



Corrections and Community Supervision

DOCCS FACT SHEET

February 1, 2019

The management and operation of prisons is difficult work. It requires an equal measure of patience, discipline and creativity. DOCCS is responsible for the care, custody, and treatment of individuals sentenced to state prison, working with these inmates to ensure successful re-entry into the community and supervising those who are placed on parole. That's our Department's mission and it's the guide we follow to carry out the very serious responsibility entrusted to us.

The material contained within this Fact Sheet is based on statistical information compiled by DOCCS and it is intended to provide insight into the function and operation of the Department's 54 facilities that house approximately 47,100 inmates and the 36,410 parolees under DOCCS' responsibility.

Prison Safety

Statewide Assaults*						
	2014	2015	2016	2017	2018	2019
Assaults on Staff Statewide**	747	895	759	799	973	82
Assaults on Inmates Statewide**	860	915	1,135	1,224	1,164	95

*Excludes Willard, Edgecombe and Parole Diversion Programs.

**Includes disciplinary confinement SHU 200 units and Regional Medical Units.

Maximum vs. Medium Facility Inmate-on-Staff Assaults* 2014-2019						
	2014	2015	2016	2017	2018	2019
Assaults on Staff Statewide	747	895	759	798	973	82
Maximum-Security**	538	626	552	566	723	64
Medium-Security**	188	253	198	214	237	17

*Excludes Willard, Edgecombe and Parole Diversion Programs.

**Includes disciplinary confinement SHU 200 units and Regional Medical Units.

Note: Unlike the Penal Law where physical injury is a required element, any attack by an inmate is classified by DOCCS as an assault. This includes events where no physical injury occurs and events where any object, including a small object, is thrown at and hits another person. By contrast, in the Penal Law, an assault requires physical injury, which means impairment of physical condition or substantial pain.

2018 4th Quarter Assaults				
	October	November	December	Total
Assault on Inmate	80	86	89	255
Assault on Staff	78	77	83	238
Total	158	163	172	493

Assault Degree of Injury to Staff				
Incident Type: Staff Injured during an Inmate on Inmate Assault				
	October	November	December	Total
No Injury	298	327	351	976
Minor*	8	3	4	15
Moderate**	2	0	0	2
Serious***	0	0	0	0
Severe****	0	0	0	0
Total	308	330	355	993

Incident Type: Staff Injured during an Inmate on Staff Assault				
	October	November	December	Total
No Injury	318	382	319	1,019
Minor*	101	104	98	303
Moderate**	1	3	2	6
Serious***	2	3	0	5
Severe****	0	0	0	0
Total	422	492	419	1,333

Note: Excludes Willard, Edgecombe, residential treatment facilities and Parole Diversion Programs and is based upon staff injuries sustained in Unusual Incidents each month, including employee accidents. Effective October 1, 2014 per Article VII bill, DOCCS added a category of severe and redefined injury definitions.

*Injuries that require either no treatment, minimal treatment (scratch, bruise, aches/pain) or precautionary treatment.

** Injuries such as lacerations, concussions, 2nd degree burns, serious sprains, dislocation, and muscle or ligament damage.

***Injuries that require transport to an outside hospital, but are not considered life-threatening at the preliminary report.

**** Injuries that cause obvious disfigurement, protracted impairment of health, loss or impairment of organ function, amputation, and injuries that risk cause of death.

Escape Incidents

- The last escape from within a maximum security secure perimeter was 2015 at Clinton Correctional Facility; prior to 2015 the last escape was in 2003.
- The last escape from within a medium security secure perimeter was 1992 at Mid-Orange Correctional Facility.

Prison Closures

- Since 1999, New York's prison population has declined by 35.2 percent, from a high of 72,649 inmates incarcerated (includes Willard DTC) to 47,086 today (2/1/19).
- Since 2011, the State has eliminated more than 5,500 prison beds and closed a total of 13 correctional facilities due to excess bed capacity resulting in an overall annual savings of approximately \$162 million.
- DOCCS security staff reductions have been consistent with inmate population declines. In each of the prison closure years, more than 96% of affected employees remained employed, retired or resigned.

DOCCS Security Staff and Inmate Population							
December	Correction Officers Sergeants Lieutenants Positions Filled	Numeric Change	Percent Change	Offender Population (includes Willard)	Numeric Change	Percent Change	Staffing Ratio Inmate Population Versus Filled Uniform Staff Positions
2003	20,839	-464	-2.2%	66,109	-1,549	-2.3%	3.2
2004	21,133	294	1.4%	64,905	-1,204	-1.8%	3.1
2005	21,414	281	1.3%	63,928	-977	-1.5%	3.0
2006	21,510	96	0.4%	64,410	482	0.8%	3.0
2007	21,386	155	-0.6%	63,425	-985	-1.5%	3.0
2008	21,278	108	-0.5%	60,933	-2,492	-3.9%	2.9
2009	20,580	698	-3.3%	59,279	-1,654	-2.7%	2.9
2010	20,596	16	0.1%	57,229	-2,050	-3.5%	2.8
2011	19,570	1,026	-4.9%	55,980	-1,249	-2.2%	2.9
2012	19,103	467	-2.9%	54,865	-1,115	-2.0%	2.9
2013	19,058	45	-0.2%	54,196	-669	-1.2%	2.8
2014	18,930	128	-0.7%	53,157	-1,039	-1.9%	2.8
2015	19,293	363	1.9%	52,363	-794	-1.5%	2.7
2016	19,250	-43	- .9%	51,406	-957	- .9%	2.7
2017	19,254	4	.1%	50,271	-1135	.2%	2.6
2018	19,187	-64	.1%	50,288	17	.1%	2.6

