



# Corrections and Community Supervision

## DOCCS FACT SHEET

October 1, 2017

The management and operation of prisons is difficult work. It requires an equal measure of patience, discipline and creativity. DOCCS is responsible for the care, custody, and treatment of individuals sentenced to state prison, working with these inmates to ensure successful re-entry into the community and supervising those who are placed on parole. That's our Department's mission and it's the guide we follow to carry out the very serious responsibility entrusted to us.

The material contained within this Fact Sheet is based on statistical information compiled by DOCCS and it is intended to provide insight into the function and operation of the Department's 54 facilities that house approximately 51,000 inmates and the 35,500 parolees under DOCCS' responsibility.

### Prison Safety

Statewide Assaults*						
	2012	2013	2014	2015	2016	2017
<b>Assaults on Staff Statewide**</b>	524	645	747	895	759	591
<b>Assaults on Inmates Statewide**</b>	652	767	859	916	1,135	885

\*Excludes Willard, Edgecombe and Parole Diversion Programs.

\*\*Includes disciplinary confinement SHU 200 units and Regional Medical Units.

Maximum vs. Medium Facility Inmate-on-Staff Assaults* 2012-2017						
	2012	2013	2014	2015	2016	2017
<b>Assaults on Staff Statewide</b>	524	645	747	895	759	591
<b>Maximum-Security**</b>	409	504	538	626	552	425
<b>Medium-Security**</b>	114	132	189	257	198	154

\*Excludes Willard, Edgecombe and Parole Diversion Programs.

\*\*Includes disciplinary confinement SHU 200 units and Regional Medical Units.

**Note:** Unlike the Penal Law where physical injury is a required element, any attack by an inmate is classified by DOCCS as an assault. This includes events where no physical injury occurs and events where any object, including a small object, is thrown at and hits another person. By contrast, in the Penal Law, an assault requires physical injury, which means impairment of physical condition or substantial pain.

2017 3rd Quarter Assaults				
	July	August	September	Total
Assault on Inmate	126	111	92	329
Assault on Staff	65	76	65	206
<b>Total</b>	<b>191</b>	<b>187</b>	<b>157</b>	<b>535</b>

Assault Degree of Injury to Staff				
Incident Type: Staff Injured during an Inmate on Inmate Assault				
	July	August	September	Total
No Injury	481	447	393	1,321
Minor*	15	11	2	28
Moderate**	0	0	0	0
Serious***	0	0	0	0
Severe****	0	0	0	0
<b>Total</b>	<b>496</b>	<b>458</b>	<b>395</b>	<b>1,349</b>

Incident Type: Staff Injured during an Inmate on Staff Assault				
	July	August	September	Total
No Injury	280	338	246	864
Minor*	89	85	93	267
Moderate**	2	2	2	6
Serious***	0	0	2	2
Severe****	0	0	0	0
<b>Total</b>	<b>371</b>	<b>425</b>	<b>343</b>	<b>1,139</b>

**Note:** Excludes Willard, Edgecombe, residential treatment facilities and Parole Diversion Programs and is based upon staff injuries sustained in Unusual Incidents each month, including employee accidents. Effective October 1, 2014 per Article VII bill, DOCCS added a category of severe and redefined injury definitions.

\*Injuries that require either no treatment, minimal treatment (scratch, bruise, aches/pain) or precautionary treatment.

\*\* Injuries such as lacerations, concussions, 2<sup>nd</sup> degree burns, serious sprains, dislocation, and muscle or ligament damage.

\*\*\*Injuries that require transport to an outside hospital, but are not considered life-threatening at the preliminary report.

\*\*\*\* Injuries that cause obvious disfigurement, protracted impairment of health, loss or impairment of organ function, amputation, and injuries that risk cause of death.

## **Escape Incidents**

- The last escape from within a maximum security secure perimeter was 2015 at Clinton Correctional Facility; prior to 2015 the last escape was in 2003.

- The last escape from within a medium security secure perimeter was 1992 at Mid-Orange Correctional Facility.

