



Corrections and Community Supervision

DOCCS FACT SHEET

August 1, 2017

The management and operation of prisons is difficult work. It requires an equal measure of patience, discipline and creativity. DOCCS is responsible for the care, custody, and treatment of individuals sentenced to state prison, working with these inmates to ensure successful re-entry into the community and supervising those who are placed on parole. That's our Department's mission and it's the guide we follow to carry out the very serious responsibility entrusted to us.

The material contained within this Fact Sheet is based on statistical information compiled by DOCCS and it is intended to provide insight into the function and operation of the Department's 54 facilities that house approximately 51,200 inmates and the 35,500 parolees under DOCCS' responsibility.

Prison Safety

Statewide Assaults*						
	2012	2013	2014	2015	2016	2017
Assaults on Staff Statewide**	524	645	747	895	759	450
Assaults on Inmates Statewide**	652	767	859	916	1,135	679

*Excludes Willard, Edgecombe and Parole Diversion Programs.

**Includes disciplinary confinement SHU 200 units and Regional Medical Units.

Maximum vs. Medium Facility Inmate-on-Staff Assaults* 2012-2017						
	2012	2013	2014	2015	2016	2017
Assaults on Staff Statewide	524	645	747	895	759	450
Maximum-Security**	409	504	538	626	552	328
Medium-Security**	114	132	189	257	198	114

*Excludes Willard, Edgecombe and Parole Diversion Programs.

**Includes disciplinary confinement SHU 200 units and Regional Medical Units.

Note: Unlike the Penal Law where physical injury is a required element, any attack by an inmate is classified by DOCCS as an assault. This includes events where no physical injury occurs and events where any object, including a small object, is thrown at and hits another person. By contrast, in the Penal Law, an assault requires physical injury, which means impairment of physical condition or substantial pain.

2017 2nd Quarter Assaults				
	April	May	June	Total
Assault on Inmate	88	109	107	304
Assault on Staff	73	73	66	212
Total	161	182	173	516

Assault Degree of Injury to Staff				
Incident Type: Staff Injured during an Inmate on Inmate Assault				
	April	May	June	Total
No Injury	331	440	404	1,175
Minor*	2	5	12	19
Moderate**	0	0	0	0
Serious***	0	0	0	0
Severe****	0	0	0	0
Total	333	445	416	1,194

Incident Type: Staff Injured during an Inmate on Staff Assault				
	April	May	June	Total
No Injury	312	314	295	921
Minor*	101	99	103	303
Moderate**	3	1	5	9
Serious***	4	5	2	11
Severe****	0	0	0	0
Total	420	419	405	1,244

Note: Excludes Willard, Edgecombe, residential treatment facilities and Parole Diversion Programs and is based upon staff injuries sustained in Unusual Incidents each month, including employee accidents. Effective October 1, 2014 per Article VII bill, DOCCS added a category of severe and redefined injury definitions.

*Injuries that require either no treatment, minimal treatment (scratch, bruise, aches/pain) or precautionary treatment.

** Injuries such as lacerations, concussions, 2nd degree burns, serious sprains, dislocation, and muscle or ligament damage.

***Injuries that require transport to an outside hospital, but are not considered life-threatening at the preliminary report.

**** Injuries that cause obvious disfigurement, protracted impairment of health, loss or impairment of organ function, amputation, and injuries that risk cause of death.

Escape Incidents

- The last escape from within a maximum security secure perimeter was 2015 at Clinton Correctional Facility; prior to 2015 the last escape was in 2003.

- The last escape from within a medium security secure perimeter was 1992 at Mid-Orange Correctional Facility.

Prison Closures

- Since 1999, New York's prison population has declined by 29 percent, from a high of 72,649 inmates incarcerated (includes Willard DTC) to 51,237 today (8/01/17).
- Since 2011, the State has eliminated more than 5,500 prison beds and closed a total of 13 correctional facilities due to excess bed capacity resulting in an overall annual savings of approximately \$162 million.
- DOCCS security staff reductions have been consistent with inmate population declines. In each of the prison closure years more than 96% of affected employees remained employed, retired or resigned.

DOCCS Security Staff and Inmate Population							
December	Correction Officers Sergeants Lieutenants Positions Filled	Numeric Change	Percent Change	Offender Population (includes Willard)	Numeric Change	Percent Change	Staffing Ratio Inmate Population Versus Filled Uniform Staff Positions
2001	21,821	-634	-2.8%	68,351	-3,003	-4.2%	3.1
2002	21,303	-518	-2.4%	67,658	-693	-1.0%	3.2
2003	20,839	-464	-2.2%	66,109	-1,549	-2.3%	3.2
2004	21,133	294	1.4%	64,905	-1,204	-1.8%	3.1
2005	21,414	281	1.3%	63,928	-977	-1.5%	3.0
2006	21,510	96	0.4%	64,410	482	0.8%	3.0
2007	21,386	155	-0.6%	63,425	-985	-1.5%	3.0
2008	21,278	108	-0.5%	60,933	-2,492	-3.9%	2.9
2009	20,580	698	-3.3%	59,279	-1,654	-2.7%	2.9
2010	20,596	16	0.1%	57,229	-2,050	-3.5%	2.8
2011	19,570	1,026	-4.9%	55,980	-1,249	-2.2%	2.9
2012	19,103	467	-2.9%	54,865	-1,115	-2.0%	2.9
2013	19,058	45	-0.2%	54,196	-669	-1.2%	2.8
2014	18,930	128	-0.7%	53,157	-1,039	-1.9%	2.8
2015	19,293	363	1.9%	52,363	-794	-1.5%	2.7
2016	19,250	-43	- .9%	51,406	-957	- .9%	2.7

