0.0
Moriah Shock	181	2	11.0
Summit Shock	126	0	0.0
Total	485	2	4.1
Minimum Camps			
Camp Gabriels	181	0	0.0
Camp Georgetown	177	0	0.0
Camp McGregor	145	0	0.0
Camp Pharsalia	153	0	0.0
Total	656	0	0.0
Other			
Central Office	0	0	0.0
Total	0	0	0.0
Grand Total	63,318	532	8.4

Table 8.2
 Inmate Assault on Staff Incidents by Month by Security Level and Facility
 January - December 2006

Correctional Facility	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Maximum Security													
Attica	3	3	3	0	5	4	1	5	2	4	2	7	39
Auburn	4	1	2	2	6	4	10	3	3	2	1	4	42
Bedford Hills	4	2	3	3	0	2	2	1	0	1	0	4	22
Clinton	2	2	3	2	4	1	2	3	1	2	1	4	27
Coxsackie	1	1	3	1	1	3	2	4	2	0	3	0	21
Downstate	1	2	1	1	0	0	0	0	0	0	0	0	5
Eastern	0	0	0	0	1	0	0	0	0	0	0	0	1
Elmira	2	0	3	2	1	3	4	3	1	2	4	0	25
Five Points	1	1	0	2	0	1	1	0	1	0	0	2	9
Great Meadow	5	5	1	4	3	4	4	3	6	4	4	7	50
Green Haven	2	2	7	1	1	2	4	0	2	0	0	3	24
Shawangunk	1	1	0	0	0	0	0	0	0	0	0	0	2
Sing Sing	4	1	2	3	2	5	2	3	4	4	1	2	33
Southport	3	0	1	1	3	4	5	1	1	2	2	1	24
Sullivan	0	5	3	1	1	5	1	0	2	3	3	2	26
Upstate	0	5	1	4	5	5	1	4	4	3	1	4	37
Wende	0	4	1	1	4	2	3	4	2	3	0	2	26
Subtotal	33	35	34	28	37	45	42	34	31	30	22	42	413
Cayuga SHU200	0	0	0	1	0	0	0	0	0	0	0	0	1
Collins SHU200	0	0	0	1	0	0	1	0	0	0	0	0	2
Fishkill SHU200	0	0	0	0	0	0	0	0	0	0	0	0	0
Gouverneur SHU200	0	0	0	0	0	0	0	0	0	0	0	0	0
Greene SHU200	0	0	0	1	2	2	2	0	1	0	0	0	8
Lakeview SHU200	0	0	0	0	0	0	0	0	0	0	0	1	1
Marcy SHU200	0	1	0	0	0	0	0	0	0	0	0	0	1
Mid-State SHU200	0	0	0	0	0	0	0	0	0	0	0	0	0
Orleans SHU200	0	0	0	0	0	0	0	0	0	1	0	0	1
Subtotal	0	1	0	3	2	2	3	0	1	1	0	1	14
Bedford Hills RMU	0	0	1	0	0	0	0	0	0	0	0	0	1
Coxsackie RMU	0	0	0	0	0	0	0	1	0	0	0	1	2
Walsh RMU	0	0	0	0	0	0	0	1	0	2	0	0	3
Wende RMU	0	0	0	0	0	0	0	0	0	0	1	0	1
Subtotal	0	0	1	0	0	0	0	2	0	2	1	1	7
Total	33	36	35	31	39	47	45	36	32	33	23	44	434
Column Percent	72%	97%	80%	78%	75%	87%	83%	84%	82%	94%	64%	85%	82%

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Table 8.2 (continued)
 Inmate Assault on Staff Incidents by Month by Security Level and Facility
 January - December 2006

