



Corrections and Community Supervision

DOCCS FACT SHEET

November 1, 2018

The management and operation of prisons is difficult work. It requires an equal measure of patience, discipline and creativity. DOCCS is responsible for the care, custody, and treatment of individuals sentenced to state prison, working with these inmates to ensure successful re-entry into the community and supervising those who are placed on parole. That's our Department's mission and it's the guide we follow to carry out the very serious responsibility entrusted to us.

The material contained within this Fact Sheet is based on statistical information compiled by DOCCS and it is intended to provide insight into the function and operation of the Department's 54 facilities that house approximately 48,000 inmates and about 36,000 parolees under DOCCS' responsibility.

Prison Safety

Statewide Assaults*						
	2013	2014	2015	2016	2017	2018
Assaults on Staff Statewide**	645	747	895	759	798	813
Assaults on Inmates Statewide**	767	859	915	1,135	1,220	985

*Excludes Willard, Edgecombe and Parole Diversion Programs.

**Includes disciplinary confinement SHU 200 units and Regional Medical Units.

Maximum vs. Medium Facility Inmate-on-Staff Assaults* 2012-2017						
	2013	2014	2015	2016	2017	2018
Assaults on Staff Statewide	645	747	895	759	798	813
Maximum-Security**	504	538	626	552	566	601
Medium-Security**	129	188	253	198	214	203

*Excludes Willard, Edgecombe and Parole Diversion Programs.

**Includes disciplinary confinement SHU 200 units and Regional Medical Units.

Note: Unlike the Penal Law where physical injury is a required element, any attack by an inmate is classified by DOCCS as an assault. This includes events where no physical injury occurs and events where any object, including a small object, is thrown at and hits another person. By contrast, in the Penal Law, an assault requires physical injury, which means impairment of physical condition or substantial pain.

2018 3rd Quarter Assaults				
	July	Aug	Sept	Total
Assault on Inmate	104	89	116	309
Assault on Staff	94	102	90	286
Total	198	191	206	595

Assault Degree of Injury to Staff				
Incident Type: Staff Injured during an Inmate on Inmate Assault				
	July	Aug	Sept	Total
No Injury	361	460	371	1,192
Minor*	8	7	6	21
Moderate**	0	0	0	0
Serious***	0	0	0	0
Severe****	0	0	0	0
Total	369	467	377	1,213

Incident Type: Staff Injured during an Inmate on Staff Assault				
	July	Aug	Sept	Total
No Injury	254	365	268	887
Minor*	71	89	88	248
Moderate**	8	6	4	18
Serious***	3	0	1	4
Severe****	0	0	0	0
Total	336	460	361	1,157

Note: Excludes Willard, Edgecombe, residential treatment facilities and Parole Diversion Programs and is based upon staff injuries sustained in Unusual Incidents each month, including employee accidents. Effective October 1, 2014 per Article VII bill, DOCCS added a category of severe and redefined injury definitions.

*Injuries that require either no treatment, minimal treatment (scratch, bruise, aches/pain) or precautionary treatment.

** Injuries such as lacerations, concussions, 2nd degree burns, serious sprains, dislocation, and muscle or ligament damage.

***Injuries that require transport to an outside hospital, but are not considered life-threatening at the preliminary report.

**** Injuries that cause obvious disfigurement, protracted impairment of health, loss or impairment of organ function, amputation, and injuries that risk cause of death.

Escape Incidents

- The last escape from within a maximum security secure perimeter was 2015 at Clinton Correctional Facility; prior to 2015 the last escape was in 2003.

- The last escape from within a medium security secure perimeter was 1992 at Mid-Orange Correctional Facility.

Prison Closures

- Since 1999, New York's prison population has declined by 33.9 percent, from a high of 72,649 inmates incarcerated (includes Willard DTC) to 48,042 today (11/01/18).
- Since 2011, the State has eliminated more than 5,500 prison beds and closed a total of 13 correctional facilities due to excess bed capacity resulting in an overall annual savings of approximately \$162 million.
- DOCCS security staff reductions have been consistent with inmate population declines. In each of the prison closure years more than 96% of affected employees remained employed, retired or resigned.

DOCCS Security Staff and Inmate Population							
December	Correction Officers Sergeants Lieutenants Positions Filled	Numeric Change	Percent Change	Offender Population (includes Willard)	Numeric Change	Percent Change	Staffing Ratio Inmate Population Versus Filled Uniform Staff Positions
2001	21,821	-634	-2.8%	68,351	-3,003	-4.2%	3.1
2002	21,303	-518	-2.4%	67,658	-693	-1.0%	3.2
2003	20,839	-464	-2.2%	66,109	-1,549	-2.3%	3.2
2004	21,133	294	1.4%	64,905	-1,204	-1.8%	3.1
2005	21,414	281	1.3%	63,928	-977	-1.5%	3.0
2006	21,510	96	0.4%	64,410	482	0.8%	3.0
2007	21,386	155	-0.6%	63,425	-985	-1.5%	3.0
2008	21,278	108	-0.5%	60,933	-2,492	-3.9%	2.9
2009	20,580	698	-3.3%	59,279	-1,654	-2.7%	2.9
2010	20,596	16	0.1%	57,229	-2,050	-3.5%	2.8
2011	19,570	1,026	-4.9%	55,980	-1,249	-2.2%	2.9
2012	19,103	467	-2.9%	54,865	-1,115	-2.0%	2.9
2013	19,058	45	-0.2%	54,196	-669	-1.2%	2.8
2014	18,930	128	-0.7%	53,157	-1,039	-1.9%	2.8
2015	19,293	363	1.9%	52,363	-794	-1.5%	2.7
2016	19,250	-43	- .9%	51,406	-957	- .9%	2.7