Staffing and Population Differences				
	12/31/99	1/01/19	Numeric Difference	Percent Change
Correction Officers Sergeants Lieutenants	22,112	19,134	2,978	-13.5%
Inmates (includes Willard)	72,649	47,086	25,563	-35.2%

DOCCS Correctional Facility Security Personnel Council 82/NYSCOPBA Staff														
	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019
Council 82														
Lieutenants	543	541	535	526	521	458	483	482	472	475	471	480	478	474
NYSCOPBA														
Sergeants	1,308	1,308	1,289	1,236	1,256	1,169	1,196	1,190	1,175	1,172	1,179	1,193	1,184	1,164
Officers	19,660	20,040	19,522	18,955	18,930	17,945	17,741	17,627	17,569	17,635	17,601	17,581	17,528	17,496
Subtotal	20,967	21,348	20,811	20,191	20,186	19,114	18,937	18,817	18,744	18,807	18,780	18,774	18,712	18,660
Total	21,510	21,889	21,346	20,717	20,707	19,572	19,420	19,300	19,216	19,282	19,251	19,254	19,190	19,134

Number and Percent of Inmates Convicted of a Violent Felony Offense In Male Maximum and Medium Security Facilities January 1 st of Selected Years									
	2009			2014			2019		
	VFO	Inmates	%	VFO	Inmates	%	VFO	Inmates	%
Maximum Facilities	18,681	24,193	77.2%	18,404	22,584	81.5%	16,540	20,090	82.3%
Medium Facilities	15,381	30,285	50.8%	15,223	26,825	56.7%	13,067	22,968	56.9%

Note: This table includes inmates at the following parole program facilities: Edgecombe, Willard, Orleans, and Hudson PDP.

SHU Cell Occupants Effective Beginning of Business				
Inmate Status	11/1/2018	12/1/2018	1/1/2019	2/1/2019
SHU Disciplinary Sanction	1,630	1,641	1,548	1,575
KEEPLOCK Disciplinary Sanction	479	497	500	520
Administrative Segregation	23	25	27	23
Involuntary Protective Custody	24	13	23	16
Pending Disciplinary Hearing	317	304	343	294
Pending Movement from Unit	48	31	25	61
Pending Investigation	0	2	3	0
Special Watch	3	1	2	5
Voluntary Protective Custody	17	17	14	22
TOTAL SHU Cell Occupants (Excluding Youthful Offenders)	2,541	2,531	2,485	2,516

Statewide Inmates Convicted of a Violent Felony Offense						
End of Year	2014	2015	2016	2017	2018	2019
Violent Offenders	34,380	33,641	32,908	32,236	31,093	30,987

Note: This table includes offenders at the following parole program facilities: Edgecombe Residential Treatment, Orleans and Hudson Parole Diversion Programs and Willard Drug Treatment Campus.

2012 Releases by Return Rate							
Release Type And Release Cohort	Total Released	Total Returned		Return Type New Commitment		Return Type Parole Violator	
2011 Releases	23,346	9,953	42.6%	2,158	9.2%	7,795	33.4%
Parole	9,372	4,866	51.9%	792	8.5%	4,074	43.5%
Conditional Release	11,484	4,648	40.5%	927	8.1%	3,721	32.4%
Maximum Expiration	2,490	439	17.6%	439	17.6%	N/A	N/A

Note: DOCCS defines recidivism as re-commitment or return to NYS DOCCS custody after an inmate's sanctioned release to the community.

Note: DOCCS followed a 2010 release cohort of offenders for three years to obtain the return rate data in the above table.

Community Supervision Staffing and Cases by Region/Bureau* (start of month data - excludes staff and parolees in the revocation process)		
Region/Bureau	Number of Parolees	Number of Parole Officers & Senior Parole Officers
Bronx Region		
Bureau I	1,007	21
Bureau II	896	22
Bureau III	796	17
Bureau IV	884	16
Bureau V	953	19
Brooklyn Region		
Bureau I	1,140	22
Bureau II	1,020	20
Bureau III	1,216	20
Bureau IV	1,231	27
Central New York		
Northeast	986	25
Syracuse Belt	1,076	23
Syracuse	1,142	31
Utica	1,143	33

Hudson Valley Region		
New Rochelle	854	20
Peekskill	865	23
Albany	1,030	28
Schenectady	1,034	22
Poughkeepsie	1,019	23
Manhattan/Staten Island		
Manhattan Bureau II	810	21
Manhattan Bureau III	1,069	15
Manhattan Bureau IV	1,102	15
Manhattan Bureau VI	666	30
Staten Island	604	12
Queens/Long Island		
Queens Bureau I	680	14
Queens Bureau II	1,202	23
Queens Bureau III	817	14
Suffolk	1,613	40
Nassau	941	21
Western Region		
Buffalo Metro	1,129	32
Niagara Frontier	1,293	28
Elmira	1,126	27
Rochester Metro	1,118	24
Rochester Belt	754	19
Rochester SOU	809	24

*Average case load size cannot be determined using this data