Correctional Facility	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Medium Security													
Adirondack	0	0	0	1	0	0	1	0	0	0	1	0	3
Albion	0	0	1	0	0	1	1	1	0	0	0	0	4
Altona	0	0	0	0	0	0	0	0	0	0	0	0	0
Arthur Kill	2	0	0	0	1	0	0	0	0	0	0	2	5
Arthur Kill ASACTC	0	0	0	0	0	0	0	0	0	0	0	0	0
Bare Hill	1	1	1	0	0	1	0	0	0	0	1	0	5
Bayview	0	0	0	0	0	0	0	0	0	0	0	0	0
Butler	0	0	0	0	0	0	0	0	0	0	0	0	0
Cape Vincent	0	0	0	0	0	1	0	0	0	0	0	0	1
Cayuga	0	0	0	0	0	0	0	0	0	0	0	0	0
Chateaugay	0	0	0	0	0	0	0	0	0	0	0	0	0
Collins	0	0	0	1	0	0	0	0	0	1	0	0	2
Fishkill	1	0	1	1	2	0	0	2	0	0	0	0	7
Fishkill RMU	0	0	0	0	0	0	0	0	0	0	0	0	0
Franklin	1	0	0	0	1	1	0	0	0	0	1	0	4
Gouverneur	0	0	0	0	1	0	0	0	0	0	0	1	2
Gowanda	1	0	0	0	0	1	0	0	0	0	0	0	2
Greene	0	0	1	1	1	0	2	1	1	0	3	1	11
Groveland	1	0	0	0	0	0	1	0	0	0	0	1	3
Hale Creek ASACTC	0	0	0	0	0	0	0	0	0	0	0	0	0
Hudson	0	0	0	0	0	0	0	0	0	0	1	0	1
Lakeview Reception-F	0	0	0	0	0	0	0	0	0	0	0	0	0
Lakeview Reception-M	1	0	0	1	0	1	0	0	0	0	0	0	3
Lakeview Shock-F	0	0	0	0	0	0	0	0	0	0	0	0	0
Lakeview Shock-M	0	0	0	1	0	0	0	0	0	0	0	0	1
Livingston	0	0	0	0	1	0	0	0	0	0	0	0	1
Marcy	0	0	0	0	0	0	1	0	0	0	1	0	2
Mid-Orange	0	0	0	0	0	0	0	0	0	0	0	0	0
Mid-State	0	0	0	0	1	0	0	0	0	1	1	1	4
Mohawk	0	0	0	0	0	0	0	0	0	0	0	0	0
Mt. McGregor	0	0	0	0	0	0	0	0	0	0	0	0	0
Ogdensburg	0	0	0	0	1	0	0	0	0	0	0	0	1
Oneida	2	0	0	0	0	0	0	0	0	0	1	1	4
Orleans	0	0	1	0	1	0	1	0	0	0	0	0	3
Otisville	0	0	0	0	0	0	0	0	0	0	0	0	0
Riverview	0	0	0	1	1	0	0	0	1	0	0	0	3
Taconic	0	0	0	1	0	0	0	1	1	0	1	1	5
Taconic ASACTC	0	0	0	0	0	0	0	0	0	0	0	0	0
Ulster	0	0	1	0	2	0	0	0	0	0	0	0	3
Wallkill	0	0	0	0	0	0	0	0	1	0	0	0	1
Washington	0	0	1	0	0	1	1	1	2	0	1	0	7
Watertown	0	0	0	0	0	0	0	0	0	0	0	0	0
Woodbourne	0	0	0	0	0	0	0	0	0	0	0	0	0
Wyoming	1	0	2	1	0	0	0	1	1	0	0	0	6
Wyoming ASACTC	0	0	0	0	0	0	0	0	0	0	0	0	0
Total	11	1	9	9	13	7	8	7	7	2	12	8	94
Column Percent	24%	3%	20%	23%	25%	13%	15%	16%	18%	6%	33%	15%	18%

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Table 8.2 (continued)
 Inmate Assault on Staff Incidents by Month by Security Level and Facility
 January - December 2006