Staffing and Population Differences				
	12/31/99	11/01/18	Numeric Difference	Percent Change
Correction Officers Sergeants Lieutenants	22,112	19,197	2,915	-13.2%
Inmates (includes Willard)	72,649	48,042	24,607	-33.9%

DOCCS Correctional Facility Security Personnel
Council 82/NYSCOPBA Staff

	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018
Council 82														
Lieutenants	540	543	541	535	526	521	458	483	482	472	475	471	480	485
NYSCOPBA														
Sergeants	1,297	1,308	1,308	1,289	1,236	1,256	1,169	1,196	1,190	1,175	1,172	1,179	1,193	1,122
Officers	19,577	19,660	20,040	19,522	18,955	18,930	17,945	17,741	17,627	17,569	17,635	17,601	17,581	17,590
Subtotal	20,874	20,967	21,348	20,811	20,191	20,186	19,114	18,937	18,817	18,744	18,807	18,780	18,774	18,712
Total	21,414	21,510	21,889	21,346	20,717	20,707	19,572	19,420	19,300	19,216	19,282	19,251	19,254	19,197

**Number and Percent of Inmates Convicted of a Violent Felony Offense
In Male Maximum and Medium Security Facilities
November 1st of Selected Years**

	2008			2013			2018		
	VFO	Inmates	%	VFO	Inmates	%	VFO	Inmates	%
Maximum Facilities	18,575	24,222	76.7%	18,643	22,869	81.5%	16,749	20,427	82.0%
Medium Facilities	15,644	30,871	50.7%	15,324	26,960	56.8%	13,161	23,215	56.7%

Note: This table includes inmates at the following parole program facilities: Edgecombe, Willard, Orleans, and Hudson PDP.

**SHU Cell Occupants
Effective Beginning of Business**

Inmate Status	8/1/2018	9/1/2018	10/1/2018	11/1/2018
SHU Disciplinary Sanction	1,678	1,646	1,644	1,630
KEEPLOCK Disciplinary Sanction	490	522	484	479
Administrative Segregation	26	20	26	23
Involuntary Protective Custody	24	22	18	24
Pending Disciplinary Hearing	378	383	346	317
Pending Movement from Unit	32	32	81	48
Pending Investigation	2	0	5	0
Special Watch	0	2	6	3
Voluntary Protective Custody	17	17	25	17
TOTAL SHU Cell Occupants (Excluding Youthful Offenders)	2,647	2,644	2,635	2,541

Statewide Inmates Convicted of a Violent Felony Offense

End of Year	2013	2014	2015	2016	2017	2018
Violent Offenders	35,136	34,380	33,641	32,908	32,236	31,365

Note: This table includes offenders at the following parole program facilities: Edgecombe Residential Treatment, Orleans and Hudson Parole Diversion Programs and Willard Drug Treatment Campus.

2012 Releases by Return Rate

Release Type And Release Cohort	Total Released	Total Returned		Return Type New Commitment		Return Type Parole Violator	
2011 Releases	23,346	9,953	42.6%	2,158	9.2%	7,795	33.4%
Parole	9,372	4,866	51.9%	792	8.5%	4,074	43.5%
Conditional Release	11,484	4,648	40.5%	927	8.1%	3,721	32.4%
Maximum Expiration	2,490	439	17.6%	439	17.6%	N/A	N/A

Note: DOCCS defines recidivism as re-commitment or return to NYS DOCCS custody after an inmate's sanctioned release to the community.

Note: DOCCS followed a 2010 release cohort of offenders for three years to obtain the return rate data in the above table.

Community Supervision Staffing and Cases by Region/Bureau* (start of month data - excludes staff and parolees in the revocation process)

Region/Bureau	Number of Parolees	Number of Parole Officers & Senior Parole Officers
Bronx Region		
Bureau I	989	22
Bureau II	929	23
Bureau III	804	18
Bureau IV	881	18
Bureau V	963	20
Brooklyn Region		
Bureau I	1,186	24
Bureau II	1,096	17
Bureau III	1,208	17
Bureau IV	1,297	29
Central New York		
Northeast	1,614	41
Syracuse Belt	1,018	24
Syracuse	1,143	27
Utica	1,149	33
Hudson Valley Region		
New Rochelle	849	17
Peekskill	835	21
Albany	1,380	31
Poughkeepsie	1,026	23

Manhattan/Staten Island		
Manhattan Bureau II	857	21
Manhattan Bureau III	1,021	16
Manhattan Bureau IV	1,034	18
Manhattan Bureau VI	678	32
Staten Island	613	13
Queens/Long Island		
Queens Bureau I	680	13
Queens Bureau II	1,195	23
Queens Bureau III	807	15
Suffolk	1,619	37
Nassau	959	21
Western Region		
Buffalo Metro	1,141	27
Niagara Frontier	1,275	27
Elmira	1,121	25
Rochester Metro	1,077	22
Rochester Belt	754	16
Rochester SOU	830	26

*average case load size cannot be determined using this data