Corrections and STATE Community Supervision DIRECTIVE	Appeal Process-Board of Parole Decisions and Parole/Post-Release Supervision Revocation Decisions		NO. 8631 DATE 03/01/2024
SUPERSEDES DIR #8631 Dtd. 08/17/22	DISTRIBUTION	PAGES PAGE 1 OF 3	DATE LAST REVISED
REFERENCES (Include but are not limited to) Executive Law § 259-i; NYS Penal Law § 70.40 (2); 9 NYCRR Part 8006; ACA Expected Practice 2-1018	APPROVING AUTHORITY		

- I. DESCRIPTION: This directive is intended to provide instruction to Program Services staff and Parole Violation Unit (PVU) staff regarding the Board of Parole's administrative appeal process available to incarcerated individuals or parole violators who have: (a) been denied release to parole supervision; (b) had a parole release decision rescinded; or (c) had their Community Supervision (e.g., parole, presumptive release, conditional release, and postrelease supervision) revoked following a final revocation hearing. Related information is also included with respect to appeals to a court of law pursuant to Executive Law § 259-i (4-a) for those individuals who have had their parole revoked and are considered to be a nontechnical parole violator who engaged in misdemeanor or felony behavior (hereinafter "nontechnical parole violator [not sex offender case]").
- **II. POLICY**: An incarcerated individual/parole violator has a statutory right to appeal:
  - A. A determination of the Board of Parole denying them parole release pursuant to Executive Law § 259-i (2)(c)(A).
  - B. A decision of the Parole Board that rescinds a prior grant of parole release.
  - C. A decision by one of the Parole Board's Administrative Law Judges that revokes their Community Supervision pursuant to Executive Law § 259-i (3).

Form #BOP8631A, "Board of Parole Notice of Administrative Appeal," must be filed with the Board of Parole's Appeals Unit within 30 calendar days of receipt of the decision denying parole, rescinding a prior grant of parole, or revoking Community Supervision. Thereafter, the appellant, or their attorney, must perfect the appeal within four months of the date of filing the Notice of Administrative Appeal, by filing a written brief letter or other written document with the Appeals Unit.

It is the policy of the Department of Corrections and Community Supervision (DOCCS) to ensure that <u>Form #BOP8631A</u> is made available to those incarcerated individuals who have been denied parole, had a prior grant of parole rescinded, or violators who have had their Community Supervision revoked.

For non-technical parole violators (not sex offender cases) who wish to file an appeal in court pursuant to New York Executive Law § 259-i (4-a), rather than the administrative appeal process, they may file Form #UCS-431PV, "Notice of Appeal – Parole Violation."

NOTE: A denial of Local Conditional Release, NYS Penal Law § 70.40(2), is NOT subject to the administrative appeal process.

## **III. PROCEDURE**

A. <u>Correspondence and Document Filing</u>: All correspondence and document filings regarding administrative appeals must be directed to:

New York State Department of Corrections and Community Supervision Board of Parole - Appeals Unit 1220 Washington Avenue Albany, New York 12226

## B. Right to Counsel

 Incarcerated individuals/parole violators are entitled to be represented by counsel in the administrative appeal process as well as in the appeal process for nontechnical violators (not sex offender cases) as outlined in the New York Executive Law § 259-i (4-a). If they are unable to afford private counsel, they should contact the closest legal services organization that provides legal services to indigent incarcerated individuals, or they should contact the appropriate court of jurisdiction in the county of confinement and request assignment of counsel under the county's 18-B plan for representation in connection with the administrative appeal process or the appeal process for non-technical violators (not sex offender cases) as outlined in the New York Executive Law § 259-i (4-a).

Offender Rehabilitation Coordinator (ORC) staff shall have available a listing of legal services and organizations within the county in which the facility is located. This information shall be made available to incarcerated individuals upon request. Legal services information should also be made available in the facility's Law Library.

It is the incarcerated individual's/parole violator's responsibility to secure legal representation from an attorney or a legal services organization and make the appropriate arrangements for retaining counsel.

- 2. Counsel must file a Notice of Appearance with the Board of Parole Appeals Unit prior to corresponding or filing documents regarding the administrative appeal.
- C. Filing an Administrative Appeal
  - Each administrative appeal must be commenced by filing a Notice of Administrative Appeal with the Appeals Unit of the Board of Parole within 30 calendar days of receipt of the decision denying parole, rescinding a prior grant of parole, or revoking Community Supervision. The Notice of Administrative Appeal can be either Form #BOP8631A or a document containing the same information. The incarcerated individual/parole violator, or their attorney, must file the Notice of Administrative Appeal to the address listed in subsection III-A.
  - ORC staff shall ensure that <u>Form #BOP8631A</u> is attached to the Parole Board Release Decision Notice for all parole release denials and rescission hearing decision cases. <u>Form #BOP8631A</u> shall be provided to incarcerated individuals at the same time they are provided with the Parole Board Release Decision Notice.

- 3. PVU staff shall ensure that <u>Form #BOP8631A</u> is attached to the Parole Revocation Decision Notice for all revocation cases. <u>Form #BOP8631A</u> shall be provided to adjudicated parole violators at the same time they are provided with the Parole Revocation Decision Notice.
- D. Perfecting an Administrative Appeal
  - 1. Each properly commenced administrative appeal must be perfected within four months of the filing of <u>Form #BOP8631A</u> with the Board of Parole's Appeals Unit.
  - 2. The administrative appeal is perfected by the submission of a brief or other written document which describes the arguments of the appellant.
- E. Final Determination of Administrative Appeal
  - 1. Findings and Recommendations of the Appeals Unit: After the administrative appeal is perfected, the submission by the appellant and relevant portions of the case record will be reviewed by the Appeals Unit. After completing this review, the Appeals Unit will prepare a Statement of the Appeals Unit's Findings and Recommendation for the Board's review.
  - 2. Final Determination: Three members of the Board of Parole will review the Findings and Recommendation of the Appeals Unit along with relevant portions of the case record and make a final decision that will be set forth in the Administrative Appeal Decision Notice.

## F. Notification of Final Determination

- 1. Notification in All Cases: After a final determination has been made by the Board, copies of the Administrative Appeal Decision Notice and the Statement of Appeals Unit's Findings and Recommendation will be distributed as follows:
  - a. Notification of Appellant and Counsel: The Appeals Unit will provide copies of both documents to the incarcerated individual/parole violator and their attorney, if applicable.
  - b. Distribution: The Appeals Unit will also distribute copies of these documents to the Community Supervision case folder (at the assigned facility) and the Community Supervision central file.
- 2. Notification where Release Denial or Rescission is Vacated, Reversed, or Modified: Where the final determination of the Board of Parole vacates, reverses, or modifies a decision denying or rescinding release on parole, or otherwise changes the incarcerated individual's status in some manner (e.g., the need to schedule a *de novo* Board interview or the reinstatement of a parole release decision), the Board of Parole's Appeals Unit will notify the Supervising Offender Rehabilitation Coordinator (SORC) of the change in status and direct that appropriate action be taken consistent with the Board's final decision on the appeal.
- 3. Notification where Revocation Decision is Vacated, Reversed, or Modified: Where the final determination of the Board of Parole vacates, reverses, or modifies a decision following the final revocation hearing, the Appeals Unit will provide a memorandum to the DOCCS Quality Control Unit advising of the vacatur, reversal of the revocation decision, or the modification of the disposition.