Correctional Facility	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Minimum Security													
Beacon	0	0	0	0	0	0	0	0	0	0	0	0	0
Buffalo	0	0	0	0	0	0	0	0	0	0	0	0	0
Butler Minimum	0	0	0	0	0	0	1	0	0	0	0	0	1
Edgecombe	0	0	0	0	0	0	0	0	0	0	0	0	0
Fulton	0	0	0	0	0	0	0	0	0	0	0	0	0
Lincoln	0	0	0	0	0	0	0	0	0	0	1	0	1
Lyon Mountain	0	0	0	0	0	0	0	0	0	0	0	0	0
Mid-State Minimum	0	0	0	0	0	0	0	0	0	0	0	0	0
Queensboro	0	0	0	0	0	0	0	0	0	0	0	0	0
Rochester	0	0	0	0	0	0	0	0	0	0	0	0	0
Summit	0	0	0	0	0	0	0	0	0	0	0	0	0
Total	0	0	0	0	0	0	1	0	0	0	1	0	2
Column Percent	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	1.9%	0.0%	0.0%	0.0%	2.8%	0.0%	0.4%
Minimum Shock													
Monterey Shock	0	0	0	0	0	0	0	0	0	0	0	0	0
Moriah Shock	2	0	0	0	0	0	0	0	0	0	0	0	2
Summit Shock	0	0	0	0	0	0	0	0	0	0	0	0	0
Total	2	0	0	0	0	0	0	0	0	0	0	0	2
Column Percent	4.3%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.4%
Minimum Camps													
Camp Gabriels	0	0	0	0	0	0	0	0	0	0	0	0	0
Camp Georgetown	0	0	0	0	0	0	0	0	0	0	0	0	0
Camp McGregor	0	0	0	0	0	0	0	0	0	0	0	0	0
Camp Pharsalia	0	0	0	0	0	0	0	0	0	0	0	0	0
Total	0	0	0	0	0	0	0	0	0	0	0	0	0
Column Percent	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
Other													
Central Office	0	0	0	0	0	0	0	0	0	0	0	0	0
Total	0	0	0	0	0	0	0	0	0	0	0	0	0
Column Percent	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
Grand Total	46	37	44	40	52	54	54	43	39	35	36	52	532
Column Percent	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%

INMATE ASSAULT ON INMATE UNUSUAL INCIDENTS

A review of unusual incidents by security level of facility reveals that maximum security prisons had the highest rate of inmate assault on inmate incidents (18.5) (see Table 8.3). Medium security facilities experienced a rate of 5.7 incidents per 1000 inmate population.

The annualized rate of inmate assault on inmate incidents allows for standardized comparisons between security levels and facilities. For example, the use of an annualized rate allows the reader to observe that Green Haven Correctional Facility, a maximum security prison with 23 inmate assault on inmate incidents and an average daily population of 2,150, has a similar assault rate (10.7) as Sullivan Correctional Facility (10.5) with 8 inmate assault on inmate incidents and a population of 761.

Table 8.3 (pp. 33-35) displays the average population of each facility, the total number of inmate assault on inmate incidents, and the annualized rate of incidents per thousand inmates. Table 8.4 (pp. 36-38) presents data on the number of inmate assault on inmate incidents in individual facilities by month. For the 2006 time period, 70% (N=477) of inmate assault on inmate incidents occurred at maximum security institutions, 29% (N=198) at medium security prisons, and 1% (N=8) at minimum security facilities.

Table 8.3
 Inmate Assault on Inmate Incidents by Security Level and Facility
 January - December 2006

Correctional Facility	Population 2006	Unusual Incidents	Rate per 1,000 Inmates
Maximum Security			
Attica	2,201	29	13.2
Auburn	1,774	57	32.1
Bedford Hills	828	5	6.0
Clinton	2,869	58	20.2
Coxsackie	981	9	9.2
Downstate	1,238	11	8.9
Eastern	1,181	6	5.1
Elmira	1,828	85	46.5
Five Points	1,428	18	12.6
Great Meadow	1,660	87	52.4
Green Haven	2,150	23	10.7
Shawangunk	543	5	9.2
Sing Sing	1,734	23	13.3
Southport	850	6	7.1
Sullivan	761	8	10.5
Upstate	1,202	7	5.8
Wende	858	30	35.0
Subtotal	24,086	467	19.4
Cayuga SHU200	168	0	0.0
Collins SHU200	161	0	0.0
Fishkill SHU200	163	0	0.0
Gouverneur SHU200	167	0	0.0
Greene SHU200	165	1	6.1
Lakeview SHU200	169	1	5.9
Marcy SHU200	164	1	6.1
Mid-State SHU200	166	1	6.0
Orleans SHU200	136	2	14.7
Subtotal	1,459	6	4.1
Bedford Hills RMU	16	0	0.0
Coxsackie RMU	58	1	17.2
Walsh RMU	110	1	9.1
Wende RMU	78	2	25.6
Subtotal	262	4	15.3
Total	25,807	477	18.5