Staffing and Population Differences				
	12/31/99	8/01/17	Numeric Difference	Percent Change
Correction Officers Sergeants Lieutenants	22,112	19,263	2,849	-12.9%
Inmates (includes Willard)	72,649	51,237	21,412	-29.5%

DOCCS Correctional Facility Security Personnel
Council 82/NYSCOPBA Staff

	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	8/1/17
Council 82														
Lieutenants	539	540	543	541	535	526	521	458	483	482	472	475	471	475
NYSCOPBA														
Sergeants	1,266	1,297	1,308	1,308	1,289	1,236	1,256	1,169	1,196	1,190	1,175	1,172	1,179	1,185
Officers	19,328	19,577	19,660	20,040	19,522	18,955	18,930	17,945	17,741	17,627	17,569	17,635	17,601	17,603
Subtotal	20,593	20,874	20,967	21,348	20,811	20,191	20,186	19,114	18,937	18,817	18,744	18,807	18,780	18,788
Total	21,133	21,414	21,510	21,889	21,346	20,717	20,707	19,572	19,420	19,300	19,216	19,282	19,251	19,263

**Number and Percent of Inmates Convicted of a Violent Felony Offense
In Male Maximum and Medium Security Facilities
August 1st of Selected Years**

	2007			2012			2017		
	VFO	Inmates	%	VFO	Inmates	%	VFO	Inmates	%
Maximum Facilities	18,638	24,567	75.9%	19,080	23,770	80.3%	17,487	21,537	81.2%
Medium Facilities	16,033	32,469	49.4%	15,161	27,507	55.1%	13,677	24,887	55.0%

Note: This table includes inmates at the following parole program facilities: Edgecombe, Willard, Orleans, and Hudson PDP.

**SHU Cell Occupants
Effective Beginning of Business**

Inmate Status	5/1/2017	6/1/2017	7/1/2017	8/1/2017
SHU Disciplinary Sanction	2,287	2,114	2,134	1,940
KEEPLOCK Disciplinary Sanction	564	543	550	436
Administrative Segregation	25	25	25	29
Involuntary Protective Custody	24	34	20	38
Pending Disciplinary Hearing	415	376	308	410
Pending Movement from Unit	84	69	43	61
Pending Investigation	2	6	4	2
Special Watch	7	2	4	5
Voluntary Protective Custody	21	22	16	17
TOTAL SHU Cell Occupants (Excluding Youthful Offenders)	3,429	3,191	3,104	2,938

Statewide Inmates Convicted of a Violent Felony Offense

End of Year	2012	2013	2014	2015	2016	2017
Violent Offenders	35,270	35,136	34,380	33,641	32,908	32,649

Note: This table includes offenders at the following parole program facilities: Edgecombe Residential Treatment, Orleans and Hudson Parole Diversion Programs and Willard Drug Treatment Campus.

2011 Releases by Return Rate

Release Type And Release Cohort	Total Released	Total Returned		Return Type New Commitment		Return Type Parole Violator	
2011 Releases	23,710	10,007	42.2%	2,041	8.6%	7,966	33.6%
Parole	9,217	4,811	52.2%	709	7.7%	4,102	44.5%
Conditional Release	11,991	4,767	39.8%	903	7.5%	3,864	32.2%
Maximum Expiration	2,502	429	17.1%	429	17.1%	N/A	N/A

Note: DOCCS defines recidivism as re-commitment or return to NYS DOCCS custody after an inmate's sanctioned release to the community.

Note: DOCCS followed a 2010 release cohort of offenders for three years to obtain the return rate data in the above table.

Community Supervision Staffing and Cases by Region/Bureau* (start of month data - excludes staff and parolees in the revocation process)

Region/Bureau	Number of Parolees	Number of Parole Officers & Senior Parole Officers
Bronx Region		
Bureau I	1,122	19
Bureau II	895	22
Bureau III	798	18
Bureau IV	965	19
Bureau V	893	17
Brooklyn Region		
Bureau I	851	18
Bureau II	1,001	14
Bureau III	884	16
Bureau IV	1,041	21
Bureau V	1,025	28
Central New York		
Northeast	1,595	36
Syracuse Belt	1,259	28
Syracuse	920	18
Utica	1,134	29
Hudson Valley Region		
New Rochelle	906	21
Peekskill	892	23
Albany	1,245	31
Poughkeepsie	971	25

Manhattan/Staten Island		
Manhattan Bureau II	882	16
Manhattan Bureau III	961	11
Manhattan Bureau IV	1,114	18
Manhattan Bureau VI	774	26
Staten Island	607	12
Queens/Long Island		
Queens Bureau I	786	15
Queens Bureau II	1,144	24
Queens Bureau III	802	16
Suffolk	1,631	30
Nassau	870	21
Western Region		
Buffalo Metro	1,135	24
Niagara Frontier	1,281	26
Elmira	1,155	25
Rochester Metro	1,492	34
Rochester Belt	1,207	26

*average case load size cannot be determined using this data