



# Corrections and Community Supervision

## DOCCS FACT SHEET

April 1, 2018

The management and operation of prisons is difficult work. It requires an equal measure of patience, discipline and creativity. DOCCS is responsible for the care, custody, and treatment of individuals sentenced to state prison, working with these inmates to ensure successful re-entry into the community and supervising those who are placed on parole. That's our Department's mission and it's the guide we follow to carry out the very serious responsibility entrusted to us.

The material contained within this Fact Sheet is based on statistical information compiled by DOCCS and it is intended to provide insight into the function and operation of the Department's 54 facilities that house approximately 49,600 inmates and the 35,500 parolees under DOCCS' responsibility.

### Prison Safety

Statewide Assaults*						
	2013	2014	2015	2016	2017	2018
<b>Assaults on Staff Statewide**</b>	645	747	895	759	798	196
<b>Assaults on Inmates Statewide**</b>	767	859	915	1,135	1,220	316

\*Excludes Willard, Edgecombe and Parole Diversion Programs.

\*\*Includes disciplinary confinement SHU 200 units and Regional Medical Units.

Maximum vs. Medium Facility Inmate-on-Staff Assaults* 2012-2017						
	2013	2014	2015	2016	2017	2018
<b>Assaults on Staff Statewide</b>	645	747	895	759	798	196
<b>Maximum-Security**</b>	504	538	626	552	566	139
<b>Medium-Security**</b>	129	188	253	198	214	54

\*Excludes Willard, Edgecombe and Parole Diversion Programs.

\*\*Includes disciplinary confinement SHU 200 units and Regional Medical Units.

**Note:** Unlike the Penal Law where physical injury is a required element, any attack by an inmate is classified by DOCCS as an assault. This includes events where no physical injury occurs and events where any object, including a small object, is thrown at and hits another person. By contrast, in the Penal Law, an assault requires physical injury, which means impairment of physical condition or substantial pain.

<b>2018 1st Quarter Assaults</b>				
	<b>January</b>	<b>February</b>	<b>March</b>	<b>Total</b>
<b>Assault on Inmate</b>	112	89	115	316
<b>Assault on Staff</b>	60	61	75	196
<b>Total</b>	<b>172</b>	<b>150</b>	<b>190</b>	<b>512</b>

<b>Assault Degree of Injury to Staff</b>				
<b>Incident Type: Staff Injured during an Inmate on Inmate Assault</b>				
	<b>January</b>	<b>February</b>	<b>March</b>	<b>Total</b>
<b>No Injury</b>	422	362	457	1,241
<b>Minor*</b>	6	7	12	25
<b>Moderate**</b>	0	0	0	0
<b>Serious***</b>	0	0	0	0
<b>Severe****</b>	0	0	0	0
<b>Total</b>	<b>428</b>	<b>369</b>	<b>469</b>	<b>1,266</b>

<b>Incident Type: Staff Injured during an Inmate on Staff Assault</b>				
	<b>January</b>	<b>February</b>	<b>March</b>	<b>Total</b>
<b>No Injury</b>	199	258	326	783
<b>Minor*</b>	62	95	83	240
<b>Moderate**</b>	4	4	1	9
<b>Serious***</b>	3	1	1	5
<b>Severe****</b>	0	0	0	0
<b>Total</b>	<b>268</b>	<b>358</b>	<b>411</b>	<b>1,037</b>

**Note:** Excludes Willard, Edgecombe, residential treatment facilities and Parole Diversion Programs and is based upon staff injuries sustained in Unusual Incidents each month, including employee accidents. Effective October 1, 2014 per Article VII bill, DOCCS added a category of severe and redefined injury definitions.

\*Injuries that require either no treatment, minimal treatment (scratch, bruise, aches/pain) or precautionary treatment.

\*\* Injuries such as lacerations, concussions, 2<sup>nd</sup> degree burns, serious sprains, dislocation, and muscle or ligament damage.

\*\*\*Injuries that require transport to an outside hospital, but are not considered life-threatening at the preliminary report.

\*\*\*\* Injuries that cause obvious disfigurement, protracted impairment of health, loss or impairment of organ function, amputation, and injuries that risk cause of death.

## **Escape Incidents**

- The last escape from within a maximum security secure perimeter was 2015 at Clinton Correctional Facility; prior to 2015 the last escape was in 2003.