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Table 8.3 (continued)
 Inmate Assault on Inmate Incidents by Security Level and Facility
 January - December 2006

Correctional Facility	Population 2006	Unusual Incidents	Rate per 1,000 Inmates
Medium Security			
Adirondack	558	3	5.4
Albion	1,164	0	0.0
Altona	478	3	6.3
Arthur Kill	891	4	4.5
Arthur Kill ASACTC	56	0	0.0
Bare Hill	1,719	8	4.7
Bayview	220	0	0.0
Butler	164	0	0.0
Cape Vincent	866	1	1.2
Cayuga	867	3	3.5
Chateaugay	221	0	0.0
Collins	964	8	8.3
Fishkill	1,439	2	1.4
Fishkill RMU	163	0	0.0
Franklin	1,715	10	5.8
Gouverneur	870	5	5.7
Gowanda	1,735	8	4.6
Greene	1,614	28	17.3
Groveland	1,236	4	3.2
Hale Creek ASACTC	428	0	0.0
Hudson	507	4	7.9
Lakeview Reception-F	3	0	0.0
Lakeview Reception-M	566	2	3.5
Lakeview Shock-F	82	1	12.2
Lakeview Shock-M	446	2	4.5
Livingston	870	5	5.7
Marcy	1,110	11	9.9
Mid-Orange	721	4	5.5
Mid-State	1,261	8	6.3
Mohawk	1,298	6	4.6
Mt. McGregor	534	1	1.9
Ogdensburg	597	1	1.7
Oneida	1,184	3	2.5
Orleans	868	14	16.1
Otisville	599	2	3.3
Riverview	875	3	3.4
Taconic	211	0	0.0
Taconic ASACTC	102	0	0.0
Ulster	849	0	0.0
Wallkill	599	1	1.7
Washington	1,057	15	14.2
Watertown	650	3	4.6
Woodbourne	789	0	0.0
Wyoming	1,607	24	14.9
Wyoming ASACTC	96	1	10.4
Total	34,849	198	5.7

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Table 8.3 (continued)
 Inmate Assault on Inmate Incidents by Security Level and Facility
 January - December 2006

Correctional Facility	Population 2006	Unusual Incidents	Rate per 1,000 Inmates
Minimum Security			
Beacon	234	0	0.0
Buffalo	114	0	0.0
Butler Minimum	211	0	0.0
Edgecombe	152	1	6.6
Fulton	87	0	0.0
Lincoln	143	0	0.0
Lyon Mountain	152	0	0.0
Mid-State Minimum	163	0	0.0
Queensboro	405	0	0.0
Rochester	47	0	0.0
Summit	46	0	0.0
Total	1,754	1	0.6
Minimum Shock			
Monterey Shock	178	3	16.9
Moriah Shock	181	0	0.0
Summit Shock	126	0	0.0
Total	485	3	6.2
Minimum Camps			
Camp Gabriels	181	1	5.5
Camp Georgetown	177	1	5.6
Camp McGregor	145	1	6.9
Camp Pharsalia	153	1	6.5
Total	656	4	6.1
Other			
Central Office	0	0	0.0
Total	0	0	0.0
Grand Total	63,318	683	10.8

Table 8.4
 Inmate Assault on Inmate Incidents by Month by Security Level and Facility
 January - December 2006