## **Prison Closures**

- Since 1999, New York's prison population has declined by 30 percent, from a high of 72,649 inmates incarcerated (includes Willard DTC) to 50,778 today (10/01/17).
- Since 2011, the State has eliminated more than 5,500 prison beds and closed a total of 13 correctional facilities due to excess bed capacity resulting in an overall annual savings of approximately \$162 million.
- DOCCS security staff reductions have been consistent with inmate population declines. In each of the prison closure years more than 96% of affected employees remained employed, retired or resigned.

<b>DOCCS Security Staff and Inmate Population</b>							
<b>December</b>	<b>Correction Officers Sergeants Lieutenants Positions Filled</b>	<b>Numeric Change</b>	<b>Percent Change</b>	<b>Offender Population (includes Willard)</b>	<b>Numeric Change</b>	<b>Percent Change</b>	<b>Staffing Ratio Inmate Population Versus Filled Uniform Staff Positions</b>
<b>2001</b>	21,821	-634	-2.8%	68,351	-3,003	-4.2%	3.1
<b>2002</b>	21,303	-518	-2.4%	67,658	-693	-1.0%	3.2
<b>2003</b>	20,839	-464	-2.2%	66,109	-1,549	-2.3%	3.2
<b>2004</b>	21,133	294	1.4%	64,905	-1,204	-1.8%	3.1
<b>2005</b>	21,414	281	1.3%	63,928	-977	-1.5%	3.0
<b>2006</b>	21,510	96	0.4%	64,410	482	0.8%	3.0
<b>2007</b>	21,386	155	-0.6%	63,425	-985	-1.5%	3.0
<b>2008</b>	21,278	108	-0.5%	60,933	-2,492	-3.9%	2.9
<b>2009</b>	20,580	698	-3.3%	59,279	-1,654	-2.7%	2.9
<b>2010</b>	20,596	16	0.1%	57,229	-2,050	-3.5%	2.8
<b>2011</b>	19,570	1,026	-4.9%	55,980	-1,249	-2.2%	2.9
<b>2012</b>	19,103	467	-2.9%	54,865	-1,115	-2.0%	2.9
<b>2013</b>	19,058	45	-0.2%	54,196	-669	-1.2%	2.8
<b>2014</b>	18,930	128	-0.7%	53,157	-1,039	-1.9%	2.8
<b>2015</b>	19,293	363	1.9%	52,363	-794	-1.5%	2.7
<b>2016</b>	19,250	-43	- .9%	51,406	-957	- .9%	2.7

<b>Staffing and Population Differences</b>				
	<b>12/31/99</b>	<b>10/01/17</b>	<b>Numeric Difference</b>	<b>Percent Change</b>
<b>Correction Officers Sergeants Lieutenants</b>	22,112	18,734	3,378	-15.3%
<b>Inmates (includes Willard)</b>	72,649	50,778	21,871	-30.0%

**DOCCS Correctional Facility Security Personnel**  
Council 82/NYSCOPBA Staff

	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	10/1/17
Council 82														
<b>Lieutenants</b>	539	540	543	541	535	526	521	458	483	482	472	475	471	479
NYSCOPBA														
<b>Sergeants</b>	1,266	1,297	1,308	1,308	1,289	1,236	1,256	1,169	1,196	1,190	1,175	1,172	1,179	1,190
<b>Officers</b>	19,328	19,577	19,660	20,040	19,522	18,955	18,930	17,945	17,741	17,627	17,569	17,635	17,601	17,544
Subtotal	<b>20,593</b>	<b>20,874</b>	<b>20,967</b>	<b>21,348</b>	<b>20,811</b>	<b>20,191</b>	<b>20,186</b>	<b>19,114</b>	<b>18,937</b>	<b>18,817</b>	<b>18,744</b>	<b>18,807</b>	<b>18,780</b>	<b>18,734</b>
Total	<b>21,133</b>	<b>21,414</b>	<b>21,510</b>	<b>21,889</b>	<b>21,346</b>	<b>20,717</b>	<b>20,707</b>	<b>19,572</b>	<b>19,420</b>	<b>19,300</b>	<b>19,216</b>	<b>19,282</b>	<b>19,251</b>	<b>19,213</b>

**Number and Percent of Inmates Convicted of a Violent Felony Offense  
In Male Maximum and Medium Security Facilities  
October 1<sup>st</sup> of Selected Years**

	2007			2012			2017		
	VFO	Inmates	%	VFO	Inmates	%	VFO	Inmates	%
<b>Maximum Facilities</b>	18,671	24,572	76.0%	19,070	23,673	80.6%	17,379	21,377	81.3%
<b>Medium Facilities</b>	15,926	32,150	49.5%	15,061	27,271	55.2%	13,643	24,738	55.1%

**Note:** This table includes inmates at the following parole program facilities: Edgecombe, Willard, Orleans, and Hudson PDP.

