



Corrections and Community Supervision

DOCCS FACT SHEET

November 1, 2017

The management and operation of prisons is difficult work. It requires an equal measure of patience, discipline and creativity. DOCCS is responsible for the care, custody, and treatment of individuals sentenced to state prison, working with these inmates to ensure successful re-entry into the community and supervising those who are placed on parole. That's our Department's mission and it's the guide we follow to carry out the very serious responsibility entrusted to us.

The material contained within this Fact Sheet is based on statistical information compiled by DOCCS and it is intended to provide insight into the function and operation of the Department's 54 facilities that house approximately 51,000 inmates and the 35,500 parolees under DOCCS' responsibility.

Prison Safety

Statewide Assaults*						
	2012	2013	2014	2015	2016	2017
Assaults on Staff Statewide**	524	645	747	895	759	659
Assaults on Inmates Statewide**	652	767	859	916	1,135	1,010

*Excludes Willard, Edgecombe and Parole Diversion Programs.

**Includes disciplinary confinement SHU 200 units and Regional Medical Units.

Maximum vs. Medium Facility Inmate-on-Staff Assaults* 2012-2017						
	2012	2013	2014	2015	2016	2017
Assaults on Staff Statewide	524	645	747	895	759	659
Maximum-Security**	409	504	538	626	552	474
Medium-Security**	114	132	189	257	198	172

*Excludes Willard, Edgecombe and Parole Diversion Programs.

**Includes disciplinary confinement SHU 200 units and Regional Medical Units.

Note: Unlike the Penal Law where physical injury is a required element, any attack by an inmate is classified by DOCCS as an assault. This includes events where no physical injury occurs and events where any object, including a small object, is thrown at and hits another person. By contrast, in the Penal Law, an assault requires physical injury, which means impairment of physical condition or substantial pain.

2017 3rd Quarter Assaults				
	July	August	September	Total
Assault on Inmate	126	111	92	329
Assault on Staff	65	76	65	206
Total	191	187	157	535

Assault Degree of Injury to Staff				
Incident Type: Staff Injured during an Inmate on Inmate Assault				
	July	August	September	Total
No Injury	481	447	393	1,321
Minor*	15	11	2	28
Moderate**	0	0	0	0
Serious***	0	0	0	0
Severe****	0	0	0	0
Total	496	458	395	1,349

Incident Type: Staff Injured during an Inmate on Staff Assault				
	July	August	September	Total
No Injury	280	338	246	864
Minor*	89	85	93	267
Moderate**	2	2	2	6
Serious***	0	0	2	2
Severe****	0	0	0	0
Total	371	425	343	1,139

Note: Excludes Willard, Edgecombe, residential treatment facilities and Parole Diversion Programs and is based upon staff injuries sustained in Unusual Incidents each month, including employee accidents. Effective October 1, 2014 per Article VII bill, DOCCS added a category of severe and redefined injury definitions.

*Injuries that require either no treatment, minimal treatment (scratch, bruise, aches/pain) or precautionary treatment.

** Injuries such as lacerations, concussions, 2nd degree burns, serious sprains, dislocation, and muscle or ligament damage.

***Injuries that require transport to an outside hospital, but are not considered life-threatening at the preliminary report.

**** Injuries that cause obvious disfigurement, protracted impairment of health, loss or impairment of organ function, amputation, and injuries that risk cause of death.

Escape Incidents

- The last escape from within a maximum security secure perimeter was 2015 at Clinton Correctional Facility; prior to 2015 the last escape was in 2003.

- The last escape from within a medium security secure perimeter was 1992 at Mid-Orange Correctional Facility.

Prison Closures

- Since 1999, New York's prison population has declined by 30 percent, from a high of 72,649 inmates incarcerated (includes Willard DTC) to 50,681 today (11/01/17).
- Since 2011, the State has eliminated more than 5,500 prison beds and closed a total of 13 correctional facilities due to excess bed capacity resulting in an overall annual savings of approximately \$162 million.
- DOCCS security staff reductions have been consistent with inmate population declines. In each of the prison closure years more than 96% of affected employees remained employed, retired or resigned.

DOCCS Security Staff and Inmate Population							
December	Correction Officers Sergeants Lieutenants Positions Filled	Numeric Change	Percent Change	Offender Population (includes Willard)	Numeric Change	Percent Change	Staffing Ratio Inmate Population Versus Filled Uniform Staff Positions
2001	21,821	-634	-2.8%	68,351	-3,003	-4.2%	3.1
2002	21,303	-518	-2.4%	67,658	-693	-1.0%	3.2
2003	20,839	-464	-2.2%	66,109	-1,549	-2.3%	3.2
2004	21,133	294	1.4%	64,905	-1,204	-1.8%	3.1
2005	21,414	281	1.3%	63,928	-977	-1.5%	3.0
2006	21,510	96	0.4%	64,410	482	0.8%	3.0
2007	21,386	155	-0.6%	63,425	-985	-1.5%	3.0
2008	21,278	108	-0.5%	60,933	-2,492	-3.9%	2.9
2009	20,580	698	-3.3%	59,279	-1,654	-2.7%	2.9
2010	20,596	16	0.1%	57,229	-2,050	-3.5%	2.8
2011	19,570	1,026	-4.9%	55,980	-1,249	-2.2%	2.9
2012	19,103	467	-2.9%	54,865	-1,115	-2.0%	2.9
2013	19,058	45	-0.2%	54,196	-669	-1.2%	2.8
2014	18,930	128	-0.7%	53,157	-1,039	-1.9%	2.8
2015	19,293	363	1.9%	52,363	-794	-1.5%	2.7
2016	19,250	-43	- .9%	51,406	-957	- .9%	2.7