Correctional Facility	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Maximum Security													
Attica	1	5	2	3	4	1	2	2	1	2	3	3	29
Auburn	2	4	3	3	6	3	6	5	10	4	6	5	57
Bedford Hills	2	1	0	0	0	0	0	0	0	2	0	0	5
Clinton	6	1	7	4	6	9	4	4	3	7	4	3	58
Coxsackie	0	1	1	0	0	1	2	1	1	1	1	0	9
Downstate	0	1	0	1	1	2	1	0	0	3	1	1	11
Eastern	2	0	0	0	1	0	1	1	1	0	0	0	6
Elmira	6	4	8	11	5	6	11	6	8	8	6	6	85
Five Points	1	1	5	1	1	2	2	0	1	2	0	2	18
Great Meadow	13	5	7	3	10	5	7	6	6	8	8	9	87
Green Haven	4	0	2	1	1	0	0	1	3	3	3	5	23
Shawangunk	0	0	2	1	0	0	0	1	1	0	0	0	5
Sing Sing	2	2	5	3	0	2	3	2	0	3	1	0	23
Southport	2	0	0	2	1	1	0	0	0	0	0	0	6
Sullivan	0	1	0	0	0	2	1	0	1	1	1	1	8
Upstate	2	1	0	1	0	0	1	0	0	1	1	0	7
Wende	2	3	5	2	1	1	3	3	1	5	3	1	30
Subtotal	45	30	47	36	37	35	44	32	37	50	38	36	467
Cayuga SHU200	0	0	0	0	0	0	0	0	0	0	0	0	0
Collins SHU200	0	0	0	0	0	0	0	0	0	0	0	0	0
Fishkill SHU200	0	0	0	0	0	0	0	0	0	0	0	0	0
Gouverneur SHU200	0	0	0	0	0	0	0	0	0	0	0	0	0
Greene SHU200	0	0	0	0	0	0	0	1	0	0	0	0	1
Lakeview SHU200	0	0	0	0	0	0	0	0	0	1	0	0	1
Marcy SHU200	1	0	0	0	0	0	0	0	0	0	0	0	1
Mid-State SHU200	0	0	0	0	0	1	0	0	0	0	0	0	1
Orleans SHU200	0	0	0	0	0	0	1	1	0	0	0	0	2
Subtotal	1	0	0	0	0	1	1	2	0	1	0	0	6
Bedford Hills RMU	0	0	0	0	0	0	0	0	0	0	0	0	0
Coxsackie RMU	0	0	0	0	0	0	0	0	1	0	0	0	1
Walsh RMU	0	0	1	0	0	0	0	0	0	0	0	0	1
Wende RMU	0	0	1	0	0	0	0	0	0	0	1	0	2
Subtotal	0	0	2	0	0	0	0	0	1	0	1	0	4
Total	46	30	49	36	37	36	45	34	38	51	39	36	477
Column Percent	78%	70%	79%	63%	70%	65%	75%	57%	79%	70%	64%	69%	70%

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Table 8.4 (continued)
 Inmate Assault on Inmate Incidents by Month by Security Level and Facility
 January - December 2006

