



Corrections and Community Supervision

DOCCS FACT SHEET

November 1, 2019

The management and operation of prisons is difficult work. It requires an equal measure of patience, discipline and creativity. DOCCS is responsible for the care, custody, and treatment of individuals sentenced to state prison, working with these inmates to ensure successful re-entry into the community and supervising those who are placed on parole. That's our Department's mission and it's the guide we follow to carry out the very serious responsibility entrusted to us.

The material contained within this Fact Sheet is based on statistical information compiled by DOCCS and it is intended to provide insight into the function and operation of the Department's 52 facilities that house 44,983 inmates and 36,058 parolees under DOCCS' responsibility.

Prison Safety

Statewide Assaults*						
	2014	2015	2016	2017	2018	2019
Assaults on Staff Statewide**	747	895	759	799	972	844
Assaults on Inmates Statewide**	860	915	1,135	1,224	1,164	1060

*Excludes Willard, Edgecombe and Parole Diversion Programs.

**Includes disciplinary confinement SHU 200 units and Regional Medical Units.

Maximum vs. Medium Facility Inmate-on-Staff Assaults* 2014-2019						
	2014	2015	2016	2017	2018	2019
Assaults on Staff Statewide	747	895	759	798	972	844
Maximum-Security**	538	626	552	566	722	622
Medium-Security**	188	253	198	214	237	211

*Excludes Willard, Edgecombe and Parole Diversion Programs.

**Includes disciplinary confinement SHU 200 units and Regional Medical Units.

Note: Unlike the Penal Law where physical injury is a required element, any attack by an inmate is classified by DOCCS as an assault. This includes events where no physical injury occurs and events where any object, including a small object, is thrown at and hits another person. By contrast, in the Penal Law, an assault requires physical injury, which means impairment of physical condition or substantial pain.

2019 3rd Quarter Assaults				
	July	August	September	Total
Assault on Inmate	119	125	111	355
Assault on Staff	90	90	108	288
Total	209	215	219	643

Assault Degree of Injury to Staff				
Incident Type: Staff Injured during an Inmate on Inmate Assault				
	July	August	September	Total
No Injury	442	482	446	1,370
Minor*	4	6	14	24
Moderate**	0	0	2	2
Serious***	0	1	0	1
Severe****	0	0	0	0
Total	446	489	462	1,397

Incident Type: Staff Injured during an Inmate on Staff Assault				
	July	August	September	Total
No Injury	403	391	475	1,269
Minor*	130	90	125	345
Moderate**	4	3	3	10
Serious***	4	4	4	12
Severe****	0	0	0	0
Total	541	488	607	1,636

Note: Excludes Willard, Edgecombe, residential treatment facilities and Parole Diversion Programs and is based upon staff injuries sustained in Unusual Incidents each month, including employee accidents. Effective October 1, 2014 per Article VII bill, DOCCS added a category of severe and redefined injury definitions.

*Injuries that require either no treatment, minimal treatment (scratch, bruise, aches/pain) or precautionary treatment.

** Injuries such as lacerations, concussions, 2nd degree burns, serious sprains, dislocation, and muscle or ligament damage.

***Injuries that require transport to an outside hospital but are not considered life-threatening at the preliminary report.

**** Injuries that cause obvious disfigurement, protracted impairment of health, loss or impairment of organ function, amputation, and injuries that risk cause of death.

Escape Incidents

- The last escape from within a maximum security secure perimeter was 2015 at Clinton Correctional Facility; prior to 2015 the last escape was in 2003.
- The last escape from within a medium security secure perimeter was 1992 at Mid-Orange Correctional Facility.

Prison Closures

- Since 1999, New York's prison population has declined by 38.1 percent, from a high of 72,649 inmates incarcerated (includes Willard DTC) to 44,983 (11/1/19).
- Since 2011, the State has eliminated more than 6,650 prison beds and closed a total of 17 correctional facilities due to excess bed capacity resulting in an overall annual savings of approximately \$193 million.
- DOCCS security staff reductions have been consistent with inmate population declines. In each of the prison closure years, more than 96% of affected employees remained employed, retired or resigned.

DOCCS Security Staff and Inmate Population							
December	Correction Officers Sergeants Lieutenants Positions Filled	Numeric Change	Percent Change	Offender Population (includes Willard)	Numeric Change	Percent Change	Staffing Ratio Inmate Population Versus Filled Uniform Staff Positions
2003	20,794	-464	-2.2%	66,110	-1,549	-2.3%	3.2
2004	21,068	274	1.3%	64,905	-1,205	-1.8%	3.1
2005	21,420	352	1.7%	63,930	-975	-1.5%	3.0
2006	21,505	85	0.4%	64,410	480	0.8%	3.0
2007	21,414	-91	-0.4%	63,425	-985	-1.5%	3.0
2008	21,404	-10	0.0%	60,933	-2,492	-3.9%	2.8
2009	20,602	-802	-3.7%	59,279	-1,654	-2.7%	2.9
2010	20,652	50	0.2%	57,229	-2,050	-3.5%	2.8
2011	19,647	-1,005	-4.9%	55,979	-1,250	-2.2%	2.8
2012	19,192	-455	-2.3%	54,865	-1,114	-2.0%	2.9
2013	19,145	-47	-0.2%	54,142	-723	-1.3%	2.8
2014	19,002	-143	-0.7%	53,103	-1,039	-1.9%	2.8
2015	19,360	358	1.9%	52,344	-759	-1.4%	2.7
2016	19,233	-127	-0.7%	51,466	-878	-1.7%	2.7
2017	19,242	9	0.0%	50,271	-1,195	-2.3%	2.6
2018	19,295	53	0.3%	47,459	-2,812	-5.6%	2.5