- The last escape from within a medium security secure perimeter was 1992 at Mid-Orange Correctional Facility.

## **Prison Closures**

- Since 1999, New York's prison population has declined by 31.7 percent, from a high of 72,649 inmates incarcerated (includes Willard DTC) to 49,681 today (4/01/18).
- Since 2011, the State has eliminated more than 5,500 prison beds and closed a total of 13 correctional facilities due to excess bed capacity resulting in an overall annual savings of approximately \$162 million.
- DOCCS security staff reductions have been consistent with inmate population declines. In each of the prison closure years more than 96% of affected employees remained employed, retired or resigned.

<b>DOCCS Security Staff and Inmate Population</b>							
<b>December</b>	<b>Correction Officers Sergeants Lieutenants Positions Filled</b>	<b>Numeric Change</b>	<b>Percent Change</b>	<b>Offender Population (includes Willard)</b>	<b>Numeric Change</b>	<b>Percent Change</b>	<b>Staffing Ratio Inmate Population Versus Filled Uniform Staff Positions</b>
<b>2001</b>	21,821	-634	-2.8%	68,351	-3,003	-4.2%	3.1
<b>2002</b>	21,303	-518	-2.4%	67,658	-693	-1.0%	3.2
<b>2003</b>	20,839	-464	-2.2%	66,109	-1,549	-2.3%	3.2
<b>2004</b>	21,133	294	1.4%	64,905	-1,204	-1.8%	3.1
<b>2005</b>	21,414	281	1.3%	63,928	-977	-1.5%	3.0
<b>2006</b>	21,510	96	0.4%	64,410	482	0.8%	3.0
<b>2007</b>	21,386	155	-0.6%	63,425	-985	-1.5%	3.0
<b>2008</b>	21,278	108	-0.5%	60,933	-2,492	-3.9%	2.9
<b>2009</b>	20,580	698	-3.3%	59,279	-1,654	-2.7%	2.9
<b>2010</b>	20,596	16	0.1%	57,229	-2,050	-3.5%	2.8
<b>2011</b>	19,570	1,026	-4.9%	55,980	-1,249	-2.2%	2.9
<b>2012</b>	19,103	467	-2.9%	54,865	-1,115	-2.0%	2.9
<b>2013</b>	19,058	45	-0.2%	54,196	-669	-1.2%	2.8
<b>2014</b>	18,930	128	-0.7%	53,157	-1,039	-1.9%	2.8
<b>2015</b>	19,293	363	1.9%	52,363	-794	-1.5%	2.7
<b>2016</b>	19,250	-43	- .9%	51,406	-957	- .9%	2.7

<b>Staffing and Population Differences</b>				
	<b>12/31/99</b>	<b>4/01/18</b>	<b>Numeric Difference</b>	<b>Percent Change</b>
<b>Correction Officers Sergeants Lieutenants</b>	22,112	19,319	2,793	-12.7%
<b>Inmates (includes Willard)</b>	72,649	49,681	22,968	-31.7%

**DOCCS Correctional Facility Security Personnel**  
Council 82/NYSCOPBA Staff

	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018
Council 82														
<b>Lieutenants</b>	540	543	541	535	526	521	458	483	482	472	475	471	480	475
NYSCOPBA														
<b>Sergeants</b>	1,297	1,308	1,308	1,289	1,236	1,256	1,169	1,196	1,190	1,175	1,172	1,179	1,193	1,168
<b>Officers</b>	19,577	19,660	20,040	19,522	18,955	18,930	17,945	17,741	17,627	17,569	17,635	17,601	17,581	17,676
Subtotal	<b>20,874</b>	<b>20,967</b>	<b>21,348</b>	<b>20,811</b>	<b>20,191</b>	<b>20,186</b>	<b>19,114</b>	<b>18,937</b>	<b>18,817</b>	<b>18,744</b>	<b>18,807</b>	<b>18,780</b>	<b>18,774</b>	<b>18,844</b>
Total	<b>21,414</b>	<b>21,510</b>	<b>21,889</b>	<b>21,346</b>	<b>20,717</b>	<b>20,707</b>	<b>19,572</b>	<b>19,420</b>	<b>19,300</b>	<b>19,216</b>	<b>19,282</b>	<b>19,251</b>	<b>19,254</b>	<b>19,319</b>

