



Corrections and Community Supervision

DOCCS FACT SHEET

November 1, 2016

The management and operation of prisons is difficult work. It requires an equal measure of patience, discipline and creativity. DOCCS is responsible for the care, custody, and treatment of individuals sentenced to state prison, working with these inmates to ensure successful re-entry into the community and supervising those who are placed on parole. That's our Department's mission and it's the guide we follow to carry out the very serious responsibility entrusted to us.

The material contained within this Fact Sheet is based on statistical information compiled by DOCCS and it is intended to provide insight into the function and operation of the Department's 54 facilities that house approximately 51,700 inmates and the 35,500 parolees under DOCCS' responsibility.

Prison Safety

Statewide Assaults*						
	2011	2012	2013	2014	2015	YTD 2016
Assaults on Staff Statewide**	563	524	645	747	895	636
Assaults on Inmates Statewide**	666	652	767	859	916	940

*Excludes Willard, Edgecombe and Parole Diversion Programs.

**Includes disciplinary confinement SHU 200 units and Regional Medical Units.

Maximum vs. Medium Facility Inmate-on-Staff Assaults* 2010-2015						
	2011	2012	2013	2014	2015	2016
Assaults on Staff Statewide	563	524	645	747	895	636
Maximum-Security**	445	409	504	538	626	461
Medium-Security**	111	114	132	189	257	169

*Excludes Willard, Edgecombe and Parole Diversion Programs.

**Includes disciplinary confinement SHU 200 units and Regional Medical Units.

Note: Unlike the Penal Law where physical injury is a required element, any attack by an inmate is classified by DOCCS as an assault. This includes events where no physical injury occurs and events where any object, including a small object, is thrown at and hits another person. By contrast, in the Penal Law, an assault requires physical injury, which means impairment of physical condition or substantial pain.

2016 3rd Quarter Assaults				
	July	August	September	Total
Assault on Staff	58	83	64	205
Assault on Inmate	94	114	97	305
Total	152	197	161	510

Assault Degree of Injury to Staff				
Incident Type: Staff Injured during an Inmate on Inmate Assault				
	July	August	September	Total
No Injury	361	460	370	1,191
Minor*	8	7	6	21
Moderate**	0	0	0	0
Serious***	0	0	0	0
Severe****	0	0	0	0
Total	369	467	376	1,212

Incident Type: Staff Injured during an Inmate on Staff Assault				
	July	August	September	Total
No Injury	254	366	261	881
Minor*	70	89	88	247
Moderate**	8	6	4	18
Serious***	3	0	1	4
Severe****	0	0	0	0
Total	335	461	354	1,150

Note: Excludes Willard, Edgecombe, residential treatment facilities and Parole Diversion Programs and is based upon staff injuries sustained in Unusual Incidents each month, including employee accidents. Effective October 1, 2014 per Article VII bill, DOCCS added a category of severe and redefined injury definitions.

*Injuries that require either no treatment, minimal treatment (scratch, bruise, aches/pain) or precautionary treatment.

** Injuries such as lacerations, concussions, 2nd degree burns, serious sprains, dislocation, and muscle or ligament damage.

***Injuries that require transport to an outside hospital, but are not considered life-threatening at the preliminary report.

**** Injuries that cause obvious disfigurement, protracted impairment of health, loss or impairment of organ function, amputation, and injuries that risk cause of death.

Escape Incidents

- The last escape from within a maximum security secure perimeter was 2015 at Clinton Correctional Facility; prior to 2015 the last escape was in 2003.

- The last escape from within a medium security secure perimeter was 1992 at Mid-Orange Correctional Facility.

Prison Closures

- Since 1999, New York's prison population has declined by 28.9 percent, from a high of 72,649 inmates incarcerated (includes Willard DTC) to 51,690 today (11/01/16).
- Since 2011, the State has eliminated more than 5,500 prison beds and closed a total of 13 correctional facilities due to excess bed capacity resulting in an overall annual savings of approximately \$162 million.
- DOCCS security staff reductions have been consistent with inmate population declines. In each of the prison closure years more than 96% of affected employees remained employed, retired or resigned.

DOCCS Security Staff and Inmate Population							
December	Correction Officers Sergeants Lieutenants Positions Filled	Numeric Change	Percent Change	Offender Population (includes Willard)	Numeric Change	Percent Change	Staffing Ratio Inmate Population Versus Filled Uniform Staff Positions
2000	22,455	343	1.6%	71,354	-1,295	-1.8%	3.2
2001	21,821	-634	-2.8%	68,351	-3,003	-4.2%	3.1
2002	21,303	-518	-2.4%	67,658	-693	-1.0%	3.2
2003	20,839	-464	-2.2%	66,109	-1,549	-2.3%	3.2
2004	21,133	294	1.4%	64,905	-1,204	-1.8%	3.1
2005	21,414	281	1.3%	63,928	-977	-1.5%	3.0
2006	21,510	96	0.4%	64,410	482	0.8%	3.0
2007	21,386	155	-0.6%	63,425	-985	-1.5%	3.0
2008	21,278	108	-0.5%	60,933	-2,492	-3.9%	2.9
2009	20,580	698	-3.3%	59,279	-1,654	-2.7%	2.9
2010	20,596	16	0.1%	57,229	-2,050	-3.5%	2.8
2011	19,570	1,026	-4.9%	55,980	-1,249	-2.2%	2.9
2012	19,103	467	-2.9%	54,865	-1,115	-2.0%	2.9
2013	19,058	45	-0.2%	54,196	-669	-1.2%	2.8
2014	18,930	128	-0.7%	53,157	-1,039	-1.9%	2.8
2015	19,293	363	1.9%	52,363	-794	-1.5%	2.7