**SHU Cell Occupants  
Effective Beginning of Business**

<b>Inmate Status</b>	<b>7/1/2017</b>	<b>8/1/2017</b>	<b>9/1/2017</b>	<b>10/1/2017</b>
SHU Disciplinary Sanction	2,134	1,940	1,946	1,798
KEEPLOCK Disciplinary Sanction	550	436	486	561
Administrative Segregation	25	29	27	31
Involuntary Protective Custody	20	38	29	23
Pending Disciplinary Hearing	308	410	307	327
Pending Movement from Unit	43	61	76	61
Pending Investigation	4	2	1	1
Special Watch	4	5	0	2
Voluntary Protective Custody	16	17	14	15
<b>TOTAL SHU Cell Occupants (Excluding Youthful Offenders)</b>	<b>3,104</b>	<b>2,938</b>	<b>2,886</b>	<b>2,819</b>

### Statewide Inmates Convicted of a Violent Felony Offense

End of Year	2012	2013	2014	2015	2016	2017
<b>Violent Offenders</b>	35,270	35,136	34,380	33,641	32,908	32,459

**Note:** This table includes offenders at the following parole program facilities: Edgecombe Residential Treatment, Orleans and Hudson Parole Diversion Programs and Willard Drug Treatment Campus.

### 2012 Releases by Return Rate

Release Type And Release Cohort	Total Released	Total Returned		Return Type New Commitment		Return Type Parole Violator	
2011 Releases	23,346	9,953	42.6%	2,158	9.2%	7,795	33.4%
Parole	9,372	4,866	51.9%	792	8.5%	4,074	43.5%
Conditional Release	11,484	4,648	40.5%	927	8.1%	3,721	32.4%
Maximum Expiration	2,490	439	17.6%	439	17.6%	N/A	N/A

**Note:** DOCCS defines recidivism as re-commitment or return to NYS DOCCS custody after an inmate's sanctioned release to the community.

**Note:** DOCCS followed a 2010 release cohort of offenders for three years to obtain the return rate data in the above table.

### Community Supervision Staffing and Cases by Region/Bureau\* (start of month data - excludes staff and parolees in the revocation process)

Region/Bureau	Number of Parolees	Number of Parole Officers & Senior Parole Officers
<b>Bronx Region</b>		
Bureau I	1,114	24
Bureau II	882	22
Bureau III	737	17
Bureau IV	846	22
Bureau V	840	20
<b>Brooklyn Region</b>		
Bureau I	895	17
Bureau II	1,025	18
Bureau III	883	17
Bureau IV	988	19
Bureau V	1,026	27
<b>Central New York</b>		
Northeast	1,618	35
Syracuse Belt	1,261	28
Syracuse	929	20
Utica	1,146	28
<b>Hudson Valley Region</b>		
New Rochelle	909	18
Peekskill	890	23
Albany	1,212	29
Poughkeepsie	984	25

<b>Manhattan/Staten Island</b>		
Manhattan Bureau II	899	16
Manhattan Bureau III	920	14
Manhattan Bureau IV	1,045	21
Manhattan Bureau VI	779	29
Staten Island	581	11
<b>Queens/Long Island</b>		
Queens Bureau I	773	14
Queens Bureau II	1,149	23
Queens Bureau III	796	17
Suffolk	1,604	31
Nassau	928	21
<b>Western Region</b>		
Buffalo Metro	1,147	28
Niagara Frontier	1,285	25
Elmira	1,152	25
Rochester Metro	1,496	41
Rochester Belt	1,195	25

\*average case load size cannot be determined using this data