Correctional Facility	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Medium Security													
Adirondack	0	0	1	0	1	0	0	0	1	0	0	0	3
Albion	0	0	0	0	0	0	0	0	0	0	0	0	0
Altona	0	0	0	1	0	0	0	0	1	0	1	0	3
Arthur Kill	0	0	0	0	1	1	0	0	2	0	0	0	4
Arthur Kill ASACTC	0	0	0	0	0	0	0	0	0	0	0	0	0
Bare Hill	0	1	2	1	1	0	0	1	0	0	2	0	8
Bayview	0	0	0	0	0	0	0	0	0	0	0	0	0
Butler	0	0	0	0	0	0	0	0	0	0	0	0	0
Cape Vincent	0	0	0	0	0	0	0	1	0	0	0	0	1
Cayuga	0	0	0	0	0	0	0	1	0	1	1	0	3
Chateaugay	0	0	0	0	0	0	0	0	0	0	0	0	0
Collins	1	0	1	1	1	1	0	1	0	1	0	1	8
Fishkill	0	0	0	0	0	0	0	1	0	1	0	0	2
Fishkill RMJ	0	0	0	0	0	0	0	0	0	0	0	0	0
Franklin	2	1	0	0	0	2	0	1	2	2	0	0	10
Gouverneur	0	0	0	1	0	1	0	0	0	2	1	0	5
Gowanda	0	0	1	2	0	1	0	2	0	0	2	0	8
Greene	1	2	1	8	2	0	2	3	1	4	2	2	28
Groveland	0	0	0	1	1	0	1	0	0	0	1	0	4
Hale Creek ASACTC	0	0	0	0	0	0	0	0	0	0	0	0	0
Hudson	0	0	0	0	1	0	0	2	0	0	1	0	4
Lakeview Reception-F	0	0	0	0	0	0	0	0	0	0	0	0	0
Lakeview Reception-M	0	0	0	0	0	1	0	1	0	0	0	0	2
Lakeview Shock-F	0	0	1	0	0	0	0	0	0	0	0	0	1
Lakeview Shock-M	0	0	0	1	0	0	1	0	0	0	0	0	2
Livingston	0	0	0	1	0	1	0	0	0	3	0	0	5
Marcy	1	0	2	0	1	0	1	0	0	2	2	2	11
Mid-Orange	0	0	0	0	0	2	1	1	0	0	0	0	4
Mid-State	0	0	0	1	0	2	0	1	1	0	2	1	8
Mohawk	0	1	0	0	0	0	1	0	1	1	2	0	6
Mt. McGregor	0	0	0	0	0	0	0	1	0	0	0	0	1
Ogdensburg	0	0	0	0	1	0	0	0	0	0	0	0	1
Oneida	1	0	2	0	0	0	0	0	0	0	0	0	3
Orleans	0	0	0	1	3	1	0	4	0	2	0	3	14
Otisville	0	1	0	0	0	0	1	0	0	0	0	0	2
Riverview	0	0	0	0	0	0	0	2	0	1	0	0	3
Taconic	0	0	0	0	0	0	0	0	0	0	0	0	0
Taconic ASACTC	0	0	0	0	0	0	0	0	0	0	0	0	0
Ulster	0	0	0	0	0	0	0	0	0	0	0	0	0
Wallkill	0	1	0	0	0	0	0	0	0	0	0	0	1
Washington	3	0	0	2	0	0	2	1	0	2	3	2	15
Watertown	0	1	0	0	0	0	1	0	0	0	1	0	3
Woodbourne	0	0	0	0	0	0	0	0	0	0	0	0	0
Wyoming	4	2	2	0	2	4	3	2	1	0	0	4	24
Wyoming ASACTC	0	1	0	0	0	0	0	0	0	0	0	0	1
Total	13	11	13	21	15	17	14	26	10	22	21	15	198
Column Percent	22%	26%	21%	37%	28%	31%	23%	43%	21%	30%	34%	29%	29%

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Table 8.4 (continued)
 Inmate Assault on Inmate Incidents by Month by Security Level and Facility
 January - December 2006