Staffing and Population Differences				
	12/31/99	11/01/16	Numeric Difference	Percent Change
Correction Officers Sergeants Lieutenants	22,112	19,218	2,894	-13.1%
Inmates (includes Willard)	72,649	51,690	20,959	-28.9%

DOCCS Correctional Facility Security Personnel
Council 82/NYSCOPBA Staff

	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	11/1/16
Council 82														
Lieutenants	528	539	540	543	541	535	526	521	458	483	482	472	475	473
NYSCOPBA														
Sergeants	1,291	1,266	1,297	1,308	1,308	1,289	1,236	1,256	1,169	1,196	1,190	1,175	1,172	1,190
Officers	19,020	19,328	19,577	19,660	20,040	19,522	18,955	18,930	17,945	17,741	17,627	17,569	17,635	17,555
Subtotal	20,311	20,593	20,874	20,967	21,348	20,811	20,191	20,186	19,114	18,937	18,817	18,744	18,807	18,745
Total	20,839	21,133	21,414	21,510	21,889	21,346	20,717	20,707	19,572	19,420	19,300	19,216	19,282	19,218

**Number and Percent of Inmates Convicted of a Violent Felony Offense
In Male Maximum and Medium Security Facilities
November 1st of Selected Years**

	2006			2011			2016		
	VFO	Inmates	%	VFO	Inmates	%	VFO	Inmates	%
Maximum Facilities	18,455	24,308	75.9%	19,189	24,152	79.5%	17,778	21,897	81.2%
Medium Facilities	16,132	32,270	50.0%	14,931	27,430	54.4%	13,968	25,324	55.2%

Note: This table includes inmates at the following parole program facilities: Edgecombe, Willard, Orleans, and Hudson PDP.

Statewide Inmates Convicted of a Violent Felony Offense

End of Year	2011	2012	2013	2014	2015	2016 YTD
Violent Offenders	35,390	35,270	35,136	34,380	33,641	33,092

Note: This table includes offenders at the following parole program facilities: Edgecombe Residential Treatment, Orleans and Hudson Parole Diversion Programs and Willard Drug Treatment Campus.

**SHU Cell Occupants
Effective Beginning of Business**

Inmate Status	7/1/2016	8/1/2016	9/1/2016	10/1/2016
SHU Disciplinary Sanction	2,431	2,469	2,599	2,547
KEEPLOCK Disciplinary Sanction	680	496	518	529
Administrative Segregation	30	30	29	29
Involuntary Protective Custody	10	25	17	21
Pending Disciplinary Hearing	312	445	330	335
Pending Movement from Unit	37	76	73	35
Pending Investigation	0	3	0	0
Special Watch	2	8	4	3
Voluntary Protective Custody	17	26	14	19
TOTAL SHU Cell Occupants (Excluding Youthful Offenders)	3,519	3,578	3,584	3,518

2011 Releases by Return Rate

Release Type And Release Cohort	Total Released	Total Returned		Return Type New Commitment		Return Type Parole Violator	
2011 Releases	23,710	10,007	42.2%	2,041	8.6%	7,966	33.6%
Parole	9,217	4,811	52.2%	709	7.7%	4,102	44.5%
Conditional Release	11,991	4,767	39.8%	903	7.5%	3,864	32.2%
Maximum Expiration	2,502	429	17.1%	429	17.1%	N/A	N/A

Note: DOCCS defines recidivism as re-commitment or return to NYS DOCCS custody after an inmate's sanctioned release to the community.

Note: DOCCS followed a 2010 release cohort of offenders for three years to obtain the return rate data in the above table.

Community Supervision Staffing and Cases by Region/Bureau* (start of month data - excludes staff and parolees in the revocation process)

Region/Bureau	Number of Parolees	Number of Parole Officers & Senior Parole Officers
Bronx Region		
Bureau I	1,195	21
Bureau II	846	24
Bureau III	776	17
Bureau IV	1,043	21
Bureau V	954	17
Brooklyn Region		
Bureau I	888	20
Bureau II	1,024	20
Bureau III	904	15
Bureau IV	1,075	22
Bureau V	1,061	31
Central New York		
Northeast	1,481	35
Syracuse Belt	1,270	26
Syracuse	867	21
Utica	1,141	29
Hudson Valley Region		
New Rochelle	890	22
Peekskill	899	23
Albany	1,241	42
Poughkeepsie	963	25
Manhattan/Staten Island		
Manhattan Bureau II	777	17
Manhattan Bureau III	1,041	16
Manhattan Bureau IV	1,109	21
Manhattan Bureau VI	702	28
Staten Island	557	12
Queens/Long Island		

Queens Bureau I	806	14
Queens Bureau II	1,205	23
Queens Bureau III	879	17
Suffolk	1,559	33
Nassau	957	21
Western Region		
Buffalo Metro	1,138	24
Niagara Frontier	1,172	25
Elmira	1,176	28
Rochester Metro	1,562	38
Rochester Belt	1,218	22

*average case load size cannot be determined using this data