Staffing and Population Differences				
	12/31/99	11/1/19	Numeric Difference	Percent Change
Correction Officers Sergeants Lieutenants	22,112	19,040	3,042	-13.9%
Inmates (includes Willard)	72,649	44,983	27,666	-38.1%

DOCCS Correctional Facility Security Personnel Council 82/NYSCOPBA Staff														
	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019
Council 82														
Lieutenants	543	541	535	526	521	458	483	482	472	475	471	480	478	474
NYSCOPBA														
Sergeants	1,308	1,308	1,289	1,236	1,256	1,169	1,196	1,190	1,175	1,172	1,179	1,193	1,184	1,154
Officers	19,660	20,040	19,522	18,955	18,930	17,945	17,741	17,627	17,569	17,635	17,601	17,581	17,528	17,412
Subtotal	20,967	21,348	20,811	20,191	20,186	19,114	18,937	18,817	18,744	18,807	18,780	18,774	18,712	18,566
Total	21,510	21,889	21,346	20,717	20,707	19,572	19,420	19,300	19,216	19,282	19,251	19,254	19,190	19,040

Number and Percent of Inmates Convicted of a Violent Felony Offense In Male Maximum and Medium Security Facilities November 1 st of Selected Years									
	2009			2014			2019		
	VFO	Inmates	%	VFO	Inmates	%	VFO	Inmates	%
Maximum Facilities	18,723	24,050	77.9%	18,412	22,523	81.7%	15,696	19,013	82.6%
Medium Facilities	15,498	30,002	51.7%	14,834	26,526	55.9%	12,625	21,836	57.8%

Note: This table includes inmates at the following parole program facilities: Edgecombe, Willard, Orleans, and Hudson PDP.

SHU Cell Occupants Effective Beginning of Business				
Inmate Status	8/1/2019	9/1/2019	10/1/2019	11/1/2019
SHU Disciplinary Sanction	1,549	1,562	1,433	1,501
KEELOCK Disciplinary Sanction	474	475	408	412
Administrative Segregation	18	17	17	21
Involuntary Protective Custody	20	32	32	34
Pending Disciplinary Hearing	365	302	388	353
Pending Movement from Unit	39	51	32	34
Pending Investigation	1	0	6	0
Special Watch	2	3	4	3
Voluntary Protective Custody	13	20	25	19
TOTAL SHU Cell Occupants (Excluding Youthful Offenders)	2,481	2,462	2,345	2,377

Statewide Inmates Convicted of a Violent Felony Offense						
End of Year	2014	2015	2016	2017	2018	2019
Violent Offenders	34,380	33,641	32,908	32,236	31,093	29,837

Note: This table includes offenders at the following parole program facilities: Edgecombe Residential Treatment, Orleans and Hudson Parole Diversion Programs and Willard Drug Treatment Campus.

2012 Releases by Return Rate							
Release Type And Release Cohort	Total Released	Total Returned		Return Type New Commitment		Return Type Parole Violator	
2012 Releases	23,346	9,953	42.6%	2,158	9.2%	7,795	33.4%
Parole	9,372	4,866	51.9%	792	8.5%	4,074	43.5%
Conditional Release	11,484	4,648	40.5%	927	8.1%	3,721	32.4%
Maximum Expiration	2,490	439	17.6%	439	17.6%	N/A	N/A

Note: DOCCS defines recidivism as recommitment or return to NYS DOCCS custody after an inmate's sanctioned release to the community.

Note: DOCCS followed a 2012 release cohort of offenders for three years to obtain the return rate data in the above table.

Community Supervision Staffing and Cases by Region/Bureau* (start of month data - excludes staff and parolees in the revocation process)		
Region/Bureau	Number of Parolees	Number of Parole Officers & Senior Parole Officers
Bronx Region		
Bureau I	1,106	19
Bureau II	902	23
Bureau III	794	15
Bureau IV	819	21
Bureau V	880	21
Brooklyn Region		
Bureau I	1,108	23
Bureau II	937	23
Bureau III	1,224	20
Bureau IV	1,129	28
Central New York		
Northeast	1,007	25
Syracuse Belt	1,079	16
Syracuse	1,122	28
Utica	1,154	39

Hudson Valley Region		
New Rochelle	831	17
Peekskill	848	23
Albany	1,053	27
Schenectady	1,030	20
Poughkeepsie	1,082	21
Manhattan/Staten Island		
Manhattan Bureau II	843	15
Manhattan Bureau III	1,014	10
Manhattan Bureau IV	896	15
Manhattan Bureau VI	694	29
Staten Island	586	9
Queens/Long Island		
Queens Bureau I	749	10
Queens Bureau II	1,266	23
Queens Bureau III	800	16
Suffolk	1,645	23
Nassau	965	17
Western Region		
Buffalo Metro	1,169	28
Niagara Frontier	1,295	29
Elmira	1,166	26
Rochester Metro	1,088	28
Rochester Belt	692	19
Rochester SOU	828	23

*Average case load size cannot be determined using this data