Correctional Facility	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
Minimum Security													
Beacon	0	0	0	0	0	0	0	0	0	0	0	0	0
Buffalo	0	0	0	0	0	0	0	0	0	0	0	0	0
Butler Minimum	0	0	0	0	0	0	0	0	0	0	0	0	0
Edgecombe	0	0	0	0	0	1	0	0	0	0	0	0	1
Fulton	0	0	0	0	0	0	0	0	0	0	0	0	0
Lincoln	0	0	0	0	0	0	0	0	0	0	0	0	0
Lyon Mountain	0	0	0	0	0	0	0	0	0	0	0	0	0
Mid-State Minimum	0	0	0	0	0	0	0	0	0	0	0	0	0
Queensboro	0	0	0	0	0	0	0	0	0	0	0	0	0
Rochester	0	0	0	0	0	0	0	0	0	0	0	0	0
Summit	0	0	0	0	0	0	0	0	0	0	0	0	0
Total	0	0	0	0	0	1	0	0	0	0	0	0	1
Column Percent	0.0%	0.0%	0.0%	0.0%	0.0%	1.8%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.1%
Minimum Shock													
Monterey Shock	0	1	0	0	0	0	0	0	0	0	1	1	3
Moriah Shock	0	0	0	0	0	0	0	0	0	0	0	0	0
Summit Shock	0	0	0	0	0	0	0	0	0	0	0	0	0
Total	0	1	0	0	0	0	0	0	0	0	1	1	3
Column Percent	0.0%	2.3%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	1.6%	1.9%	0.4%
Minimum Camps													
Camp Gabriels	0	0	0	0	1	0	0	0	0	0	0	0	1
Camp Georgetown	0	0	0	0	0	1	0	0	0	0	0	0	1
Camp McGregor	0	0	0	0	0	0	1	0	0	0	0	0	1
Camp Pharsalia	0	1	0	0	0	0	0	0	0	0	0	0	1
Total	0	1	0	0	1	1	1	0	0	0	0	0	4
Column Percent	0.0%	2.3%	0.0%	0.0%	1.9%	1.8%	1.7%	0.0%	0.0%	0.0%	0.0%	0.0%	0.6%
Other													
Central Office	0	0	0	0	0	0	0	0	0	0	0	0	0
Total	0	0	0	0	0	0	0	0	0	0	0	0	0
Column Percent	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
Grand Total	59	43	62	57	53	55	60	60	48	73	61	52	683
Column Percent	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%

Section Nine

INJURY TO STAFF

The Unusual Incident reporting system uses a ranking procedure for injuries sustained by staff members and are categorized according to perceived seriousness and/or by degree of treatment. "No injury" indicates that the staff member or medical staff reported that no injury was sustained. "Minor injury" refers to cases where the victim received a comparatively minor injury which may have been treated at the facility or treated at the facility with a recommendation for the staff person to consult their own physician. "Moderate injury" refers to injuries of a more serious nature that generally require treatment at an outside hospital or treatment by own physician. Moderate injuries are not judged to be life threatening. "Serious injury" refers to injury that is considered to be life threatening (or which results in death). These injuries require treatment at an outside hospital.

Table 9.1 (p. 40) presents information on the number of staff who sustained injuries in unusual incidents. Staff injuries are reported according to the degree of seriousness. The inmate assault on staff category produced the most staff injuries in 2006. Assault on staff incidents resulted in no injuries to 1,547 staff (76.5%), minor injuries to 457 staff (22.6%), and moderate injuries to 18 staff (0.9%). There were no serious staff injuries as a result of inmate assault on staff incidents.

Table 9.2 (p. 40) presents information on staff injuries sustained each month. In 2006, 4.3% of staff involved in unusual incidents incurred an injury of some degree.

Section Ten

USE OF FORCE BY MONTH OF INCIDENT

During 2006, 13.9% of unusual incidents entailed staff use of force to resolve the incident. The term 'use of force' describes the type of force used by correctional staff and is divided into seven categories.

A review by month shows a consistent pattern of between 83% and 88% of unusual incidents were resolved without staff use of force (see Table 10.1). An additional 6% to 10% of incidents involved use of body holds or handcuffs to restrain inmates. Table 10.1 (p.42) shows the most serious type of staff force utilized in each unusual incident. An examination reveals that the use of baton occurred in 1.3% of unusual incidents.

STAFF USE OF FORCE BY INCIDENT TYPE

Table 10.2 (p.42) presents information on the type of force used during specific types of unusual incidents. Staff use of force was concentrated in the unusual incident categories of inmate assault on inmate, inmate assault on staff, contraband, and disruptive behavior.