Staffing and Population Differences				
	12/31/99	11/01/17	Numeric Difference	Percent Change
Correction Officers Sergeants Lieutenants	22,112	19,126	2,986	-14.6%
Inmates (includes Willard)	72,649	50,681	21,968	-30.0%

DOCCS Correctional Facility Security Personnel
Council 82/NYSCOPBA Staff

	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	11/1/17
Council 82														
Lieutenants	539	540	543	541	535	526	521	458	483	482	472	475	471	468
NYSCOPBA														
Sergeants	1,266	1,297	1,308	1,308	1,289	1,236	1,256	1,169	1,196	1,190	1,175	1,172	1,179	1,178
Officers	19,328	19,577	19,660	20,040	19,522	18,955	18,930	17,945	17,741	17,627	17,569	17,635	17,601	17,480
Subtotal	20,593	20,874	20,967	21,348	20,811	20,191	20,186	19,114	18,937	18,817	18,744	18,807	18,780	18,658
Total	21,133	21,414	21,510	21,889	21,346	20,717	20,707	19,572	19,420	19,300	19,216	19,282	19,251	19,126

**Number and Percent of Inmates Convicted of a Violent Felony Offense
In Male Maximum and Medium Security Facilities
November 1st of Selected Years**

	2007			2012			2017		
	VFO	Inmates	%	VFO	Inmates	%	VFO	Inmates	%
Maximum Facilities	18,624	24,432	76.2%	19,064	23,594	80.8%	17,247	21,258	81.1%
Medium Facilities	15,925	32,060	49.7%	15,089	27,342	55.2%	13,719	24,832	55.2%

Note: This table includes inmates at the following parole program facilities: Edgecombe, Willard, Orleans, and Hudson PDP.

**SHU Cell Occupants
Effective Beginning of Business**

Inmate Status	8/1/2017	9/1/2017	10/1/2017	11/1/2017
SHU Disciplinary Sanction	1,940	1,946	1,798	1,792
KEEPLOCK Disciplinary Sanction	436	486	561	480
Administrative Segregation	29	27	31	32
Involuntary Protective Custody	38	29	23	32
Pending Disciplinary Hearing	410	307	327	384
Pending Movement from Unit	61	76	61	36
Pending Investigation	2	1	1	1
Special Watch	5	0	2	6
Voluntary Protective Custody	17	14	15	20
TOTAL SHU Cell Occupants (Excluding Youthful Offenders)	2,938	2,886	2,819	2,783

Statewide Inmates Convicted of a Violent Felony Offense

End of Year	2012	2013	2014	2015	2016	2017
Violent Offenders	35,270	35,136	34,380	33,641	32,908	32,399

Note: This table includes offenders at the following parole program facilities: Edgecombe Residential Treatment, Orleans and Hudson Parole Diversion Programs and Willard Drug Treatment Campus.

2012 Releases by Return Rate

Release Type And Release Cohort	Total Released	Total Returned		Return Type New Commitment		Return Type Parole Violator	
2011 Releases	23,346	9,953	42.6%	2,158	9.2%	7,795	33.4%
Parole	9,372	4,866	51.9%	792	8.5%	4,074	43.5%
Conditional Release	11,484	4,648	40.5%	927	8.1%	3,721	32.4%
Maximum Expiration	2,490	439	17.6%	439	17.6%	N/A	N/A

Note: DOCCS defines recidivism as re-commitment or return to NYS DOCCS custody after an inmate's sanctioned release to the community.

Note: DOCCS followed a 2010 release cohort of offenders for three years to obtain the return rate data in the above table.

Community Supervision Staffing and Cases by Region/Bureau* (start of month data - excludes staff and parolees in the revocation process)

Region/Bureau	Number of Parolees	Number of Parole Officers & Senior Parole Officers
Bronx Region		
Bureau I	1,058	24
Bureau II	885	22
Bureau III	728	17
Bureau IV	828	21
Bureau V	834	20
Brooklyn Region		
Bureau I	826	17
Bureau II	1,011	16
Bureau III	877	16
Bureau IV	932	20
Bureau V	1,009	26
Central New York		
Northeast	1,631	34
Syracuse Belt	1,244	28
Syracuse	940	21
Utica	1,121	27
Hudson Valley Region		
New Rochelle	875	21
Peekskill	873	22
Albany	1,233	29
Poughkeepsie	961	25

Manhattan/Staten Island		
Manhattan Bureau II	897	15
Manhattan Bureau III	920	14
Manhattan Bureau IV	989	21
Manhattan Bureau VI	783	29
Staten Island	571	11
Queens/Long Island		
Queens Bureau I	764	15
Queens Bureau II	1,171	22
Queens Bureau III	771	17
Suffolk	1,558	32
Nassau	934	20
Western Region		
Buffalo Metro	1,143	27
Niagara Frontier	1,291	25
Elmira	1,159	24
Rochester Metro	1,271	34
Rochester Belt	1,380	32

*average case load size cannot be determined using this data