**Number and Percent of Inmates Convicted of a Violent Felony Offense  
In Male Maximum and Medium Security Facilities  
April 1<sup>st</sup> of Selected Years**

	2008			2013			2018		
	VFO	Inmates	%	VFO	Inmates	%	VFO	Inmates	%
<b>Maximum Facilities</b>	18,642	24,312	76.7%	18,590	22,972	80.9%	17,091	20,941	81.6%
<b>Medium Facilities</b>	16,058	31,769	50.5%	15,280	27,236	56.1%	13,493	24,252	55.6%

**Note:** This table includes inmates at the following parole program facilities: Edgecombe, Willard, Orleans, and Hudson PDP.

**SHU Cell Occupants  
Effective Beginning of Business**

<b>Inmate Status</b>	<b>1/1/2018</b>	<b>2/1/2018</b>	<b>3/1/2018</b>	<b>4/1/2018</b>
SHU Disciplinary Sanction	1,782	1,827	1,803	1,783
KEEPLOCK Disciplinary Sanction	483	473	453	461
Administrative Segregation	29	27	29	27
Involuntary Protective Custody	24	24	29	17
Pending Disciplinary Hearing	396	378	350	352
Pending Movement from Unit	69	83	66	47
Pending Investigation	3	3	2	1
Special Watch	3	4	2	4
Voluntary Protective Custody	16	19	19	14
<b>TOTAL SHU Cell Occupants (Excluding Youthful Offenders)</b>	<b>2,805</b>	<b>2,838</b>	<b>2,753</b>	<b>2,706</b>

### Statewide Inmates Convicted of a Violent Felony Offense

End of Year	2013	2014	2015	2016	2017	2018
<b>Violent Offenders</b>	35,136	34,380	33,641	32,908	32,236	31,974

**Note:** This table includes offenders at the following parole program facilities: Edgecombe Residential Treatment, Orleans and Hudson Parole Diversion Programs and Willard Drug Treatment Campus.

### 2012 Releases by Return Rate

Release Type And Release Cohort	Total Released	Total Returned		Return Type New Commitment		Return Type Parole Violator	
2011 Releases	23,346	9,953	42.6%	2,158	9.2%	7,795	33.4%
Parole	9,372	4,866	51.9%	792	8.5%	4,074	43.5%
Conditional Release	11,484	4,648	40.5%	927	8.1%	3,721	32.4%
Maximum Expiration	2,490	439	17.6%	439	17.6%	N/A	N/A

**Note:** DOCCS defines recidivism as re-commitment or return to NYS DOCCS custody after an inmate's sanctioned release to the community.

**Note:** DOCCS followed a 2010 release cohort of offenders for three years to obtain the return rate data in the above table.

### Community Supervision Staffing and Cases by Region/Bureau\* (start of month data - excludes staff and parolees in the revocation process)

Region/Bureau	Number of Parolees	Number of Parole Officers & Senior Parole Officers
<b>Bronx Region</b>		
Bureau I	1,037	23
Bureau II	933	21
Bureau III	774	17
Bureau IV	848	21
Bureau V	874	23
<b>Brooklyn Region</b>		
Bureau I	1,232	20
Bureau II	1,069	21
Bureau III	999	18
Bureau IV	1,479	25
<b>Central New York</b>		
Northeast	1,645	35
Syracuse Belt	1,036	24
Syracuse	1,190	24
Utica	1,144	30
<b>Hudson Valley Region</b>		
New Rochelle	879	21
Peekskill	917	24
Albany	1,292	31
Poughkeepsie	1,029	23

<b>Manhattan/Staten Island</b>		
Manhattan Bureau II	953	16
Manhattan Bureau III	892	14
Manhattan Bureau IV	943	20
Manhattan Bureau VI	722	24
Staten Island	594	12
<b>Queens/Long Island</b>		
Queens Bureau I	678	16
Queens Bureau II	1,184	23
Queens Bureau III	788	17
Suffolk	1,576	29
Nassau	945	19
<b>Western Region</b>		
Buffalo Metro	1,187	26
Niagara Frontier	1,279	27
Elmira	1,163	25
Rochester Metro	1,281	26
Rochester Belt	1,418	19

\*average case load size cannot be determined using this data