Table 10.1
Staff Use of Force by Month
January - December 2006

Type of Force	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total
No Force Used Col. Pct.	345 83.5%	291 85.1%	348 85.9%	300 87.7%	420 86.2%	352 85.6%	353 85.3%	393 87.7%	386 86.2%	408 86.4%	340 88.3%	405 85.6%	4,341 86.1%
Body Hold Col. Pct.	42 10.2%	29 8.5%	37 9.1%	27 7.9%	43 8.8%	30 7.3%	34 8.2%	29 6.5%	35 7.8%	32 6.8%	31 8.1%	38 8.0%	407 8.1%
Handcuff Col. Pct.	11 2.7%	7 2.0%	13 3.2%	9 2.6%	6 1.2%	8 1.9%	13 3.1%	11 2.5%	10 2.2%	12 2.5%	10 2.6%	14 3.0%	124 2.5%
Shield Col. Pct.	0 0.0%	1 0.3%	1 0.2%	1 0.3%	3 0.6%	1 0.2%	0 0.0%	1 0.2%	0 0.0%	2 0.4%	1 0.3%	2 0.4%	13 0.3%
Baton Col. Pct.	7 1.7%	4 1.2%	4 1.0%	4 1.2%	5 1.0%	11 2.7%	8 1.9%	5 1.1%	8 1.8%	6 1.3%	1 0.3%	4 0.8%	67 1.3%
Chemical Agent Col. Pct.	8 1.9%	10 2.9%	2 0.5%	1 0.3%	10 2.1%	8 1.9%	5 1.2%	8 1.8%	9 2.0%	11 2.3%	2 0.5%	9 1.9%	83 1.6%
Firearm Col. Pct.	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	1 0.2%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	1 0.0%
Other Col. Pct.	0 0.0%	0 0.0%	0 0.0%	0 0.0%	0 0.0%	1 0.2%	0 0.0%	1 0.2%	0 0.0%	1 0.2%	0 0.0%	1 0.2%	4 0.1%
Total Col. Pct.	413 100%	342 100%	405 100%	342 100%	487 100%	411 100%	414 100%	448 100%	448 100%	472 100%	385 100%	473 100%	5,040 100%

Table 10.2
Unusual Incident Category by Staff Use of Force
January - December 2006

Incident Category	Type of Force								Total
	No Force Used	Body Hold	Handcuff	Shield	Baton	Chemical Agent	Firearm	Other	
Accident	446	0	0	0	0	0	0	0	446
Assault on Inmate	617	49	9	0	8	0	0	0	683
Assault on Staff	166	232	95	3	36	0	0	0	532
Assault on Other	10	0	0	0	0	0	0	0	10
Contraband	1,746	28	13	0	0	0	0	0	1787
Death	139	0	0	0	0	0	0	0	139
Disruptive Behavior	127	75	3	9	11	77	0	1	303
Utilities Disruption	21	0	0	0	0	0	0	0	21
Employee Misconduct	132	0	0	0	0	0	0	0	132
Escape	3	0	0	0	0	0	1	0	4
Attempted Escape	2	1	0	0	0	0	0	0	3
Fire	25	0	0	0	0	0	0	0	25
Property Destruction	4	0	0	0	0	0	0	0	4
Property Lost/Stolen	101	0	0	0	0	0	0	0	101
Self-Injury	68	2	1	1	0	0	0	0	72
Sexual Misconduct	67	0	0	0	0	0	0	0	67
Suicide Attempt	54	0	0	0	0	0	0	0	54
Temporary Release	158	0	0	0	0	0	0	0	158
Employee Weapon Use	141	1	0	0	12	6	0	0	160
Employee Job Action	3	0	0	0	0	0	0	0	3
Other Incidents	311	19	3	0	0	0	0	3	336
Total Row Pct.	4,341 86.1%	407 8.1%	124 2.5%	13 0.3%	67 1.3%	83 1.6%	1 0.0%	4 0.1%	5,040 100%

APPENDIX A
RATE CALCULATION METHOD

Annualized rates are calculated as the number of unusual incidents per 1,000 inmate population per year. For example, the 5,040 incidents which were reported during January-December 2006 are divided by the average population (N=63,318), and multiplied by 1,000 to yield 79.6 incidents per thousand inmates. The same method is used to calculate the rate of unusual incidents at particular correctional facilities (the population base is the average population at the facility; see Table 3.1) and to calculate the rate of specific types of unusual incidents (where the numerator is the total incidents in each incident category and the population base is either the total population or the population of a facility).

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**Program Planning, Research & Evaluation
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